



**NOTICE OF A REGULAR MEETING OF THE TOWN COUNCIL
OF THE TOWN OF CLARKDALE
TUESDAY, NOVEMBER 14, 2023 AT 6:00 PM**

In Person: Clark Memorial Clubhouse, 19 N. Ninth St., Clarkdale AZ

OR

Join Zoom Meeting

<https://us02web.zoom.us/j/81213484121>

Meeting ID: 812 1348 4121

Unless otherwise stated, the public will have physical access to the meeting place 15 minutes prior to the meeting start time.

AMENDED

Updated reports added to item 7d

Town of Clarkdale Vision

The Town of Clarkdale connects our unique history, proximity to the Verde River, and small-town charm to a future with a vibrant economy.

We cultivate an environment where residents and businesses can thrive; providing services and jobs for our residents and capitalizing upon tourism.

We sustainably enhance our infrastructure, support the arts and education, and develop recreational opportunities to create a bright future for our entire community.

PURSUANT TO A.R.S. §38-431.02, NOTICE IS HEREBY GIVEN to the members of the Common Council of the Town of Clarkdale and to the general public that the Town of Clarkdale Town Council will hold a Regular Meeting open to the public on Tuesday, November 14, 2023, at 6:00 PM in a hybrid meeting via Zoom Video Conference or in person at 19 N. Ninth Street, Clarkdale, Arizona, Clark Memorial Clubhouse, Men's Lounge. Members of the Clarkdale Common Council will attend either in person or by telephone, video or internet conferencing. Pursuant to A.R.S. §38-431.03, the Council may vote to recess the meeting and move into Executive Session on any item, which will be held immediately after the vote and will not be open to the public. Upon completion of Executive Session, the Council may resume the meeting, open to the public, to address the remaining items on the agenda.

Supporting documentation and staff reports furnished to the Council with this agenda are available for review on the Town website at www.clarkdale.az.gov and the Town Clerk's Office.

**ALL ITEMS ON THIS AGENDA ARE SCHEDULED FOR DISCUSSION AND POSSIBLE ACTION,
UNLESS OTHERWISE NOTED.**

1. CALL TO ORDER

2. ROLL CALL

3. PUBLIC COMMENT

The Town Council invites the public to provide comments at this time. Members of the Town Council may not discuss items that are not specifically identified on the agenda. Therefore, pursuant to A.R.S. §38-431.01, action taken as a result of public comment will be limited to directing staff to study the matter, responding to any criticism, or scheduling the matter for further consideration and decision at a later date. Persons interested in making comments on a specific agenda item are asked to complete a brief form and submit it to the Clerk or liaison during the meeting. Each speaker is asked to limit their comments to five minutes.

4. STAFF INTRODUCTIONS

A. D.K. Green - Grants Manager

5. REPORTS

A. Current Events – (submitted electronically)

A brief summary of current events. The Council will not propose, discuss, deliberate, or take legal action on any matter in the summary. Reports submitted electronically:

- Mayor's Report
- Vice-Mayor's Report
- Councilmembers' Reports

B. Organizational Reports – (submitted electronically)

Reports regarding regional organizations submitted electronically:

- CAT – Cottonwood Area Transit
- VVTPO – Verde Valley Transportation Planning Organization
- NACOG - Northern Arizona Council of Governments
- NAMWUA - Northern Arizona Municipal Water Users Association
- TPAC – Transportation Policy Advisory Council
- VFLC – Verde Front Leadership Council
- Greater AZ Mayor's Organizational Report
- Sustaining Flows Committee
- Yavapai Communities for Young Children

C. Finance Report (submitted with Council packet)

List of specific budget to actual revenue and expenditures for all departments and a monthly finance report.

6. CONSENT AGENDA

Items on the consent agenda are of a routine nature or have been previously studied by the Town Council at a work session or during a New Business discussion. They are intended to be acted upon in one motion. Council members may pull items from consent if they would like them considered separately.

A. Approval of Minutes

Consider and act upon the draft minutes from the Special Meeting held on Oct. 24, 2023.

B. Request to Ratify the State of Arizona Mutual Aid Compact

Consider and act upon a request by staff to ratify the State of Arizona Mutual Aid Compact (AZMAC) with the Arizona Department of Emergency and Military Affairs.

C. Resolution #1705 - IGA with Arizona Department of Revenue for Transaction Privilege Tax Transmission

Consider and act upon Resolution #1705, authorizing the Mayor to execute the 2023 Intergovernmental Agreement (IGA) with the Arizona Department of Revenue Providing for Uniform Administration of the Town's Transaction Privilege Tax.

7. NEW BUSINESS

A. Purchase of New Equipment by the Public Works Department

Discuss, consider and act upon the purchase of a backhoe, mower, mini-excavator and trailer from RDO Equipment Co. for the total amount of \$268,982.85.

B. Sidewalk Repairs and Funding

Discuss, consider and act upon the transfer of \$15,000 from the Unrestricted Streets Contingency line item to the Sidewalks line item to complete the outstanding sidewalk work-orders and other sidewalk repairs identified through the end of the fiscal year.

C. Third North Sewer Realignment

Discuss, consider and act upon a proposal for \$104,225 from Ardurra Engineering for design of the realignment of the 6" sewer main along Third North Street, and other services.

D. Notice of Intent to Set Water and Wastewater Rates

Discuss, consider and act upon a Notice of Intent to set water and wastewater rates for the Town of Clarkdale.

8. FUTURE AGENDA ITEMS

Town Council may propose items to be placed on a future agenda. This item is for discussion only.

9. ADJOURNMENT

Values

Values are the guiding principles that provide an organization with purpose and direction. The Town of Clarkdale's organizational values are:

COPPER

Customer focused

Open, transparent and equitable

Preserving our history, charm, and environment

Planning for a sustainable future

Economic and social resiliency

Resourceful and innovative

Mission

The Town of Clarkdale serves the community by providing amenities, infrastructure, services, and public safety to enhance quality of life. We are stewards of our history while we sustainably and resiliently plan for the future with an emphasis on community engagement and transparency.

Persons with a disability may request reasonable accommodations by contacting the Town Hall at (928) 639-2400 (TTY: 1-800-367-8939) at least 72 hours in advance of the meeting.



From the office of Mayor Prud'homme-Bauer

October Report

Regularly Held Monthly Meetings

- Regular Weekly one on one meetings with Town Manager on Wednesdays.
- Agenda review meetings on Monday afternoon before a town council meeting.
- Host twice monthly Verde Valley Mayors/Managers zoom meetings.

Other Meetings, Events, Emails and Phone Calls

- Visited friends and neighbors at Coffee with the Cops at Violette's Bakery Café.
- Participated in Friends of the Verde River workshop on the Verde Watershed Report Card.
- Participated in the 89A Overlay Work Group meeting.
- Lunch with Vice Mayor and Yavapai College leadership.
- Handed out candy at a friend's house on Main Street for Halloween in Clarkdale.
- Attended the quarterly employee Halloween luncheon. The costumes were great!
- Virtual attendance at the kick-off meeting with Retail Strategies.
- Virtual participating in two Verde River Modeling workshops.
- Attended the Southwest Wine Center's Wine and Dine event.
- Emails and phone calls from residents on storm flooding, airport noise, and smoke from prescribed burns on the national forests.



From the office of Vice Mayor Hunseder

October Report

10/02/23 – CDBA Meeting
10/04/23 – Coffee with a Cop – Wonderful community participation!
10/04/23 – Town of Clarkdale Council Executive Session
10/05/23 – Met with Town Clerk to Review Re-election Packet
10/07/23 – Clarktoberfest Volunteer Duties All Day
10/09/23 – One-On-One Meeting with Town Manager Guthrie
10/10/23 – Town of Clarkdale Council Meeting
10/12/23 – 89A Overlay Review Meeting
10/23/23 – One-On-One Meeting with Town Manager Guthrie
10/24/23 – Town of Clarkdale Council Executive Session and Regular Meeting
10/25/23 – Joint Council/HPC Meeting Regarding Gazebo/Bandstand
10/26/23 – NACOG Executive Committee Meeting & General Session
10/27/23 – Lunch Meeting with Yavapai College Leadership Team and Mayor
10/31/23 – Halloween Luncheon with Staff and Volunteer Roadblock Coverage at Trick-or-Treat Event

DATE: October 26, 2023

TIME: 10:00 a.m. - NACOG Regional Council

LOCATION: High Country Conference Center
201 West Butler Avenue, Flagstaff, AZ 86001

I. Call to Order & Introductions

II. Acknowledgment of Title VI Policy

NACOG hereby gives public notice that it is the Agency's policy to assure full compliance with Title VI of the Civil Rights Act of 1964, Title II of the Americans with Disabilities Act of 1990 and other related authorities in all of its programs and activities. NACOG's Title VI and ADA programs require that no person shall, on the grounds of race, color, national origin, or disability, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity

III. Pledge of Allegiance

IV. Consent Agenda *(All items on the Consent Agenda are considered to be routine and will be approved by a single vote. A Regional Council member or the Executive Director may request that an item be removed from the Consent Agenda and considered separately.)*

- A. Approve August 24, 2023 Regional Council Meeting Minutes
- B. Approve EDC Membership Considerations

V. Executive Director's Report

- A. Approve Amended NACOG Regional Council Bylaws

VI. Transportation Policy Advisory Committee (TPAC) Report

- A. Program Update
- B. Approve FY24-29 Transportation Investment Plan (TIP) Formal Amendment #1
- C. Approve Revised 2023 Regional Priority Projects List
- D. Adopt Regional Transportation Safety Plan Proclamation

VII. Advisory Council on Aging (ACOA) Report

- A. Program Update
- B. Approve NACOG Aging FY23 Carry-Over Request
- C. Approve NACOG Budget Alert FY24 3C
- D. Approve NACOG Budget Alert FY24 3D

VIII. Economic Development Council (EDC) Report

- A. Program Update

IX. Head Start Parent Policy Committee (HSPPC) Report

- A. Program Update

X. Elect FY24 Regional Council Apache County and Navajo County Private Sector Members

XI. Reports from Regional Council Members

XII. Call to the Public *(Members of the public may speak on any item not listed on the agenda. Items presented during the Call to the Public portion of the Agenda cannot be acted on by the Regional Council. Individual Regional Council members may ask questions of the public, but are prohibited by the Open Meeting Law from discussing or considering the item among themselves until the item is officially placed on the agenda. Individuals are limited to a two-minute presentation. For the sake of efficiency, the Chair may eliminate the Call to the Public portion of any agenda.)*

XIII. Adjourn

This agenda may be subject to amendment prior to 24 hours before the meeting.

The next NACOG Regional Council meeting has been scheduled for February 22, 2024 via Zoom Teleconference.

NACOG endeavors to make all public meetings accessible to persons with disabilities. Please call 928-774-1895 or email nacog@nacog.org 72 hours prior to the meeting requesting reasonable accommodations. Limited English Proficiency service can be provided with a minimum of ten (10) days' notice.

Chair:	Alton Shepherd	Supervisor Apache County
Vice Chair:	Bill Diak Page	Mayor Coconino County
Secretary/Treasurer:	Mary Mallory	Supervisor Yavapai County
Members-At-Large:	Daryl Seymore	Supervisor Navajo County
	Debbie Hunseder Clarkdale	Vice Mayor Yavapai County
	Brady Harris	Private Sector Coconino County
Immediate Past Chair:	John Leech, Jr. Show Low	Mayor Navajo County



Councilor	Jackie Baker	Town of Camp Verde
Vice Mayor	Alex Barber	Town of Jerome
Vice Mayor	Jason Brubaker	Town of Taylor
Mayor	Roberta Cano	City of Winslow
Supervisor	Nelson Davis	Apache County
Ms.	Janet Dean	Private Sector, Coconino County
Mayor	Don Dent	City of Williams
Mayor	Bill Diak	City of Page
Supervisor	Lena Fowler	Coconino County
Councilor	Brian Fultz	City of Sedona
Mr.	Brady Harris	Private Sector, Coconino County
Councilor	April Hepperle	Town of Prescott Valley
Ms.	Loretta Holdsworth	HSPPC Chairperson
Vice Mayor	Debbie Hunseder	Town of Clarkdale
Mayor	Stephanie Irwin	Town of Pinetop-Lakeside
Councilor	Helaine Kurot	City of Cottonwood
Mayor	John Leech, Jr.	City of Show Low
Supervisor	Mary Mallory	Yavapai County
Supervisor	Donna Michaels	Yavapai County
Mayor	Jack Miller	Town of Chino Valley
Councilor	Brandon Montoya	City of Prescott
Councilor	Mike Nilsson	City of Holbrook
Councilor	Terry Nolan	Town of Dewey-Humboldt
Ms.	Jennifer Perry	Private Sector, Yavapai County
Mr.	Bill Regner	Private Sector, Yavapai County
Mayor	Shelly Reidhead	Town of Springerville
Mayor	Christy Riddle	Town of Fredonia
Supervisor	Daryl Seymore	Navajo County
Supervisor	Alton Joe Shepherd	Apache County
Councilor	Miranda Sweet	City of Flagstaff
Vice Mayor	Marsha Tucker	Town of Eagar
Mayor	Spence Udall	City of St. Johns
Mayor	Clarinda Vail	Town of Tusayan
Supervisor	Jeronimo Vasquez	Coconino County
Mr.	Scott Waddle	Private Sector, Navajo County
Supervisor	Dawnafe Whitesinger	Navajo County

NACOG Nondiscrimination/Title VI Notice to the Public

PUBLIC NOTICE

Northern Arizona Council of Governments (NACOG) hereby gives public notice that it is the Agency's policy to assure full compliance with Title VI of the Civil Rights Act of 1964, Title II of the Americans with Disabilities Act of 1990 (ADA), and other related authorities in all of its programs and activities. NACOG's Title VI and ADA Programs require that no person shall, on the grounds of race, color, national origin, or disability, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity. NACOG meetings are held in accessible locations, and materials are provided in accessible formats or in languages other than English upon request with a reasonable advance notice period.

Any person who believes they have been discriminated against by NACOG, or any of its sub-recipients or contractors, may file a written complaint with NACOG and/or the ADOT Civil Rights Office. If the complaint is filed against NACOG, the NACOG Title VI Coordinator is required to forward the complaint to the ADOT Civil Rights Office within 72 hours of receipt of complaint.

If you have difficulty understanding English, you may request language assistance services for Department information that is available to the public. These language assistance services are available free of charge. If you need more information about interpretation or translation services, please contact Tod Morris (NACOG Title VI Coordinator) at 928-213-5236 or email at: tmorris@nacog.org

Or write to:

ATTN: Tod Morris, NACOG Title VI Coordinator
NACOG
323 N. San Francisco Street, Suite A
Flagstaff, AZ 86001

EL AVISO PUBLICO

El Consejo de Gobiernos del Norte de Arizona (NACOG) por este medio notifica públicamente que es política de la Agencia asegurar el cumplimiento total del Título VI de la Ley de Derechos Civiles de 1964, el Título II de la Ley de Estadounidenses con Discapacidades de 1990 (ADA) y otros relacionados autoridades en todos sus programas y actividades. Los Programas Título VI y ADA de NACOG requieren que ninguna persona, por motivos de raza, color, origen nacional o discapacidad, sea excluida de participar, se le nieguen los beneficios o se la discrimine bajo cualquier programa o actividad. Las reuniones de NACOG se llevan a cabo en lugares accesibles, y los materiales se proporcionan en formatos accesibles o en idiomas que no sean inglés, previa solicitud, con un período de aviso previo razonable.

Cualquier persona que crea que ha sido discriminada por NACOG, o cualquiera de sus subreceptores o contratistas, puede presentar una queja por escrito ante NACOG y / o la Oficina de Derechos Civiles de ADOT. Si la queja se presenta contra NACOG, el Coordinador del Título VI de NACOG debe enviar la queja a la Oficina de Derechos Civiles de ADOT dentro de las 72 horas posteriores a la recepción de la queja.

Si tiene dificultades para entender el inglés, puede solicitar servicios de asistencia con el idioma para obtener información del Departamento que está disponible al público. Estos servicios de asistencia lingüística están disponibles de forma gratuita. Si necesita más información sobre los servicios de interpretación o traducción, comuníquese con Tod Morris (Coordinador del Título VI de NACOG) al 928-213-5236 o envíe un correo electrónico a: tmorris@nacog.org

O escribe a:

ATTN: Tod Morris, NACOG Title VI Coordinator
NACOG
323 N. San Francisco Street, Suite A
Flagstaff, AZ 86001

NACOG Title VI Chief Officer	NACOG Title VI Coordinator
NACOG Executive Director 119 East Aspen Avenue Flagstaff, AZ 86001 928-774-1895 FAX: 928-773-1135	NACOG Mobility Management Planner 323 N. San Francisco Street, Suite A Flagstaff, AZ 86001 928-213-5236 tmorris@nacog.org

Regional Council Meeting

August 24, 2023

High Country Conference Center – Flagstaff, AZ

Mtgs
Attended
2023

MEMBER NAME	ENTITY	PRESENT	ABSENT	# Mtgs Attended 2023	STAFF NAME
Jackie Baker	Town of Camp Verde	X		4	Chris Fetzer
Alex Barber	Town of Jerome		X	0	Tod Morris
Jason Brubaker	Town of Taylor		X	2	Jennifer O'Connor
Roberta Cano*	City of Winslow	X		1	Michael Huff
Nelson Davis	Apache County		X	2	Rob Gallegos
Janet Dean	Private Sector, Coconino County	X		4	Allison Priest
Don Dent	City of Williams	X		3	Brandon Baxter
Bill Diak	City of Page	X		3	Kimberly Raibourne
Lena Fowler*	Coconino County	X		2	Mary Beals-Luedtka
Brian Fultz	City of Sedona	X		4	Teri Drew
Brady Harris*	Private Sector, Coconino County	X		2	Gayle Benton*
April Hepperle	Town of Prescott Valley		X	3	Cindy Binkley
Loretta Holdsworth	Head Start Parent Policy Council		X	1	
Debbie Hunseder	Town of Clarkdale		X	3	
Stephanie Irwin*	Town of Pinetop-Lakeside	X		4	
Helaine Kurot	City of Cottonwood		X	1	
John Leech, Jr.	City of Show Low	X		4	
Mary Mallory*	Yavapai County	X		3	
Donna Michaels	Yavapai County		X	0	
Jack Miller*	Town of Chino Valley	X		2	
Brandon Montoya	City of Prescott		X	1	
Mike Nilsson*	City of Holbrook	X		4	
Terry Nolan	Town of Dewey-Humboldt		X	2	
Jennifer Perry	Private Sector, Yavapai County		X	2	
Bill Regner	Private Sector, Yavapai County	X		1	
Shelly Reidhead	Town of Springerville		X	0	
Christy Riddle	Town of Fredonia		X	1	
Daryl Seymore	Navajo County	X		4	
Alton Joe Shepherd	Apache County	X		3	
Miranda Sweet*	City of Flagstaff	X		3	
Marsha Tucker	Town of Eagar		X	0	
Spence Udall	City of St. Johns		X	0	
Clarinda Vail*	Town of Tusayan	X		3	
Jeronimo Vasquez*	Coconino County	X		3	
Paul Watson	Private Sector, Navajo County		X	0	
Dawnafe Whitesinger	Navajo County		X	0	
Vacant	Town of Snowflake				
Vacant	Private Sector, Apache County				
Vacant	Private Sector Apache County				
Vacant	Private Sector, Navajo County				

* Denotes member/staff/guest that participated in the meeting remotely by electronic means.

I. Call to Order & Introductions

Chairman Alton Shepherd called the meeting to order at 10:00 A.M. A quorum was present.

Self-introductions were made by the in-person attendees. Staff performed roll call of the remote meeting participants. Chairman Shepherd welcomed the members of the Regional Council, staff and guests.

II. Acknowledgment of Title VI Policy

NACOG hereby gives public notice that it is the Agency's policy to assure full compliance with Title VI of the Civil Rights Act of 1964, Title II of the Americans with Disabilities Act of 1990 and other related authorities in all of its programs and activities. NACOG's Title VI and ADA programs require that no person shall, on the grounds of race, color, national origin, or disability, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity.

More information on Title VI is included in the agenda packet.

III. Pledge of Allegiance

The Regional Council members, staff and guests recited the Pledge of Allegiance.

IV. Consent Agenda

Chairman Shepherd read the list of items to be approved under the Consent Agenda and asked the council members if anyone would like to remove any single item from the Consent Agenda for separate consideration.

- A. Approve June 22, 2023 Regional Council Meeting Minutes**
- B. Approve FY24 NACOG FHWA and FTA Title VI Plan**

Daryl Seymore moved to approve the Consent Agenda, seconded by John Leech, Jr. Motion passed unanimously.

V. Executive Director's Report

There are currently four private sector membership vacancies on the Regional Council, two in Apache County and two in Coconino County. Private sector member elections will remain a standing agenda item until these vacancies are filled.

The following NACOG committees currently have membership vacancies:

- Advisory Council on Aging (ACOA) – currently has primary member vacancies in Apache, Coconino and Yavapai Counties, and alternate member vacancies in Apache, Coconino, Navajo and Yavapai Counties
- Audit Committee – 3 membership vacancies
- Community Action Board (CAB) – currently has membership vacancies in Apache, Navajo and Yavapai Counties
- Transportation Policy Advisory Committee (TPAC) – currently has municipal membership vacancies in Apache and Navajo Counties, and one vacancy for a tribal government representative

This has been a leadership transition year for many NACOG programs, due to the retirement of the AAA Director, CDBG Director and CSA Director.

Staffing challenges are ongoing across the agency. The consequence is most acute in the Head Start/Early Head Start program, where NACOG currently has more than 70 employment vacancies. This is critical, due to mandated child/staff ratios, and has caused the closure of Head Start/Early Head Start classrooms.

VI. Head Start/Early Head Start Parent Policy Committee (HSPPC) Report

A. Program Update

NACOG Head Start is currently in its community assessment cycle. Data gathered during this process will help program staff to tailor the services that are provided to NACOG communities and ensure that program funds are allocated where they will be best used.

In February 2024, staff will present a new 5-year program grant for Regional Council approval. The information collected during the community assessment will inform decisions that shape NACOG's Head Start/Early Head Start program for the next funding cycle.

Early Head Start (infant/toddler) services began on July 10. NACOG EHS is funded to serve 256 center-based enrollment slots and 121 home-based enrollment slots. Workforce shortages have delayed the opening of several classrooms, and there are currently 87 center-based children that are unable to receive services. Additionally, there are 11 home-based children/families that are unable to receive services, due to there being no Home-Based Visitor in the area.

Head Start (preschool) services began this week. Currently, all Head Start classrooms are open in Apache and Navajo Counties. There are several classrooms that have not been able to open in Coconino and Yavapai Counties. These closed classrooms are due to workforce shortages.

As a result of ongoing staffing and enrollment challenges, NACOG Head Start plans to propose an enrollment slot reduction. A request to reduce funded enrollment or convert Head Start enrollment slots to Early Head Start is considered a change in scope request and requires Office of Head Start (OHS) prior approval. The change in scope will be submitted through either a continuation application or as a separate application amendment. The request must be submitted at least 90 days prior to the planned implementation date.

Several factors are considered before requesting an enrollment reduction or conversion, including the results of the Community Assessment; results of the Self-Assessment/Program Improvement Plans; staffing/training; results of the wage comparability study; the current service delivery model; program structure and budget.

VII. Advisory Council on Aging (ACOA) Report

A. Program Update

NACOG Aging has been successful in its recruitment of a Contracts Specialist and a Family Caregiver Specialist. They continue to have employment vacancies for a Family Caregiver Coordinator, two Care Coordinators, an Assistant Director and a Program Manager.

Mary Beals-Luedtka is scheduled to retire effective September 15. Brandon Baxter has been hired to assume the position of AAA Program Director at that time.

NACOG Aging will welcome NACOG CSA to share its office space in Show Low.

At the federal level, the agreement to lift the debt ceiling will limit program funding increases for the next three years. Advocacy is ongoing to use FY23 as the base amount rather than FY19, which would result in service reductions. Additionally, FY24 is the last year for ARPA funding, which will create reduced services unless the Older Americans Act receives significant increased funding.

The Older Americans Act (OAA) is due for reauthorization in 2025. Advocacy has begun in support of clearer language on the role of AAAs.

NACOG Aging's four-year plan has been approved. The State Legislature has appropriated \$5 million statewide for home and community-based services. In the NACOG Region, these funds will be used to address the wait list for Aging services. Lawmakers also budgeted \$5 million for housing assistance. NACOG Aging will partner with the CSA program to manage this new program for older adults.

VIII. Economic Development Council (EDC) Report

A. Program Update

EDC Chairman, Paul Ramsey, has appointed his leadership team for the current program year. The appointments are as follows:

- Paul Ferris, Executive Committee Member, EDC Membership Committee Chairman
- Eric Marcus, CEDS Committee Chairman
- Becki Christensen, Tourism Committee Chairman
- Chris Pasterz, Broadband Working Group Committee Chairman (pending)

At the forefront of the EDC, broadband continues as a priority. Staff has been working on creating a new partnership between Local Workforce Development Areas and local community colleges to develop a broadband talent pipeline for future and ongoing broadband development. Local workforce development boards offer training, recruitment, tuition assistance, and in some areas, stipends to trainees. Colleges play a key role in developing curriculum and direct instruction.

One area of concern for the partners has been identifying qualified instructors. Ideally, all three colleges would share an instructor for remote training, and this person would travel between colleges for practical instruction.

One of the primary roles and requirements of the EDC is to produce a Comprehensive Economic Development Strategy (CEDS). The EDC is beginning to prepare for the 2026 CEDS submission by appointing Eric Marcus as the CEDS Committee Chairman. Mr. Marcus will work with staff to lay the groundwork for the CEDS process with an official kick-off in January 2024.

In preparation for the CEDS planning, the EDC reviewed current labor market information for all northern Arizona counties. Commonalities included:

- All counties show an increase in projected employment growth
- All counties struggle with labor force participation rate

- All counties show healthcare/social services, transportation, finance, and retail as in-demand industries
- All counties' average hourly wage is over \$20/hour

Labor market data is an essential tool for staying informed about the ever-changing job market, making informed decisions, and improving the efficiency/effectiveness of the workforce and economic development. This will be a helpful tool during the CEDS planning process to identify occupational data, workforce landscape, economic health, and market fluctuations.

The CEDS process takes about a year to complete. CEDS Committee members will include members of the EDC, as well as other key stakeholders at the federal, state, and local levels. The final CEDS document will be presented to the Regional Council for approval in late 2025 and is due for submission to the Economic Development Administration (EDA) in early 2026.

Ms. Drew presented the results of the Workforce Development PY 2022-2023 Annual Report. NACOG Workforce Development serves Yavapai County. In PY 2023, the program served 12,710 individuals, created 830 jobs and saw over \$31 million reinvested in the local economies in the form of direct wages.

At its annual meeting, the Yavapai Workforce Development Board recognized Supervisor Mary Mallory for her leadership with its Chairman's Award.

IX. Transportation Policy Advisory Committee (TPAC) Report

A. Program Update

Due to time constraints, there was no TPAC program update presented at today's meeting.

B. Approve FY24-29 Transportation Investment Plan (TIP)

The Transportation Investment Plan (TIP) is a list of surface transportation improvement projects to be implemented with federal and state funding. New projects are submitted by NACOG member agencies following the Surface Transportation Block Grant (STBG) funding rotation. The full list of projects is included with today's meeting materials.

The draft FY24-29 TIP was approved by the Technical Subcommittee at its August 2 meeting.

Bill Regner moved to approve the FY24-29 Transportation Investment Plan as presented, seconded by Jackie Baker. Motion passed unanimously.

D. Approve 2023 Regional Priority Projects List

At its August 2 meeting, the Technical Subcommittee approved the 2023 Regional Priority Projects List (RPPL). The 2023 list will retain the 19 remaining projects from the 2022 list, with cost estimates adjusted for inflation. The 2023 list will also include \$500,000 for a rural school bus routes study. Staff presented a listing of the projects included in this year's RPPL.

Staff has requested commitment letters from local communities to demonstrate local match and political support. Staff has also met with legislators from the northern Arizona delegation to share and advocate for RPPL projects in advance of the legislative session.

Daryl Seymore moved to approve the 2023 Regional Priority Projects List as presented, seconded by Bill Regner. Motion passed unanimously.

X. Roadway Safety in Northern Arizona: Getting People Home Safely and the Role of NACOG's Regional Council

Jennifer O'Connor told the Regional Council that NACOG's Regional Transportation Safety Plan (RTSP) is underway and scheduled to be completed by the end of the calendar year. The purpose of the plan is to address vehicle crashes that lead to death or serious injury across the region.

The purpose of today's conversation is to discuss a Rural Road Safety Proclamation that will be presented for adoption at a future meeting.

Ms. O'Connor introduced Mike Blankenship from Greenlight Engineering, the consultant that has been engaged to develop the RTSP, who is in attendance to provide an update to the Regional Council.

Mr. Blankenship presented statistics on vehicular crashes in Arizona and the NACOG region. In 2022, there were approximately 120,000 crashes, resulting in 52,000 injuries and 1,300 fatalities. This represents a significant increase in the number of fatalities from just a few years ago. From 2017-2021 there were approximately 25,000 crashes in the NACOG region, resulting in 11,000 injuries and 680 deaths.

The NACOG region experiences serious crashes at approximately 3 times the statewide rate. The main cause of crashes in the region (79%) are caused by vehicles departing their lane or the road. A main contributor to serious injuries and deaths in the region is not wearing a seat belt (45%). Additional crash contributors are weather conditions and wildlife.

The recommended emphasis areas for the NACOG RTSP are:

- Not wearing a seatbelt
- Lane/road departure
- Speeding
- Older drivers
- Wet/snowy/icy roads
- Wildlife
- Distracted driving

Mr. Blankenship presented the Safe System Approach, which sets a goal of zero vehicle-related deaths and serious injuries. It promotes safe road users, safe vehicles, safe speeds, safe roads and post-crash care.

A list of intersections in the region that were screened for safety was shown to the council members. Project recommendations will be made using the data collected. Safety project funding opportunities include Safe Streets and Roads for All (SS4A), \$1 billion/annually; Highway Safety Improvement Program (HSIP), \$65

NACOG Regional Council Meeting Minutes – August 24, 2023

million/annually; PROTECT Resiliency, \$280 million/annually. Elected official support is key for obtaining funding and implementing safety projects.

The Rural Road Safety Proclamation encourages local governments to take measures to address the emphasis areas in the RTSP. Council members should contact Jennifer O'Connor with comments or feedback on the proclamation. NACOG staff is able to facilitate a meeting between local staff and Greenlight Engineering to discuss safety concerns, crash data and safety countermeasures.

XI. Elect FY24 Regional Council Apache County and Navajo County Private Sector Members

Chairman Shepherd asked if there are any nominations to serve as private sector members from Apache or Navajo County. John Leech, Jr. nominated Scott Waddle to fill one of the Navajo County private sector membership vacancies on the Regional Council.

Chairman Shepherd asked for any other nominations. Hearing none, he asked a motion to elect Scott Waddle as a Private Sector representative from Navajo County. He noted that nominees to fill the remaining vacancies in Apache and Navajo Counties would be considered at future meetings.

John Leech, Jr. moved to elect Scott Waddle as a Private Sector representative from Navajo County, seconded by Daryl Seymore. Motion passed unanimously.

XII. Reports from Regional Council Members

Regional Council members reported on current events and activities from their local communities.

The next meeting of the Regional Council will take place on October 26, 2023 at the High Country Conference Center in Flagstaff.

XIII. Call to the Public

There were no comments from the public.

XIV. Adjourn

Chairman Shepherd asked if there were any further items for discussion today. Hearing none, he adjourned the meeting.

Chairman Alton Shepherd adjourned the August 24, 2023 NACOG Regional Council meeting at 12:15 P.M.



Memorandum:

Date: October 6, 2023

To: Regional Council Members

From: Teri Drew, Regional Director

Subject: Economic Development Council Update

I am pleased to inform the Regional Council that the Economic Development Council has reviewed and recommend the following membership appointments:

- Chris Pasterz, Economic Development Director, Navajo County
- Steve Peru, County Manager, Coconino County

Mr. Pasterz and Mr. Peru received unanimous support and approval from the Economic Development Council. Thank you Regional Council members for your consideration today.

☐ Information Only
☒ Motion to Approve-Consent Agenda

July 27, 2023

NACOG Economic Development Council

Attn: Teri Drew, Regional Director- Economic Development Council

RE: Letter of Intent to serve the EDC and BWG as Navajo County-REAL AZ Economic Development Director

Dear Teri Drew,

This letter is to inform you and the EDC that as of August 11, 2023 I will no longer be employed by Coconino County, but will be employed by Navajo County and serving as the Economic Development Director for REAL AZ. My start date with Navajo County is September 11, 2023.

It is my hope that I may continue to serve the NACOG EDC and NACOG BWG (Broadband Working Group) albeit from a new position. I humbly request that as a vacancy becomes available (either for Navajo County or the REAL AZ geographic area) that I may be considered. For the time being I am also notifying you of my resignation from the NACOG EDC Coconino County (and Membership Committee) membership position(s) I currently hold, as is expected when residence and employment changes.

I would be happy to provide any other additional information or answer any questions you may have regarding my resignation from my NACOG EDC Coconino County membership position, my intent to continue serving NACOG members and provide any assistance I can in re-filling the position I am vacating.

Sincerely,

Chris Pasterz

Chris Pasterz
Economic Development Manager
Coconino County, Arizona

cpastez@coconino.az.gov
928-699-8361-cell



**ECONOMIC DEVELOPMENT COUNCIL
MEMBERSHIP APPLICATION**

Please READ the Northern Arizona Council of Governments Economic Development Council Overview Document BEFORE completing this application.

Please utilize the back of this page if additional space is needed in providing information for the questions listed below.
(Please PRINT)

Name: Steve Peru Date: Sept 2, 2023

Recommended By: Lena Fowler Recommended by a Regional Council Member? ☒ Yes ☐ No

County of Residence/Employment (Please Check One): ☐ Apache ☒ Coconino ☐ Navajo ☐ Yavapai

How Long? 40+ years Sector Represented: ☐ Private ☐ Public

EDA Diverse Interest Sector (Enter # of Years of Experience In Each Category That Applies):

- | | | |
|---|--|---|
| ☒ Public Leadership | ☒ Distressed Populations | ☐ Women/Minority |
| ☐ Business | ☐ Economic Development Organization | ☐ Labor Groups |
| ☒ Workforce Employment & Training | ☐ Industry | ☐ Higher Education |
| ☐ Tribal Area | ☐ Community Organization | ☐ Other _____ |

Phone: Work () 928-679-8645 Home () _____ Cell () [REDACTED]

Fax () _____ Email speru@coconino.az.gov

Business: Name: 219 E. Cherry Ave

Address: _____

Type of Business: county government

Your Job Title: Coconino County Manager How Long? 2.5 year, prior 7 years as same

Job Responsibilities: Chief Executive Officer for the county, responsible for day to day and strategic operations of county govenment.

Interests and Qualifications: Please provide a brief description of your reason for applying for EDC membership.
As county manager, interested in economic conditions and growth of residents, business and industry in county and region.

Knowledge of Northern Arizona's Economic Development/Business/Labor Market: Please give a brief synopsis.
In addition to serving as county manager, also served in management and executive roles in private industry, non-profit and higher education at the community college. In each role I was involved in reviewing data regarding workforce trends, education attainment and career development.

Availability: How Many Hours Per Month Can You Contribute? 5-10 hours

Are you able to travel to Flagstaff to attend EDC meetings every other month? ☒ YES ☐ NO

Are you interested in serving on a committee? ☒ YES ☐ NO

PLEASE ATTACH A CURRENT RESUME

Note: Applications remain on file and valid for one (1) year. Candidates may reapply annually according to EDC Bylaws.

[Signature]
Applicant Signature

Sept 1, 2023
Date

Updated 6/6/19
Form # 19-6A

This application meets EDA requirements in effect June 6, 2019.

Steven B. Peru


Profile Summary Highlights

- Over thirty years of local Arizona government experience in a variety of progressively responsible and complex roles.
- Established cooperative and effective relations at the legislative and congressional levels.
- Developed strategic relationships with cities, tribal governments, state, federal agencies and non-profit organizations.
- Developed constructive and cooperative relationships with elected officials at the county level.
- Effective facilitator and team supporter.
- Works effectively with executive management teams and governing boards in executing on strategic directions and policies.
- Effective Non-Profit Manager in the areas of health and human services and community college foundation development.
- Private Sector experience with a world-class medical device technology enterprise.

Expertise/Competencies

Facilitation/Public Speaking/Citizen Engagement/ Staff Development/ Legislative Advocacy/Coaching/Mentorship/ Employee Relations/ Resource Development/ Project Management/Diversity Awareness/Organizational Effectiveness/Media Relations-Marketing/ Team Building/Organizational Development/ Emergency Management/Non-Profit Mgmt.

Employment History

Coconino County Manager

April 2021 to current

Serving as the Chief Administrative Officer for Coconino County. Responsible for all operations provided by the county which is the second largest county by land mass in the United States – over 16,000 square miles and delivered by a county workforce of 1,100 professional, technical and administrative staff. Staff to a five member Board of Supervisors elected by districts within Coconino County.

Chief External Affairs Officer Coconino Community College/Executive Director-Coconino Community College Foundation

March 2019 to April 2021

External Affairs – Under the direction of President Colleen Smith, provide leadership and strategic direction in the areas of Marketing, Public Relations, Intergovernmental Affairs.

CCC Foundation – Under the direction of an independent board of directors, provide supervision and individual results-oriented capacity building in the areas of fundraising, scholarship development, alumni and community relations and grant management.

President/CEO – United Way of Northern Arizona

Flagstaff, Arizona

February 2015 – 2019

Provided strategic leadership and direction over United Way's community impact and investment strategy for Coconino, Navajo and Apache counties. United Way administers

initiatives and community investments to over 50 community organizations, including over 30 in the Flagstaff area. Worked closely with community non-profits in identifying needs and resources to address community and neighborhood challenges. As a service partner with Coconino County, provided volunteer and donation management in emergency service events.

**Associate - W.L. Gore & Associates/Medical Products Division
Flagstaff and Phoenix, Arizona**

November, 2011- February, 2015

- Project contributor on various business process improvement and organizational effectiveness projects and initiatives:
 - Product Pricing Communication
 - Organizational Effectiveness - Organizational Culture Initiative
 - Operational Excellence (OPEX/LEAN)
 - Latino Hispanic Business Network

County Manager, Coconino County, Arizona

November, 2006 – November, 2011

- Served as the chief administrative officer for Coconino County, Arizona.
- Provided operational and strategic leadership to county appointed department directors.
- Partnered with county elected officials in providing statutorily mandated services to citizens and business.
- Supported the 1,000 plus employee workforce of public sector professionals.
- Routinely interacted with a variety of community groups and non-profits throughout Coconino County.

Deputy County Manager, Coconino County

January, 2000 – November, 2006

- Supported the County Manager in the planning and execution of operational goals.
- Provided direct operational and strategic leadership for a variety of county departments. Served as the acting county manager in the manager's absence.
- Served as project lead for special assignments and initiatives on behalf of County Manager and Board of Supervisors.
- Interacted with community groups regarding daily county functions.

Assistant to the County Manager/Clerk of the Board of Supervisors

August, 1995 – January, 2000

- Served in dual role as Clerk of the Board of Supervisors, a statutory county officer responsible for the documentation, records and archiving of all actions of the Board of Supervisors.
- Responsible for special projects for the County Manager and Board of Supervisors.

Assistant to the County Manager/Elections Director/Public Fiduciary, Coconino County, Arizona

January 1992 – August 1995

- Responsible for special projects for the County Manager and Board of Supervisors.
- Served as Elections Director, overseeing and directing all functions of county election process; supervised staff, recruited poll workers, provided training to poll workers, interacted with political parties, candidates and the media.
- Served as Public Fiduciary, a statutory officer of the court responsible for court appointed wards deemed incapable, as a result of mental or physical limitations, to care for themselves.

Director, Coconino County Career and Training Center, Coconino County

November 1986 – January 1992

- Responsible for the daily operation of the Career and Training Center, a federally funded employment and training program designed to provide opportunities for unemployed and underemployed individuals. Services provided included: skills assessment, resume development, employment coaching, job placement, interaction with employers, career counseling, follow-up and evaluation. Department was staffed by teachers, employment coaches, intake interviewers and support service counselors.

Community Resources Coordinator, Coconino County Community Services Department, Coconino County

September 1984 – November 1986

- Responsible for the administrative oversight management of various social service programs designed to serve disadvantaged and vulnerable individuals. Researched and authored grant proposals, participated in program evaluation and grantor performance audits; interacted with community social and health service agencies in coordinating services for individuals in need.

Community Aide/Program Coordinator, Coconino County Community Services Department, Coconino County

June 1979 – September 1984

- Provided direct client service, eligibility determination and staff supervision in various social service programs including: Community Information and Referral, Senior Citizen Homecare, Special Needs Transportation, Utility Assistance, Low Income Housing; and, homeless shelter referrals.

Education

2007 – Harvard University/JFK School of Government – Senior Executives in State and Local Government

1990 – Masters in Public Administration, Northern Arizona University

1980 – Bachelor of Science, Applied Sociology (Emphasis- Social Work) Northern Arizona University

Professional Affiliations/Community Involvement

Arizona Early Childhood Development and Health Board

First Things First Coconino Regional Council – Past Chairperson

Mountain Line Public Transit Board of Directors – Past Chairperson

Friends of Coconino County Parks Board of Directors – Past Chairperson

Association of Fundraising Professionals – Northern Az Chapter – Past Chairperson

Northern Arizona Leadership Alliance – Governmental Relations Committee

United Way of Northern Arizona – Finance Committee

Arizona Judicial Council – Past Member

Rotary Club of Flagstaff – Past Member

International City/County Management Association (ICMA) – achieved credentialed manager status

Arizona City/County Management Association – Life Member and past board member

The Arboretum at Flagstaff – past board member

Arizona Town Hall – past member

Coconino County Local Emergency Planning Committee – past member

Arizona County Manager's Association – past president

Governor's Office of Strategic Military Affairs/

State Military Affairs Advisory Panel (SMAAP) – past panel member

Arizona Board of Regents – Search Committee – NAU President
Coconino County Community Action Board – past member and chair
Coconino County Transportation Tax Citizen’s committee – 2014
City of Flagstaff Transportation Tax Citizen’s committee – 2014
Northern Arizona University Alumni Association- past board member
AZ211 Community Information and Referral – past board member
State of Arizona Government Information Technology Advisory Committee – past member
Flagstaff Medical Center – Board of Directors – past member
Flagstaff Leadership Program – graduate (1991) current advisory board member
Coordinating Council on Mexican-American Affairs – past member
Goodwill Industries of Northern Arizona – past board member
Northern Arizona University – 2000 Alumni Achievement Award
Arizona Community Action Association 2014 Margie Frost Champion Against Poverty Award
Northern Arizona University – Franke College of Business/Non-Profit Mgmt &
Entrepreneurship –former adjunct faculty
Arizona State University – School of Public Service and Community Solutions – Guest Lecturer



Memorandum:

Date: October 12, 2023

To: Regional Council Members

From: Chris Fetzer

Subject: 2023 Bylaws Amendments

Attached for your review and consideration are revisions to the NACOG Regional Council bylaws. The majority of these changes were made either to clarify existing language or to modernize the language for meetings to reflect our hybrid meeting structure.

The attached draft will be presented at the October 26 meeting for your consideration and approval. This material is being presented to you today, in advance of the meeting materials, in order to comply with the 15-calendar day notice requirement for bylaws revisions.

Attachments

- Summary of Regional Council Bylaws Amendments
- Redline copy of Regional Council Bylaws

☐	Information Only
☒	Motion to Approve

Recommended Motion: Move to approve the amended bylaws as presented.

**Summary of Regional Council Bylaws Amendments
October 2023**

Article III – Regional Council

Section 1

- Added clarification regarding private representatives
- Updated committee reference to “Policy Council”

Article IV – Calendar, Meetings, and Vote

Section 1

- Added “electronic platforms” or hybrid means for conducting meetings
- Added “calendar” for clarification

Section 3

- Added “electronic platform”

Article VI – Executive Director

- Added for clarification “per the Executive Director Succession Process.”

**BY-LAWS
OF THE
NORTHERN ARIZONA COUNCIL OF GOVERNMENTS
(NACOG)**

(As Amended ~~June 22, 2017~~)

**ARTICLE I
STATEMENT OF PRINCIPLES, PURPOSES, AND POWERS**

- A. Cities, towns, counties, and Indian tribal councils are the governmental entities closest to their respective citizenry and should exercise basic initiative and leadership and should exercise their primary responsibility for treating and dealing with those local problems and needs which require or are susceptible to action on a regional basis.
- B. The Governor of the State of Arizona has designated as Region III the territorial limits of Apache, Coconino, Navajo, and Yavapai Counties as an appropriate geographic area for regional activities.
- C. Certain Federal agencies in their respective program areas have encouraged regional comprehensive planning agencies, areawide agencies, state-designated districts, or other forms of coalitions between local governmental entities, which local coalitions are assigned powers, duties, and responsibilities of a nature and degree which vary from program to program. Illustrative of this varying nature and degree are the various regional review provisions and requirements of the Office of Management and Budget Circular No. A-95, as amended.
- D. The Northern Arizona Council of Governments, a regional coalition of governments, has been formed to possess and exercise all powers commonly possessed by its members, and necessary or helpful in fulfilling, planning review approval, and all other power, duties, and responsibilities referred to in paragraphs A and C above, to the maximum extent authorized under applicable law. The Northern Arizona Council of Governments is hereinafter sometimes referred to as 'NACOG'.
- E. NACOG is not, nor is it intended to be, a substitute for local government. It is an organization through which individual governmental units can work on regional problems and coordinate their efforts.

F. Included within the powers expressed are those necessary to perform the following functions:

1. To provide a forum for discussion and study of regional problems of mutual interest to the governments within the Region.
2. To ensure, through cooperation and the pooling of common resources, maximum efficiency and economy in governmental operations which provide every citizen with the utmost value for every tax dollar.
3. To uncover, clarify, identify, and comprehensively plan for the solution of regional problems requiring jurisdictional cooperation.
4. To facilitate agreements among the governmental units for specific projects or other interrelated developmental actions or for the adoption of common policies with respect to problems which are common to its members.
5. To attain the greatest degree of intergovernmental cooperation possible in order to prepare for future growth and development of the area.
6. To adopt By-laws, rules of procedure, and other rules and regulations as may be necessary or advisable in carrying out the purposes and powers of NACOG.

ARTICLE II MEMBERSHIP AND REPRESENTATION

Section 1

Eligibility: Any incorporated city, town, and the Counties of Apache, Coconino, Navajo, and Yavapai, and any Indian tribe within the confines of NACOG Region III is eligible to become a member.

1. Those eligible to become a member may elect to do so by passing a resolution of intent to become a member and by advising NACOG of such intent.
2. Membership may, with or without prior notice, be voluntarily terminated in a like manner, or involuntarily as provided in Article VI, Section 4.
3. The members of NACOG, so long as they are members in good standing, shall be represented by elected officials on the governing body of the corporation as follows:
 - a. Each County shall have two seats.
 - b. Each City or Town shall have one seat.
 - c. Each Tribe shall have one seat.

Section 2

Representative Designation: Members shall annually designate in writing the elected official(s) to be their representative and shall furnish such written designation to the Chair of the Regional Council. The member may also designate alternate(s) who are elected officials to vote in case of absence of the representative(s). A designation may be revoked in writing by the member. Vacancies are to be filled in like manner as initial designations. Each designation shall be made prior to the annual meeting.

ARTICLE III REGIONAL COUNCIL

Section 1

Governing Body: The governing body of NACOG shall be the **REGIONAL COUNCIL** in which the members shall be represented as specified in Article II. In addition to the representatives, the Regional Council shall annually elect up to two (2) individuals from each County to serve as private representatives from that County. These private representatives shall be elected from the nominations submitted by the City, Town, and County representatives from that County. Private representatives shall serve a one (1) year term and shall represent a low income or minority group, or representative organization, or represent the principal economic interests in the Region such as, but not limited to, business, industry, transportation, finance, utilities, education, the professions, agriculture, or labor. Individuals employed by a NACOG member may not serve as a private representative. Also, the Senators from the Arizona Legislature Districts within the confines of NACOG Region III shall each have one non-voting seat and the Representatives from the Arizona Legislature Districts within the confines of NACOG Regional III shall each have one non-voting seat. In addition, the Chairperson of the NACOG Head Start ~~Northern Arizona Child Development Advisory Board (NACDAB)~~ Policy Council (PC) shall have one seat but shall not be eligible to hold an Executive Committee position. The Regional Council is empowered to exercise and perform all the powers and duties of NACOG, and may delegate any and all of its joint or cooperative powers, duties, and functions provided, however, that no power, duty, or function may be delegated except by written form of delegation which includes final approval or ratification by the Regional Council. Any member may disprove of or abstain from participation in any program, project, or activity approved or engaged in by the Regional Council, but no such abstention shall detract from the decision or power of the Regional Council to proceed with the program.

Section 2

Officers: Officers of the Regional Council shall consist of a Chair, a Vice Chair, and a Secretary/Treasurer who shall be elected by a majority vote of the Regional Council from the member representatives during the annual meeting. Such officers shall hold office for one year commencing upon July 1, after their election. Upon a vacancy occurring in the office of the Chair, the Vice Chair shall automatically become the Chair for the balance of the unexpired term. Upon a vacancy occurring in the office of the Vice Chair, the Regional Council shall elect a Vice Chair from among its member representatives to serve for the balance of the unexpired term.

Section 3

Executive Committee: There shall be an Executive Committee. The Executive Committee shall be chaired by the Regional Council Chair and shall consist of, in addition to the Chair, the Vice Chair, the Secretary-Treasurer, and 4 Members-At-Large. Executive Committee membership shall not exceed 8 total members. The Executive Committee shall be designated in

such a manner as to achieve reasonable geographical balance of county, municipal and tribal representation on the committee. Private sector members are also eligible for the Executive Committee. If the immediate past Chair of the Regional Council is a representative in good standing, that person shall be a voting member of the Executive Committee as a Member-At-Large. The Executive Committee shall meet when deemed appropriate by the Regional Council, the Chair, or both, to provide additional direction for staff and to review information and programs prior to being placed on the Regional Council agenda. As necessary, the business and affairs of NACOG which arise between meetings of the Regional Council may be conducted by the Executive Committee and ratified by the Regional Council at the next scheduled meeting of the Regional Council.

Section 4

Powers: The powers, duties, and functions of the Regional Council shall include (without intending this enumeration as a limitation to other unlisted powers, duties, and functions):

1. The formulation of policy decisions and the determinations of policy matters for NACOG.
2. The approval and adoption of a budget for each fiscal year and a member fee or assessment schedule necessary to sustain the operations of NACOG or special projects as approved by the Regional Council.
3. The initiation of and/or request for studies to be undertaken either by interagency agreement, contract, or otherwise as deemed appropriate.
4. The creation of such standing and special committees as it may deem necessary or helpful to achieve the purposes of NACOG, and regarding such committees, the Regional Council may specify membership, powers, and duties, and such other matters as it may deem appropriate.
5. The adoption of By-laws, rules of procedure, or other procedures which shall, among other things, establish and preserve the right of any member at any regular meeting of the Regional Council to propose a subject for NACOG's study.

ARTICLE IV CALENDAR, MEETINGS, AND VOTE

Section 1

Meetings: The following provisions relate to the Regional Council:

1. There shall be six regular meetings of the Regional Council each year. Special meetings of the Regional Council may be called by the Chair or by the delivery or transmission to the Chair, the Vice Chair, or the Executive Director of the written or oral request of one-fourth of the representatives on the Regional Council. Regular or special meetings may be held in person, via electronic platforms, or by a combination of these means. Any of the regular meetings, except the Annual Meeting, can be canceled by the Chair of the Regional Council by the giving of fourteen calendar days written notice, provided, however, that if two or more representatives make written request within seven calendar days of the scheduled date of the meeting, the meeting shall be duly convened.

2. Written notice of all regular meetings shall be given to the representatives on the Regional Council, but such notice, if consistent with the calendar adopted at the previous annual meeting, shall be advisory only. No special meeting may be conducted without written notice to the representatives on the Regional Council.

3. The Chair of the Regional Council or the Executive Director shall give written notice of all regular and special meetings of the Regional Council to each representative on the Regional Council, by electronic mail at such representative's email address on file with NACOG, at least ten (10) calendar days before such meeting. The notice shall include or shall be accompanied by an agenda. Individual Regional Council members may specifically consent to delivery of their meeting notices via U.S. Mail.

4. All meetings shall be open to the public, except that a majority of the members present may vote to convene in executive session for any of the reasons set forth in Arizona Revised Statutes Section 38-431.03, as that statute may be amended from time to time.

Section 2

Quorum: The quorum necessary for the conduct of business shall be one-third of the Regional Council representatives. Eligible members that have not completed the process outlined in Article II, Section I shall not be considered when determining a quorum.

Section 3

Voting: Each representative shall have one (1) vote. Proxies are allowed. No individual shall carry more than two (2) proxies at any meeting. Representatives or alternates on the Regional Council must be present either in person or via electronic platform, or by duly

authorized proxy. Authorization of a proxy must be in writing, signed by the representative or alternate, which authorization shall either have been filed or shall be exhibited at the Regional Council meeting upon demand. A representative or alternate may authorize a proxy for two (2) consecutive meetings only.

Section 4

To the extent not inconsistent with specific provisions of these By-laws, rules of procedure, or other rules or regulations subsequently adopted on either a permanent or temporary basis by a majority of the members present, the Chair shall be guided by Robert's Rules of Order, and the underlying principles thereof (i.e., full and fair consideration of all matters before the Regional Council and its committees – tempered by the important considerations of efficiency and expediency) in the conduct of meetings.

Section 5

Committees: The following provisions relate to standing and special committees:

1. Standing committees shall be reviewed and redesignated annually.
2. Special committees shall be established by the Regional Council chair as needed, with specific duties and timeframes.
3. Committee meetings shall be conducted at such times and places as may be specified by the Regional Council, or in the absence of specific direction, by the Chair of the committee involved. All meetings of any standing or special committee of the Regional Council shall be open to the public, except that a majority of the voting members present may vote to convene in executive session for any of the reasons set forth in Arizona Revised Statutes Section 38-431.03, as that statute may be amended from time to time.

ARTICLE V FINANCES

Section 1

Fiscal Year: NACOG's fiscal year will commence on July 1.

Section 2

Grants: NACOG shall have the power, but not the duty, to receive and accept bequests, donations, grants, and gifts of all kinds of property and to do all acts necessary to carry out the purposes of such bequests, grants, gifts, and donations, with power to manage, sell, convey, contract, lease or otherwise dispose of the same in accordance with the terms of the bequests, grants, gifts, or donations of trust, or absolutely in case such bequests, grants, gifts, donations, or trust be unconditional.

Section 3

Budget: The Executive Committee, on or before the annual meeting, may, at the discretion of the Regional Council, prepare and submit to the Regional Council for approval an annual budget. Upon adoption of the budget, the Regional Council may affix fees or assessments sufficient in amount to provide for the budget to be paid by the members. The fee or assessment schedule may then be submitted to the legislative body of each member for ratification, adoption, and payment.

Section 4

Fees: The Regional Council may establish fees for members of NACOG for special studies or projects, sufficient to provide the funds required for the completion of said studies and projects. Any Regional Council representative whose special fees have not been paid within three months after the commencement of any new fiscal year shall not be entitled to vote or participate in such meeting or any subsequent meeting until such charges are paid by the member. If such delinquency continues for twelve months without being cured, such member shall cease to be a member without any further action of the Regional Council.

Section 5

Audit: The Regional Council shall cause an annual audit of the financial affairs of NACOG to be made by a public accountant or a certified public accountant at the end of each fiscal year. The audit report shall be made available to all members.

ARTICLE VI EXECUTIVE DIRECTOR

The Regional Council may appoint and employ an Executive Director of NACOG, who shall be authorized to employ such assistants and other employees in such numbers as shall from time to time be determined by the Regional Council. Selection and termination of such assistants and other employees shall be functions of the Executive Director subject to that degree of review or control as may be deemed appropriate by the Regional Council. The Executive Director shall maintain the office, library, and the records of NACOG, and shall perform such additional duties and functions as are specified in this document, or as may be specified by the Regional Council. During times when there is no Executive Director, the duties and functions shall be performed by the Chair of the Regional Council or as delegated per the Executive Director Succession Process.

ARTICLE VII AMENDMENTS

Amendments to the By-laws may be proposed by any member representative of the Regional Council and may be adopted by a majority vote at a properly convened meeting of the Regional Council. No vote may be taken upon any amendment unless the same has been proposed in writing and has been actually delivered to each member representative at least fifteen (15) calendar days prior to the meeting at which such a vote is to be taken. An electronic mail delivery receipt shall be deemed conclusive evidence of the delivery of the proposed amendment, unless the member has elected U.S. mail delivery. In that case a certified mail or registered mail return receipt shall be deemed conclusive evidence of delivery.

ARTICLE VIII DURATION AND TERMINATION

The By-laws shall become effective upon initial submission to and approval by the majority of the representatives of the Regional Council as stipulated in Article II, Section 1, or as stipulated in Article VII Amendments. They shall have perpetual duration.

ARTICLE IX NONDISCRIMINATION

No person in the United States shall, on the grounds of race, color, sex, religion or national origin, be excluded from participation in, be denied the benefits of, or otherwise subjected to discrimination under any program or activity administered or engaged in by NACOG. This commitment is made in accordance with Title VII of the Civil Rights Act of 1965 (P.L. 88-352) and all requirements imposed by or pursuant to the regulations of the Department of Health and Human Services (45 CFR, Part 80) issued pursuant to that title.

EFFECTIVE DATE

These By-laws will be effective immediately upon approval by the Regional Council of NACOG.

Date Approved: ~~June 22, 2017~~ October 26, 2023

Chairman, NACOG Regional Council

ATTEST: _____
Executive Director, NACOG



Northern Arizona Council of Governments

119 E. Aspen Avenue

Flagstaff, AZ 86001-5222

(928) 774-1895, FAX: (928) 773-1135

Memorandum:

Date: October 6, 2023

To: NACOG Regional Council

From: Jennifer O'Connor, Planning Director; Mike Huff, GIS Transportation Analyst; Tod Morris, Senior Mobility Management Planner

Subject: Transportation Program Update

TRANSPORTATION INFRASTRUCTURE UPDATES

1) REGIONAL TRANSPORTATION SAFETY PLAN – POLICY CONSIDERATIONS, NETWORK SCREENING & EMPHASIS AREAS

At the August 24th Regional Council meeting, the Regional Council members demonstrated a high level of interest and engagement in the [Regional Transportation Safety Plan \(RTSP\)](#) through their discussion of the Draft Rural Road Safety Proclamation. The RTSP is a regional planning effort being conducted by NACOG with partners MetroPlan and CYMPO to address safety from a holistic perspective to reduce the risk of death and serious injury to all transportation network users.

The consultants for the study, Greenlight Traffic Engineering, recently provided NACOG with a summary of the public input collected between March and April 2023 on transportation safety across the region, which NACOG will review and Greenlight will incorporate into the final plan recommendations. The consultants have also completed the identification of safety emphases areas, the network screening (which identifies the major dangerous roadway segments and intersections) and a project list for recommended safety improvements.

During the August 2023 Regional Council meeting, Greenlight Traffic Engineering presented an overview of the RTSP and the crash data, along with the Rural Road Safety Proclamation. Included in this meeting packet, TSC members will find the slide deck from Greenlight's presentation to Regional Council and the revised proclamation. The committee members asked that "Impaired Driving" be added to the list of 2023 Recommended Emphasis areas, which include: Unrestrained/Not Wearing a Seatbelt, Lane and Road Departures, Speeding/Aggressive Driving, Older Drivers, Wet/Snowy/Icy Roads and Vehicle-Animal

Collisions. The proclamation also commits the NACOG region to working toward reaching zero deaths on roadways (by a date 25 to 40 years in the future) and identifies actions that local jurisdictions can take to work toward meeting that goal.

As of Friday, October 6th, the NACOG planning team has received local agencies' feedback on lists of priority intersections, roadway segments, and high-priority projects; the received comments and suggestions will inform the list of projects that are identified in the final RTSP and are therefore eligible for SS4A implementation funding.

2) VERDE VALLEY TRANSPORTATION SAFETY PLAN – SS4A GRANT APPLICATION

On Friday, August 18th, the State Transportation Board approved Yavapai County's application for an AZ SMART Fund award to cover the \$62,500 match requirement for their Safe Streets and Roads 4 All (SS4A) grant. This is an exciting step forward, making Yavapai County, NACOG and the Verde Valley one step closer to pursuing the Verde Valley Transportation Safety Plan (VVTSP). The VVTSP will update elements of the 2016 [Verde Valley Master Transportation Plan \(VVMTP\)](#), which identified priority locations for roadway improvement throughout the Verde Valley based on an array of criteria ranging from safety and roadway condition to capacity and forecasted performance.

If Yavapai County is awarded an SS4A grant this October for the VVTSP, NACOG would assume leadership of the proposed Safety Study, a 12-18-month process to reassess safety conditions throughout the Verde Valley and provide an updated list of prioritized safety improvements for roadway segments, intersections, bridges, and vulnerable roadway users. This new and updated list of safety improvement projects for the Verde Valley region would build on the 2016 Verde Valley Master Transportation Plan with improvements focused on increasing safety benefits rather than incorporating various other factors (e.g., economic impact, mobility, travel time, efficiency). The VVTSP will also enhance the safety planning work currently being conducted through the [Regional Transportation Safety Plan](#), but will incorporate a more in-depth analysis of vulnerable road user needs and create partnerships through the development of a safety coalition. This new planning document will situate Verde Valley public agencies, Yavapai County, and NACOG to pursue the identified safety projects (both construction and public education/policy change activities) in this period of increased roadway funding from the Bipartisan Infrastructure Law, including SS4A Implementation Grants.

SS4A awards are expected to be announced for the SS4A grant program this month, at which time NACOG will coordinate the development of a stakeholder group to begin convening to discuss safety priorities, policy, and pre-developmental steps in the Verde Valley Transportation Safety Plan process.

3) REGIONAL PRIORITY PROJECTS LIST

On October 4th, the NACOG Transportation Technical Subcommittee (TSC) approved the revised 2023 Regional Priority Projects List (RPPL) for submission to the Rural Transportation Advocacy Council. The 2023 RPPL is based on projects identified through the 2022 RPPL development process, which included an open call for projects to all jurisdictions in the NACOG region and a

clear set of criteria for selecting and prioritizing projects. As the other COGs and MPOs from Greater Arizona complete their project lists, RTAC will combine them into a single Priority Project List for consideration in the 2024 Arizona State Legislative session.

At this October 26th Regional Council Meeting, NACOG is seeking approval for a few important edits are to the 2023 RPPL. Due to unforeseen circumstances surrounding certain projects, the following edits are being discussed and brought to vote at the meeting:

- Removal of ADOT NE District Office projects “US-160 Erosion Control, Oxbow (MP 420)” and “US-191 Drainage & Flood Safety Improvement (MP 389.3)” totaling \$6,569,936 in funding requests removed from the RPPL
- Reallocation of the RPPL space freed up by removal of those two projects to the following items:
 - Adding \$502,680 to the City of Show Low’s funding request to accommodate costs for turn lanes associated with the “East Woolford Road Extension” project
 - Adding \$1,751,379 to the City of Williams’ funding request to accommodate the installation of a roundabout at the intersection of Rodeo Road and Route 66 Road, improvements associated with the “Rodeo and Route 66 Road Pavement Replacement and Trail Connector” project
 - Adding the Apache County “Ganado School Bus Loop” project to the RPPL, requesting the amount of \$2,155,410 (this project was funded in FY2023 for \$1,000,000, but at this time the costs for completion have increased dramatically. This funding will ensure the project will be completed and that the funding originally awarded to the project will not have to be returned to the state)
 - Adding \$1,500,000 to NACOG’s funding request for the “Rural School Bus Routes Study” to ensure NACOG is able to include robust data collection efforts that will benefit the northern Arizona region, comprehensively analyze the conditions and impacts that have inspired the study, and begin the process of implementing the highest-priority projects identified in the study
 - Added \$660,468 to the Hopi Tribe’s funding request for the “Kachina Point Road Reconstruction (HIR 2, Kykotsmovi to Leupp) – Construction Phase 1” project, as this is the only project to be placed on the RPPL with a funding request lower than was originally requested by the sponsor

The (updated as of October 6th) 2023 Regional Priority Projects List is attached to this memo and can also be found on the NACOG website here: <https://nacog.org/regional-priority-projects-list/>. The updated fact sheet for each project is also available on the website.

Staff has also developed an interactive mapping website where elected officials and members of the public may view the locations of each project along with the fact sheets, which can be found on NACOG’s ArcGIS Online Hub here:

<https://experience.arcgis.com/experience/f50fb886ba4d4aa08f342b52d4558cab/>

NACOG staff also prepared RPPL binders for each legislative delegation (Districts 1, 6 and 7), which include an overview of the RPPL, fact sheets for each jurisdiction in their district and other supporting materials such as letters of support. Each jurisdiction has completed a Project Commitment Form, which is signed by their jurisdiction manager or board chair/mayor, to indicate their commitment to follow through on project completion, including the local match, if awarded by the state legislature. NACOG staff will also continue to meet with state legislators to encourage support for the RPPL Projects.

4) RURAL TRANSPORTATION SUMMIT 2023

The Rural Transportation Summit is a signature event for rural Arizona, in which elected and appointed local government officials, transportation professionals, our legislators, and other dignified guests convene and engage in solutions to improve & enhance transportation in Greater Arizona. The Rural Transportation Summit provides unprecedented opportunities to connect directly with Rural Arizona's transportation decision makers. This is a unique opportunity to network with those working to improve and enhance transportation needs in Arizona.

The 2023 Rural Transportation Summit was hosted by the Yuma Metropolitan Planning Organization (YMPO) and the Western Arizona Council of Governments (WACOG) at the Hilton Garden Inn Yuma Pivot Point on October 18-19, 2023. The NACOG planning team will share a brief summary of the events of the Summit and key takeaways with Regional Council members at the October 26th meeting.



5) BIPARTISAN INFRASTRUCTURE LAW (BIL) UPDATES

NACOG strongly encourages all jurisdictions that are interested in applying for federal funds to use the many resources available on the USDOT's ["Rural Opportunities to Use Transportation for Economic Success \(ROUTES\)"](#) program website. The ROUTES program is designed specifically for rural communities to offer them user-friendly tools and information on federal funding opportunities and to provide technical assistance. The website includes an [Application Toolkit](#), which can be downloaded as a [PDF](#), in addition to [toolkit videos](#) and [guidance](#) on writing an application.

A) **NACOG Bipartisan Infrastructure Law Funding Navigator**

The NACOG planning team has created a webpage to house summarized data for all funding opportunities related to the Bipartisan Infrastructure Law to adapt to the quickly-changing nature of these federal funding opportunities; this resource will be updated frequently to share the most current information about open and upcoming funding programs. All funding programs have

The BIL Funding Navigator can be found here: <https://nacog.org/bil-navigator/>



B) **Tribal Transportation Program Safety Fund** – Applications due Jan 15th, 2024

The Tribal Transportation Program Safety Fund (TTPSF) emphasizes the development of strategic transportation safety plans using a data-driven process as a means for Tribes to determine how transportation safety needs will be addressed in Tribal communities. Transportation safety plans are a tool used to identify risk factors that lead to serious injury or death and organize various entities to strategically reduce risk. Transportation safety plans are aimed at preventing transportation-related fatalities and serious injuries in a locality that may include--

- A goal and timeline for eliminating fatalities and serious injuries;
- An analysis of the location, severity, and contributing factors of vehicle-involved crashes in a locality;
- An analysis of community input, gathered through public outreach and education;
- A data-driven approach to identify projects or strategies to prevent fatalities and serious injuries in a locality, such as those involving--
 - a. Education and community outreach;
 - b. Effective methods to enforce traffic laws and regulations;
 - c. New vehicle or other transportation-related technologies; and
 - d. Roadway planning and design; and
 - e. Mechanisms for evaluating the outcomes and effectiveness of the transportation safety plan, including the means by which that effectiveness will be reported to residents in a locality.

More information on the development of transportation safety plans can be found on the

FHWA's website at <https://highways.dot.gov/federal-lands/programs-tribal/safety/safety-plan-toolkit>

Projects submitted as part of a grant application must be consistent with a transportation safety plan and must (i) correct or improve a hazardous road location or feature or (ii) address a highway safety problem (23 U.S.C. § 202(e), 148(a)(4)). Projects must be identified through a data-driven process. The TTPSF is focused on improving transportation safety, but many TTPSF projects also address community health, livability, sustainability, transportation alternatives, and climate change.

Safety data is critical for informed transportation safety decisions; as such, TTPSF also places an emphasis on assessment and improvement of traffic records systems (primarily crash data systems). Tools to improve crash data planning, collection, and analysis, including guidelines for conducting a crash records self-assessment, can be found in the Tribal Crash Reporting Toolkit at <https://www.tribalsafety.org/tribal-crash-reporting-toolkit>

C) ADOT Off-System Bridge Program – Upcoming

The purpose of the Off-System Bridge Program is to fund the Design and/or Construction for replacement, rehabilitation, preservation and protection of roadway bridges over waterways, other topographical barriers, other roadways, railroads, canals, ferry landings, etc. on bridges that are not on the Federal-aid highway system (local roads or rural minor collectors). In prior years, ADOT has worked with NACOG to announce this program and help prepare local agencies for submitting applications through early identification of high-priority off-system bridges. More information about this program can be found using the following links:

ADOT Off-System Bridge Guidelines: <https://azdot.gov/sites/default/files/media/2022/10/Off-System-Bridge-Guidelines.pdf>

ADOT Off-System Bridge FAQ: <https://azdot.gov/sites/default/files/2019/06/OSB-FAQ.pdf>

ADOT Off-System Bridge PPT Presentation:
<https://azdot.gov/sites/default/files/media/2022/10/OSB-Presentation.pdf>

6) GENERAL GRANT RESOURCES

Project sponsors with additional questions or interested in receiving additional assistance are invited to visit the [Department's Grants homepage](#) to look for federal funding. Currently, twelve fact sheets are available on the following non-MPDG subjects, including apportionments, the "new" Bridge Formula Program, and all of FHWA's "legacy" formula programs carried over from the FAST Act on the [FHWA website](#). For a full list of grant opportunities available through the BIL, please reference the [Building A Better America Guidebook](#).

MOBILITY AND TRANSIT UPDATES

1) REGIONAL VANPOOL PROGRAM: WINSLOW INDIAN HEALTHCARE CENTER

In September 2023, NACOG staff supported the Winslow Indian Healthcare Center (WIHCC) in requesting a Regional Service request to the MountainLine Board. The MountainLine Board approved the request allowing WIHCC to tap into the \$400/month subsidy program supported by FTA 5311 Funding. This program will support vanpool expansion in Navajo County and help WIHCC recruit and retain staff working in the new Dilkon Medical Facility. NACOG views vanpools as an essential component of the regional Passenger Transportation network. The Regional Service request from Winslow Indian Healthcare Center is great example of the type of regional collaboration needed to support Northern Arizona mobility. If any Regional Council Members are interested in learning more about the vanpool program, please contact Tod Morris (tmorris@nacog.org).

2) VOLPE CENTER: GREATER SEDONA VISITOR MANAGEMENT & TRANSPORTATION ASSESSMENT GROUP

The U.S. Forest Service, City of Sedona, and Arizona Department of Transportation have partnered with the U.S. Department of Transportation Volpe Center to assess visitor management challenges and identify future actions to improve public safety, provide more sustainable access, and improve experiences for visitors and residents in the greater Sedona area.

The U.S Forest Service and the City of Sedona have identified a need for a broad scale assessment of strategies to support safe and efficient visitor management within the greater Sedona/Oak Creek Canyon areas. With an estimated 3.5 million visitors a year, Sedona and the greater Verde Valley are suffering negative impacts of being gateway communities to surrounding federal land.

Through a partnership with the US DOT's Volpe Center, this September a Transportation Assessment Group (TAG) was established to engage partners/cooperators and interest groups to surface relevant issues and identify actions to address transportation, visitor safety and visitor management challenges. The TAG report will include recommendations and next steps as they relate to the area's the long-term goals.

The TAG report is expected to include but not be limited to the following strategies:

- Alternative transportation and expansion of the trailhead transit program
- Tools for visitor management (reservation systems, concentrated use areas, new development)
- Understanding how the Forest and the community can balance access/visitation with resource protection, public safety and community concerns

3) ADOT TRANSIT STAFFING

The departure of longtime ADOT Assistant Transit Manager, Sara Allred, took place in July 2023. In late September ADOT announced Aubree Perry has accepted the position of Assistant Transit Manager effective October 2nd. In this role, Aubree will be the primary contact for 5310 Coordinated Mobility Program (Elders and Persons with Disabilities), the Transit Planning Program and will assist in the oversight of the 5307 Small Urban funding allocation and 5339 Bus and Bus Facilities program.

4) COTTONWOOD AREA TRANSIT: SHORT RANGE TRANSIT PLAN

Cottonwood Area Transit will be initiating a short-range planning effort to be completed in June 2024. The rapid growth of the City of Cottonwood and surrounding communities presents an opportunity to evaluate how well the current transit system is serving Cottonwood residents and develop an implementable vision and plan that will guide service decisions over the next several years. This planning effort will provide practical guidance to Cottonwood Area Transit (CAT) that will facilitate transit service decisions and help the agency access Federal Transit Administration (FTA) funds to advance the transit projects that will have the greatest impact. Updating the CAT's Transit Plan is an opportunity to ensure that public transportation continues to serve the region in an effective way while also ensuring the sustainability of the system. Key areas of the planning process includes:

- Review of area and existing services
 - Determine the value and need of the CAT and Verde Shuttle transit system by evaluating population, socioeconomic and other relevant data.
 - Distribute surveys to transit and non-transit users at community events, bus stops, and on-board bus routes.
- Identify areas of potential growth
 - Evaluate system wide performance, route system performance, transfer point efficiency, and service days/hours and analyze costs to expand service to unserved-underserved communities.
- Identify viability of microtransit services
 - The 5-year plan will identify service adjustments and expansion opportunities to meet system needs including an evaluation of microtransit service models.

x	Information Only
	Motion to Approve



Memorandum:

Date: October 6, 2023

To: NACOG Regional Council

From: Mike Huff, NACOG GIS Transportation Analyst

Subject: NACOG TIP FY2024-2029

To NACOG Regional Council Members:

The purpose of this memo is to seek approval for NACOG's FY24-29 TIP Formal Amendment #1.

In August and September 2023, the NACOG planning team received two requests to amend the regional TIP with edits that affect the federal balance. These edits are as follows:

- Town of Springerville has requested that their 'Pima Drive, Hwy 60 to Navajo St., Springerville – Reconstruction' project be moved from FY2024 to FY2025
- Navajo County has programmed the 'Concho Highway Pavement Rehabilitation' project to fill their allocation given in FY2024

On October 4th, 2023, the Transportation Technical Subcommittee (TSC) approved this TIP Formal Amendment, supporting the above actions. This memo serves to notify and seek approval from NACOG Regional Council. A TIP Project List snapshot is attached, with affected projects highlighted.

Please direct questions or comments to Michael Huff (mhuff@nacog.org).

	Information Only
X	Motion to Approve

Recommended Motion: Approve the FY2024-2029 TIP Formal Amendment #1 as presented.



Northern Arizona Council of Governments

119 E. Aspen Avenue

Flagstaff, AZ 86001-5222

(928) 774-1895, FAX: (928) 773-1135

September 29, 2023

Mrs. Myrna Bondoc, Transportation Planning Program Manager
ADOT Multimodal Planning Division
206 South 17th Avenue, MD310B
Phoenix, AZ 85007

RE: NACOG FY 2024-2029 Formal Amendment 1

Dear Myrna,

NACOG respectfully requests the State Transportation Improvement Program be revised to reflect the following Amendment:

<i>Item Number</i>	<i>Project Sponsor</i>	<i>TIP ID</i>	<i>eSTIP ID</i>	<i>Federal Fund</i>	<i>Purpose</i>	<i>FY</i>
1	Town of Springerville	SPG 24-001C	NA	HURF	Change Year	2025
2	Navajo County	NNA 24-001C	NA	HURF	Change Project	2024

The requested changes/additions for these items involve the following:

Item 1: Move Town of Springerville project “Pima Drive, Hwy 60 to Navajo St. Springerville – Reconstruction” project from FY2024 to FY2025.

Item 2: Change project name of Navajo County TIP project for FY2024 to “Concho Highway Pavement Rehabilitation”, add \$244,700 in local match to the project.

This Formal TIP Amendment 1 is being vetted through a public input process and voted upon through the Transportation Technical Subcommittee (TSC) on October 4th, 2023, and the NACOG Regional Council Meeting in October 2023.

Note: All FY24-29 STIP Formal Amendment 1 items will NOT be modified in the ADOT eSTIP system by NACOG staff, per direction from ADOT Multimodal Planning Division. These changes are to be made by ADOT. This Amendment Letter serves as documentation of these changes.

Please contact me at 928-433-4633 or mhuff@nacog.org if you have questions, concerns, or clarifications regarding this request.

Sincerely,



Michael Huff
NACOG GIS Transportation Analyst
323 N San Francisco St. Ste. A
Flagstaff, AZ 86001

Attachments:

- TIP Amendment Request Letter, Navajo County
- TIP Amendment Request Letter, Town of Springerville
- NACOG FY24-29 TIP Project List [Project Highlighted]

CC: Jennifer Hobert, ADOT
Tim Rasmussen, Town of Springerville
Steve Christensen, Town of Springerville
Madhav Mundle, Navajo County
Jennifer O'Connor, NACOG Planning Director
Tod Morris, NACOG Senior Mobility Manager/Planner
Chris Fetzer, NACOG Executive Director



NAVAJO COUNTY
"Proudly Serving, Continuously Improving"

August 9, 2023

Michael Huff
GIS Transportation Analyst / Transportation Planner
Northern AZ Council of Governments (NACOG)
323 N. San Francisco Street, Ste. A
Flagstaff, AZ 86001
Re: NACOG TIP Amendment

Dear Michael,

Navajo County is requesting modification of NACOG's Transportation Improvement Program (TIP) to add the Concho Highway Pavement Rehabilitation project to utilize the HURF exchange funding available through NACOG's funding rotation in FY2024.

TIP ID #	NNA 24-001C			
PROJECT NAME	Concho Highway Pavement Rehabilitation			
AMENDMENT TYPE	Add Project			
FUND TYPE	HURF Exchange			
COST BREAKDOWN	Federal	Local Match	Local Additional	Total
<i>Construction</i>	\$755,300		\$244,700.00	\$1,000,000.00
TOTAL	\$755,300		\$244,700.00	\$1,000,000.00

If you have any questions or need additional information, please contact Will Flake, Navajo County Assistant County Engineer at (928) 524-4114 or william.flake@navajocountyaz.gov. Thank you for your consideration.

Sincerely,

Madhav Mundle, P.E.
Public Works Director
Navajo County
100 W Public Works Drive
Holbrook, AZ 86025

c. Will Flake



TOWN OF SPRINGERVILLE

“GATEWAY TO THE WHITE MOUNTAINS”

418 E. MAIN STREET, SPRINGERVILLE, AZ 85938 • PHONE (928)333-2656 • FAX (928)333-5598

August 21, 2023
Michael Huff
GIS Transportation Analyst
Northern AZ Council of Governments (NACOG)
323 N. San Francisco Street, Ste. A
Flagstaff, AZ 86001
Re: NACOG TIP Amendment

Dear Michael,

The Town of Springerville is requesting modification of NACOG's Transportation Improvement Program (TIP) to change the year of the 'Pima Drive, Hwy 60 to Navajo St., Springerville - Reconstruction' project from FY 2024 to FY 2025.

TIP ID #	SPG 24-001C			
PROJECT NAME	Pima Drive, Hwy 60 to Navajo St., Springerville - Reconstruction			
AMENDMENT TYPE	Project year change			
FUND TYPE	HURF			
COST BREAKDOWN	Federal	Local Match	Local Additional	Total
Construction (FY2025)	\$200,000	\$113,608		\$313,608
TOTAL	\$200,000	\$113,608		\$313,608

If you have any questions or need additional information, please contact: Tim Rasmussen at 928-333-2656 ext-226 or at trasmussen@springervilleaz.gov. Thank you for your consideration.

Sincerely,

Tim Rasmussen

c. Heidi Wink, Michael Huff

SUBREGION	SPONSOR	NACOG TIP ID	eSTIP ID	PROJECT NAME	TYPE/PHASE	LENGTH	LANES BEFORE	LANES AFTER	FUND SRC.	FUNC. CLASS	FY2024	FY2025	FY2026	FY2027	FY2028	FY2029	LOCAL MATCH	LOCAL ADDTL.	TOTAL PROJECT FUNDS
Surface Transportation Block Grant Program (STBG)																			
Yavapai	W. Yavapai County	YYV 26-001		TBD		-	-	-	STBG	-			\$143,805				\$0		\$143,805
Yavapai	W. Yavapai County	YYV 27-001		TBD		-	-	-	STBG	-				\$143,805			\$0		\$143,805
Yavapai	W. Yavapai County	YYV 28-002		TBD		-	-	-	STBG	-					\$143,805		\$0		\$143,805
Yavapai	W. Yavapai County	YYV 29-001		TBD		-	-	-	STBG	-						\$143,805	\$0		\$143,805
Coconino	Coconino Co	CCN 26-001C		TBD		-	-	-	STBG	-			\$289,413				\$0		\$289,413
Coconino	Coconino Co	CCN 27-002C		TBD		-	-	-	STBG	-				\$289,413			\$0		\$289,413
NACOG	NACOG	NAC 24-004		Local Transp. Assistance Program	Training	-	-	-	STBG	-	\$15,000						\$0		\$15,000
NACOG	NACOG	NAC 25-005		Local Transp. Assistance Program	Training	-	-	-	STBG	-		\$15,000					\$0		\$15,000
NACOG	NACOG	NAC 26-006		Local Transp. Assistance Program	Training	-	-	-	STBG	-			\$15,000				\$0		\$15,000
NACOG	NACOG	NAC 27-007		Local Transp. Assistance Program	Training	-	-	-	STBG	-				\$15,000			\$0		\$15,000
NACOG	NACOG	NAC 28-008		Local Transp. Assistance Program	Training	-	-	-	STBG	-					\$15,000		\$0		\$15,000
Highway User Revenue Fund Exchange Program (HX)																			
Apache	Apache County*	AAP 25-001C	102803	MP7.5 - US180A - CR5020 Concho – Snowflake Highway Cold-In-Place-Recycle	Construction	8.00	2	2	HX	Major Collector		\$896,665					\$0		\$896,665
Apache	Town of Springerville*	SPG 24-001D		Pima Drive Reconstruction	Design	0.21	2	2	HX	Seeking reclassification							\$0		\$0
Yavapai	W. Yavapai County	YYV 22-001C	100468	Old Rte 66/Crookton Rd Resurfacing	Construction	7.00	2	2	HX	Major Collector		\$647,123					\$5,200,000		\$5,847,123
Apache	Town of Springerville*	SPG 24-001C		Pima Drive, Hwy 60 to Navajo St., Springerville - Reconstruction	Construction	0.21	2	2	HX	Minor Collector (Anticipated FFC Change)		\$200,000					\$113,608		\$313,608
Apache	Town of Springerville*	SPG 26-002 C		Tumbling T Drive, Slash 4th st to Hwy 60, Springerville - Reconstruction	Construction	0.49	2	2	HX	Minor Arterial (Anticipated FFC Change)			\$539,165				\$89,187		\$628,352
Navajo	City of Show Low	SLW 25-003C	102805	North 11th Street, E. Cooley to E. Huning, Show Low - Roadway Improvements	Construction	0.20	2	2	HX	Minor Collector		\$555,300					\$2,700		\$558,000
Navajo	City of Holbrook	HOL 26-002D		TBD	Design	-	-	-	HX				\$157,500						\$157,500
Navajo	City of Holbrook	HOL 28-002C		TBD	Construction	-	-	-	HX						\$397,800				\$397,800
Navajo	Navajo County	NNA 24-001C		Concho Highway Pavement Rehabilitation	Construction						\$755,300						\$244,700		\$1,000,000
Yavapai	Town of Clarkdale*	CLD 22-001C	102680	Main St & Broadway Overlay	Construction	0.75	2	2	HX	Major Collector	\$664,780						\$225,000		\$889,780
Yavapai	Town of Camp Verde	CVD 25-002D	102806	Main Street & Montezuma Castle Highway Intersection, Camp Verde - Realignment	Design				HX	Major Collector		\$150,000							\$150,000
Yavapai	Town of Camp Verde	CVD 26-002C	102806	Main Street & Montezuma Castle Highway Intersection, Camp Verde - Realignment	Construction	0.15	2	2	HX	Major Collector			\$514,780				\$939,720		\$1,454,500
Coconino	Coconino Co	CCN 27-001C	NA	Spring Valley Road Reclamation and Shoulder Widening - Phase 2	Construction	-	-	-	HX					\$260,472.00					\$260,472
Navajo	Town of Snowflake	SNW 27-001C	NA	Centennial Blvd & 7th St South Roundabout	Construction	-	-	-	HX					\$555,300.00					\$555,300
Navajo	City of St. Johns	STJ 27-001C	NA	15th North St Resurfacing	Construction	-	-	-	HX					\$369,582.00					\$369,582
Coconino	City of Page	PGE 28-001D	NA	Haul Road Improvements - Design	Design	-	-	-	HX						\$80,000				\$80,000
Coconino	City of Page	PGE 29-001C	NA	Haul Road Improvements - Construction	Construction	-	-	-	HX							\$440,944			\$440,944
Apache	Apache County	AAP 28-001D	NA	CR 5020 MP 0 -7.5 Concho-Snowflake Hwy Friction Course - DESIGN	Design	-	-	-	HX						\$75,000				\$75,000
Apache	Apache County	AAP 29-001C	NA	CR 5020 MP 0 -7.5 Concho-Snowflake Hwy Friction Course - CONSTRUCTION	Construction	-	-	-	HX							\$650,000			\$650,000
Navajo	Pinetop-Lakeside	PTL 28-001C	NA	SR260 & Woodland Lake Road Intersection Improvements	Construction	-	-	-	HX						\$555,300				\$555,300
Yavapai	Yavapai County	YYV 28-001C	NA	Cornville Road Improvement Project	Construction	-	-	-	HX						\$664,780				\$664,780
Yavapai	City of Sedona	SDA 29-001C	NA	Thunder Mountain Road Shared Use Path - Phase 1	Construction	-	-	-	HX							\$332,390			\$332,390
Yavapai	City of Winslow	WIN 29-001C	NA	Hayden Walton Repaving	Construction	-	-	-	HX							\$555,300			\$555,300



Northern Arizona Council of Governments
 119 E. Aspen Avenue
 Flagstaff, AZ 86001-5222
 (928) 774-1895, FAX: (928) 773-1135

Memorandum:

Date: October 6, 2023

To: NACOG Regional Council

From: Jennifer O'Connor, Planning Director and Mike Huff, GIS Transportation Analyst

Subject: **NACOG's Amended 2023 Regional Priority Projects List (RPPL)**

Request for Motion to Approve Amended NACOG's 2023 Regional Priority Projects List (RPPL)

Staff seeks Regional Council approval of NACOG's Amended 2023 Regional Priority Projects List (RPPL), which consists of 18 roadway infrastructure projects across the four-county region. Regional Council approved NACOG's original 19-project RPPL on August 22nd, 2023, but additional changes have been made due to inability to complete commitment letters. Through mutual agreement between the NACOG planning team and hesitant project sponsor, it was determined to remove from the list the two projects where jurisdiction commitment could not be achieved.

On October 4th, the NACOG Transportation Technical Subcommittee (TSC) approved the revised 2023 Regional Priority Projects List (RPPL) for submission to the Rural Transportation Advocacy Council. The 2023 RPPL is based on projects identified through the 2022 RPPL development process, which included an open call for projects to all jurisdictions in the NACOG region and a clear set of criteria for selecting and prioritizing projects. As the other COGs and MPOs from Greater Arizona complete their project lists, RTAC will combine them into a single Priority Project List for consideration in the 2024 Arizona State Legislative session.

At this October 26th Regional Council Meeting, NACOG is seeking approval for edits to the RPPL as follows:

- Removal of ADOT NE District Office projects "US-160 Erosion Control, Oxbow (MP 420)" and "US-191 Drainage & Flood Safety Improvement (MP 389.3)" totaling \$6,569,936 in funding requests
- Reallocation of the RPPL space freed up by removal of those two projects to the following items:

- Adding \$502,680 to the City of Show Low’s funding request to accommodate costs for turn lanes associated with the “East Woolford Road Extension” project
- Adding \$1,751,379 to the City of Williams’ funding request to accommodate the installation of a roundabout at the intersection of Rodeo Road and Route 66 Road, improvements associated with the “Rodeo and Route 66 Road Pavement Replacement and Trail Connector” project
- Adding the Apache County “Ganado School Bus Loop” project to the RPPL, requesting the amount of \$2,155,410 (this project was funded in FY2023 for \$1,000,000, but at this time the costs for completion have increased dramatically. This funding will ensure the project will be completed and that the funding originally awarded to the project will not have to be returned to the state)
- Adding \$1,500,000 to NACOG’s funding request for the “Rural School Bus Routes Study” to ensure NACOG is able to include robust data collection efforts that will benefit the northern Arizona region, comprehensively analyze the conditions and impacts that have inspired the study, and begin the process of implementing the highest-priority projects identified in the study
- Added \$660,468 to the Hopi Tribe’s funding request for the “Kachina Point Road Reconstruction (HIR 2, Kykotsmovi to Leupp) – Construction Phase 1” project, as this is the only project to be placed on the RPPL with a funding request lower than was originally requested by the sponsor

The (updated as of October 6th) 2023 Regional Priority Projects List is attached to this memo and can also be found on the NACOG website here: <https://nacog.org/regional-priority-projects-list/>. The updated fact sheet for each project is also available on the website. Please reach out to Mike Huff (mhuff@nacog.org), NACOG’s GIS Transportation Analyst, with any questions or concerns about this agenda item.

Proposed Motion

Motion to Approve NACOG’s Amended 2023 Regional Priority Projects List to submit for inclusion in a bill for consideration during the 2024 state legislative session.

	Information Only
X	Motion to Approve

Regional Priority Projects List					
ID	Sub-Region	Jurisdiction	Project Name	Funding Request	Local Match
1	Navajo	City of Show Low	East Woolford Road Extension	\$4,474,555	\$6,300,000
2	Coconino	City of Williams	Rodeo and Route 66 Road Pavement Replacement, Roundabout, and Trail Connector	\$6,286,896	\$780,864
3	Apache	Apache County	Rural School Bus Route Enhancement and Soil Stabilization Pilot Project (45 miles, County-wide)	\$461,250	\$60,000
4	Yavapai	City of Sedona	SR-89a/Forest-Ranger Roundabout (SR-179 & SR-89a Congestion Relief)	\$6,426,750	\$1,567,500
5	Apache	Apache County	Concho-Snowflake Highway Cold In-place Recycling (CIR) (MP 7.5 - US180A)	\$3,562,350	\$100,000
6	Navajo	Hopi Tribe	Project HIR 500(1) - Hotevilla-Bacavi Road Reconstruction	\$2,329,385	\$1,627,429
7	Apache	Town of Eagar	Electric Vehicle Charging Station (US-60 & US-191 Alternative Fuel Vehicle Access)	\$420,250	\$100,000
8	Apache	Navajo Nation, Cornfields Chapter	Pueblo Colorado Wash Crossing Box Culvert Installation (Safety & Access Improvement)	\$1,469,850	\$266,000
9	Coconino	Hopi Tribe	Kachina Point Road Reconstruction (HIR 2, Kykotsmovi to Leupp) - Construction Phase 1	\$10,744,960	\$12,301,717
10	Yavapai	Yavapai County	Old Route 66 Pavement Preservation and Modernization, Ash Fork to Peach Springs, Phase 1	\$5,041,635	\$4,379,911
11	Navajo	Towns of Snowflake and Taylor	Centennial Blvd Improvement (SR-77 Alternative & Emergency Access)	\$194,750	\$28,500
12	Yavapai	City of Cottonwood	Main Street Pavement Preservation & Roundabout	\$4,510,000	\$9,000,000
13	Apache	Town of Springerville	Tori Circle & Chiricahua Drive School Bus Route Total Reconstruction	\$1,044,291	\$50,000
14	Navajo	City of Winslow, Navajo County	Lindbergh Parkway SR87 Bypass, I-40 Connection - Phase 1	\$13,080,005	\$2,251,937
15	Coconino	Town of Tusayan	Highway 64 Restripe (Grand Canyon Natl. Park Congestion Relief)	\$307,500	\$25,000
16	Coconino	City of Williams	Airport Rd. Pavement Replacement & Extension	\$10,777,275	\$1,855,485
17	Apache	Apache County	Ganado School Bus Loop Reconstruction	\$2,155,410	\$130,000
18	Northern Arizona Council of Governments		Rural School Bus Routes Study	\$2,000,000	\$0
TOTAL				\$75,287,111	\$40,824,343



Northern Arizona Council of Governments
 119 E. Aspen Avenue
 Flagstaff, AZ 86001-5222
 (928) 774-1895, FAX: (928) 773-1135

Memorandum:

Date: October 6, 2023

To: NACOG Regional Council

From: Jennifer O'Connor, Planning Director and Mike Huff, GIS Transportation Analyst

Subject: **NACOG Rural Road Safety Proclamation**

Request for Motion to Approve the NACOG Rural Road Safety Proclamation

During the August 2023 Regional Council meeting, NACOG's transportation safety consultants, Greenlight Traffic Engineering, presented an overview of the ongoing Regional Transportation Safety Plan (RTSP) and the important role that local elected officials can play in advocating for safer roads. Regional Council members actively engaged in a conversation about the safety concerns they have on their roadways and the impacts that severe and fatal crashes have on their communities. They also reviewed the draft Rural Road Safety Proclamation and asked the "Impaired Driving" be added to the list of 2023 Recommended Emphasis Areas.

The Rural Road Safety Proclamation highlights the following Emphasis Areas, which NACOG and the local jurisdictions commit to addressing.

- Unrestrained/Not Wearing a Seatbelt
- Lane and Road Departures
- Speeding/Aggressive Driving
- Older Drivers
- Wet/Snowy/Icy Roads
- Vehicle-Animal Collisions.

The Rural Road Safety Proclamation also commits the NACOG region to working toward reaching zero deaths on roadways (by a date 25 to 40 years in the future) and identifies actions that local jurisdictions can take to work toward meeting that goal. Some of the actions that the region agrees to take include taking steps to decrease the dangers of speeding on rural roads through increased enforcement efforts and reducing speed limits, and taking steps to reduce lane departures by adding rumble strips, paved shoulders and curve warning signs on dangerous roadways.

The NACOG Regional Council is being asked to offer its full support of the Rural Road Safety Proclamation as the first step to increase road user safety across the four-county region.

Please reach out to Jenn O’Connor (joconnor@nacog.org / 928-543-9144), NACOG Planning Director, with any questions or concerns about this agenda item.

Proposed Motion

Motion to Approve the NACOG Rural Road Safety Proclamation to demonstrate regional commitment to improving safety on our local roads, streets and highways.

	Information Only
x	Motion to Approve

NACOG Rural Road Safety Proclamation

October 26, 2023

WHEREAS, Northern Arizona Council of Governments (NACOG) is conducting a Regional Transportation Safety Plan to identify key roadway safety concerns and prioritize improvements to address those concerns; and,

WHEREAS, according to the Arizona Crash Information System, from 2017-2021, 680 people died in traffic crashes in the region; and,

WHEREAS, the NACOG 2023 Regional Transportation Safety Plan identifies the following Emphasis Areas based on the crash data:

- Not Wearing Seat Belt
- Lane/Road Departure
- Speeding
- Older Drivers
- Wet/Snowy/Icy Roads
- Vehicle-Animal Collisions
- Impaired Drivers

WHEREAS, the Federal Highway Administration (FHWA) encourages local, regional and state agencies to join them in working Toward Zero Deaths.

WHEREAS, to achieve a zero deaths vision, everyone must accept that fatalities and serious injuries are unacceptable and preventable, and that to achieve zero death, jurisdictions should address all aspects of safety through the Safe System Approach.

WHEREAS, the Safe System Approach creates a holistic approach to supporting safety culture that places safety first and foremost in road system investment decisions through the following five layers of protection: safe road users, safe vehicles, safe speeds, safe roads and post-crash care.

WHEREAS, in conclusion, we reiterate that the safety of our citizens is our top priority. By working together and taking a proactive zero deaths approach to roadway safety planning, we can prevent needless fatalities and injuries and create a safer and more secure future for all Americans.

Proclamation Statement

NOW, THEREFORE, BE IT RESOLVED that the NACOG Regional Council hereby commits to working to reach zero roadway deaths by 2048 by taking the following actions:

- We encourage jurisdictional and local governments to take steps to decrease the dangers of speeding on rural roads. This may include increasing awareness through

public service announcements, reducing speeds on high-risk roads, increasing enforcement efforts, and installing warning signs in high-risk areas; and,

- We encourage jurisdictional and local governments to take steps to address older driver safety. This may include improved lighting, larger signs, and improved roadway delineation.
- We encourage jurisdictional and local governments to take steps to address lane and road departure crashes. These may include rumble strips, paved shoulders, and curve warning signs.
- We encourage jurisdictional and local governments to take steps to address animal crashes. These may include improved roadside vegetation control and fencing.
- We also call on all road users to do their part in promoting safe driving habits. This includes being mindful of their own driving speeds (especially during inclement weather), reminding others to avoid speeding, always wearing a seat belt, not driving/walking/biking while impaired, and supporting initiatives aimed at reducing speeding-related crashes; and,
- We recognize the critical role technology can play in reducing speeding-related crashes. We urge jurisdictional and local transportation agencies to explore rural intelligent transportation system tools that address speed, such as speed warning systems; and,
- We encourage NACOG, along with its jurisdictional and local governments, to seek support and funding for educational campaigns, infrastructure improvements and law enforcement efforts to increase seat belt usage, reduce lane departures, reduce speeding, reduce impaired use of the road and other actions to reduce serious injuries and fatalities on our roadways;
- The Regional Council encourages all its member jurisdictions to take advantage of the resources from Northern Arizona Council of Governments, the National Center for Rural Road Safety, the National Highway Traffic Safety Administration and the Governor's Office of Highway Safety to commit to slowing down and practicing safe driving habits.

Passed and adopted by the Regional Council of Northern Arizona Council of Governments this 26th day of October, 2023.

Attest:

Alton Joe Shepherd, Chairman

Chris Fetzner, Executive Director



Memorandum:

Date: October 9, 2023

To: Regional Council Members

From: Brandon Baxter

Subject: NACOG Aging

NACOG Aging Area FY24 Budget & Carry-over

I will present the accepted FY24 Budget Alerts & Carry-over Report at the meeting and have attached the Power Point for your review. This Report includes the additional funding included on the 8/10 Housing Alert and the 8/15 HCBS Alert as well as all of automatic funding that will be carried over into FY24, including the additional Title IIIIE Family Caregiver Program funds that have been requested in addition to the automatic 10% carry over.

NACOG Aging Staffing

We have extended an offer to fill the two Prescott Care Coordinator positions that have been open and unfilled for 2 years. One (Tammy Waithman) starts 10/16/23 and the other (Melissa Koel) onboards starting 1/2/2024. Programs Manager interviews have begun. Decision should be made by months end.

☒	Information Only
☐	Motion to Approve



Northern Arizona Council of Governments

119 E. Aspen Avenue

Flagstaff, AZ 86001-5222

(928) 774-1895, FAX: (928) 773-1135

Memorandum:

Date: October 11, 2023

To: NACOG Regional Council

From: Brandon Baxter - Aging Director

Subject: **NACOG Aging FY23 Carry-over Request**

Request for Motion to Approve the NACOG Aging FY23 Carry-over request

The State of Arizona, through DES-DAAS, sent our FY23 close-out report to us on 9/13/2023. We processed and submitted our Carry-over request on 9/22/2023. The State approved our Carry-over request on 10/5/2023. The total Carry-over request amount is for \$197,154.06 Of that \$162,779.58 is under the 10% threshold and will automatically carry-over. We are requesting an additional \$34,374.48 in Title IIIE Family Caregiver funds to carry-over as well. The carry over funds are proposed to used to support the following programs:

- \$92,710.81 in IIIB - Utilize to address existing client needs and waitlists
- \$376.13 in IIIC - Utilize to provide an additional 37-46 meals to clients
- \$4,0003.13 in IIID - Utilize to increase evidence based program delivery
- \$24,879.81 in VII OMB - increase volunteer and staff training and travel budget
- \$75,184.18 in IIIE - utilize to increase staffing and operation of FCS program
- Totals = \$197,154.06

The NACOG Aging TEAM has been working hard to reduce our carry-over from year to year. Having more consistent service levels and not having to respond to sudden spikes in enrollments makes operations more smooth by reducing client and provider disruptions. Through our monthly Service Unit tracker meetings and predictive forecasting, we have been able to successfully cut our FY23 Carry-over in half when compared to the FY22 data. In FY22 NACOG Aging had a carry-over of 14% or \$384,203. In FY23 NACOG aging reduced that carry-over amount in half to 7% or \$197,154.

The NACOG Regional Council is being asked to offer its full support of NACOG- Aging's FY23 Carry-over plan to increase client access to services across the four-county region.

Please reach out to Brandon Baxter (bbaxter@nacog.org / 928-707-3218), NACOG Aging Director, with any questions or concerns about this agenda item.

Proposed Motion

Motion to Approve the NACOG Aging FY23 Carry-over plan to add an additional \$197,154.06 into the Aging Budget to address the needs of clients as articulated above.

	Information Only
x	Motion to Approve

2023 CARRY-OVER REQUEST FORM

Division of Aging and Adult Services
Arizona Department of Economic Security

Upon receipt of the close-out report, Area Agencies on Aging will have **30 calendar days** to review the report and submit carry-over requests. Policy and Procedure Chapter 1700, section 1703.7 states that Area Agencies on Aging requesting **carryover above 10%** must submit to the Division of Aging and Adult Services Community Action and Aging Program Administrator a written justification detailing the amount requested by funding source and the projected use of the funds. **If approved, the AAA agrees to provide updates on the progress of these plans in their one-on-ones with DAAS.**

Area Agency on Aging	NACOG Aging
Name of Person Preparing Request	Colleen Easton
Phone and E-mail Address of Preparer	Colleen.Easton@NACOG.org 928-239-7433

Funding Source	10% Automatic Carryover	Amount Exceeding 10% Requested	Total Carryover if Approved	Projected Use of the Funds	Service Code(s)
III-B	\$92,710.81	0	\$92,710.81	Utilize funds to provide additional ATT, HSK, CMG and other as needed IIIB services to address growing client needs and the existing waitlist	
III-C1	\$17.68	0	\$17.68	Add to Congregate Meals budget to provide 1-2 additional meals	
III-C2	\$358.45	0	\$358.45	Add to HDM budget to provide between 36-44 additional meals	
III-D	\$4,003.13	0	\$4,003.13	Add to H&W program budget to increase EB program delivery in the 4-county service area	
VII-EA	0	0	0		
VII-OMB	\$24,879.81	0	\$24,879.81	Add to OMB program budget to increase training opportunities for Staff/Volunteers, for additional travel over our 48,000 square mile service area, and for additional OTR and CE activities.	
Non-III-E Subtotal	\$121,969.88	0	\$121,969.88	See above	
III-E	\$40,809.70	\$34,374.48	\$75,184.18	Add to FCS program budget to support new staffing (x2), employee certifications and trainings (x2), facilitation of peer counseling groups, delivery of workshops and increases in ADC-FCS and RSP-FCS to address waitlist and additional needs	

2023 CARRY-OVER REQUEST FORM

Division of Aging and Adult Services
Arizona Department of Economic Security

Total	\$162,779.58	\$34,374.48	\$197,154.06	See above	
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Signature and Title of Authorized Official

Date



DEPARTMENT OF ECONOMIC SECURITY
Your Partner For A Stronger Arizona

Katie Hobbs
Governor

Vacant
Director

October 5, 2023

Mr. Brandon Baxter
Northern Arizona Council of Governments
43 South San Francisco Street
Flagstaff, Arizona 86001

Dear Mr. Baxter:

The Division of Aging and Adult Services (DAAS) has approved your carryover request received September 22, 2023. DAAS is approving the following State Fiscal Year (SFY) carryover amounts in excess of ten percent at close-out:

\$0.00	(Non-Caregiver program funds – Title III/VII)
\$34,374.48	(Family Caregiver Support program funds – Title III-E)

Approval is based on the Close-Out Report dated September 15, 2023. The total carryover for Older Americans Act Title III/VII for SFY 2023 is \$197,154.06.

Please ensure that the appropriate Division of Aging and Adult Reporting System service codes are used when billing for the services. We look forward to updates on the progress made with Carryover funds as a regular part of the agenda in our ongoing one-on-one meetings.

This letter will serve as official notice for Budget Fiscal Year 2023 Title III/VII Carryover funds until official documents are processed. You may work with your assigned contract specialist to incorporate these funds into the SFY 2024 contract at your convenience.

Sincerely,

Molly McCarthy
Assistant Director

cc: Rana Simms
Rebecca Clayton
Jonathan Lucke
Karina Renderos
Nathanael Hudson


Northern Arizona Council of Governments

119 E. Aspen Avenue

Flagstaff, AZ 86001-5222

(928) 774-1895, FAX: (928) 773-1135

Memorandum:

Date: October 11, 2023

To: NACOG Regional Council

From: Brandon Baxter - Aging Director

 Subject: **NACOG Budget Alert FY24 3C (Housing)**

Request for Motion to Approve the NACOG Budget Alerts FY24 3C (Housing)

The State of Arizona, through DES-DAAS, has released two new budget Alerts. Alert FY24 3C dated 8/10/2023 and Alert FY24 3D dated 8/15/2023. Alert FY24 3C is the Senior Housing Program that will allocate \$447,131 in non-expiring funds to NACOG to develop a program that may deliver the following services to help combat senior Homelessness:

- Rental and Mortgage Assistance
- Property Tax and HOA Assistance
- Move in and out costs
- Utility Assistance
- Home Repair/Modification
- \$4000 annual benefit cap per household

NACOG Aging has decided to partner with NACOG - CSA to administer the Senior Homeless Prevention Program. Due to NACOG - CSA's familiarity with similar programs that they already administer, a partnership with Community Services appears to be the most efficient way to successfully operate and disperse these funds within our service area. NACOG Aging proposes the following breakdown of the program funds:

- \$44,713 to NACOG - Aging for Administration
- \$89,426 to NACOG - CSA for Program Operation
- \$312,992 for Services

The NACOG Regional Council is being asked to offer its full support of the proposed partnership between NACOG - Aging and NACOG - CSA to take the first steps in combating senior homelessness within our four-county region.

Please reach out to Brandon Baxter (bbaxter@nacog.org/ 928-707-3218), NACOG Aging Director, with any questions or concerns about this agenda item.

Proposed Motion

Motion to Approve the NACOG Aging and NACOG - CSA Partnership, as articulated above, to develop and deliver a Senior Homeless Prevention Program with the funding provided in Alert FY24 3C dated 8/10/2023.

	Information Only
x	Motion to Approve



DEPARTMENT OF ECONOMIC SECURITY
Your Partner For A Stronger Arizona

Katie Hobbs
Governor

Angie Rodgers
Director

August 10, 2023

To: Area Agencies on Aging

From: Rebecca Clayton 
DES Deputy Assistant Director
Division of Aging and Adult Services

Subject: State Fiscal Year (SFY) 2024 Allocations - AAA \$5M Housing Plan

The following ALERTS are attached:

ALERTS

FUND SOURCE/TYPE

ALERT SFY-24-3C Initial Allocations for AAA \$5M Housing Funds (non-lapsing)

The ALERTS are subject to change as additional information is received by the Division pertaining to the funding sources identified.

ALERTS are available on the Division website using the link:

<https://www.azdes.gov/daas/alerts>

A SFY 2024 contract operating budget is due to the Division of Aging and Adult Services, Finance and Business Operations Administration by close of business September 10, 2023.

The Area Agencies on Aging may begin spending against these additional lines of funding immediately. However, DAAS cannot reimburse those expenses until an executed contract amendment is on file.

Should you have any questions regarding the attached ALERTS, please contact your assigned Contract Specialist.

cc: Molly McCarthy, Jonathan Lucke, Rana Simms, Karina Renderos, Nathanael Hudson, Matt LeCrone, Catherine Chavez, Lindsey Bankhead, Lisa Pollock, Lita Nelson, DAAS FSA Team, DAAS file

**State General Fund
for SFY-2024**

In FY24 a new line item under the Department of Economic Services (DES) Division of Adult and Aging Services (DAAS) provided \$5 million of non-lapsing general fund to pass through to the Area Agencies on Aging (AAAs) for housing assistance for individuals aged 60 years of age and older. No further specifications were given, including the definition of housing assistance:

The amount appropriated for the area agencies on aging housing assistance line item shall be distributed to area agencies on aging established pursuant to the older Americans act of 1965 (P.L. 89-73; 79 Stat. 218; 42 United States FY 2024 Appropriations Report 134 Department of Economic Security Code sections 3001 through 3058ff) for housing assistance for persons who are at least sixty years of age. (General Appropriations Act footnote)

The approved criteria are below.

- **Services allowed:** Rental assistance, mortgage assistance, property tax, and HOA assistance, utility assistance, home repair/modification (home must be owned), including HVAC repair, move in and out costs, and hotel stays while home repair/modification is being completed. Late fees associated with these can also be covered.
- **Benefit Cap:** \$4,000 per household program maximum. If a client's needs exceed \$4,000, the AAA can pay for the balance or can work with other providers to pay for services.
- **Client Documentation/other requirements:** Self report income, household size, self attestation that the client has first tried to apply for Low Income Home Energy Assistance Program (LIHEAP), Emergency Rental Assistance Program (ERAP), Homeowner Assistance Fund (HAF), or other funding and been denied or exhausted.
- **AAA Requirements:** Submit a monthly report to DAAS of clients serviced which includes name, address, age of applicant, household income (via self reporting) count of individuals in household, whether the client was a referral from APS, type of assistance provided and dollar amount provided, and whether it was a one-time or repeat payment.
- **Admin Cap:** No more than 10% of the AAA's allocation can be used for administrative costs.
- **One year after allocation,** AAAs will be required to submit an updated spending plan for any unspent funding. Any unspent funding that is not approved is subject to reallocation.
- **APS Referrals:** An Adult Protective Services (APS) client aged 60 years or older who needs housing assistance in the AAA's area will be triaged by the APS care coordination team for ERAP, HAF, LIHEAP or other available programs. After exhausting other options, APS will refer these clients to the AAA and they must be prioritized. DAAS will review data monthly to evaluate the number of clients being referred from APS.

Division of Aging and Adult Services
ALERT

SFY-24-3C

**State General Fund
for SFY-2024**

AAA	Approved Allocation	Estimated Number of Clients Served
AAA Region One	\$2,199,916	500
Pima Council on Aging	\$772,857	300
Northern Arizona Council of Governments	\$447,131	131
Western Arizona Council of Governments	\$424,348	98
Pinal-Gila Council for Senior Citizens	\$400,000	176
Southeastern Arizona Governments Association	\$240,000	100
Navajo Nation	\$284,363	380
Intertribal Council of Arizona	\$231,385	40
Total	\$5,000,000	1,725

1. Navajo Nation and ITCA will only provide home repair and improvement due to the nature of living on tribal land

Reporting Requirements

The following parameters will be used in the Division of Aging and Adult Services Reporting System (DAARS) for budgeting and reporting purposes associated with this funding:

SOW Service Code	Program Code	Service Detail Code
ADM -Administrative Services	HCB -Home and Community Based Services	AHA -Aging Housing Assistance
HSS -Housing Support Services	HCB -Home and Community Based Services	AHA -Aging Housing Assistance
RPR -Repair and Renovation	HCB -Home and Community Based Services	AHA -Aging Housing Assistance

Division of Aging and Adult Services
ALERT

SFY-24-3C

**State General Fund
for SFY-2024**

A semi-annual and annual report will be required throughout the lifetime of this funding. A template will be provided by DAAS. AAAs will submit a semi-annual data report by Jan 31st, 2024 and an annual data report by July 31st, 2024.

The report should include:

- Total number of new clients reached
- How those in greatest need/risk were prioritized
- How services were enhanced or expanded
- Any and all outcomes, successes and challenges with implementation.

Reports should be submitted to **daasadsprograms@azdes.gov**.

Should you have any questions regarding the allocations, please contact your assigned Contract Specialist.

DIVISION OF AGING & ADULT SERVICES								
REGION 1	CONTRACT OBLIGATION FOR SFY 2024							
	7/17/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement %
1. OAA ADMIN. III C-1	\$1,650,894.00		\$1,650,894.00	\$0.00	\$1,650,894.00	\$1,238,170.00	\$412,724.00	25.00%
2. OAA ADMIN. III-E	\$278,539.00		\$278,539.00	\$0.00	\$278,539.00	\$208,904.00	\$69,635.00	25.00%
3. SSBG ADMIN.	\$287,872.00		\$287,872.00	\$0.00	\$287,872.00	\$287,872.00	\$0.00	
4. TITLE III-B	\$4,118,596.00		\$4,118,596.00	\$0.00	\$4,118,596.00	\$3,889,783.00	\$228,813.00	5.56%
5. TITLE III-C1	\$3,505,716.00		\$3,505,716.00	\$0.00	\$3,505,716.00	\$3,310,952.00	\$194,764.00	5.56%
6. TITLE III-C2	\$4,316,499.00		\$4,316,499.00	\$0.00	\$4,316,499.00	\$4,076,692.00	\$239,807.00	5.56%
7. TITLE III-D	\$266,333.00		\$266,333.00	\$0.00	\$266,333.00	\$266,333.00	\$0.00	
8. TITLE III-E CAREGIVER	\$1,746,346.00		\$1,746,346.00	\$0.00	\$1,746,346.00	\$1,746,346.00	\$0.00	
9. NSIP	\$588,167.00		\$588,167.00	\$0.00	\$588,167.00	\$588,167.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$21,911.00		\$21,911.00	\$0.00	\$21,911.00	\$21,911.00	\$0.00	
11. TITLE VII FED. OMB	\$216,198.00		\$216,198.00	\$0.00	\$216,198.00	\$216,198.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$1,909,754.18		\$1,909,754.18	\$0.00	\$1,909,754.18	\$0.00	\$1,909,754.18	
13. STATE OMBUDSMAN	\$395,301.00		\$395,301.00	\$0.00	\$395,301.00	\$0.00	\$395,301.00	
14. STATE OMB. VISITATION	\$662,815.00		\$662,815.00	\$0.00	\$662,815.00	\$0.00	\$662,815.00	
15. STATE RESPITE	\$251,137.00		\$251,137.00	\$0.00	\$251,137.00	\$0.00	\$251,137.00	
16. STATE DIRECT CARE WORKER	\$887,923.00		\$887,923.00	\$0.00	\$887,923.00	\$0.00	\$887,923.00	
17. S.H.I.P.	\$332,101.00		\$332,101.00	\$0.00	\$332,101.00	\$332,101.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$297,803.00		\$297,803.00	\$0.00	\$297,803.00	\$297,803.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$1,318,919.00		\$1,318,919.00	\$0.00	\$1,318,919.00	\$1,318,919.00	\$0.00	
20. SSBG - SERVICES	\$3,767,760.00		\$3,767,760.00	\$0.00	\$3,767,760.00	\$3,767,760.00	\$0.00	
21. MIPPA SHIP	\$21,255.00		\$21,255.00	\$0.00	\$21,255.00	\$21,255.00	\$0.00	
22. MIPPA AAA	\$18,220.00		\$18,220.00	\$0.00	\$18,220.00	\$18,220.00	\$0.00	
23. MIPPA ADRC	\$8,141.00		\$8,141.00	\$0.00	\$8,141.00	\$8,141.00	\$0.00	
24. ARPA III-B	\$22,210.95		\$22,210.95	\$430,819.40	\$453,030.35	\$427,861.80	\$25,168.55	5.56%
25. ARPA III-C1	\$7,016.83		\$7,016.83	\$777,408.40	\$784,425.23	\$740,845.70	\$43,579.53	5.56%
26. ARPA III-C1 ADMIN	\$7,026.67		\$7,026.67	\$0.00	\$7,026.67	\$5,270.00	\$1,756.67	25.00%
27. ARPA III-C2	\$17,407.07		\$17,407.07	\$0.00	\$17,407.07	\$16,440.00	\$967.07	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$125,000.00	\$125,000.00	\$125,000.00	\$0.00	
29. ARPA III-E	\$5,203.00		\$5,203.00	\$0.00	\$5,203.00	\$5,203.00	\$0.00	
30. ARPA III-E ADMIN.	\$828.00		\$828.00	\$0.00	\$828.00	\$621.00	\$207.00	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$101,233.00	\$101,233.00	\$101,233.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$0.00	\$2,199,916.00	\$2,199,916.00	\$0.00	\$2,199,916.00	\$0.00	\$2,199,916.00	
TOTAL	\$26,927,892.70	\$2,199,916.00	\$29,127,808.70	\$1,434,460.80	\$30,562,269.50	\$23,038,001.50	\$7,524,268.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 2	CONTRACT OBLIGATION FOR SFY 2024							
	7/17/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement %
1. OAA ADMIN. III C-1	\$597,262.00		\$597,262.00	\$0.00	\$597,262.00	\$447,946.00	\$149,316.00	25.00%
2. OAA ADMIN. III-E	\$91,718.00		\$91,718.00	\$0.00	\$91,718.00	\$68,788.00	\$22,930.00	25.00%
3. SSBG ADMIN.	\$207,585.00		\$207,585.00	\$0.00	\$207,585.00	\$207,585.00	\$0.00	
4. TITLE III-B	\$1,433,264.00		\$1,433,264.00	\$0.00	\$1,433,264.00	\$1,353,638.00	\$79,626.00	5.56%
5. TITLE III-C1	\$1,223,905.00		\$1,223,905.00	\$0.00	\$1,223,905.00	\$1,155,910.00	\$67,995.00	5.56%
6. TITLE III-C2	\$1,488,242.00		\$1,488,242.00	\$0.00	\$1,488,242.00	\$1,405,561.00	\$82,681.00	5.56%
7. TITLE III-D	\$91,619.00		\$91,619.00	\$0.00	\$91,619.00	\$91,619.00	\$0.00	
8. TITLE III-E CAREGIVER	\$600,745.00		\$600,745.00	\$0.00	\$600,745.00	\$600,745.00	\$0.00	
9. NSIP	\$383,484.00		\$383,484.00	\$0.00	\$383,484.00	\$383,484.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$7,536.00		\$7,536.00	\$0.00	\$7,536.00	\$7,536.00	\$0.00	
11. TITLE VII FED. OMB	\$74,372.00		\$74,372.00	\$0.00	\$74,372.00	\$74,372.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$800,910.88		\$800,910.88	\$0.00	\$800,910.88	\$0.00	\$800,910.88	
13. STATE OMBUDSMAN	\$197,057.00		\$197,057.00	\$0.00	\$197,057.00	\$0.00	\$197,057.00	
14. STATE OMB. VISITATION	\$138,943.00		\$138,943.00	\$0.00	\$138,943.00	\$0.00	\$138,943.00	
15. STATE RESPITE	\$86,849.00		\$86,849.00	\$0.00	\$86,849.00	\$0.00	\$86,849.00	
16. STATE DIRECT CARE WORKER	\$309,143.00		\$309,143.00	\$0.00	\$309,143.00	\$0.00	\$309,143.00	
17. S.H.I.P.	\$106,525.00		\$106,525.00	\$0.00	\$106,525.00	\$106,525.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$91,632.00		\$91,632.00	\$0.00	\$91,632.00	\$91,632.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$979,730.00		\$979,730.00	\$0.00	\$979,730.00	\$979,730.00	\$0.00	
20. SSBG - SERVICES	\$1,868,269.00		\$1,868,269.00	\$0.00	\$1,868,269.00	\$1,868,269.00	\$0.00	
21. MIPPA SHIP	\$6,542.00		\$6,542.00	\$0.00	\$6,542.00	\$6,542.00	\$0.00	
22. MIPPA AAA	\$5,605.00		\$5,605.00	\$0.00	\$5,605.00	\$5,605.00	\$0.00	
23. MIPPA ADRC	\$2,506.00		\$2,506.00	\$0.00	\$2,506.00	\$2,506.00	\$0.00	
24. ARPA III-B	\$7,659.53		\$7,659.53	\$998,000.74	\$1,005,660.27	\$949,789.81	\$55,870.46	5.56%
25. ARPA III-C1	\$2,421.53		\$2,421.53	\$429,311.85	\$431,733.38	\$407,748.00	\$23,985.38	5.56%
26. ARPA III-C1 ADMIN	\$2,314.67		\$2,314.67	\$401,689.33	\$404,004.00	\$303,003.00	\$101,001.00	25.00%
27. ARPA III-C2	\$6,006.71		\$6,006.71	\$350,257.56	\$356,264.27	\$336,471.65	\$19,792.62	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$61,532.25	\$61,532.25	\$61,532.25	\$0.00	
29. ARPA III-E	\$1,797.00		\$1,797.00	\$182,052.00	\$183,849.00	\$183,849.00	\$0.00	
30. ARPA III-E ADMIN.	\$273.34		\$273.34	\$49,337.33	\$49,610.67	\$37,208.01	\$12,402.66	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$23,333.00	\$23,333.00	\$23,333.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$0.00	\$772,857.00	\$772,857.00	\$0.00	\$772,857.00	\$0.00	\$772,857.00	
TOTAL	\$10,813,916.66	\$772,857.00	\$11,586,773.66	\$2,495,514.06	\$14,082,287.72	\$11,160,927.72	\$2,921,360.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 3	CONTRACT OBLIGATION FOR SFY 2024							
	7/17/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement %
1. OAA ADMIN. III C-1	\$324,100.00		\$324,100.00	\$0.00	\$324,100.00	\$243,075.00	\$81,025.00	25.00%
2. OAA ADMIN. III-E	\$43,282.00		\$43,282.00	\$0.00	\$43,282.00	\$32,461.00	\$10,821.00	25.00%
3. SSBG ADMIN.	\$80,060.00		\$80,060.00	\$0.00	\$80,060.00	\$80,060.00	\$0.00	
4. TITLE III-B	\$838,902.00		\$838,902.00	\$0.00	\$838,902.00	\$792,296.00	\$46,606.00	5.56%
5. TITLE III-C1	\$719,390.00		\$719,390.00	\$0.00	\$719,390.00	\$679,424.00	\$39,966.00	5.56%
6. TITLE III-C2	\$860,351.00		\$860,351.00	\$0.00	\$860,351.00	\$812,553.00	\$47,798.00	5.56%
7. TITLE III-D	\$52,802.00		\$52,802.00	\$0.00	\$52,802.00	\$52,802.00	\$0.00	
8. TITLE III-E CAREGIVER	\$346,230.00		\$346,230.00	\$0.00	\$346,230.00	\$346,230.00	\$0.00	
9. NSIP	\$164,797.00		\$164,797.00	\$0.00	\$164,797.00	\$164,797.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$4,345.00		\$4,345.00	\$0.00	\$4,345.00	\$4,345.00	\$0.00	
11. TITLE VII FED. OMB	\$42,864.00		\$42,864.00	\$0.00	\$42,864.00	\$42,864.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$324,558.28		\$324,558.28	\$0.00	\$324,558.28	\$0.00	\$324,558.28	
13. STATE OMBUDSMAN	\$46,376.00		\$46,376.00	\$0.00	\$46,376.00	\$0.00	\$46,376.00	
14. STATE OMB. VISITATION	\$65,624.00		\$65,624.00	\$0.00	\$65,624.00	\$0.00	\$65,624.00	
15. STATE RESPITE	\$34,204.00		\$34,204.00	\$0.00	\$34,204.00	\$0.00	\$34,204.00	
16. STATE DIRECT CARE WORKER	\$178,855.00		\$178,855.00	\$0.00	\$178,855.00	\$0.00	\$178,855.00	
17. S.H.I.P.	\$68,928.00		\$68,928.00	\$0.00	\$68,928.00	\$68,928.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$57,270.00		\$57,270.00	\$0.00	\$57,270.00	\$57,270.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$475,676.00		\$475,676.00	\$0.00	\$475,676.00	\$475,676.00	\$0.00	
20. SSBG - SERVICES	\$720,538.00		\$720,538.00	\$0.00	\$720,538.00	\$720,538.00	\$0.00	
21. MIPPA SHIP	\$4,502.00		\$4,502.00	\$0.00	\$4,502.00	\$4,502.00	\$0.00	
22. MIPPA AAA	\$3,857.00		\$3,857.00	\$0.00	\$3,857.00	\$3,857.00	\$0.00	
23. MIPPA ADRC	\$1,724.00		\$1,724.00	\$0.00	\$1,724.00	\$1,724.00	\$0.00	
24. ARPA III-B	\$4,361.29		\$4,361.29	\$479,166.58	\$483,527.87	\$456,664.99	\$26,862.88	5.56%
25. ARPA III-C1	\$1,375.41		\$1,375.41	\$302,496.50	\$303,871.91	\$286,990.00	\$16,881.91	5.56%
26. ARPA III-C1 ADMIN	\$1,092.01		\$1,092.01	\$26,594.67	\$27,686.68	\$20,765.01	\$6,921.67	25.00%
27. ARPA III-C2	\$3,408.35		\$3,408.35	\$412,950.90	\$416,359.25	\$393,228.00	\$23,131.25	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$56,792.00	\$56,792.00	\$56,792.00	\$0.00	
29. ARPA III-E	\$1,020.00		\$1,020.00	\$104,815.00	\$105,835.00	\$105,835.00	\$0.00	
30. ARPA III-E ADMIN.	\$129.34		\$129.34	\$13,770.67	\$13,900.01	\$10,425.01	\$3,475.00	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$12,070.00	\$12,070.00	\$12,070.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$81,000.00	\$81,000.00	\$81,000.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$24,867.00	\$24,867.00	\$24,867.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$0.00	\$447,131.00	\$447,131.00	\$0.00	\$447,131.00	\$0.00	\$447,131.00	
TOTAL	\$5,470,621.68	\$447,131.00	\$5,917,752.68	\$1,514,523.32	\$7,432,276.00	\$6,032,039.00	\$1,400,237.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 4	CONTRACT OBLIGATION FOR SFY 2024							
	7/17/23	INCREASE	REVISED	FEDERAL	TOTAL SFY2024 ALERTS	TOTAL SFY2024 Federal	TOTAL SFY2024 State	Match Requirement %
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024 ALERTS	SFY2024 ALERTS	SFY2024 ALERTS	SFY2024 WITH 2023 MATCH				
1. OAA ADMIN. III C-1	\$355,935.00		\$355,935.00	\$0.00	\$355,935.00	\$266,951.00	\$88,984.00	25.00%
2. OAA ADMIN. III-E	\$48,927.00		\$48,927.00	\$0.00	\$48,927.00	\$36,695.00	\$12,232.00	25.00%
3. SSBG ADMIN.	\$84,383.00		\$84,383.00	\$0.00	\$84,383.00	\$84,383.00	\$0.00	
4. TITLE III-B	\$849,762.00		\$849,762.00	\$0.00	\$849,762.00	\$802,553.00	\$47,209.00	5.56%
5. TITLE III-C1	\$728,251.00		\$728,251.00	\$0.00	\$728,251.00	\$687,792.00	\$40,459.00	5.56%
6. TITLE III-C2	\$873,097.00		\$873,097.00	\$0.00	\$873,097.00	\$824,591.00	\$48,506.00	5.56%
7. TITLE III-D	\$53,610.00		\$53,610.00	\$0.00	\$53,610.00	\$53,610.00	\$0.00	
8. TITLE III-E CAREGIVER	\$351,520.00		\$351,520.00	\$0.00	\$351,520.00	\$351,520.00	\$0.00	
9. NSIP	\$208,617.00		\$208,617.00	\$0.00	\$208,617.00	\$208,617.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$4,411.00		\$4,411.00	\$0.00	\$4,411.00	\$4,411.00	\$0.00	
11. TITLE VII FED. OMB	\$43,517.00		\$43,517.00	\$0.00	\$43,517.00	\$43,517.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$248,958.28		\$248,958.28	\$0.00	\$248,958.28	\$0.00	\$248,958.28	
13. STATE OMBUDSMAN	\$43,095.00		\$43,095.00	\$0.00	\$43,095.00	\$0.00	\$43,095.00	
14. STATE OMB. VISITATION	\$68,905.00		\$68,905.00	\$0.00	\$68,905.00	\$0.00	\$68,905.00	
15. STATE RESPITE	\$34,743.00		\$34,743.00	\$0.00	\$34,743.00	\$0.00	\$34,743.00	
16. STATE DIRECT CARE WORKER	\$182,702.00		\$182,702.00	\$0.00	\$182,702.00	\$0.00	\$182,702.00	
17. S.H.I.P.	\$50,128.00		\$50,128.00	\$0.00	\$50,128.00	\$50,128.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$45,816.00		\$45,816.00	\$0.00	\$45,816.00	\$45,816.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$405,405.00		\$405,405.00	\$0.00	\$405,405.00	\$405,405.00	\$0.00	
20. SSBG - SERVICES	\$759,444.00		\$759,444.00	\$0.00	\$759,444.00	\$759,444.00	\$0.00	
21. MIPPA SHIP	\$3,276.00		\$3,276.00	\$0.00	\$3,276.00	\$3,276.00	\$0.00	
22. MIPPA AAA	\$2,802.00		\$2,802.00	\$0.00	\$2,802.00	\$2,802.00	\$0.00	
23. MIPPA ADRC	\$1,258.00		\$1,258.00	\$0.00	\$1,258.00	\$1,258.00	\$0.00	
24. ARPA III-B	\$4,456.59		\$4,456.59	\$439,141.73	\$443,598.32	\$418,953.77	\$24,644.55	5.56%
25. ARPA III-C1	\$1,407.18		\$1,407.18	\$182,078.87	\$183,486.05	\$173,292.30	\$10,193.75	5.56%
26. ARPA III-C1 ADMIN	\$1,233.34		\$1,233.34	\$169,216.00	\$170,449.34	\$127,837.02	\$42,612.33	25.00%
27. ARPA III-C2	\$3,490.94		\$3,490.94	\$615,279.87	\$618,770.81	\$584,394.38	\$34,376.43	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$89,659.00	\$89,659.00	\$89,659.00	\$0.00	
29. ARPA III-E	\$1,044.00		\$1,044.00	\$127,892.33	\$128,936.33	\$128,936.33	\$0.00	
30. ARPA III-E ADMIN.	\$146.67		\$146.67	\$26,188.00	\$26,334.67	\$19,751.00	\$6,583.67	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$20,377.00	\$20,377.00	\$20,377.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$45,000.00		\$45,000.00	\$0.00	\$45,000.00	\$45,000.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$0.00	\$424,348.00	\$424,348.00	\$0.00	\$424,348.00	\$0.00	\$424,348.00	
TOTAL	\$5,505,341.00	\$424,348.00	\$5,929,689.00	\$1,669,832.80	\$7,599,521.80	\$6,240,969.80	\$1,358,552.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 5	CONTRACT OBLIGATION FOR SFY 2024							
	7/17/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement %
1. OAA ADMIN. III C-1	\$284,130.00		\$284,130.00	\$0.00	\$284,130.00	\$213,097.00	\$71,033.00	25.00%
2. OAA ADMIN. III-E	\$36,195.00		\$36,195.00	\$0.00	\$36,195.00	\$27,146.00	\$9,049.00	25.00%
3. SSBG ADMIN.	\$60,079.00		\$60,079.00	\$0.00	\$60,079.00	\$60,079.00	\$0.00	
4. TITLE III-B	\$649,366.00		\$649,366.00	\$0.00	\$649,366.00	\$613,290.00	\$36,076.00	5.56%
5. TITLE III-C1	\$557,876.00		\$557,876.00	\$0.00	\$557,876.00	\$526,883.00	\$30,993.00	5.56%
6. TITLE III-C2	\$662,358.00		\$662,358.00	\$0.00	\$662,358.00	\$625,560.00	\$36,798.00	5.56%
7. TITLE III-D	\$40,596.00		\$40,596.00	\$0.00	\$40,596.00	\$40,596.00	\$0.00	
8. TITLE III-E CAREGIVER	\$266,191.00		\$266,191.00	\$0.00	\$266,191.00	\$266,191.00	\$0.00	
9. NSIP	\$119,910.00		\$119,910.00	\$0.00	\$119,910.00	\$119,910.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$3,340.00		\$3,340.00	\$0.00	\$3,340.00	\$3,340.00	\$0.00	
11. TITLE VII FED. OMB	\$32,955.00		\$32,955.00	\$0.00	\$32,955.00	\$32,955.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$280,467.23		\$280,467.23	\$0.00	\$280,467.23	\$0.00	\$280,467.23	
13. STATE OMBUDSMAN	\$37,080.00		\$37,080.00	\$0.00	\$37,080.00	\$0.00	\$37,080.00	
14. STATE OMB. VISITATION	\$18,920.00		\$18,920.00	\$0.00	\$18,920.00	\$0.00	\$18,920.00	
15. STATE RESPITE	\$22,695.00		\$22,695.00	\$0.00	\$22,695.00	\$0.00	\$22,695.00	
16. STATE DIRECT CARE WORKER	\$139,883.00		\$139,883.00	\$0.00	\$139,883.00	\$0.00	\$139,883.00	
17. S.H.I.P.	\$43,861.00		\$43,861.00	\$0.00	\$43,861.00	\$43,861.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$40,089.00		\$40,089.00	\$0.00	\$40,089.00	\$40,089.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$385,135.00		\$385,135.00	\$0.00	\$385,135.00	\$385,135.00	\$0.00	
20. SSBG - SERVICES	\$540,707.00		\$540,707.00	\$0.00	\$540,707.00	\$540,707.00	\$0.00	
21. MIPPA SHIP	\$2,864.00		\$2,864.00	\$0.00	\$2,864.00	\$2,864.00	\$0.00	
22. MIPPA AAA	\$2,461.00		\$2,461.00	\$0.00	\$2,461.00	\$2,461.00	\$0.00	
23. MIPPA ADRC	\$1,100.00		\$1,100.00	\$0.00	\$1,100.00	\$1,100.00	\$0.00	
24. ARPA III-B	\$3,427.41		\$3,427.41	\$72,926.50	\$76,353.91	\$72,112.00	\$4,241.91	5.56%
25. ARPA III-C1	\$1,085.30		\$1,085.30	\$76,029.92	\$77,115.22	\$72,831.01	\$4,284.21	5.56%
26. ARPA III-C1 ADMIN	\$913.34		\$913.34	\$97,453.33	\$98,366.67	\$73,775.01	\$24,591.66	25.00%
27. ARPA III-C2	\$2,693.65		\$2,693.65	\$148,553.01	\$151,246.66	\$142,844.00	\$8,402.66	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$22,750.00	\$22,750.00	\$22,750.00	\$0.00	
29. ARPA III-E	\$804.00		\$804.00	\$106,812.00	\$107,616.00	\$107,616.00	\$0.00	
30. ARPA III-E ADMIN.	\$108.00		\$108.00	\$14,405.33	\$14,513.33	\$10,885.00	\$3,628.33	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$7,715.00	\$7,715.00	\$7,715.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$20,000.00	\$20,000.00	\$20,000.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$0.00	\$400,000.00	\$400,000.00	\$0.00	\$400,000.00	\$0.00	\$400,000.00	
TOTAL	\$4,237,289.93	\$400,000.00	\$4,637,289.93	\$566,645.09	\$5,203,935.02	\$4,075,792.02	\$1,128,143.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 6	CONTRACT OBLIGATION FOR SFY 2024							
	7/17/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement %
1. OAA ADMIN. III C-1	\$205,946.00		\$205,946.00	\$0.00	\$205,946.00	\$154,459.00	\$51,487.00	25.00%
2. OAA ADMIN. III-E	\$22,332.00		\$22,332.00	\$0.00	\$22,332.00	\$16,749.00	\$5,583.00	25.00%
3. SSBG ADMIN.	\$67,684.00		\$67,684.00	\$0.00	\$67,684.00	\$67,684.00	\$0.00	
4. TITLE III-B	\$441,142.00		\$441,142.00	\$0.00	\$441,142.00	\$416,634.00	\$24,508.00	5.56%
5. TITLE III-C1	\$380,895.00		\$380,895.00	\$0.00	\$380,895.00	\$359,734.00	\$21,161.00	5.56%
6. TITLE III-C2	\$443,217.00		\$443,217.00	\$0.00	\$443,217.00	\$418,594.00	\$24,623.00	5.56%
7. TITLE III-D	\$27,061.00		\$27,061.00	\$0.00	\$27,061.00	\$27,061.00	\$0.00	
8. TITLE III-E CAREGIVER	\$177,443.00		\$177,443.00	\$0.00	\$177,443.00	\$177,443.00	\$0.00	
9. NSIP	\$107,038.00		\$107,038.00	\$0.00	\$107,038.00	\$107,038.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$2,227.00		\$2,227.00	\$0.00	\$2,227.00	\$2,227.00	\$0.00	
11. TITLE VII FED. OMB	\$21,968.00		\$21,968.00	\$0.00	\$21,968.00	\$21,968.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$338,786.64		\$338,786.64	\$0.00	\$338,786.64	\$0.00	\$338,786.64	
13. STATE OMBUDSMAN	\$35,207.00		\$35,207.00	\$0.00	\$35,207.00	\$0.00	\$35,207.00	
14. STATE OMB. VISITATION	\$20,793.00		\$20,793.00	\$0.00	\$20,793.00	\$0.00	\$20,793.00	
15. STATE RESPITE	\$19,628.00		\$19,628.00	\$0.00	\$19,628.00	\$0.00	\$19,628.00	
16. STATE DIRECT CARE WORKER	\$95,195.00		\$95,195.00	\$0.00	\$95,195.00	\$0.00	\$95,195.00	
17. S.H.I.P.	\$25,063.00		\$25,063.00	\$0.00	\$25,063.00	\$25,063.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$22,908.00		\$22,908.00	\$0.00	\$22,908.00	\$22,908.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$235,135.00		\$235,135.00	\$0.00	\$235,135.00	\$235,135.00	\$0.00	
20. SSBG - SERVICES	\$609,154.00		\$609,154.00	\$0.00	\$609,154.00	\$609,154.00	\$0.00	
21. MIPPA SHIP	\$1,638.00		\$1,638.00	\$0.00	\$1,638.00	\$1,638.00	\$0.00	
22. MIPPA AAA	\$1,406.00		\$1,406.00	\$0.00	\$1,406.00	\$1,406.00	\$0.00	
23. MIPPA ADRC	\$634.00		\$634.00	\$0.00	\$634.00	\$634.00	\$0.00	
24. ARPA III-B	\$2,295.53		\$2,295.53	\$0.00	\$2,295.53	\$2,168.00	\$127.53	5.56%
25. ARPA III-C1	\$727.41		\$727.41	\$0.00	\$727.41	\$687.00	\$40.41	5.56%
26. ARPA III-C1 ADMIN	\$562.67		\$562.67	\$38,666.67	\$39,229.34	\$29,422.01	\$9,807.34	25.00%
27. ARPA III-C2	\$1,807.41		\$1,807.41	\$0.00	\$1,807.41	\$1,707.00	\$100.41	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
29. ARPA III-E	\$540.00		\$540.00	\$15,000.00	\$15,540.00	\$15,540.00	\$0.00	
30. ARPA III-E ADMIN.	\$66.67		\$66.67	\$0.00	\$66.67	\$50.00	\$16.67	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$32,020.08	\$32,020.08	\$32,020.08	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$0.00	\$240,000.00	\$240,000.00	\$0.00	\$240,000.00	\$0.00	\$240,000.00	
TOTAL	\$3,308,500.33	\$240,000.00	\$3,548,500.33	\$85,686.75	\$3,634,187.08	\$2,747,123.08	\$887,064.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 7	CONTRACT OBLIGATION FOR SFY 2024							
	7/17/2023	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement %
1. OAA ADMIN. III C-1	\$234,732.00		\$234,732.00	\$0.00	\$234,732.00	\$176,049.00	\$58,683.00	25.00%
2. OAA ADMIN. III-E	\$27,436.00		\$27,436.00	\$0.00	\$27,436.00	\$20,577.00	\$6,859.00	25.00%
3. SSBG ADMIN.	\$3,148.00		\$3,148.00	\$0.00	\$3,148.00	\$3,148.00	\$0.00	
4. TITLE III-B	\$518,685.00		\$518,685.00	\$0.00	\$518,685.00	\$489,869.00	\$28,816.00	5.56%
5. TITLE III-C1	\$473,058.00		\$473,058.00	\$0.00	\$473,058.00	\$446,777.00	\$26,281.00	5.56%
6. TITLE III-C2	\$506,442.00		\$506,442.00	\$0.00	\$506,442.00	\$478,306.00	\$28,136.00	5.56%
7. TITLE III-D	\$31,860.00		\$31,860.00	\$0.00	\$31,860.00	\$31,860.00	\$0.00	
8. TITLE III-E CAREGIVER	\$213,546.00		\$213,546.00	\$0.00	\$213,546.00	\$213,546.00	\$0.00	
9. NSIP	\$219,249.00		\$219,249.00	\$0.00	\$219,249.00	\$219,249.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$2,919.00		\$2,919.00	\$0.00	\$2,919.00	\$2,919.00	\$0.00	
11. TITLE VII FED. OMB	\$25,842.00		\$25,842.00	\$0.00	\$25,842.00	\$25,842.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$9,734.51		\$9,734.51	\$0.00	\$9,734.51	\$0.00	\$9,734.51	
13. STATE OMBUDSMAN	\$30,000.00		\$30,000.00	\$0.00	\$30,000.00	\$0.00	\$30,000.00	
14. STATE OMB. VISITATION	\$12,000.00		\$12,000.00	\$0.00	\$12,000.00	\$0.00	\$12,000.00	
15. STATE RESPITE	\$6,372.00		\$6,372.00	\$0.00	\$6,372.00	\$0.00	\$6,372.00	
16. STATE DIRECT CARE WORKER	\$113,745.00		\$113,745.00	\$0.00	\$113,745.00	\$0.00	\$113,745.00	
17. S.H.I.P.	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
20. SSBG - SERVICES	\$28,329.00		\$28,329.00	\$0.00	\$28,329.00	\$28,329.00	\$0.00	
21. MIPPA SHIP	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
22. MIPPA AAA	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
23. MIPPA ADRC	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
24. ARPA III-B	\$2,647.06		\$2,647.06	\$101,169.40	\$103,816.46	\$98,048.83	\$5,767.63	5.56%
25. ARPA III-C1	\$909.53		\$909.53	\$89,254.73	\$90,164.26	\$85,155.09	\$5,009.17	5.56%
26. ARPA III-C1 ADMIN	\$690.67		\$690.67	\$47,850.72	\$48,541.39	\$36,406.04	\$12,135.35	25.00%
27. ARPA III-C2	\$2,064.71		\$2,064.71	\$159,376.24	\$161,440.95	\$152,471.93	\$8,969.02	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
29. ARPA III-E	\$622.00		\$622.00	\$0.00	\$622.00	\$622.00	\$0.00	
30. ARPA III-E ADMIN.	\$81.34		\$81.34	\$0.00	\$81.34	\$61.02	\$20.33	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$0.00	\$284,363.00	\$284,363.00	\$0.00	\$284,363.00	\$0.00	\$284,363.00	
TOTAL	\$2,464,112.82	\$284,363.00	\$2,748,475.82	\$397,651.09	\$3,146,126.91	\$2,509,235.91	\$636,891.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 8	CONTRACT OBLIGATION FOR SFY 2024							
	7/17/23	INCREASE	REVISED	FEDERAL	TOTAL SFY2024 ALERTS	TOTAL SFY2024 Federal	TOTAL SFY2024 State	Match Requirement %
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024 ALERTS	SFY2024 ALERTS	SFY2024 ALERTS	SFY2024 WITH 2023 MATCH				
1. OAA ADMIN. III C-1	\$222,348.00		\$222,348.00	\$0.00	\$222,348.00	\$166,761.00	\$55,587.00	25.00%
2. OAA ADMIN. III-E	\$25,240.00		\$25,240.00	\$0.00	\$25,240.00	\$18,930.00	\$6,310.00	25.00%
3. SSBG ADMIN.	\$3,391.00		\$3,391.00	\$0.00	\$3,391.00	\$3,391.00	\$0.00	
4. TITLE III-B	\$422,919.00		\$422,919.00	\$0.00	\$422,919.00	\$399,423.00	\$23,496.00	5.56%
5. TITLE III-C1	\$365,096.00		\$365,096.00	\$0.00	\$365,096.00	\$344,813.00	\$20,283.00	5.56%
6. TITLE III-C2	\$425,141.00		\$425,141.00	\$0.00	\$425,141.00	\$401,522.00	\$23,619.00	5.56%
7. TITLE III-D	\$25,961.00		\$25,961.00	\$0.00	\$25,961.00	\$25,961.00	\$0.00	
8. TITLE III-E CAREGIVER	\$170,230.00		\$170,230.00	\$0.00	\$170,230.00	\$170,230.00	\$0.00	
9. NSIP	\$84,108.00		\$84,108.00	\$0.00	\$84,108.00	\$84,108.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$2,135.00		\$2,135.00	\$0.00	\$2,135.00	\$2,135.00	\$0.00	
11. TITLE VII FED. OMB	\$21,073.00		\$21,073.00	\$0.00	\$21,073.00	\$21,073.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$27,268.13		\$27,268.13	\$0.00	\$27,268.13	\$0.00	\$27,268.13	
13. STATE OMBUDSMAN	\$30,000.00		\$30,000.00	\$0.00	\$30,000.00	\$0.00	\$30,000.00	
14. STATE OMB. VISITATION	\$12,000.00		\$12,000.00	\$0.00	\$12,000.00	\$0.00	\$12,000.00	
15. STATE RESPITE	\$6,372.00		\$6,372.00	\$0.00	\$6,372.00	\$0.00	\$6,372.00	
16. STATE DIRECT CARE WORKER	\$92,554.00		\$92,554.00	\$0.00	\$92,554.00	\$0.00	\$92,554.00	
17. S.H.I.P.	\$19,380.00		\$19,380.00	\$0.00	\$19,380.00	\$19,380.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$17,181.00		\$17,181.00	\$0.00	\$17,181.00	\$17,181.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
20. SSBG - SERVICES	\$30,523.00		\$30,523.00	\$0.00	\$30,523.00	\$30,523.00	\$0.00	
21. MIPPA SHIP	\$824.00		\$824.00	\$0.00	\$824.00	\$824.00	\$0.00	
22. MIPPA AAA	\$703.00		\$703.00	\$0.00	\$703.00	\$703.00	\$0.00	
23. MIPPA ADRC	\$317.00		\$317.00	\$0.00	\$317.00	\$317.00	\$0.00	
24. ARPA III-B	\$2,160.00		\$2,160.00	\$0.00	\$2,160.00	\$2,040.00	\$120.00	5.56%
25. ARPA III-C1	\$682.94		\$682.94	\$0.00	\$682.94	\$645.00	\$37.94	5.56%
26. ARPA III-C1 ADMIN	\$637.34		\$637.34	\$133,333.33	\$133,970.67	\$100,478.01	\$33,492.66	25.00%
27. ARPA III-C2	\$1,693.06		\$1,693.06	\$317,647.21	\$319,340.27	\$301,599.00	\$17,741.27	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
29. ARPA III-E	\$507.00		\$507.00	\$0.00	\$507.00	\$507.00	\$0.00	
30. ARPA III-E ADMIN.	\$76.00		\$76.00	\$0.00	\$76.00	\$57.00	\$19.00	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$89,839.00	\$89,839.00	\$89,839.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$0.00	\$231,385.00	\$231,385.00	\$0.00	\$231,385.00	\$0.00	\$231,385.00	
TOTAL	\$2,010,520.47	\$231,385.00	\$2,241,905.47	\$540,819.54	\$2,782,725.01	\$2,202,440.01	\$580,285.00	

DIVISION OF AGING & ADULT SERVICES								
STATE TOTAL	7/17/2023	INCREASE	REVISED	2023	TOTAL SFY2024 ALERTS	TOTAL SFY2024 Federal	TOTAL SFY2024 State	Match Requirement %
	SUBTOTAL	(DECREASE)	SUBTOTAL	FEDERAL				
	SFY2024	SFY2024	SFY2024	CARRYOVER				
	ALERTS	ALERTS	ALERTS	WITH 2024 MATCH				
1. OAA ADMIN. III C-1	\$3,875,347.00		\$3,875,347.00	\$0.00	\$3,875,347.00	\$2,906,508.00	\$968,839.00	25.00%
2. OAA ADMIN. III-E	\$573,669.00		\$573,669.00	\$0.00	\$573,669.00	\$430,250.00	\$143,419.00	25.00%
3. SSBG ADMIN.	\$794,202.00		\$794,202.00	\$0.00	\$794,202.00	\$794,202.00	\$0.00	
4. TITLE III-B	\$9,272,636.00		\$9,272,636.00	\$0.00	\$9,272,636.00	\$8,757,486.00	\$515,150.00	5.56%
5. TITLE III-C1	\$7,954,187.00		\$7,954,187.00	\$0.00	\$7,954,187.00	\$7,512,285.00	\$441,902.00	5.56%
6. TITLE III-C2	\$9,575,347.00		\$9,575,347.00	\$0.00	\$9,575,347.00	\$9,043,379.00	\$531,968.00	5.56%
7. TITLE III-D	\$589,842.00		\$589,842.00	\$0.00	\$589,842.00	\$589,842.00	\$0.00	
8. TITLE III-E CAREGIVER	\$3,872,251.00		\$3,872,251.00	\$0.00	\$3,872,251.00	\$3,872,251.00	\$0.00	
9. NSIP	\$1,875,370.00		\$1,875,370.00	\$0.00	\$1,875,370.00	\$1,875,370.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$48,824.00		\$48,824.00	\$0.00	\$48,824.00	\$48,824.00	\$0.00	
11. TITLE VII FED. OMB	\$478,789.00		\$478,789.00	\$0.00	\$478,789.00	\$478,789.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$3,940,438.14		\$3,940,438.14	\$0.00	\$3,940,438.14	\$0.00	\$3,940,438.14	
13. STATE OMBUDSMAN	\$814,116.00		\$814,116.00	\$0.00	\$814,116.00	\$0.00	\$814,116.00	
14. STATE OMB. VISITATION	\$1,000,000.00		\$1,000,000.00	\$0.00	\$1,000,000.00	\$0.00	\$1,000,000.00	
15. STATE RESPITE	\$462,000.00		\$462,000.00	\$0.00	\$462,000.00	\$0.00	\$462,000.00	
16. STATE DIRECT CARE WORKER	\$2,000,000.00		\$2,000,000.00	\$0.00	\$2,000,000.00	\$0.00	\$2,000,000.00	
17. S.H.I.P.	\$645,986.00		\$645,986.00	\$0.00	\$645,986.00	\$645,986.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$572,699.00		\$572,699.00	\$0.00	\$572,699.00	\$572,699.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$3,800,000.00		\$3,800,000.00	\$0.00	\$3,800,000.00	\$3,800,000.00	\$0.00	
20. SSBG - SERVICES	\$8,324,724.00		\$8,324,724.00	\$0.00	\$8,324,724.00	\$8,324,724.00	\$0.00	
21. MIPPA SHIP	\$40,901.00		\$40,901.00	\$0.00	\$40,901.00	\$40,901.00	\$0.00	
22. MIPPA AAA	\$35,054.00		\$35,054.00	\$0.00	\$35,054.00	\$35,054.00	\$0.00	
23. MIPPA ADRC	\$15,680.00		\$15,680.00	\$0.00	\$15,680.00	\$15,680.00	\$0.00	
24. ARPA III-B	\$49,218.36		\$49,218.36	\$2,521,224.35	\$2,570,442.71	\$2,427,639.19	\$142,803.52	5.56%
25. ARPA III-C1	\$15,626.13		\$15,626.13	\$1,856,580.27	\$1,872,206.40	\$1,768,194.10	\$104,012.30	5.56%
26. ARPA III-C1 ADMIN	\$14,470.71		\$14,470.71	\$914,804.05	\$929,274.76	\$696,956.10	\$232,318.66	25.00%
27. ARPA III-C2	\$38,571.90		\$38,571.90	\$2,004,064.79	\$2,042,636.69	\$1,929,155.96	\$113,480.73	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$355,733.25	\$355,733.25	\$355,733.25	\$0.00	
29. ARPA III-E	\$11,537.00		\$11,537.00	\$536,571.33	\$548,108.33	\$548,108.33	\$0.00	
30. ARPA III-E ADMIN.	\$1,709.36		\$1,709.36	\$103,701.33	\$105,410.69	\$79,058.04	\$26,352.65	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$164,728.00	\$164,728.00	\$164,728.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$45,000.00		\$45,000.00	\$222,859.08	\$267,859.08	\$267,859.08	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$24,867.00	\$24,867.00	\$24,867.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$0.00	\$5,000,000.00	\$5,000,000.00	\$0.00	\$5,000,000.00	\$0.00	\$5,000,000.00	
TOTAL	\$60,738,195.60	\$5,000,000.00	\$65,738,195.60	\$8,705,133.45	\$74,443,329.05	\$58,006,529.05	\$16,436,800.00	

DIVISION OF AGING & ADULT SERVICES							
CONTRACT OBLIGATION FOR SFY 2024							
	7/17/2023	INCREASE	REVISED	2023			
	SUBTOTAL	(DECREASE)	SUBTOTAL	FEDERAL	TOTAL	TOTAL	TOTAL
	SFY2024	SFY2024	SFY2024	CARRYOVER	SFY2024	SFY2024	SFY2024
STATE TOTAL	ALERTS	ALERTS	ALERTS	WITH 2024 MATCH	ALERTS	Federal	State
OLDER AMERICANS ACT	\$36,240,892.00		\$36,240,892.00	\$0.00	\$36,240,892.00	\$33,639,614.00	\$2,601,278.00
STATE (ILS, RSP, OMB, DCW, AHA)	\$8,216,554.14	\$5,000,000.00	\$13,216,554.14	\$0.00	\$13,216,554.14	\$0.00	\$13,216,554.14
SSBG	\$9,118,926.00		\$9,118,926.00	\$0.00	\$9,118,926.00	\$9,118,926.00	\$0.00
SSBG - HCB WAIT LIST	\$3,800,000.00		\$3,800,000.00	\$0.00	\$3,800,000.00	\$3,800,000.00	\$0.00
S.H.I.P./SENIOR PATROL	\$1,218,685.00	\$0.00	\$1,218,685.00	\$0.00	\$1,218,685.00	\$1,218,685.00	\$0.00
NSIP	\$1,875,370.00		\$1,875,370.00	\$0.00	\$1,875,370.00	\$1,875,370.00	\$0.00
MIPPA	\$91,635.00		\$91,635.00	\$0.00	\$91,635.00	\$91,635.00	\$0.00
ARPA	\$131,133.46	\$0.00	\$131,133.46	\$8,457,407.37	\$8,588,540.83	\$7,969,572.97	\$618,967.86
PUBLIC HEALTH WORKFORCE	\$45,000.00		\$45,000.00	\$222,859.08	\$267,859.08	\$267,859.08	\$0.00
ACCESS VACCINE	\$0.00		\$0.00	\$24,867.00	\$24,867.00	\$24,867.00	\$0.00
TOTAL	\$60,738,195.60	\$5,000,000.00	\$65,738,195.60	\$8,705,133.45	\$74,443,329.05	\$58,006,529.05	\$16,436,800.00
1. ALERT 24-1A	TITLE III/VI PLANNING LEVELS FOR SFY 2024						3/29/2023
2. ALERT 24-2/2A	SSBG ALLOCATION FOR SFY 2024 / SSBG WAITLIST ALLOCATION FOR SFY2024						3/29/2023
3. ALERT 24-3C	INITIAL STATE AHA ALLOCATION						8/10/2023
4. ALERT 24-6	NSIP ALLOCATIONS FOR SFY 2024						3/29/2023
5. ALERT 24-7A	SENIOR PATROL ADDITIONAL ALLOCATIONS FOR SFY 2024						5/19/2023
6. ALERT 24-9C	EXPANDING PUBLIC HEALTH WORKFORCE FOR SFY 2024 / Increased ARPA Title Funding						5/19/2023
7. ALERT 24-11	MIPPA 2 MO. ALLOCATIONS FOR FY 2024						3/29/2023



Northern Arizona Council of Governments
 119 E. Aspen Avenue
 Flagstaff, AZ 86001-5222
 (928) 774-1895, FAX: (928) 773-1135

Memorandum:

Date: October 11, 2023

To: NACOG Regional Council

From: Brandon Baxter - Aging Director

Subject: **NACOG Budget Alert FY24 3D (HCBS)**

Request for Motion to Approve the NACOG Budget Alerts FY24 3D (HCBS)

The State of Arizona, through DES-DAAS, has released two new budget Alerts. Alert FY24 3C dated 8/10/2023 and Alert FY24 3D dated 8/15/2023. Alert FY24 3D will allocate \$447,137 in non-expiring funds for NACOG to expand client access to services in any of the following areas:

- Administrative Services
- Attendant Care
- Case Management
- Health Education
- Housekeeping
- Respite Care

NACOG Aging has decided to partner with NACOG - CSA to administer the Senior Homeless Prevention Program. Due to NACOG - CSA's familiarity with similar programs that they already administer, a partnership with Community Services appears to be the most efficient way to successfully operate and disperse these funds within our service area. NACOG Aging proposes the following breakdown of the program funds:

- \$44,714 to NACOG - Aging for Administration
- \$82,000 to reduce the Housekeeping Wait list
- \$320,423 to reduce the Attendant Care Wait list

The NACOG Regional Council is being asked to offer its full support of the proposed use of the FY 24 3D Alert Funds to to take the first steps in reducing the service wait lists within our four-county region.

Please reach out to Brandon Baxter (bbaxter@nacog.org/ 928-707-3218), NACOG Aging Director, with any questions or concerns about this agenda item.

Proposed Motion

Motion to Approve NACOG Aging's proposed use of the additional funding as articulated above, to expand access to services for our wait listed clients with the funding provided in Alert FY24 3D dated 8/15/2023.

	Information Only
x	Motion to Approve



DEPARTMENT OF ECONOMIC SECURITY
Your Partner For A Stronger Arizona

Katie Hobbs
Governor

Angie Rodgers
Director

August 15, 2023

To: Area Agencies on Aging

From: Rebecca Clayton *RC*
DES Deputy Assistant Director
Division of Aging and Adult Services

Subject: State Fiscal Year (SFY) 2024 Allocations - AAA \$5M One-time General

The following ALERTS are attached:

ALERTS

FUND SOURCE/TYPE

ALERT SFY-24-3D Initial Allocations for AAA \$5M One-time General

The ALERTS are subject to change as additional information is received by the Division pertaining to the funding sources identified.

ALERTS are available on the Division website using the link:

<https://www.azdes.gov/daas/alerts>

A SFY 2024 contract operating budget is due to the Division of Aging and Adult Services, Finance and Business Operations Administration by close of business September 15, 2023.

The Area Agencies on Aging may begin spending against these additional lines of funding immediately. However, DAAS cannot reimburse those expenses until an executed contract amendment is on file.

Should you have any questions regarding the attached ALERTS, please contact your assigned Contract Specialist.

cc: Molly McCarthy, Jonathan Lucke, Rana Simms, Karina Renderos, Nathanael Hudson, Matt LeCrone, Catherine Chavez, Lindsey Bankhead, Lisa Pollock, Lita Nelson, DAAS FSA Team, DAAS file

Division of Aging and Adult Services
ALERT

SFY-24-3D

**State General Fund
for SFY-2024**

In FY24 SB1720 appropriated \$5 million of one-time general fund to the Department of Economic Services (DES) Division of Adult and Aging Services (DAAS) to pass through to the Area Agencies on Aging (AAAs).

DAAS met with AAAs to discuss AAA plans for distribution. AAAs indicated the need for region-specific application of these additional funds to address:

- **Expand client access to AAA services** (such as reaching those in greatest social or economic need, increasing units, enrolling new clients into services)
- **Service expansion**
- **Address regional waitlists**
- **Expand service providers**
- **Support one-time projects/expenses** to support ongoing service delivery

AAAs submitted implementation plans that informed DAAS how the funding will be applied to address those in the greatest need.

The total \$5 million will be allocated via the Intrastate Funding Formula (IFF).

AAA	Allocation
AAA Region One	\$2,219,808
Pima Council on Aging	\$772,857
Northern Arizona Council of Governments	\$447,137
Western Arizona Council of Governments	\$456,755
Pinal-Gila Council for Senior Citizens	\$349,706
Southeastern Arizona Governments Association	\$237,989
Navajo Nation	\$284,363
Intertribal Council of Arizona	\$231,385
Total	\$5,000,000

Division of Aging and Adult Services
ALERT

SFY-24-3D

**State General Fund
for SFY-2024**

Reporting Requirements

The following parameters will be used in the Division of Aging and Adult Services Reporting System (DAARS) for budgeting and reporting purposes associated with this funding:

SOW Service Code	Program Code
ADM -Administrative Services	HCB -Home and Community Based Services
ATT -Attendant Care	HCB -Home and Community Based Services
CMG -Case Management	HCB -Home and Community Based Services
HED -Health Education - Disease Prevention and Health Promotion	HCB -Home and Community Based Services
HDM -Home Delivered Meals	HCB -Home and Community Based Services
HSK -Housekeeping and Homemaker	HCB -Home and Community Based Services
*MCO -Multipurpose Center Operations	HCB -Home and Community Based Services
RSP -Respite Care	HCB -Home and Community Based Services

*Code to be used specifically for Region VII planned upgrades and maintenance for senior centers

If changes are necessary to the initially submitted implementation plans in order to fully expend funds before the June 30, 2024 service period deadline an updated implementation plan must be submitted to **daasadsprograms@azdes.gov** before updating the contract

A semi-annual and annual report will be required throughout the lifetime of this funding. A template will be provided by DAAS. AAAs will submit a semi-annual data report by Jan 31st, 2024 and an annual data report by July 31st, 2024.

The report should include:

- Total number of new clients reached
- How those in greatest need/risk were prioritized
- How services were enhanced or expanded
- Any and all outcomes, successes and challenges with implementation.

Reports should be submitted to **daasadsprograms@azdes.gov**.

Should you have any questions regarding the allocations, please contact your assigned Contract Specialist.

DIVISION OF AGING & ADULT SERVICES								
REGION 1	CONTRACT OBLIGATION FOR SFY 2024							
	8/10/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement
								%
1. OAA ADMIN. III C-1	\$1,650,894.00		\$1,650,894.00	\$0.00	\$1,650,894.00	\$1,238,170.00	\$412,724.00	25.00%
2. OAA ADMIN. III-E	\$278,539.00		\$278,539.00	\$0.00	\$278,539.00	\$208,904.00	\$69,635.00	25.00%
3. SSBG ADMIN.	\$287,872.00		\$287,872.00	\$0.00	\$287,872.00	\$287,872.00	\$0.00	
4. TITLE III-B	\$4,118,596.00		\$4,118,596.00	\$0.00	\$4,118,596.00	\$3,889,783.00	\$228,813.00	5.56%
5. TITLE III-C1	\$3,505,716.00		\$3,505,716.00	\$0.00	\$3,505,716.00	\$3,310,952.00	\$194,764.00	5.56%
6. TITLE III-C2	\$4,316,499.00		\$4,316,499.00	\$0.00	\$4,316,499.00	\$4,076,692.00	\$239,807.00	5.56%
7. TITLE III-D	\$266,333.00		\$266,333.00	\$0.00	\$266,333.00	\$266,333.00	\$0.00	
8. TITLE III-E CAREGIVER	\$1,746,346.00		\$1,746,346.00	\$0.00	\$1,746,346.00	\$1,746,346.00	\$0.00	
9. NSIP	\$588,167.00		\$588,167.00	\$0.00	\$588,167.00	\$588,167.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$21,911.00		\$21,911.00	\$0.00	\$21,911.00	\$21,911.00	\$0.00	
11. TITLE VII FED. OMB	\$216,198.00		\$216,198.00	\$0.00	\$216,198.00	\$216,198.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$1,909,754.18		\$1,909,754.18	\$0.00	\$1,909,754.18	\$0.00	\$1,909,754.18	
13. STATE OMBUDSMAN	\$395,301.00		\$395,301.00	\$0.00	\$395,301.00	\$0.00	\$395,301.00	
14. STATE OMB. VISITATION	\$662,815.00		\$662,815.00	\$0.00	\$662,815.00	\$0.00	\$662,815.00	
15. STATE RESPITE	\$251,137.00		\$251,137.00	\$0.00	\$251,137.00	\$0.00	\$251,137.00	
16. STATE DIRECT CARE WORKER	\$887,923.00		\$887,923.00	\$0.00	\$887,923.00	\$0.00	\$887,923.00	
17. S.H.I.P.	\$332,101.00		\$332,101.00	\$0.00	\$332,101.00	\$332,101.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$297,803.00		\$297,803.00	\$0.00	\$297,803.00	\$297,803.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$1,318,919.00		\$1,318,919.00	\$0.00	\$1,318,919.00	\$1,318,919.00	\$0.00	
20. SSBG - SERVICES	\$3,767,760.00		\$3,767,760.00	\$0.00	\$3,767,760.00	\$3,767,760.00	\$0.00	
21. MIPPA SHIP	\$21,255.00		\$21,255.00	\$0.00	\$21,255.00	\$21,255.00	\$0.00	
22. MIPPA AAA	\$18,220.00		\$18,220.00	\$0.00	\$18,220.00	\$18,220.00	\$0.00	
23. MIPPA ADRC	\$8,141.00		\$8,141.00	\$0.00	\$8,141.00	\$8,141.00	\$0.00	
24. ARPA III-B	\$22,210.95		\$22,210.95	\$430,819.40	\$453,030.35	\$427,861.80	\$25,168.55	5.56%
25. ARPA III-C1	\$7,016.83		\$7,016.83	\$777,408.40	\$784,425.23	\$740,845.70	\$43,579.53	5.56%
26. ARPA III-C1 ADMIN	\$7,026.67		\$7,026.67	\$0.00	\$7,026.67	\$5,270.00	\$1,756.67	25.00%
27. ARPA III-C2	\$17,407.07		\$17,407.07	\$0.00	\$17,407.07	\$16,440.00	\$967.07	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$125,000.00	\$125,000.00	\$125,000.00	\$0.00	
29. ARPA III-E	\$5,203.00		\$5,203.00	\$0.00	\$5,203.00	\$5,203.00	\$0.00	
30. ARPA III-E ADMIN.	\$828.00		\$828.00	\$0.00	\$828.00	\$621.00	\$207.00	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$101,233.00	\$101,233.00	\$101,233.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$2,199,916.00		\$2,199,916.00	\$0.00	\$2,199,916.00	\$0.00	\$2,199,916.00	
35. STATE ONE-TIME FUNDING		\$2,219,808.00	\$2,219,808.00	\$0.00	\$2,219,808.00	\$0.00	\$2,219,808.00	
TOTAL	\$29,127,808.70	\$2,219,808.00	\$31,347,616.70	\$1,434,460.80	\$32,782,077.50	\$23,038,001.50	\$9,744,076.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 2	CONTRACT OBLIGATION FOR SFY 2024							
	8/10/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement
								%
1. OAA ADMIN. III C-1	\$597,262.00		\$597,262.00	\$0.00	\$597,262.00	\$447,946.00	\$149,316.00	25.00%
2. OAA ADMIN. III-E	\$91,718.00		\$91,718.00	\$0.00	\$91,718.00	\$68,788.00	\$22,930.00	25.00%
3. SSBG ADMIN.	\$207,585.00		\$207,585.00	\$0.00	\$207,585.00	\$207,585.00	\$0.00	
4. TITLE III-B	\$1,433,264.00		\$1,433,264.00	\$0.00	\$1,433,264.00	\$1,353,638.00	\$79,626.00	5.56%
5. TITLE III-C1	\$1,223,905.00		\$1,223,905.00	\$0.00	\$1,223,905.00	\$1,155,910.00	\$67,995.00	5.56%
6. TITLE III-C2	\$1,488,242.00		\$1,488,242.00	\$0.00	\$1,488,242.00	\$1,405,561.00	\$82,681.00	5.56%
7. TITLE III-D	\$91,619.00		\$91,619.00	\$0.00	\$91,619.00	\$91,619.00	\$0.00	
8. TITLE III-E CAREGIVER	\$600,745.00		\$600,745.00	\$0.00	\$600,745.00	\$600,745.00	\$0.00	
9. NSIP	\$383,484.00		\$383,484.00	\$0.00	\$383,484.00	\$383,484.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$7,536.00		\$7,536.00	\$0.00	\$7,536.00	\$7,536.00	\$0.00	
11. TITLE VII FED. OMB	\$74,372.00		\$74,372.00	\$0.00	\$74,372.00	\$74,372.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$800,910.88		\$800,910.88	\$0.00	\$800,910.88	\$0.00	\$800,910.88	
13. STATE OMBUDSMAN	\$197,057.00		\$197,057.00	\$0.00	\$197,057.00	\$0.00	\$197,057.00	
14. STATE OMB. VISITATION	\$138,943.00		\$138,943.00	\$0.00	\$138,943.00	\$0.00	\$138,943.00	
15. STATE RESPITE	\$86,849.00		\$86,849.00	\$0.00	\$86,849.00	\$0.00	\$86,849.00	
16. STATE DIRECT CARE WORKER	\$309,143.00		\$309,143.00	\$0.00	\$309,143.00	\$0.00	\$309,143.00	
17. S.H.I.P.	\$106,525.00		\$106,525.00	\$0.00	\$106,525.00	\$106,525.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$91,632.00		\$91,632.00	\$0.00	\$91,632.00	\$91,632.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$979,730.00		\$979,730.00	\$0.00	\$979,730.00	\$979,730.00	\$0.00	
20. SSBG - SERVICES	\$1,868,269.00		\$1,868,269.00	\$0.00	\$1,868,269.00	\$1,868,269.00	\$0.00	
21. MIPPA SHIP	\$6,542.00		\$6,542.00	\$0.00	\$6,542.00	\$6,542.00	\$0.00	
22. MIPPA AAA	\$5,605.00		\$5,605.00	\$0.00	\$5,605.00	\$5,605.00	\$0.00	
23. MIPPA ADRC	\$2,506.00		\$2,506.00	\$0.00	\$2,506.00	\$2,506.00	\$0.00	
24. ARPA III-B	\$7,659.53		\$7,659.53	\$998,000.74	\$1,005,660.27	\$949,789.81	\$55,870.46	5.56%
25. ARPA III-C1	\$2,421.53		\$2,421.53	\$429,311.85	\$431,733.38	\$407,748.00	\$23,985.38	5.56%
26. ARPA III-C1 ADMIN	\$2,314.67		\$2,314.67	\$401,689.33	\$404,004.00	\$303,003.00	\$101,001.00	25.00%
27. ARPA III-C2	\$6,006.71		\$6,006.71	\$350,257.56	\$356,264.27	\$336,471.65	\$19,792.62	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$61,532.25	\$61,532.25	\$61,532.25	\$0.00	
29. ARPA III-E	\$1,797.00		\$1,797.00	\$182,052.00	\$183,849.00	\$183,849.00	\$0.00	
30. ARPA III-E ADMIN.	\$273.34		\$273.34	\$49,337.33	\$49,610.67	\$37,208.01	\$12,402.66	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$23,333.00	\$23,333.00	\$23,333.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$772,857.00		\$772,857.00	\$0.00	\$772,857.00	\$0.00	\$772,857.00	
35. STATE ONE-TIME FUNDING		\$772,857.00	\$772,857.00	\$0.00	\$772,857.00	\$0.00	\$772,857.00	
TOTAL	\$11,586,773.66	\$772,857.00	\$12,359,630.66	\$2,495,514.06	\$14,855,144.72	\$11,160,927.72	\$3,694,217.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 3	CONTRACT OBLIGATION FOR SFY 2024							
	8/10/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL SFY2024	(DECREASE) SFY2024	SUBTOTAL SFY2024	CARRYOVER SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement %
1. OAA ADMIN. III C-1	\$324,100.00		\$324,100.00	\$0.00	\$324,100.00	\$243,075.00	\$81,025.00	25.00%
2. OAA ADMIN. III-E	\$43,282.00		\$43,282.00	\$0.00	\$43,282.00	\$32,461.00	\$10,821.00	25.00%
3. SSBG ADMIN.	\$80,060.00		\$80,060.00	\$0.00	\$80,060.00	\$80,060.00	\$0.00	
4. TITLE III-B	\$838,902.00		\$838,902.00	\$0.00	\$838,902.00	\$792,296.00	\$46,606.00	5.56%
5. TITLE III-C1	\$719,390.00		\$719,390.00	\$0.00	\$719,390.00	\$679,424.00	\$39,966.00	5.56%
6. TITLE III-C2	\$860,351.00		\$860,351.00	\$0.00	\$860,351.00	\$812,553.00	\$47,798.00	5.56%
7. TITLE III-D	\$52,802.00		\$52,802.00	\$0.00	\$52,802.00	\$52,802.00	\$0.00	
8. TITLE III-E CAREGIVER	\$346,230.00		\$346,230.00	\$0.00	\$346,230.00	\$346,230.00	\$0.00	
9. NSIP	\$164,797.00		\$164,797.00	\$0.00	\$164,797.00	\$164,797.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$4,345.00		\$4,345.00	\$0.00	\$4,345.00	\$4,345.00	\$0.00	
11. TITLE VII FED. OMB	\$42,864.00		\$42,864.00	\$0.00	\$42,864.00	\$42,864.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$324,558.28		\$324,558.28	\$0.00	\$324,558.28	\$0.00	\$324,558.28	
13. STATE OMBUDSMAN	\$46,376.00		\$46,376.00	\$0.00	\$46,376.00	\$0.00	\$46,376.00	
14. STATE OMB. VISITATION	\$65,624.00		\$65,624.00	\$0.00	\$65,624.00	\$0.00	\$65,624.00	
15. STATE RESPITE	\$34,204.00		\$34,204.00	\$0.00	\$34,204.00	\$0.00	\$34,204.00	
16. STATE DIRECT CARE WORKER	\$178,855.00		\$178,855.00	\$0.00	\$178,855.00	\$0.00	\$178,855.00	
17. S.H.I.P.	\$68,928.00		\$68,928.00	\$0.00	\$68,928.00	\$68,928.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$57,270.00		\$57,270.00	\$0.00	\$57,270.00	\$57,270.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$475,676.00		\$475,676.00	\$0.00	\$475,676.00	\$475,676.00	\$0.00	
20. SSBG - SERVICES	\$720,538.00		\$720,538.00	\$0.00	\$720,538.00	\$720,538.00	\$0.00	
21. MIPPA SHIP	\$4,502.00		\$4,502.00	\$0.00	\$4,502.00	\$4,502.00	\$0.00	
22. MIPPA AAA	\$3,857.00		\$3,857.00	\$0.00	\$3,857.00	\$3,857.00	\$0.00	
23. MIPPA ADRC	\$1,724.00		\$1,724.00	\$0.00	\$1,724.00	\$1,724.00	\$0.00	
24. ARPA III-B	\$4,361.29		\$4,361.29	\$479,166.58	\$483,527.87	\$456,664.99	\$26,862.88	5.56%
25. ARPA III-C1	\$1,375.41		\$1,375.41	\$302,496.50	\$303,871.91	\$286,990.00	\$16,881.91	5.56%
26. ARPA III-C1 ADMIN	\$1,092.01		\$1,092.01	\$26,594.67	\$27,686.68	\$20,765.01	\$6,921.67	25.00%
27. ARPA III-C2	\$3,408.35		\$3,408.35	\$412,950.90	\$416,359.25	\$393,228.00	\$23,131.25	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$56,792.00	\$56,792.00	\$56,792.00	\$0.00	
29. ARPA III-E	\$1,020.00		\$1,020.00	\$104,815.00	\$105,835.00	\$105,835.00	\$0.00	
30. ARPA III-E ADMIN.	\$129.34		\$129.34	\$13,770.67	\$13,900.01	\$10,425.01	\$3,475.00	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$12,070.00	\$12,070.00	\$12,070.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$81,000.00	\$81,000.00	\$81,000.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$24,867.00	\$24,867.00	\$24,867.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$447,131.00		\$447,131.00	\$0.00	\$447,131.00	\$0.00	\$447,131.00	
35. STATE ONE-TIME FUNDING		\$447,137.00	\$447,137.00	\$0.00	\$447,137.00	\$0.00	\$447,137.00	
TOTAL	\$5,917,752.68	\$447,137.00	\$6,364,889.68	\$1,514,523.32	\$7,879,413.00	\$6,032,039.00	\$1,847,374.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 4	CONTRACT OBLIGATION FOR SFY 2024							
	8/10/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement
								%
1. OAA ADMIN. III C-1	\$355,935.00		\$355,935.00	\$0.00	\$355,935.00	\$266,951.00	\$88,984.00	25.00%
2. OAA ADMIN. III-E	\$48,927.00		\$48,927.00	\$0.00	\$48,927.00	\$36,695.00	\$12,232.00	25.00%
3. SSBG ADMIN.	\$84,383.00		\$84,383.00	\$0.00	\$84,383.00	\$84,383.00	\$0.00	
4. TITLE III-B	\$849,762.00		\$849,762.00	\$0.00	\$849,762.00	\$802,553.00	\$47,209.00	5.56%
5. TITLE III-C1	\$728,251.00		\$728,251.00	\$0.00	\$728,251.00	\$687,792.00	\$40,459.00	5.56%
6. TITLE III-C2	\$873,097.00		\$873,097.00	\$0.00	\$873,097.00	\$824,591.00	\$48,506.00	5.56%
7. TITLE III-D	\$53,610.00		\$53,610.00	\$0.00	\$53,610.00	\$53,610.00	\$0.00	
8. TITLE III-E CAREGIVER	\$351,520.00		\$351,520.00	\$0.00	\$351,520.00	\$351,520.00	\$0.00	
9. NSIP	\$208,617.00		\$208,617.00	\$0.00	\$208,617.00	\$208,617.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$4,411.00		\$4,411.00	\$0.00	\$4,411.00	\$4,411.00	\$0.00	
11. TITLE VII FED. OMB	\$43,517.00		\$43,517.00	\$0.00	\$43,517.00	\$43,517.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$248,958.28		\$248,958.28	\$0.00	\$248,958.28	\$0.00	\$248,958.28	
13. STATE OMBUDSMAN	\$43,095.00		\$43,095.00	\$0.00	\$43,095.00	\$0.00	\$43,095.00	
14. STATE OMB. VISITATION	\$68,905.00		\$68,905.00	\$0.00	\$68,905.00	\$0.00	\$68,905.00	
15. STATE RESPITE	\$34,743.00		\$34,743.00	\$0.00	\$34,743.00	\$0.00	\$34,743.00	
16. STATE DIRECT CARE WORKER	\$182,702.00		\$182,702.00	\$0.00	\$182,702.00	\$0.00	\$182,702.00	
17. S.H.I.P.	\$50,128.00		\$50,128.00	\$0.00	\$50,128.00	\$50,128.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$45,816.00		\$45,816.00	\$0.00	\$45,816.00	\$45,816.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$405,405.00		\$405,405.00	\$0.00	\$405,405.00	\$405,405.00	\$0.00	
20. SSBG - SERVICES	\$759,444.00		\$759,444.00	\$0.00	\$759,444.00	\$759,444.00	\$0.00	
21. MIPPA SHIP	\$3,276.00		\$3,276.00	\$0.00	\$3,276.00	\$3,276.00	\$0.00	
22. MIPPA AAA	\$2,802.00		\$2,802.00	\$0.00	\$2,802.00	\$2,802.00	\$0.00	
23. MIPPA ADRC	\$1,258.00		\$1,258.00	\$0.00	\$1,258.00	\$1,258.00	\$0.00	
24. ARPA III-B	\$4,456.59		\$4,456.59	\$439,141.73	\$443,598.32	\$418,953.77	\$24,644.55	5.56%
25. ARPA III-C1	\$1,407.18		\$1,407.18	\$182,078.87	\$183,486.05	\$173,292.30	\$10,193.75	5.56%
26. ARPA III-C1 ADMIN	\$1,233.34		\$1,233.34	\$169,216.00	\$170,449.34	\$127,837.02	\$42,612.33	25.00%
27. ARPA III-C2	\$3,490.94		\$3,490.94	\$615,279.87	\$618,770.81	\$584,394.38	\$34,376.43	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$89,659.00	\$89,659.00	\$89,659.00	\$0.00	
29. ARPA III-E	\$1,044.00		\$1,044.00	\$127,892.33	\$128,936.33	\$128,936.33	\$0.00	
30. ARPA III-E ADMIN.	\$146.67		\$146.67	\$26,188.00	\$26,334.67	\$19,751.00	\$6,583.67	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$20,377.00	\$20,377.00	\$20,377.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$45,000.00		\$45,000.00	\$0.00	\$45,000.00	\$45,000.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$424,348.00		\$424,348.00	\$0.00	\$424,348.00	\$0.00	\$424,348.00	
35. STATE ONE-TIME FUNDING		\$456,755.00	\$456,755.00	\$0.00	\$456,755.00	\$0.00	\$456,755.00	
TOTAL	\$5,929,689.00	\$456,755.00	\$6,386,444.00	\$1,669,832.80	\$8,056,276.80	\$6,240,969.80	\$1,815,307.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 5	CONTRACT OBLIGATION FOR SFY 2024							
	8/10/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement
								%
1. OAA ADMIN. III C-1	\$284,130.00		\$284,130.00	\$0.00	\$284,130.00	\$213,097.00	\$71,033.00	25.00%
2. OAA ADMIN. III-E	\$36,195.00		\$36,195.00	\$0.00	\$36,195.00	\$27,146.00	\$9,049.00	25.00%
3. SSBG ADMIN.	\$60,079.00		\$60,079.00	\$0.00	\$60,079.00	\$60,079.00	\$0.00	
4. TITLE III-B	\$649,366.00		\$649,366.00	\$0.00	\$649,366.00	\$613,290.00	\$36,076.00	5.56%
5. TITLE III-C1	\$557,876.00		\$557,876.00	\$0.00	\$557,876.00	\$526,883.00	\$30,993.00	5.56%
6. TITLE III-C2	\$662,358.00		\$662,358.00	\$0.00	\$662,358.00	\$625,560.00	\$36,798.00	5.56%
7. TITLE III-D	\$40,596.00		\$40,596.00	\$0.00	\$40,596.00	\$40,596.00	\$0.00	
8. TITLE III-E CAREGIVER	\$266,191.00		\$266,191.00	\$0.00	\$266,191.00	\$266,191.00	\$0.00	
9. NSIP	\$119,910.00		\$119,910.00	\$0.00	\$119,910.00	\$119,910.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$3,340.00		\$3,340.00	\$0.00	\$3,340.00	\$3,340.00	\$0.00	
11. TITLE VII FED. OMB	\$32,955.00		\$32,955.00	\$0.00	\$32,955.00	\$32,955.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$280,467.23		\$280,467.23	\$0.00	\$280,467.23	\$0.00	\$280,467.23	
13. STATE OMBUDSMAN	\$37,080.00		\$37,080.00	\$0.00	\$37,080.00	\$0.00	\$37,080.00	
14. STATE OMB. VISITATION	\$18,920.00		\$18,920.00	\$0.00	\$18,920.00	\$0.00	\$18,920.00	
15. STATE RESPITE	\$22,695.00		\$22,695.00	\$0.00	\$22,695.00	\$0.00	\$22,695.00	
16. STATE DIRECT CARE WORKER	\$139,883.00		\$139,883.00	\$0.00	\$139,883.00	\$0.00	\$139,883.00	
17. S.H.I.P.	\$43,861.00		\$43,861.00	\$0.00	\$43,861.00	\$43,861.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$40,089.00		\$40,089.00	\$0.00	\$40,089.00	\$40,089.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$385,135.00		\$385,135.00	\$0.00	\$385,135.00	\$385,135.00	\$0.00	
20. SSBG - SERVICES	\$540,707.00		\$540,707.00	\$0.00	\$540,707.00	\$540,707.00	\$0.00	
21. MIPPA SHIP	\$2,864.00		\$2,864.00	\$0.00	\$2,864.00	\$2,864.00	\$0.00	
22. MIPPA AAA	\$2,461.00		\$2,461.00	\$0.00	\$2,461.00	\$2,461.00	\$0.00	
23. MIPPA ADRC	\$1,100.00		\$1,100.00	\$0.00	\$1,100.00	\$1,100.00	\$0.00	
24. ARPA III-B	\$3,427.41		\$3,427.41	\$72,926.50	\$76,353.91	\$72,112.00	\$4,241.91	5.56%
25. ARPA III-C1	\$1,085.30		\$1,085.30	\$76,029.92	\$77,115.22	\$72,831.01	\$4,284.21	5.56%
26. ARPA III-C1 ADMIN	\$913.34		\$913.34	\$97,453.33	\$98,366.67	\$73,775.01	\$24,591.66	25.00%
27. ARPA III-C2	\$2,693.65		\$2,693.65	\$148,553.01	\$151,246.66	\$142,844.00	\$8,402.66	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$22,750.00	\$22,750.00	\$22,750.00	\$0.00	
29. ARPA III-E	\$804.00		\$804.00	\$106,812.00	\$107,616.00	\$107,616.00	\$0.00	
30. ARPA III-E ADMIN.	\$108.00		\$108.00	\$14,405.33	\$14,513.33	\$10,885.00	\$3,628.33	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$7,715.00	\$7,715.00	\$7,715.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$20,000.00	\$20,000.00	\$20,000.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$400,000.00		\$400,000.00	\$0.00	\$400,000.00	\$0.00	\$400,000.00	
35. STATE ONE-TIME FUNDING		\$349,706.00	\$349,706.00	\$0.00	\$349,706.00	\$0.00	\$349,706.00	
TOTAL	\$4,637,289.93	\$349,706.00	\$4,986,995.93	\$566,645.09	\$5,553,641.02	\$4,075,792.02	\$1,477,849.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 6	CONTRACT OBLIGATION FOR SFY 2024							
	8/10/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024	SFY2024	SFY2024	SFY2024	Requirement
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	%
1. OAA ADMIN. III C-1	\$205,946.00		\$205,946.00	\$0.00	\$205,946.00	\$154,459.00	\$51,487.00	25.00%
2. OAA ADMIN. III-E	\$22,332.00		\$22,332.00	\$0.00	\$22,332.00	\$16,749.00	\$5,583.00	25.00%
3. SSBG ADMIN.	\$67,684.00		\$67,684.00	\$0.00	\$67,684.00	\$67,684.00	\$0.00	
4. TITLE III-B	\$441,142.00		\$441,142.00	\$0.00	\$441,142.00	\$416,634.00	\$24,508.00	5.56%
5. TITLE III-C1	\$380,895.00		\$380,895.00	\$0.00	\$380,895.00	\$359,734.00	\$21,161.00	5.56%
6. TITLE III-C2	\$443,217.00		\$443,217.00	\$0.00	\$443,217.00	\$418,594.00	\$24,623.00	5.56%
7. TITLE III-D	\$27,061.00		\$27,061.00	\$0.00	\$27,061.00	\$27,061.00	\$0.00	
8. TITLE III-E CAREGIVER	\$177,443.00		\$177,443.00	\$0.00	\$177,443.00	\$177,443.00	\$0.00	
9. NSIP	\$107,038.00		\$107,038.00	\$0.00	\$107,038.00	\$107,038.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$2,227.00		\$2,227.00	\$0.00	\$2,227.00	\$2,227.00	\$0.00	
11. TITLE VII FED. OMB	\$21,968.00		\$21,968.00	\$0.00	\$21,968.00	\$21,968.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$338,786.64		\$338,786.64	\$0.00	\$338,786.64	\$0.00	\$338,786.64	
13. STATE OMBUDSMAN	\$35,207.00		\$35,207.00	\$0.00	\$35,207.00	\$0.00	\$35,207.00	
14. STATE OMB. VISITATION	\$20,793.00		\$20,793.00	\$0.00	\$20,793.00	\$0.00	\$20,793.00	
15. STATE RESPITE	\$19,628.00		\$19,628.00	\$0.00	\$19,628.00	\$0.00	\$19,628.00	
16. STATE DIRECT CARE WORKER	\$95,195.00		\$95,195.00	\$0.00	\$95,195.00	\$0.00	\$95,195.00	
17. S.H.I.P.	\$25,063.00		\$25,063.00	\$0.00	\$25,063.00	\$25,063.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$22,908.00		\$22,908.00	\$0.00	\$22,908.00	\$22,908.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$235,135.00		\$235,135.00	\$0.00	\$235,135.00	\$235,135.00	\$0.00	
20. SSBG - SERVICES	\$609,154.00		\$609,154.00	\$0.00	\$609,154.00	\$609,154.00	\$0.00	
21. MIPPA SHIP	\$1,638.00		\$1,638.00	\$0.00	\$1,638.00	\$1,638.00	\$0.00	
22. MIPPA AAA	\$1,406.00		\$1,406.00	\$0.00	\$1,406.00	\$1,406.00	\$0.00	
23. MIPPA ADRC	\$634.00		\$634.00	\$0.00	\$634.00	\$634.00	\$0.00	
24. ARPA III-B	\$2,295.53		\$2,295.53	\$0.00	\$2,295.53	\$2,168.00	\$127.53	5.56%
25. ARPA III-C1	\$727.41		\$727.41	\$0.00	\$727.41	\$687.00	\$40.41	5.56%
26. ARPA III-C1 ADMIN	\$562.67		\$562.67	\$38,666.67	\$39,229.34	\$29,422.01	\$9,807.34	25.00%
27. ARPA III-C2	\$1,807.41		\$1,807.41	\$0.00	\$1,807.41	\$1,707.00	\$100.41	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
29. ARPA III-E	\$540.00		\$540.00	\$15,000.00	\$15,540.00	\$15,540.00	\$0.00	
30. ARPA III-E ADMIN.	\$66.67		\$66.67	\$0.00	\$66.67	\$50.00	\$16.67	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$32,020.08	\$32,020.08	\$32,020.08	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$240,000.00		\$240,000.00	\$0.00	\$240,000.00	\$0.00	\$240,000.00	
35. STATE ONE-TIME FUNDING		\$237,989.00	\$237,989.00	\$0.00	\$237,989.00	\$0.00	\$237,989.00	
TOTAL	\$3,548,500.33	\$237,989.00	\$3,786,489.33	\$85,686.75	\$3,872,176.08	\$2,747,123.08	\$1,125,053.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 7	CONTRACT OBLIGATION FOR SFY 2024							
	8/10/2023	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement
								%
1. OAA ADMIN. III C-1	\$234,732.00		\$234,732.00	\$0.00	\$234,732.00	\$176,049.00	\$58,683.00	25.00%
2. OAA ADMIN. III-E	\$27,436.00		\$27,436.00	\$0.00	\$27,436.00	\$20,577.00	\$6,859.00	25.00%
3. SSBG ADMIN.	\$3,148.00		\$3,148.00	\$0.00	\$3,148.00	\$3,148.00	\$0.00	
4. TITLE III-B	\$518,685.00		\$518,685.00	\$0.00	\$518,685.00	\$489,869.00	\$28,816.00	5.56%
5. TITLE III-C1	\$473,058.00		\$473,058.00	\$0.00	\$473,058.00	\$446,777.00	\$26,281.00	5.56%
6. TITLE III-C2	\$506,442.00		\$506,442.00	\$0.00	\$506,442.00	\$478,306.00	\$28,136.00	5.56%
7. TITLE III-D	\$31,860.00		\$31,860.00	\$0.00	\$31,860.00	\$31,860.00	\$0.00	
8. TITLE III-E CAREGIVER	\$213,546.00		\$213,546.00	\$0.00	\$213,546.00	\$213,546.00	\$0.00	
9. NSIP	\$219,249.00		\$219,249.00	\$0.00	\$219,249.00	\$219,249.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$2,919.00		\$2,919.00	\$0.00	\$2,919.00	\$2,919.00	\$0.00	
11. TITLE VII FED. OMB	\$25,842.00		\$25,842.00	\$0.00	\$25,842.00	\$25,842.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$9,734.51		\$9,734.51	\$0.00	\$9,734.51	\$0.00	\$9,734.51	
13. STATE OMBUDSMAN	\$30,000.00		\$30,000.00	\$0.00	\$30,000.00	\$0.00	\$30,000.00	
14. STATE OMB. VISITATION	\$12,000.00		\$12,000.00	\$0.00	\$12,000.00	\$0.00	\$12,000.00	
15. STATE RESPITE	\$6,372.00		\$6,372.00	\$0.00	\$6,372.00	\$0.00	\$6,372.00	
16. STATE DIRECT CARE WORKER	\$113,745.00		\$113,745.00	\$0.00	\$113,745.00	\$0.00	\$113,745.00	
17. S.H.I.P.	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
20. SSBG - SERVICES	\$28,329.00		\$28,329.00	\$0.00	\$28,329.00	\$28,329.00	\$0.00	
21. MIPPA SHIP	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
22. MIPPA AAA	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
23. MIPPA ADRC	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
24. ARPA III-B	\$2,647.06		\$2,647.06	\$101,169.40	\$103,816.46	\$98,048.83	\$5,767.63	5.56%
25. ARPA III-C1	\$909.53		\$909.53	\$89,254.73	\$90,164.26	\$85,155.09	\$5,009.17	5.56%
26. ARPA III-C1 ADMIN	\$690.67		\$690.67	\$47,850.72	\$48,541.39	\$36,406.04	\$12,135.35	25.00%
27. ARPA III-C2	\$2,064.71		\$2,064.71	\$159,376.24	\$161,440.95	\$152,471.93	\$8,969.02	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
29. ARPA III-E	\$622.00		\$622.00	\$0.00	\$622.00	\$622.00	\$0.00	
30. ARPA III-E ADMIN.	\$81.34		\$81.34	\$0.00	\$81.34	\$61.02	\$20.33	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$284,363.00		\$284,363.00	\$0.00	\$284,363.00	\$0.00	\$284,363.00	
35. STATE ONE-TIME FUNDING		\$284,363.00	\$284,363.00	\$0.00	\$284,363.00	\$0.00	\$284,363.00	
TOTAL	\$2,748,475.82	\$284,363.00	\$3,032,838.82	\$397,651.09	\$3,430,489.91	\$2,509,235.91	\$921,254.00	

DIVISION OF AGING & ADULT SERVICES								
REGION 8	CONTRACT OBLIGATION FOR SFY 2024							
	8/10/23	INCREASE	REVISED	FEDERAL	TOTAL	TOTAL	TOTAL	Match
	SUBTOTAL	(DECREASE)	SUBTOTAL	CARRYOVER				
	SFY2024	SFY2024	SFY2024	SFY2024				
	ALERTS	ALERTS	ALERTS	WITH 2023 MATCH	ALERTS	Federal	State	Requirement
								%
1. OAA ADMIN. III C-1	\$222,348.00		\$222,348.00	\$0.00	\$222,348.00	\$166,761.00	\$55,587.00	25.00%
2. OAA ADMIN. III-E	\$25,240.00		\$25,240.00	\$0.00	\$25,240.00	\$18,930.00	\$6,310.00	25.00%
3. SSBG ADMIN.	\$3,391.00		\$3,391.00	\$0.00	\$3,391.00	\$3,391.00	\$0.00	
4. TITLE III-B	\$422,919.00		\$422,919.00	\$0.00	\$422,919.00	\$399,423.00	\$23,496.00	5.56%
5. TITLE III-C1	\$365,096.00		\$365,096.00	\$0.00	\$365,096.00	\$344,813.00	\$20,283.00	5.56%
6. TITLE III-C2	\$425,141.00		\$425,141.00	\$0.00	\$425,141.00	\$401,522.00	\$23,619.00	5.56%
7. TITLE III-D	\$25,961.00		\$25,961.00	\$0.00	\$25,961.00	\$25,961.00	\$0.00	
8. TITLE III-E CAREGIVER	\$170,230.00		\$170,230.00	\$0.00	\$170,230.00	\$170,230.00	\$0.00	
9. NSIP	\$84,108.00		\$84,108.00	\$0.00	\$84,108.00	\$84,108.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$2,135.00		\$2,135.00	\$0.00	\$2,135.00	\$2,135.00	\$0.00	
11. TITLE VII FED. OMB	\$21,073.00		\$21,073.00	\$0.00	\$21,073.00	\$21,073.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$27,268.13		\$27,268.13	\$0.00	\$27,268.13	\$0.00	\$27,268.13	
13. STATE OMBUDSMAN	\$30,000.00		\$30,000.00	\$0.00	\$30,000.00	\$0.00	\$30,000.00	
14. STATE OMB. VISITATION	\$12,000.00		\$12,000.00	\$0.00	\$12,000.00	\$0.00	\$12,000.00	
15. STATE RESPITE	\$6,372.00		\$6,372.00	\$0.00	\$6,372.00	\$0.00	\$6,372.00	
16. STATE DIRECT CARE WORKER	\$92,554.00		\$92,554.00	\$0.00	\$92,554.00	\$0.00	\$92,554.00	
17. S.H.I.P.	\$19,380.00		\$19,380.00	\$0.00	\$19,380.00	\$19,380.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$17,181.00		\$17,181.00	\$0.00	\$17,181.00	\$17,181.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
20. SSBG - SERVICES	\$30,523.00		\$30,523.00	\$0.00	\$30,523.00	\$30,523.00	\$0.00	
21. MIPPA SHIP	\$824.00		\$824.00	\$0.00	\$824.00	\$824.00	\$0.00	
22. MIPPA AAA	\$703.00		\$703.00	\$0.00	\$703.00	\$703.00	\$0.00	
23. MIPPA ADRC	\$317.00		\$317.00	\$0.00	\$317.00	\$317.00	\$0.00	
24. ARPA III-B	\$2,160.00		\$2,160.00	\$0.00	\$2,160.00	\$2,040.00	\$120.00	5.56%
25. ARPA III-C1	\$682.94		\$682.94	\$0.00	\$682.94	\$645.00	\$37.94	5.56%
26. ARPA III-C1 ADMIN	\$637.34		\$637.34	\$133,333.33	\$133,970.67	\$100,478.01	\$33,492.66	25.00%
27. ARPA III-C2	\$1,693.06		\$1,693.06	\$317,647.21	\$319,340.27	\$301,599.00	\$17,741.27	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
29. ARPA III-E	\$507.00		\$507.00	\$0.00	\$507.00	\$507.00	\$0.00	
30. ARPA III-E ADMIN.	\$76.00		\$76.00	\$0.00	\$76.00	\$57.00	\$19.00	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$0.00		\$0.00	\$89,839.00	\$89,839.00	\$89,839.00	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$231,385.00		\$231,385.00	\$0.00	\$231,385.00	\$0.00	\$231,385.00	
35. STATE ONE-TIME FUNDING		\$231,385.00	\$231,385.00	\$0.00	\$231,385.00	\$0.00	\$231,385.00	
TOTAL	\$2,241,905.47	\$231,385.00	\$2,473,290.47	\$540,819.54	\$3,014,110.01	\$2,202,440.01	\$811,670.00	

DIVISION OF AGING & ADULT SERVICES								
	CONTRACT OBLIGATION FOR SFY 2024							
	8/10/2023	INCREASE	REVISED	2023	TOTAL SFY2024 ALERTS	TOTAL SFY2024 Federal	TOTAL SFY2024 State	Match Requirement %
	SUBTOTAL	(DECREASE)	SUBTOTAL	FEDERAL				
	SFY2024	SFY2024	SFY2024	CARRYOVER				
STATE TOTAL	ALERTS	ALERTS	ALERTS	WITH 2024 MATCH				
1. OAA ADMIN. III C-1	\$3,875,347.00		\$3,875,347.00	\$0.00	\$3,875,347.00	\$2,906,508.00	\$968,839.00	25.00%
2. OAA ADMIN. III-E	\$573,669.00		\$573,669.00	\$0.00	\$573,669.00	\$430,250.00	\$143,419.00	25.00%
3. SSBG ADMIN.	\$794,202.00		\$794,202.00	\$0.00	\$794,202.00	\$794,202.00	\$0.00	
4. TITLE III-B	\$9,272,636.00		\$9,272,636.00	\$0.00	\$9,272,636.00	\$8,757,486.00	\$515,150.00	5.56%
5. TITLE III-C1	\$7,954,187.00		\$7,954,187.00	\$0.00	\$7,954,187.00	\$7,512,285.00	\$441,902.00	5.56%
6. TITLE III-C2	\$9,575,347.00		\$9,575,347.00	\$0.00	\$9,575,347.00	\$9,043,379.00	\$531,968.00	5.56%
7. TITLE III-D	\$589,842.00		\$589,842.00	\$0.00	\$589,842.00	\$589,842.00	\$0.00	
8. TITLE III-E CAREGIVER	\$3,872,251.00		\$3,872,251.00	\$0.00	\$3,872,251.00	\$3,872,251.00	\$0.00	
9. NSIP	\$1,875,370.00		\$1,875,370.00	\$0.00	\$1,875,370.00	\$1,875,370.00	\$0.00	
10. TITLE VII ELDER ABUSE	\$48,824.00		\$48,824.00	\$0.00	\$48,824.00	\$48,824.00	\$0.00	
11. TITLE VII FED. OMB	\$478,789.00		\$478,789.00	\$0.00	\$478,789.00	\$478,789.00	\$0.00	
12. STATE IND. LIVING SUPPORTS	\$3,940,438.14		\$3,940,438.14	\$0.00	\$3,940,438.14	\$0.00	\$3,940,438.14	
13. STATE OMBUDSMAN	\$814,116.00		\$814,116.00	\$0.00	\$814,116.00	\$0.00	\$814,116.00	
14. STATE OMB. VISITATION	\$1,000,000.00		\$1,000,000.00	\$0.00	\$1,000,000.00	\$0.00	\$1,000,000.00	
15. STATE RESPITE	\$462,000.00		\$462,000.00	\$0.00	\$462,000.00	\$0.00	\$462,000.00	
16. STATE DIRECT CARE WORKER	\$2,000,000.00		\$2,000,000.00	\$0.00	\$2,000,000.00	\$0.00	\$2,000,000.00	
17. S.H.I.P.	\$645,986.00		\$645,986.00	\$0.00	\$645,986.00	\$645,986.00	\$0.00	
18. SENIOR MEDICARE PATROL	\$572,699.00		\$572,699.00	\$0.00	\$572,699.00	\$572,699.00	\$0.00	
19. SSBG - HCB WAIT LIST	\$3,800,000.00		\$3,800,000.00	\$0.00	\$3,800,000.00	\$3,800,000.00	\$0.00	
20. SSBG - SERVICES	\$8,324,724.00		\$8,324,724.00	\$0.00	\$8,324,724.00	\$8,324,724.00	\$0.00	
21. MIPPA SHIP	\$40,901.00		\$40,901.00	\$0.00	\$40,901.00	\$40,901.00	\$0.00	
22. MIPPA AAA	\$35,054.00		\$35,054.00	\$0.00	\$35,054.00	\$35,054.00	\$0.00	
23. MIPPA ADRC	\$15,680.00		\$15,680.00	\$0.00	\$15,680.00	\$15,680.00	\$0.00	
24. ARPA III-B	\$49,218.36		\$49,218.36	\$2,521,224.35	\$2,570,442.71	\$2,427,639.19	\$142,803.52	5.56%
25. ARPA III-C1	\$15,626.13		\$15,626.13	\$1,856,580.27	\$1,872,206.40	\$1,768,194.10	\$104,012.30	5.56%
26. ARPA III-C1 ADMIN	\$14,470.71		\$14,470.71	\$914,804.05	\$929,274.76	\$696,956.10	\$232,318.66	25.00%
27. ARPA III-C2	\$38,571.90		\$38,571.90	\$2,004,064.79	\$2,042,636.69	\$1,929,155.96	\$113,480.73	5.56%
28. ARPA III-D	\$0.00		\$0.00	\$355,733.25	\$355,733.25	\$355,733.25	\$0.00	
29. ARPA III-E	\$11,537.00		\$11,537.00	\$536,571.33	\$548,108.33	\$548,108.33	\$0.00	
30. ARPA III-E ADMIN.	\$1,709.36		\$1,709.36	\$103,701.33	\$105,410.69	\$79,058.04	\$26,352.65	25.00%
31. ARPA VII FED OMB.	\$0.00		\$0.00	\$164,728.00	\$164,728.00	\$164,728.00	\$0.00	
32. PUBLIC HEALTH WORKFORCE	\$45,000.00		\$45,000.00	\$222,859.08	\$267,859.08	\$267,859.08	\$0.00	
33. ACCESS VACCINE	\$0.00		\$0.00	\$24,867.00	\$24,867.00	\$24,867.00	\$0.00	
34. STATE AGING HOUSING ASSISTANCE	\$5,000,000.00		\$5,000,000.00	\$0.00	\$5,000,000.00	\$0.00	\$5,000,000.00	
35. STATE ONE-TIME FUNDING		\$5,000,000.00	\$5,000,000.00	\$0.00	\$5,000,000.00	\$0.00	\$5,000,000.00	
TOTAL	\$65,738,195.60	\$5,000,000.00	\$70,738,195.60	\$8,705,133.45	\$79,443,329.05	\$58,006,529.05	\$21,436,800.00	

DIVISION OF AGING & ADULT SERVICES							
CONTRACT OBLIGATION FOR SFY 2024							
	8/10/2023	INCREASE	REVISED	2023			
	SUBTOTAL	(DECREASE)	SUBTOTAL	FEDERAL	TOTAL	TOTAL	TOTAL
	SFY2024	SFY2024	SFY2024	CARRYOVER	SFY2024	SFY2024	SFY2024
STATE TOTAL	ALERTS	ALERTS	ALERTS	WITH 2024 MATCH	ALERTS	Federal	State
OLDER AMERICANS ACT	\$36,240,892.00		\$36,240,892.00	\$0.00	\$36,240,892.00	\$33,639,614.00	\$2,601,278.00
STATE (ILS, RSP, OMB, DCW, AHA)	\$13,216,554.14	\$5,000,000.00	\$18,216,554.14	\$0.00	\$18,216,554.14	\$0.00	\$18,216,554.14
SSBG	\$9,118,926.00		\$9,118,926.00	\$0.00	\$9,118,926.00	\$9,118,926.00	\$0.00
SSBG - HCB WAIT LIST	\$3,800,000.00		\$3,800,000.00	\$0.00	\$3,800,000.00	\$3,800,000.00	\$0.00
S.H.I.P./SENIOR PATROL	\$1,218,685.00		\$1,218,685.00	\$0.00	\$1,218,685.00	\$1,218,685.00	\$0.00
NSIP	\$1,875,370.00		\$1,875,370.00	\$0.00	\$1,875,370.00	\$1,875,370.00	\$0.00
MIPPA	\$91,635.00		\$91,635.00	\$0.00	\$91,635.00	\$91,635.00	\$0.00
ARPA	\$131,133.46		\$131,133.46	\$8,457,407.37	\$8,588,540.83	\$7,969,572.97	\$618,967.86
PUBLIC HEALTH WORKFORCE	\$45,000.00		\$45,000.00	\$222,859.08	\$267,859.08	\$267,859.08	\$0.00
ACCESS VACCINE	\$0.00		\$0.00	\$24,867.00	\$24,867.00	\$24,867.00	\$0.00
TOTAL	\$65,738,195.60	\$5,000,000.00	\$70,738,195.60	\$8,705,133.45	\$79,443,329.05	\$58,006,529.05	\$21,436,800.00
1. ALERT 24-1A	TITLE III/VI PLANNING LEVELS FOR SFY 2024						3/29/2023
2. ALERT 24-2/2A	SSBG ALLOCATION FOR SFY 2024 / SSBG WAITLIST ALLOCATION FOR SFY2024						3/29/2023
3. ALERT 24-3D	\$5M STATE ONE-TIME FUNDING ALLOCATION						8/15/2023
4. ALERT 24-6	NSIP ALLOCATIONS FOR SFY 2024						3/29/2023
5. ALERT 24-7A	SENIOR PATROL ADDITIONAL ALLOCATIONS FOR SFY 2024						5/19/2023
6. ALERT 24-9C	EXPANDING PUBLIC HEALTH WORKFORCE FOR SFY 2024 / Increased ARPA Title Funding						5/19/2023
7. ALERT 24-11	MIPPA 2 MO. ALLOCATIONS FOR FY 2024						3/29/2023



Northern Arizona Council of Governments
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Memorandum:

Date: October 11, 2023

To: Regional Council Members

From: Teri Drew, Regional Director

Subject: Economic Development Updates

At the recent Economic Development Council meeting, held on October 5, 2023, discussion and development centered on the following topics:

- **Goals and Priorities List 2024**

The 2023 Goals and Priorities List was finalized in April 2023. The EDC is preparing to release the projects list for 2024. Notifications will be sent out to communities soon to identify which priority projects to include or those that need to be removed. Establishing a Goals and Priorities List align with EDA's priorities and are potential projects for EDA investments.

- **CEDS Update**

One of the primary roles and requirements of the Economic Development Council is to produce a Comprehensive Economic Development Strategy (CEDS). The EDC is starting to prepare for the 2026 CEDS submission appointing Eric Marcus, Director of Social Determinants of Health & Grants Administrator with Blue Cross Blue Shield, as the CEDS Committee Chairman. Mr. Marcus and I will begin to lay the groundwork for the CEDS this month with an official kick-off in January of 2024.

- **Tourism Update**

The Tourism Committee met in September 2023 and will be bringing back Tourism Forums. This halted during the COVID pandemic, with the next Forum to be held in Yavapai County. The Verde Valley will be highlighted and the Forum is tentatively planned July 17-18, 2024. Additionally, the Committee will still continue with Lunch and Learn planned for January 24, 2024, with a virtual presentation from a Business Attorney who will speak to industry employers about basic business law and tax reporting. The Lunch and Learn will be a free event. Notifications on the Tourism Forum and Lunch and Learn will be sent soon.

- **Broadband Update**

The Broadband Working Group met in September 2023 with much around challenges obtaining permits to begin construction with federal partners. This has been a time sensitive issue and my hope is to have some response from our partners at Bureau of Land Management and National Forest Service very soon.

The Broadband Occupational Committee continues to meet to develop a 4-county collaboration to build a talent pipeline that meets the future needs of business and industry. All three colleges will come back this month with specifics they need to begin training this year.

☒ Information Only
☐ Motion to Approve-Consent Agenda



Memorandum:

Date: October 12, 2023

To: Regional Council Members

From: Jennifer Brown, Head Start Director

Subject: Head Start & Early Head Start Update

At our last meeting we discussed our need to take a strong look at the need for a possible change in scope application to be submitted to our regional office in San Francisco. After hearing from our governing body members, we realized we needed to be extremely careful and cautious in where we decrease Head Start enrollment slots for children and families. We have made some decisions that we feel will be a very possible solution to the current staffing crisis we face each day. Your program has chosen to reduce very minimally across the service region.

As you may recall, a Change of Scope Application is a request to reduce funded enrollment or convert Head Start slots to Early Head Start slots .

§ Section 640(g)(3) allows programs to propose a reduction to funded enrollment to maintain quality of program services.

§ Section 645(a)(5) permits programs to convert Head Start slots to Early Head Start slots to better meet community need Recipients may submit a change in scope request through the Head Start Enterprise System (HSES) at any time.

Required information around community assessment and self-assessment data, staffing and training, wage study information, service delivery options, equity, budget, and support of both the policy council and the governing body are all required prior to submission to the regional office where they have up to 90 days to make final determination.

We have completed all the necessary data gathering and have decided on two locations for slot reduction. The first is the Coconino County Locally Designed Option (LDO) that has 12 enrollment slots for children attached to it. This program was written into existence in 2019 and funded in 2020. Since that time, we have not had any applicants for the Coconino County LDO since it opened in 2022-2023. We also do not have a teacher for the program, nor have we had any applicants at all since we began advertising in 2021. This closure will have a net zero impact on our budget. No children will be disenrolled nor will staff be termed as the program has been unsuccessful.

Next, we discussed Ashfork center-based program closing until we could identify a quality workforce and enrollment needs for that community. Hearing concerns that were voiced in our last council meeting your Head Start team got creative. We feel we have landed on a very fair option for continuing to support that community while pooling our resources and ensuring no gap of services for our families, children and staff.

We will change the scope of service delivery in Ashfork to create its own LDO-locally designed option. In this option we will serve 12 families with two home visits per month and weekly Friday class time for the families. We will share the staff from Ashfork and Williams to ensure our success and continuation of services. No currently enrolled child will be dropped from services, nor will any staff be laid off. The best of both worlds. The current Early Head Start Home based Visitor will convert to a preschool Home-Based Teacher and will be the lead in this LDO option.

This application will be submitted this week.

Finally, we want to address the state of Head Start from both a state and national level. At a state level with the uncertainty of budgets we have been directed from our state association to maintain three main messages in the community:

Children and Families Above All!

- No matter the length, a federal shutdown has the potential to unleash a wave of uncertainty and hardship on the children and their families who depend on Early Head Start and Head Start as well as our workforce.
- Putting children and families at risk doesn't help anything. A shutdown should never be used as a negotiating ploy.

Lift Up Funding Issues:

- This entirely avoidable situation is yet another punch to families and providers who are already at a breaking point.
- Congress must come together on an agreement as quickly as possible—to ensure Head Start classrooms stay open and to provide the Head Start workforce with no less than a cost-of-living adjustment in-line with inflation in fiscal year 2024.

From the National Head Start Association we find they are supporting the following Legislation:

- Head Start for our Future Act, this bill adds child development and early learning to the list of community services in which undergraduate, graduate, and professional students may participate under a federal work study program. We support this bill H. R. 656: Head Start for Our Future Act.
- HR 4541: RISE from Trauma Act is fully supported by the Head Start communities. This act increases funding for community-based efforts to prevent and heal the impact of trauma and expand the trauma-informed workforce in school, health, social service, and judicial settings.
- S 2832: A bill to amend the Head Start Act to permit some teachers in Early Head Start programs to teach while earning a child development associate credential. With e workforce shortage we continue to experience allowing us to grow our own is critical and as the act currently reads, we are unable to afford those opportunities to interested parties.

While this list is not meant to be exhaustive, these are the main bills we need to have full support in the Head Start community. Please help us to advocate for the right things to keep our program of high-quality flourishing for each community we serve.

xx	Information Only
	Motion to Approve

Recommended Motion: Move to approve agenda item(s) name(s) as presented.



Memorandum:

Date: October 12, 2023

To: Regional Council Members

From: Chris Fetzner, Executive Director

Subject: Private Sector Elections

Each year at the annual meeting in June the Regional Council appoints two private sector representatives to the Council from each county in the region. Private sector representatives serve one-year terms and may serve successive terms without limit. Each county has two seats, and the representatives must be non-elected officials and must represent industries such as utilities, education, healthcare, economic development, banking or small business.

The current representatives are:

Apache County:	Vacant – 2 seats
Navajo County:	Scott Waddle, Small Business Vacant – 1 seat
Coconino County:	Janet Dean, Utilities Brady Harris, Small Business
Yavapai County:	Bill Regner, Small Business Jennifer Perry, Non-profit

As noted above, there are currently three vacant seats - two in Apache County and one in Navajo County. The Regional Council meeting agenda includes an item for the election of private sector representatives for the remainder of the FY24 term. NACOG staff is available to convene meetings of the Regional Council members from a specific county to facilitate discussion of potential private sector representatives. Please contact Cindy Binkley or me for assistance.

☐	Information Only
☒	Motion to Approve

Recommended Motion: Move to elect _____ from _____ County for the remainder of the FY24 Private Sector representative term.

<u>Date</u>	<u>Location</u>
October 26, 2023	High Country Conference Center - Flagstaff, AZ
February 22, 2024	Zoom Teleconference
April 25, 2024	Northern AZ Center for Entrepreneurship & Technology - Flagstaff, AZ
June 27, 2024	Northern AZ Center for Entrepreneurship & Technology - Flagstaff, AZ
August 22, 2024	Northern AZ Center for Entrepreneurship & Technology - Flagstaff, AZ
October 24, 2024	Northern AZ Center for Entrepreneurship & Technology - Flagstaff, AZ
Time:	Executive Committee 8:30AM – 9:30AM Regular Session 10:00AM – 12:00PM Lunch 12:00PM – 1:00PM

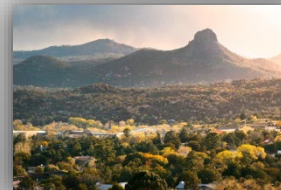
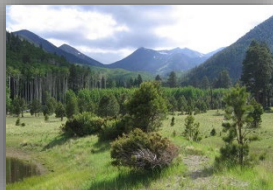
High Country Conference Center
201 West Butler Ave.
Flagstaff, Arizona
(928) 523-7778

NACET
2201 North Gemini Rd.
Flagstaff, Arizona 86001
(928) 213-9234

NACOG Regional Council Meeting

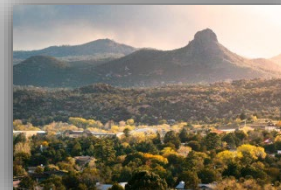
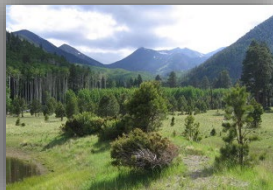
Thursday, August 24, 2023

10:00 A.M. – 12:00 P.M.



I. Call to Order & Introductions

Welcome



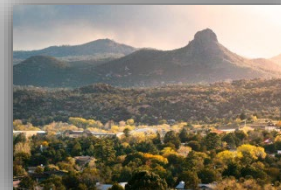
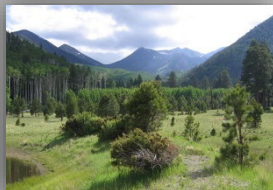
NACOG
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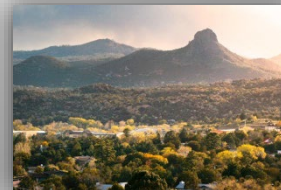
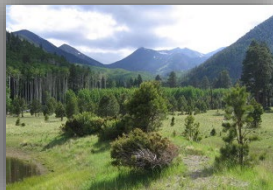


II. Title VI Assurance

NACOG hereby gives public notice that it is the Agency's policy to assure full compliance with Title VI of the Civil Rights Act of 1964, Title II of the Americans with Disabilities Act of 1990 and other related authorities in all of its programs and activities. NACOG's Title VI and ADA programs require that no person shall, on the grounds of race, color, national origin, or disability, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity.



III. Pledge of Allegiance



NACOG

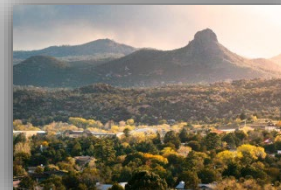
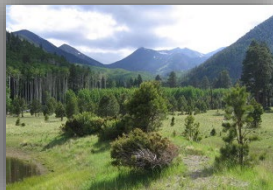
Northern Arizona
Council of Governments

Apache • Coconino • Navajo • Page 105 of 488



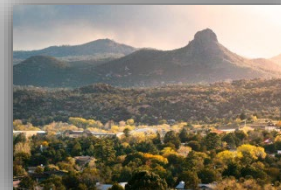
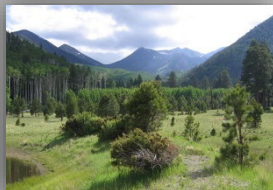
IV. Consent Agenda

- Approve August 24, 2023 Regional Council Meeting Minutes
- Approve EDC Membership Considerations



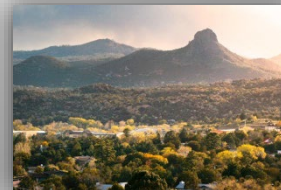
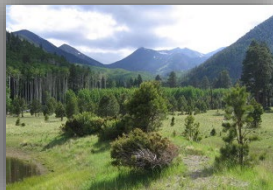
V. Executive Director's Report

- Approve Amended NACOG Regional Council Bylaws



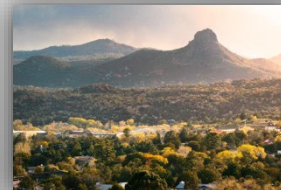
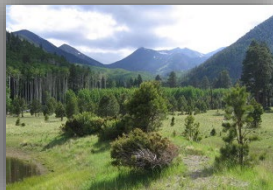
Joint Legislative Day with Central Arizona Governments

- Late January or Early February 2023
- Developing priorities with NACOG Executive Committee and CAG
- Additional info to follow once date is set



Federal Shutdown

- Who knows??
- NACOG programs not directly impacted, operations will continue during a shutdown.
- Long-term may be concerning if it affects the ability of the state to issue reimbursements, although this did not occur during the 34-day shutdown (2018-19).



Summary of Regional Council Bylaws

Draft Revisions

Article III – Regional Council

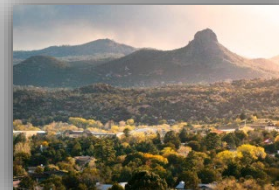
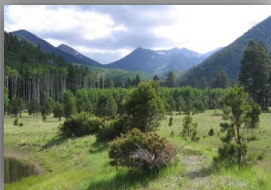
Section 1

- added definition re private representatives
- updated committee reference to Policy Council

ARTICLE III REGIONAL COUNCIL

Section 1

Governing Body: The governing body of NACOG shall be the **REGIONAL COUNCIL** in which the members shall be represented as specified in Article II. In addition to the representatives, the Regional Council shall annually elect up to two (2) individuals from each County to serve as private representatives from that County. These private representatives shall be elected from the nominations submitted by the City, Town, and County representatives from that County. Private representatives shall serve a one (1) year term and shall represent a low income or minority group, or representative organization, or represent the principal economic interests in the Region such as, but not limited to, business, industry, transportation, finance, utilities, education, the professions, agriculture, or labor. Individuals employed by a NACOG member may not serve as a private representative. Also, the Senators from the Arizona Legislature Districts within the confines of NACOG Region III shall each have one non-voting seat and the Representatives from the Arizona Legislature Districts within the confines of NACOG Regional III shall each have one non-voting seat. In addition, the Chairperson of the NACOG Head Start Northern Arizona Child Development Advisory Board (NACDAB) Policy Council (PC) shall have one seat but shall not be eligible to hold an Executive Committee



Summary of Regional Council Bylaws Draft Revisions

Article IV – Calendar, Meetings, and Vote

Section 1

- added “electronic platforms” or hybrid means for conducting meetings
- added “calendar” for clarification

Section 3

- added “electronic platform”

ARTICLE IV CALENDAR, MEETINGS, AND VOTE

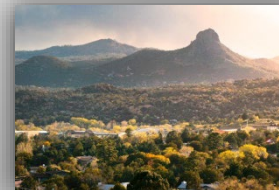
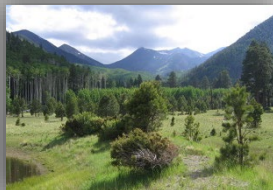
Section 1

Meetings: The following provisions relate to the Regional Council:

1. There shall be six regular meetings of the Regional Council each year. Special meetings of the Regional Council may be called by the Chair or by the delivery or transmission to the Chair, the Vice Chair, or the Executive Director of the written or oral request of one-fourth of the representatives on the Regional Council. Regular or special meetings may be held in person, via electronic platforms, or by a combination of these means. Any of the regular meetings, except the Annual Meeting, can be canceled by the Chair of the Regional Council by the giving of fourteen calendar days written notice, provided, however, that if two or more representatives make written request within seven calendar days of the scheduled date of the meeting, the meeting shall be duly convened.

Section 3

Voting: Each representative shall have one (1) vote. Proxies are allowed. No individual shall carry more than two (2) proxies at any meeting. Representatives or alternates on the Regional Council must be present either in person or via electronic platform, or by duly



Summary of Regional Council Bylaws

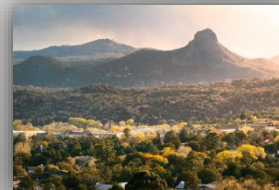
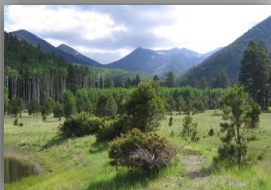
Draft Revisions

Article VI – Executive Director

- added for clarification “per the Executive Director Succession Process.”

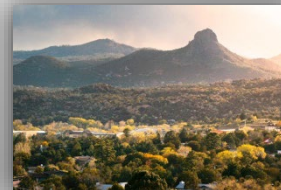
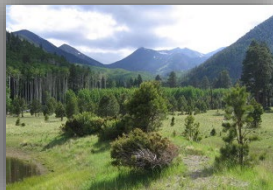
ARTICLE VI EXECUTIVE DIRECTOR

The Regional Council may appoint and employ an Executive Director of NACOG, who shall be authorized to employ such assistants and other employees in such numbers as shall from time to time be determined by the Regional Council. Selection and termination of such assistants and other employees shall be functions of the Executive Director subject to that degree of review or control as may be deemed appropriate by the Regional Council. The Executive Director shall maintain the office, library, and the records of NACOG, and shall perform such additional duties and functions as are specified in this document, or as may be specified by the Regional Council. During times when there is no Executive Director, the duties and functions shall be performed by the Chair of the Regional Council or as delegated per the Executive Director Succession Process.



VI. TPAC Report

- Program Update
- Approve FY24-29 TIP Formal Amendment #1
- Approve Revised 2023 Regional Priority Projects List
- Adopt Regional Transportation Safety Plan Proclamation





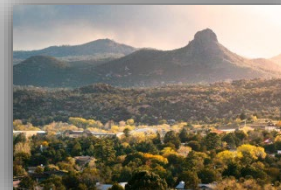
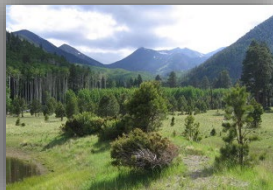
Transportation Program Update

Mike Huff, GIS Transportation Analyst

mhuff@nacog.org

Regional Transportation Safety Plan

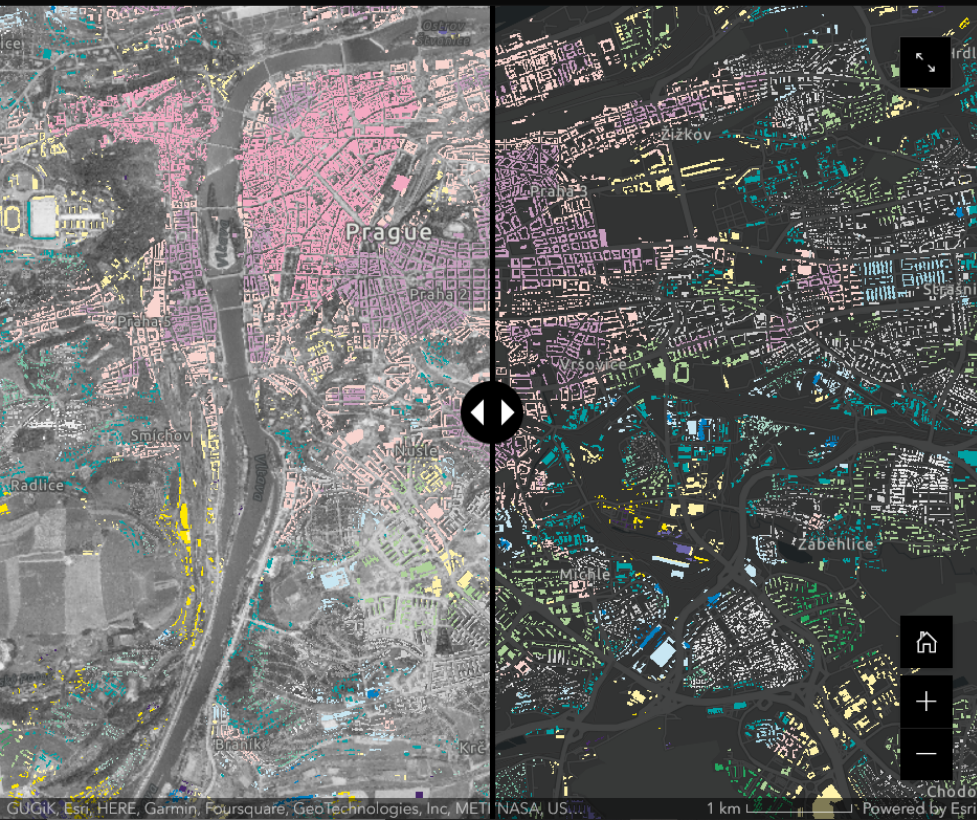
- At the August Regional Council meeting, Greenlight Traffic Engineering presented northern Arizona's crash data, a summary of our public outreach efforts, and the identified safety emphasis areas
- NACOG planning team has worked with member agencies to refine priority project selections, and are currently awaiting feedback from a few final members to ensure the list of projects provided in the RTSP reflects safety priorities at all levels



Regional Transportation Safety Plan

- NACOG planning team is also working on assembling ArcGIS Story Maps for each jurisdiction, condensing the RTSP planning process into a concise, locally-oriented online document

What is urban diversity? Urban patterns of Prague Description of individual bran... What do they capture? Diversity of urban structure Three different views of the



1966

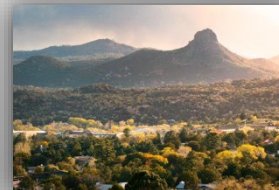
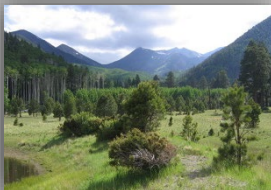
The construction of the second half of the 20th century was already fully in the hands of central planning, which gradually dictated two rings of neighbourhoods in Prague designed mainly for housing according to the then modern standards.

The first ring filled vacant or redeveloped sites adjacent to existing buildings. This is how, for example, the **Jarov** or **Invalidovna (13)** housing estates came into being.

The second ring was much grander and included the largest housing estates in Prague such as **Jižní Město**, **Lužiny (12)**, **Bohnice**, or **Střihov (13)**. This was planned outside the city on "greenfield" land, only in some places having to deal with existing villages. The result is sometimes very surprising contrasts when next to an eight-storey block of flats there is an old cemetery and a few family houses.

Regional Transportation Safety Plan

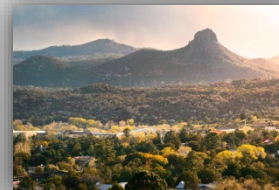
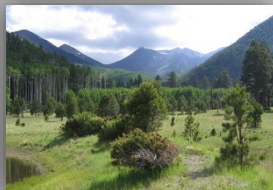
- Regional Council members asked that “Impaired Driving” be added to the list of emphasis areas
- An updated Rural Road Safety Proclamation, including the “Impaired Driving” emphasis area, seeks RC approval
- The Rural Road Safety Proclamation also commits the NACOG region to working toward reaching zero deaths on roadways (by 2048 – 25 years from now) and identifies actions that local jurisdictions can take to work toward meeting that goal



Revised Rural Road Safety Proclamation

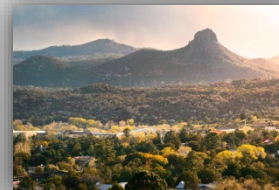
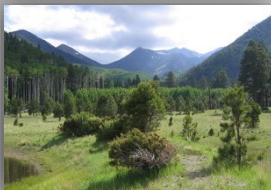
*Approve the revised Rural Road Safety Proclamation
with the change presented.*

Seeking RC Approval

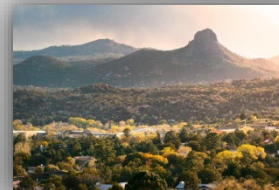
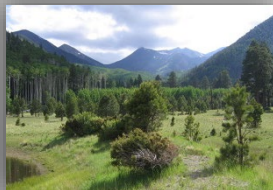


Verde Valley Transportation Safety Plan

- In July 2023, Yavapai County applied for two grants for the Verde Valley Transportation Safety Plan
 - 1) Safe Streets and Roads For All (SS4A) in the amount of \$175,000 for a Safety Action Plan grant
 - 2) AZ SMART Fund award in the amount of \$62,500 for the local match required for the SS4A grant
- AZ State Transportation Board selected Yavapai County for a SMART Fund award on August 18th
- SS4A Grant Award announcements are expected this month

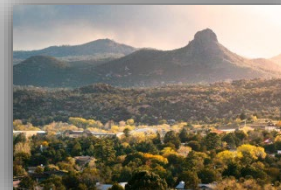
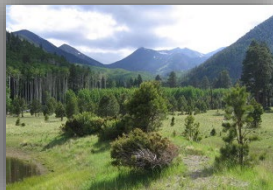


Rural Transportation Summit



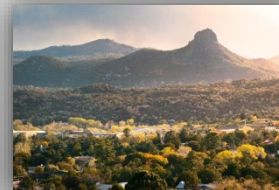
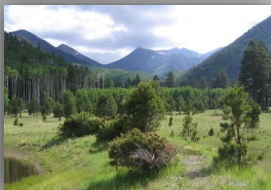
Rural Transportation Summit

- Held in Yuma, AZ on October 18-19, 2023
- Representation from state legislators, FHWA, ADOT, local agency staff, and elected officials (Rep. David Cook and Sen. Theresa Hatathlie from NACOG region)
- Presentations on the funding shortfall for transportation in AZ, grant opportunities and local project needs across Greater Arizona
- Special thanks to Sup. Mallory for presenting the City of Williams' Rodeo Road and Route 66 Project
- Rural Transportation Summit 2024 to be hosted in Prescott, AZ



2023 Regional Priority Projects List

- Due to uncertainties with programming and commitment, ADOT NE District Office requested their two projects be removed. The NACOG team made the following changes:
 - Add Ganado School Bus Loop project, which received a \$1,000,000 appropriation in FY2023 but now needs an additional \$2,155,410 to complete the project
 - Add \$660,468 to Hopi Tribe's Kachina Point Road project
 - Add \$1,500,000 to NACOG's Rural School Bus Route Study to expand the scope of data collection for local agencies
 - Add \$1,751,379 to the City of Williams' Rodeo Road project for inclusion of a roundabout
 - Add \$502,680 to the City of Show Low's E Woolford Road Extension project for inclusion of turn lane on Penrod Rd



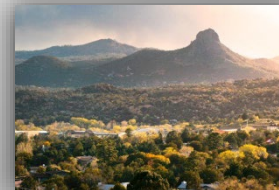
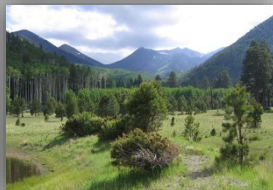
2023 Regional Priority Projects List

Regional Priority Projects List					
ID	Sub-Region	Jurisdiction	Project Name	Funding Request	Local Match
1	Navajo	City of Show Low	East Woolford Road Extension	\$4,474,555	\$6,300,000
2	Coconino	City of Williams	Rodeo and Route 66 Road Pavement Replacement, Roundabout, and Trail Connector	\$6,286,896	\$780,864
3	Apache	Apache County	Rural School Bus Route Enhancement and Soil Stabilization Pilot Project (45 miles, County-wide)	\$461,250	\$60,000
4	Yavapai	City of Sedona	SR-89a/Forest-Ranger Roundabout (SR-179 & SR-89a Congestion Relief)	\$6,426,750	\$1,567,500
5	Apache	Apache County	Concho-Snowflake Highway Cold In-place Recycling (CIR) (MP 7.5 - US180A)	\$3,562,350	\$100,000
6	Navajo	Hopi Tribe	Project HIR 500(1) - Hotevilla-Bacavi Road Reconstruction	\$2,329,385	\$1,627,429
7	Apache	Town of Eagar	Electric Vehicle Charging Station (US-60 & US-191 Alternative Fuel Vehicle Access)	\$420,250	\$100,000
8	Apache	Navajo Nation, Cornfields Chapter	Pueblo Colorado Wash Crossing Box Culvert Installation (Safety & Access Improvement)	\$1,469,850	\$266,000
9	Coconino	Hopi Tribe	Kachina Point Road Reconstruction (HIR 2, Kykotsmovi to Leupp) - Construction Phase 1	\$10,744,960	\$12,301,717
10	Yavapai	Yavapai County	Old Route 66 Pavement Preservation and Modernization, Ash Fork to Peach Springs, Phase 1	\$5,041,635	\$4,379,911

2023 Regional Priority Projects List

11	Navajo	Towns of Snowflake and Taylor	Centennial Blvd Improvement (SR-77 Alternative & Emergency Access)	\$194,750	\$28,500
12	Yavapai	City of Cottonwood	Main Street Pavement Preservation & Roundabout	\$4,510,000	\$9,000,000
13	Apache	Town of Springerville	Tori Circle & Chiricahua Drive School Bus Route Total Reconstruction	\$1,044,291	\$50,000
14	Navajo	City of Winslow, Navajo County	Lindbergh Parkway SR87 Bypass, I-40 Connection - Phase 1	\$13,080,005	\$2,251,937
15	Coconino	Town of Tusayan	Highway 64 Restripe (Grand Canyon Natl. Park Congestion Relief)	\$307,500	\$25,000
16	Coconino	City of Williams	Airport Rd. Pavement Replacement & Extension	\$10,777,275	\$1,855,485
17	Apache	Apache County	Ganado School Bus Loop Reconstruction	\$2,155,410	\$130,000
18	Northern Arizona Council of Governments		Rural School Bus Routes Study	\$2,000,000	\$0
TOTAL				\$75,287,111	\$40,824,343

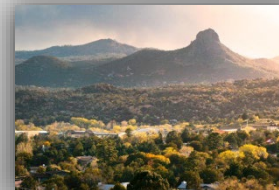
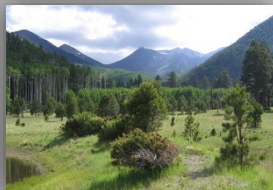
<https://nacog.org/regional-priority-projects-list/>



Revised NACOG 2023 Regional Priority Projects List

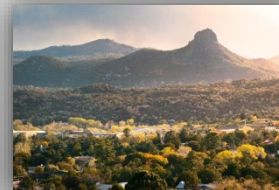
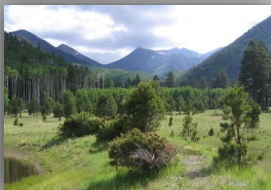
Approve the revised NACOG Regional Priority Projects List with the changes presented.

Seeking RC Approval



TIP Formal Amendment #1

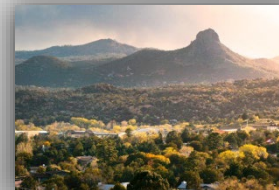
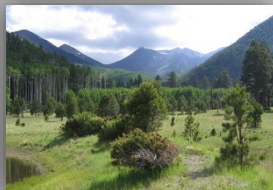
- Implements the following changes:
 - Move Yavapai County's FY2024 TIP project to FY2025
 - Program "Concho Highway Pavement Rehabilitation" as Navajo County's FY2024 TIP project
- Results in two projects being funded through NACOG's STBG funding during FY2024:
 - Navajo County – Concho Highway Pavement Rehab
 - Clarkdale – Phase 2 Broadway



NACOG FY2024-2029 TIP Formal Amendment #1

*Approve the implementation of the TIP Formal
Amendment as presented.*

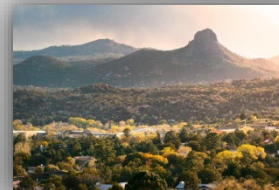
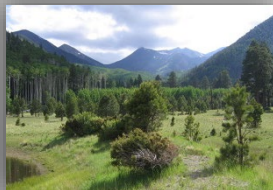
Seeking TSC Approval





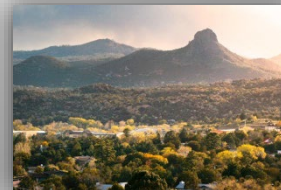
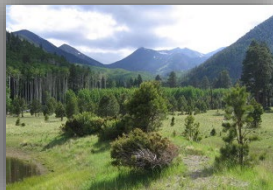
Questions

Mike Huff – GIS Transportation Analyst
mhuff@nacog.org



VII. ACOA Report

- Program Update
- Approve NACOG Aging FY23 Carry-Over Request
- Approve NACOG Budget Alert FY24 3C
- Approve NACOG Budget Alert FY24 3D



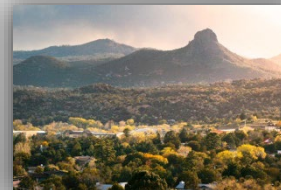
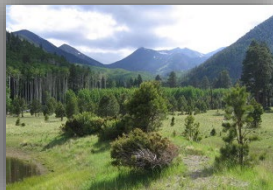


NACOG REGIONAL COUNCIL

FY24 Budget Updates & Carry-over report

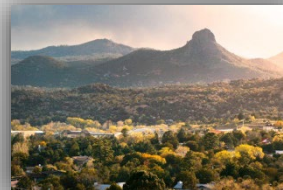
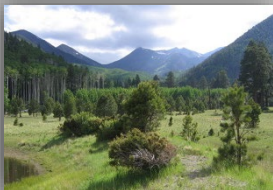
Brandon Baxter

October 26, 2023



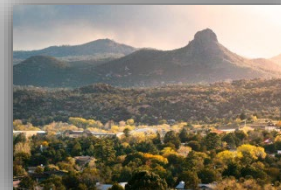
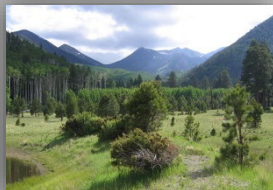
Budget Updates

- Alerts released on 8.10.2023 and 8.15.2023
- 8.10.2023 – Housing Alert provides \$447,131 in additional funding
 - \$312,992 In Services
 - \$89,426 to NACOG CSA to operate the program
 - \$44,713 to NACOG Aging for Administration



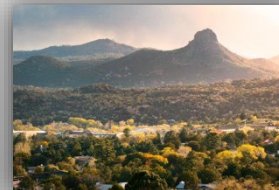
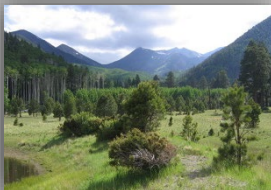
Budget Updates

- Motion to Approve Housing Budget
- 8.10.2023 – Housing Alert provides \$447,131 in additional funding
 - \$312,992 In Services
 - \$89,426 to NACOG CSA to operate the program
 - \$44,713 to NACOG Aging for Administration



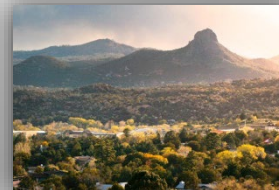
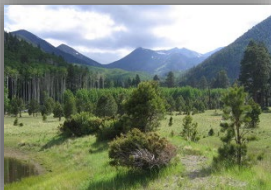
Budget Updates

- Alert 8.15.2023
- 8.15.2023 – HCBS Alert provides \$447,137 in additional funding
 - \$320,423 In Attendant Care
 - \$82,000 In Housekeeping
 - \$44,714 to NACOG Aging for Administration
- Total FY24 Budget = \$8,155,413



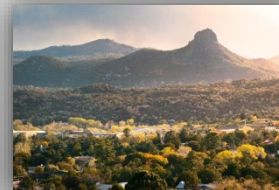
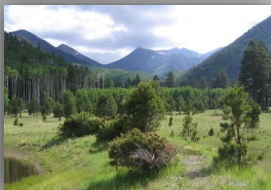
Budget Updates

- Motion to Approve HCBS Alert Budget
- 8.15.2023 – HCBS Alert provides \$447,137 in additional funding
 - \$320,423 In Attendant Care
 - \$82,000 In Housekeeping
 - \$44,714 to NACOG Aging for Administration
- Total FY24 Budget = \$8,155,413



Application for Carryover

- Received Closeout Report on 9/13/2023
 - Completed and submitted Carryover request on 9/22/2023
 - DES approved the carryover request on 10/5/2023
-
- \$121,969.88 Automatic Carry-over for Title III/VII
 - FY23 Title III/VII Total Carry-over percentage = 5%
 - \$40,809.70 Automatic Carry-over for Title III E
 - FY23 Title III E Total Carry-over percentage = 18%
 - \$34,374.48 Carry-over additional request amount



Mr. Brandon Baxter
Northern Arizona Council of Governments
43 South San Francisco Street
Flagstaff, Arizona 86001

Dear Mr. Baxter:

The Division of Aging and Adult Services (DAAS) has approved your carryover request received September 22, 2023. DAAS is approving the following State Fiscal Year (SFY) carryover amounts in excess of ten percent at close-out:

\$0.00	(Non-Caregiver program funds – Title III/VII)
\$34,374.48	(Family Caregiver Support program funds – Title III-E)

Approval is based on the Close-Out Report dated September 15, 2023. The total carryover for Older Americans Act Title III/VII for SFY 2023 is \$197,154.06.

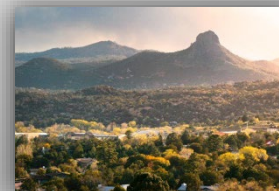
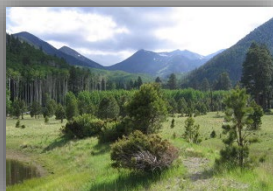
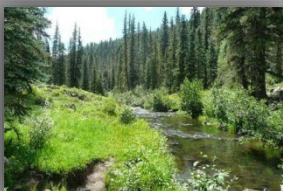
Please ensure that the appropriate Division of Aging and Adult Reporting System service codes are used when billing for the services. We look forward to updates on the progress made with Carryover funds as a regular part of the agenda in our ongoing one-on-one meetings.

This letter will serve as official notice for Budget Fiscal Year 2023 Title III/VII Carryover funds until official documents are processed. You may work with your assigned contract specialist to incorporate these funds into the SFY 2024 contract at your convenience.

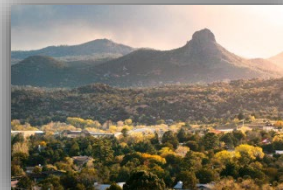
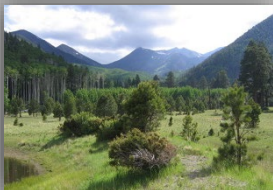
Sincerely,



Molly McCarthy
Assistant Director

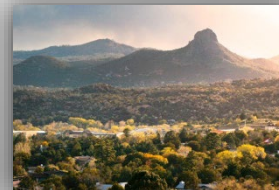
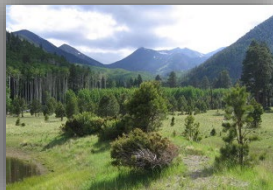


FY22 Carryover

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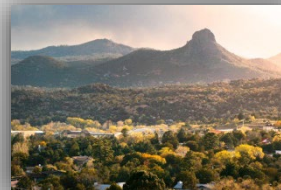
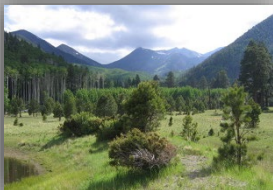
FY23 Carryover

III-D	\$ 4,003	\$ 51,520	8%
VII-EA	\$ -	\$ 5,530	0%
VII-OMB	\$ 24,880	\$ 40,915	61%
Non II-E Subtotal	\$ 121,970	\$ 2,359,365	5%
III-E	\$ 75,184	\$ 408,097	18%
Sub-Total	\$ 197,154	\$ 2,767,462	7%
GRAND TOTAL	\$ 197,154	\$ 2,767,462	7%



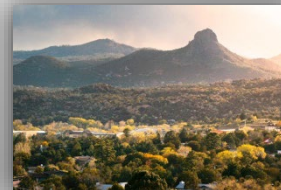
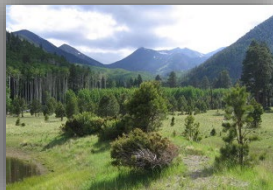
Carry-over funds

- We will be using the Carry-over funds to eliminate or significantly reduce the Home and Community Based Services Waitlist
- We will look to add \$80,000 to Home Delivered Meals to balance over-authorizations
- Motion to approve Carry-over Budget



Staff Additions

- We are happy to announce that we have extended offers to fill our two Prescott Care Coordinator positions, that have been open for almost 2 years!
- Tammy Waithman - start date of 10/16/23
- Melissa Koel – start date of 1/2/2024



NACOG Aging

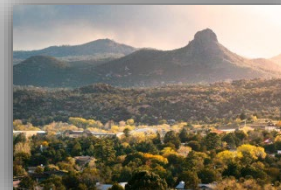
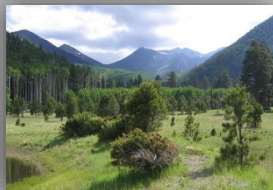
Brandon Baxter NACOG Aging Director

email: bbaxter@nacog.org

Executive Assistant - Kim Raibourne: kraibourne@nacog.org

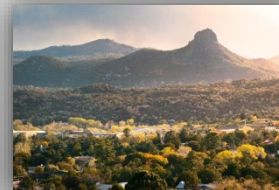
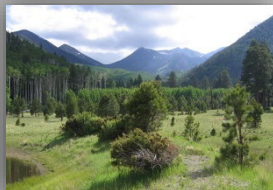
TOLL FREE: 877 521 3500

- Website: www.nacog.org/aging
- Facebook: Area Agency on Aging-NACOG



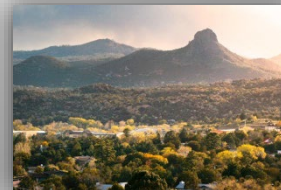
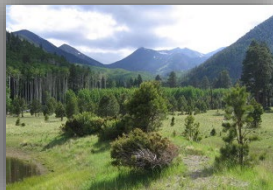
VIII. EDC Report

- Program Update



Recent EDC Appointments

- Steve Peru, County Manager, Coconino County
- Chris Pasterz, Economic Development Director, Navajo County

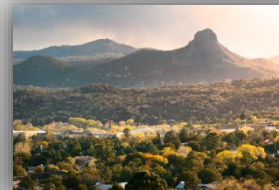
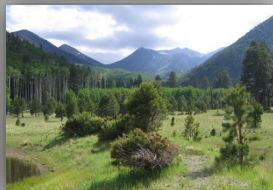


Goals and Priorities List 2024

Projects list 2024

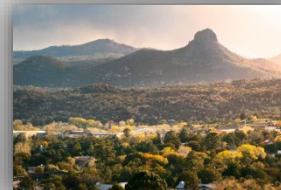
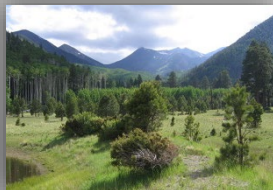
List will align with EDA priorities and our CEDS Goals and Priorities

Notifications coming out in January



Comprehensive Economic Development Strategy

- Organize Committee; 1 year commitment
- Identify state and regional speakers/partners
- Secure sponsor funds; \$60,000 expected
- Hire consultants
- Due to Regional Council December 2024
- Draft due to EDA February 2025



Tourism Update

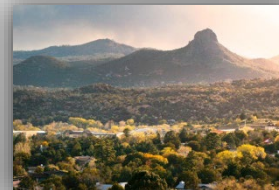
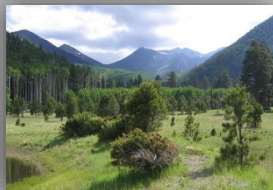


Lunch and
Learn

Tourism
Forum

- January 24, 2024 Presentation from 928 Law Firm on Small Business Reporting Changes and Impacts

- July 17-18, 2024 held in Camp Verde



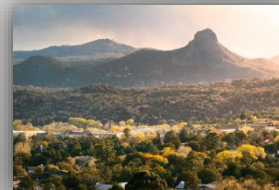
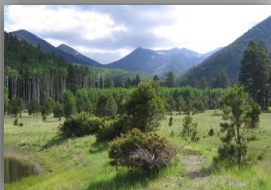
Broadband Update



Construction/Permits

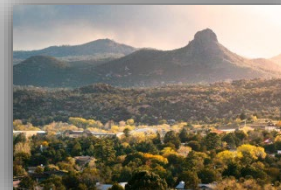
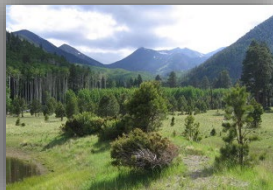
Talent Pipeline

Training Instructors



Labor Market Information

- Real-time labor market data is helpful for communities as it provides up-to-date and relevant information about the current state of the job market. This data is usually collected through various sources, such as job boards, employer websites, government databases, and other online platforms.
- Data Source: www.oeo.az.gov



Apache County

Labor Force

38% Participation rate:

11.8% 16-19 yrs old
35.9% 20-24 yrs old
51.5% 25-34 yrs old
57.2% 35-54 yrs old
44.1% 55-64 yrs old
9.2% 65 yrs +

55.9% Educational attainment:

19.8% Less than High School
47.8% High School
62.1% Some College/Associates
83.6% Bachelors Degree

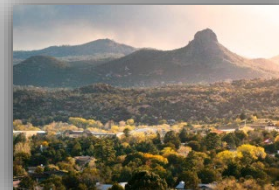
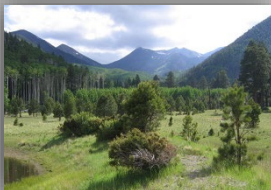
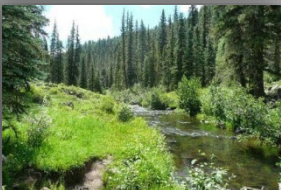
Unemployment

8.1 % Unemployed:

16.6% 16-19 yrs old
18.3% 20-24 yrs old
13.1% 25-34 yrs old
8.7% 35-54 yrs old
2.6% 55 to 64 yrs old
.3% 65 yrs +

9% Educational attainment:

11.7% Less than High School
12.1% High School Graduate
8.8% Some College/Associates
.5% Bachelors Degree



Coconino County

Labor Force

61.4% Participation rate:

39.1% 16-19 yrs old
69.9% 20-24 yrs old
79.8% 25-34 yrs old
82% 35-54 yrs old
61.5% 55-64 yrs old
18% 65 yrs +

77.4% Educational attainment:

55.2% Less than High School
62% High School
80.6% Some College/Associates
88.3% Bachelors Degree

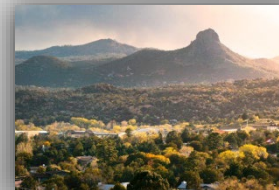
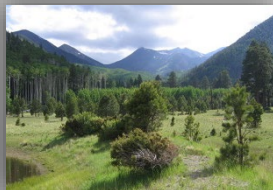
Unemployment

4.1 % Unemployed:

23.5% 16-19 yrs old
11.5% 20-24 yrs old
7.5% 25-34 yrs old
5% 35-54 yrs old
2.7% 55 to 54 yrs old
4.7% 65 yrs +

7.8% Educational attainment:

13.5% Less than High School
9.4% High School Graduate
4.7% Some College/Associates
2.8% Bachelors Degree



Navajo County

Labor Force

46.7% Participation rate:

23.8% 16-19 yrs old
57.6% 20-24 yrs old
62% 25-34 yrs old
67.3% 35-54 yrs old
49.4% 55-64 yrs old
13.6% 65 yrs +

62.7% Educational attainment:

50.3% Less than High School
54.3% High School
66.6% Some College/Associates
79.9% Bachelors Degree

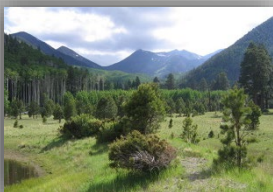
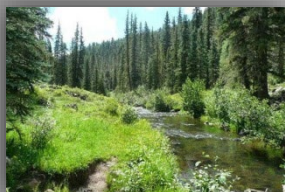
Unemployment

6.3% Unemployed:

22.9% 16-19 yrs old
16.2% 20-24 yrs old
13.7% 25-34 yrs old
9.3% 35-54 yrs old
6.0% 55 to 54 yrs old
6.2% 65 yrs +

10.5% Educational attainment:

19.1% Less than High School
10.9% High School Graduate
8.9% Some College/Associates
5.3% Bachelors Degree



Yavapai County

Labor Force

48.8% Participation rate:

41.3% 16-19 yrs old
74.6% 20-24 yrs old
79.1% 25-34 yrs old
81.4% 35-54 yrs old
54.7% 55-64 yrs old
14.7% 65 yrs +

72.2% Educational attainment:

53.7% Less than High School
71.3% High School
75.3% Some College/Associates
77.5% Bachelors Degree

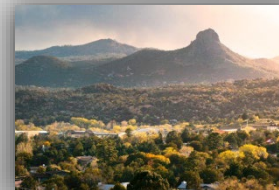
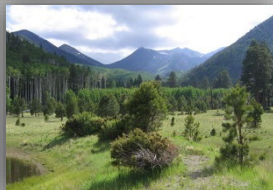
Unemployment

3.8% Unemployed:

17.3% 16-19 yrs old
7.2% 20-24 yrs old
8.8% 25-34 yrs old
3.9% 35-54 yrs old
2.8% 55 to 54 yrs old
5.2% 65 yrs +

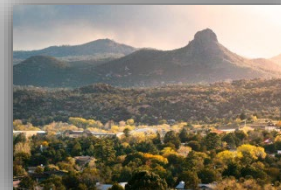
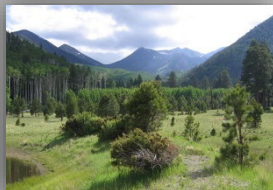
5.4% Educational attainment:

12.7% Less than High School
4.3% High School Graduate
4.1% Some College/Associates
3.1% Bachelors Degree



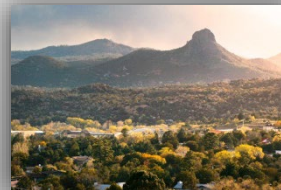
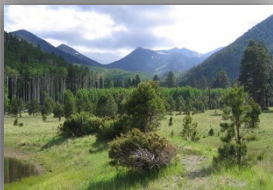
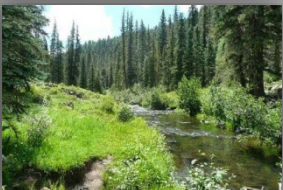
Wrap Up

- Labor market data is an essential tool for staying informed about the ever-changing job market, making informed decisions, and improving the efficiency and effectiveness of workforce and economic development.
- Helpful tool during planning processes to identify occupational data, workforce landscape, economic health, and market fluctuations.



IX. HSPPC Report

- Program Update



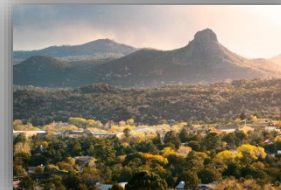
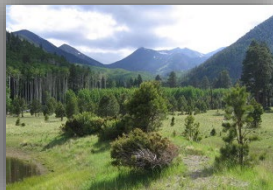
State of Head Start

Children and Families Above All!

- No matter the length, a federal shutdown has the potential to unleash a wave of uncertainty and hardship on the children and their families who depend on Early Head Start and Head Start as well as our workforce.
- Putting children and families at risk doesn't help anything. A shutdown should never be used as a negotiating ploy.

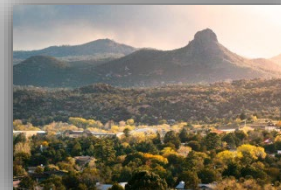
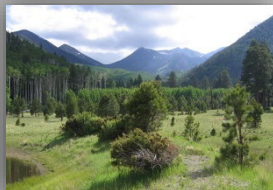
Lift Up Funding Issues:

- This entirely avoidable situation is yet another punch to families and providers who are already at a breaking point.
- Congress must come together on an agreement as quickly as possible—to ensure Head Start classrooms stay open and to provide the Head Start workforce with no less than a cost-of-living adjustment in-line with inflation in fiscal year 2024.



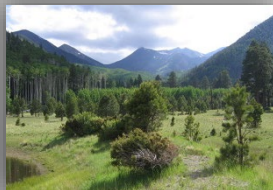
Nationally.....

- Head Start for our Future Act We support this bill H. R. 656: Head Start for Our Future Act.
- HR 4541: RISE from Trauma Act is fully supported by the Head Start communities.
- S 2832: A bill to amend the Head Start Act to permit some teachers in Early Head Start programs to teach while earning a child development associate credential. With e workforce shortage we continue to experience allowing us to grow our own is critical and as the act currently reads, we are unable to afford those opportunities to interested parties.



NHSA-National Head Start Association

- 3.5 CEU's/10 weeks
- Meet every Tuesday & Thurs
- 1.5 hours pre-class work
- Post class/Portfolio work



Data in Head Start

Learning Outcomes:

At the end of this video you will be able to...

- Define compliance data
- Define quality improvement data
- Describe the changing role of data in Head Start

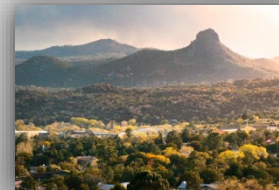
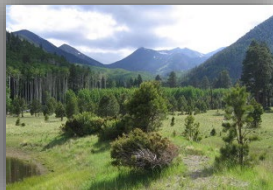
Compliance
Data

Quality
Improvement
Data

HSPPS
2016



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NACOG
Northern Arizona
Council of Governments

Apache • Coconino • Navajo • Yavapai



October 11, 2023

Ms. Jennifer Brown, Head Start Director
Northern Arizona Council of Governments
Re: Grant No. 09CH011520

Dear Grant Recipient,

The Office of Head Start (OHS) will conduct a Classroom Assessment Scoring System (CLASS®) monitoring review of Northern Arizona Council of Governments (09CH011520) during the week of 11/27/2023. Please note: Review dates are firm, and the OHS will not authorize date changes.

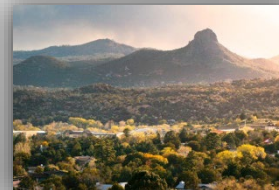
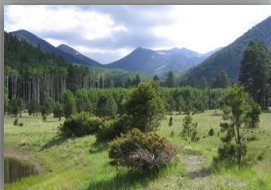
Your assigned Review Lead, Ms. Ellen Edge, will be in contact with you shortly to plan onsite review activities. In addition, OHS requests all programs receiving a CLASS® review to perform the following tasks:

- Upload current daily classroom schedules to the Head Start Enterprise System (HSES)
- Update information pertinent to center and classroom operations in HSES
- Update contact information for program leadership in HSES

Lastly, CLASS® monitoring resources are available for Grant Recipients on the Early Childhood Learning and Knowledge Center (ECLKC) at <https://eclkc.ohs.acf.hhs.gov/federal-monitoring> and on the Aligned Monitoring System Virtual Expo at <https://onlinexperiences.com/Launch/Event.htm?ShowKey=177031>.

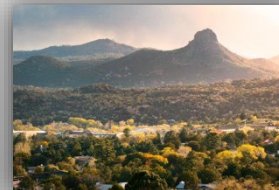
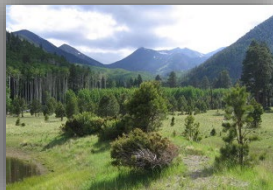
Sincerely,

OHS Monitoring Team



CLASS REVIEW

- In Person
- Begins November 27
- Teachers who have been in the class for more than 10 consecutive days
- Long term subs
- TA taking on the role
- 50% of enrolled children present



Region 3

Total Open Positions: 17

(Total Accepted, not Onboarded: 6)

Cook: 2

LA: 2

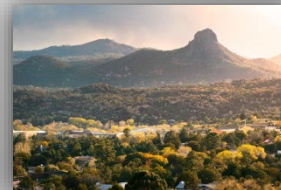
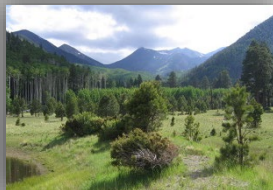
EHS Teacher: 4

EHS TA: 3

HS TA: 4

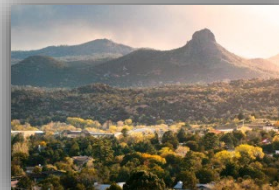
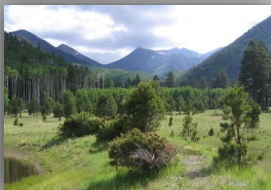
HS Teacher: 1

BSS: 1



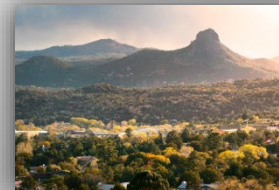
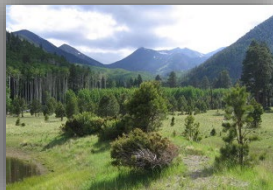
Region 2---38 open positions

Center	Open Positions
Beaver Creek	Cook, TA
Camp Verde	4 EHS T, 1 EHS T, 1 EHS TA, 2 HS T, 2 HS TA
Chino Valley	1 Cook, 1 EHS T, 1 EHS TA, 2 HS T, 2 HS TA
Cottonwood	1 Cook, 3 T, 1 TA
Humboldt	1 HS TA
Liberty	Full staff waiting on cook to transfer
Nye	Full staff
Panther Path	1 Cook, 1 HS T, 1 EHS T, 1 EHS T, 1 EHS TA
Prescott Valley EHS	1 EHS HBV, 1 cook, 1 EHS T,
Yavapai	1 EHS T, 2 EHS TA, 1 EHS TA,
IST	BSS



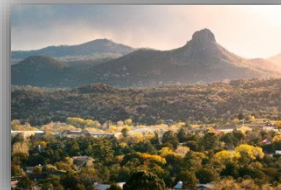
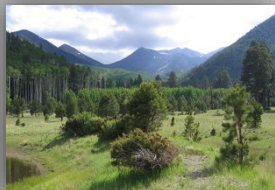
Region 1 ---29 positions

CENTER	OPEN POSITIONS
AshFork	1 HS Teacher, 1 HS TA
Clark Homes	2 HS Teachers, 2 HS TAs, 1CD
Cromer	1 Teacher, 2 TAs,
Flagstaff EHS	Fully staffed
Killip	1 Teacher
Page	1 Teacher
Ponderosa	3 EHS Teachers, 2 EHS TAs
Puente	1 TA
Siler	1 Teacher, 1 TA
Sunnyside	4 EHS teachers, 2 EHS TAs, 1CD
Williams	1 HS Teacher 2 HS TA's, 3 EHS Teachers/ 1 CD and 1 cook (being covered by AshFork staff)



Full Enrollment Initiative

- 100% Enrollment of children from Day 1
- Monthly reports to Head Start Enterprise System (HSES)
- Four consecutive months of under-enrollment
- 12-month period begins 10 days after receiving letter
- 97% enrollment

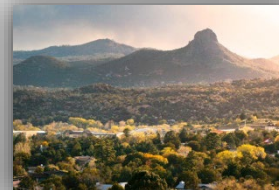
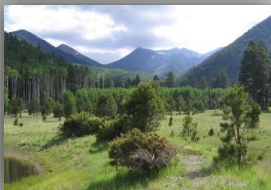


Coconino County LDO Historical Enrollment and Waitlist Data

Some things to note:

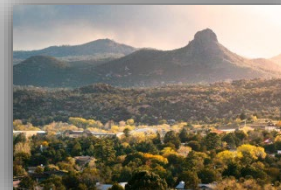
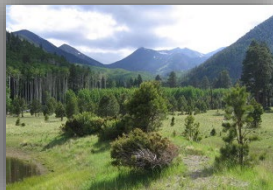
We have not had any applicants for the Coconino County LDO since it opened in 2022-2023. We also do not have a teacher for the program.

PY	Enrollment	Waitlist	Apps to Process
2019-2020	N/A		--
2020-2021	N/A		--
2021-2022	N/A		--
2022-2023	12 Unable to open—no children or staff	None	None
2023-2024	12 Unable to open—no children or staff	None	None

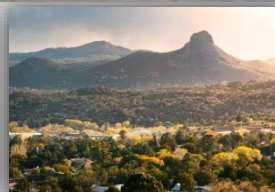
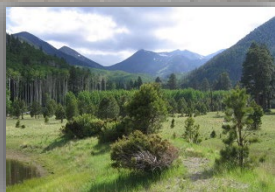
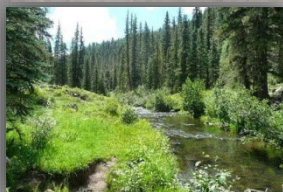


Ashfork(AF) Locally Designed Option (LDO)

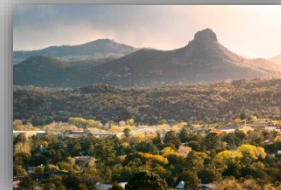
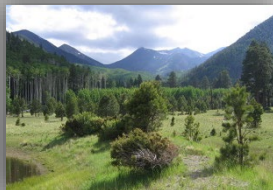
- AF will collaborate with our Williams Center (WM) to share staff on Fridays.
- The current Early Head Start (EHS) Home-based Visitor (HBV) at AF will convert to Home-based Teacher (HBT) .
- We will serve 12 of the 16 funded slots, reducing HS by 4 slots. Currently have 7 enrolled.
- We will reduce EHS home base by 11 slots.
- Two home visits per month and a weekly classroom experience on Fridays, (8:00am-12:00pm).
- We will use Williams staff for support on Fridays. They have agency vehicles assigned.
- No Layoffs.



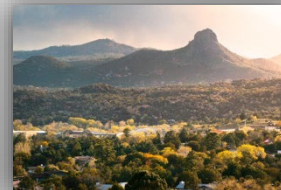
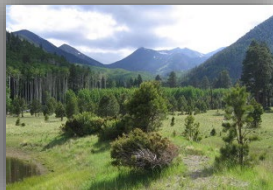
Williams



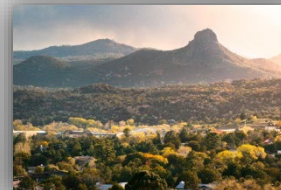
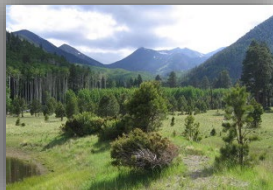
Thank you for your continued support!



X. Elect FY24 Regional Council Apache County, Navajo County, and Yavapai County Private Sector Members

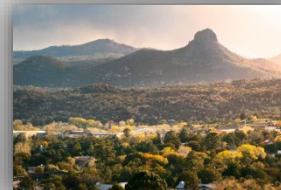
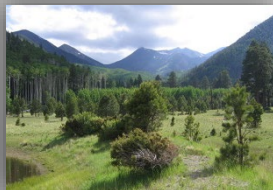


XI. Reports from Regional Council Members



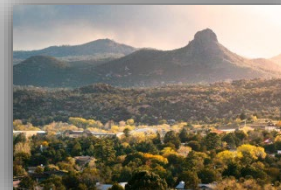
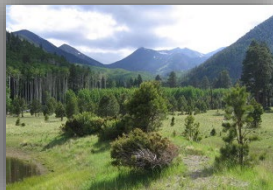
XII. Call to the Public

Members of the public may speak on any item not listed on the agenda. Items presented during the Call to the Public portion of the Agenda cannot be acted on by the Regional Council. Individual Regional Council members may ask questions of the public, but are prohibited by the Open Meeting Law from discussing or considering the item among themselves until the item is officially placed on the agenda. Individuals are limited to a two-minute presentation. For the sake of efficiency, the Chair may eliminate the Call to the Public portion of any agenda.



XIII. Adjourn

- Next Regional Council meeting will take place Thursday, **February 22, 2024** via **Zoom Teleconference**.





From the office of Council Member Babbitt-Pierce

October Report

- October 4 - Executive Session
- October 9 - Meeting with Town Manager Guthrie
- October 10 - Town Council Meeting
- October 11 - Arizona Community Foundation Grants Panel
- October 23 - Meeting with Town Manager Guthrie
- October 24 - Town Council Meeting
- October 25 - Arizona Community Foundation Grants Panel, Joint Meeting of the Town Council and Historic Preservation Commission
- October 26 - YCYC Quarterly Meeting
- October 27 - Arizona Community Foundation Grants Panel
- October 31 - Town Employee Celebratory Lunch



From the office of Council Member Jones

October 2023
Council Member Report

10/2	Participated in the Flu Shot Clinic
10/4	Participated in Coffee with a Cop
10/4	Verde Model Stakeholder Workshop
10/4	Special Meeting/Executive Session
10/9	Met with Susan Guthrie, Town Manager
10/10	Town Council Meeting
10/19	Met with Charity Brooks about Board and Commission Training
10/23	Met with Susan Guthrie, Town Manager
10/24	Verde Model Stakeholder Workshop
10/24	Town Council Meeting
10/25	Attended Joint Council/Historic Preservation Commission Meeting Gazebo/Bandstand
10/31	Attended Staff Halloween Luncheon
10/31	Participated in Clarkdale Halloween Celebration as a Costume Contest Judge



From the office of Council Member O'Neill

October Report

- 10-2 Flu shot. Thank you!
- 10-3 Attended Coffee with a Cop event.
- 10-10 One-on-one with TM, Council meeting.
- 10-14 Cheered on Shred-a-Thon.
- 10-20 NAMWUA meeting in Prescott. Rod Doba had an interesting presentation on the progress and goals of the Governor's Rural Groundwater Committee. Here's the link:
https://new.azwater.gov/sites/default/files/20231019_Rural_GW_Committee_Meeting_Presentation.pdf.

We also had a tour of Prescott's newly constructed and still in construction water pumping stations. Two 5 million gallon storage tanks at one facility, two 6 million gallon tanks at the other.
- 10-24 One-on-one meeting with TM, Council meeting, executive session.
- 10-25 Council and HPC commission meeting regarding needed repairs.
- 10-31 Halloween party! Congratulations Public works and Ruth Mayday on your victories!

NORTHERN ARIZONA MUNICIPAL WATER USERS' ASSOCIATION AGENDA

BOARD MEETING

October 20, 2023

10:00 AM

The October 20, 2023, Board meeting will be held in the Prescott City Water Operations Building
1481 Sundog Ranch Rd
Prescott, AZ 86301

Access to the meeting will also be available by Zoom. To join the meeting from your computer, tablet, or smartphone. You can copy and paste the following link into your browser:

<https://us02web.zoom.us/j/6160099904>

Meeting ID: 616 009 9904

You can also call in on the following number:
669 900 9128

CALL TO ORDER

As a reminder, if you are carrying a cell phone, electronic pager, computer, two-way radio, or other sound device, we ask that you turn it off at this time to minimize disruption to today's meeting.

1. ROLL CALL:

Camp Verde	Dee Jenkins	_____
Chino Valley	Jack Miller	_____
Clarkdale	Lisa O'Neill	_____
Cottonwood	Tim Elinski	_____
Flagstaff	Miranda Sweet	_____
Prescott	Phil Goode	_____
Prescott Valley	April Hepperle	_____
Sedona	Pete Furman	_____
Arizona Water Company	John Snickers	_____

2. MINUTES

Consideration of Minutes - Board Meeting of July 21, 2023

RECOMMENDED ACTION: Approve the minutes as submitted/corrected.

3. PUBLIC PARTICIPATION

Public Participation enables the public to address the Association about an item that is not on the agenda. If you wish to address the Association during Public Participation, please put your name in the chat room or fill out a participation request and indicate you would like to speak.

If you wish to address the Association at today's meeting on an item that is on the agenda, please put your name in the chat room or fill out a participation request and indicate you would like to speak on a particular item. Your name will be called when it is your turn to speak.

Please limit your remarks to five minutes per item to allow everyone an opportunity to speak.

4. ACTION ITEMS - None

5. NON-ACTION ITEMS

- a. **Governors Water Policy Council Update – Ron Doba**

6. INFORMATIONAL ITEMS

- a. **NAMWUA 2024 Meeting Schedule – Ron Doba**
- b. **Financial Report – Ron Doba**
- c. **Informational Items to/from Board and TAC Members**

7. Adjourn for Lunch and Tour

**NORTHERN ARIZONA MUNICIPAL WATER USERS ASSOCIATION
MINUTES**

BOARD MEETING

**LOCATION:
Lake Mary Water Treatment Plant
4500 S. Lake Mary Road
Flagstaff, AZ**

The meeting was also available by Zoom

July 21, 2023

CALL TO ORDER

Chair Phil Goode called the meeting to order at approximately 10:00 A.M.

1. ROLL CALL:

Board Members Present:

Camp Verde	Dee Jenkins	present
Chino Valley	Jack Miller	present (virtual)
Clarkdale	Lisa O'Neill	present
Cottonwood	Tim Elinski	absent
Flagstaff	Miranda Sweet	present
Prescott	Phil Goode	present
Prescott Valley	April Hepperle	present
Sedona	Pete Furman	present
AZ Water Company	John Snickers	present

Others Present:

Ron Doba, NAMWUA
Kevin Lane, ADWR (virtual)
Leslie Graser, Prescott
Kay Sydow, Prescott
Brian Ruiz, Prescott
Cindy Blackmore, Chino Valley (virtual)
Tracy Lund, Prescott Valley (virtual)
Neil Wadsworth, Prescott Valley (virtual)
Eric Granillo, Chino Valley (virtual)
John McCafferty, Chino Valley (virtual)
Erin Young, City of Flagstaff

2. MINUTES

Consideration of Minutes - Board Meeting of April 21, 2023

MOTION: Pete Furman moved that the minutes be approved. Jack Miller seconded the motion. The Board unanimously approved the minutes.

3. PUBLIC PARTICIPATION

Chair Phil Goode asked if there were any requests by the public to speak. There were no requests to speak.

4. ACTION ITEMS

a. Approval of Camp Verde Voting Membership

Pete Furman made a motion to approve the Town of Camp Verde as a voting NAMWUA member as recommended by the TAC. The motion was seconded by Miranda Sweet and carried.

b. Election of Board Officers

Ron reported the existing officers have indicated they are willing serve an additional term and asked if anyone else had interest in the chair, vice-chair, or secretary/treasurer position. Dee Jenkins made a motion to re-elect the existing officers for an additional term. The motion was seconded by April Hepperle and carried.

c. Consideration of Formation of a 501 (c) 3 Non-Profit/Tax Exempt Organization

Ron reported on the process and cost to form and maintain the 501 (c) 3 organization. The TAC had suggested this item for discussion but has no recommendation. Discussion evolved as to what the benefits of forming the organization would be and the main benefit is grant eligibility. The Board determined this item should go back to the TAC for a determination of what grant monies would be used for and where the grant would come from before authorizing the formation of another organization.

d. Consideration of In-Person and Virtual NAMWUA Meetings

Ron reported due to low in-person attendance, it is suggested the Board hold two in-person meetings a year and two virtual meetings. After discussion, Miranda Sweet made a motion to maintain four in-person board meetings per year. The motion was seconded by Pete Furman and carried. Tracy Lund asked if the meeting schedule for next year could be addressed soon so arrangements can be made ahead of time for room reservations. Ron said he will put it on the next TAC agenda.

5. NON-ACTION ITEMS

a. None

6. INFORMATIONAL ITEMS

a. WULF Debriefing

Ron reviewed the revenues and cost of putting on the recent WULF. The net income after all expenses including his time was \$6,367.95.

b. Governor's Water Policy Council Update

Ron reported on the discussion from the recent Rural Groundwater Subcommittee meeting. Mandatory non-exempt well metering and a rural groundwater management tool are the main discussion items. The next meeting is scheduled for August 9th.

c. Financial Report

Ron gave the financial reports for the period ending June 30th. There were no questions.

d. Informational Items to/from Board and TAC Members

Pete Furman reported on the discussions taking place with the Yavapai/Apache Nation on their effluent treatment.

Erin reported she is on the WIFA Water Conservation Grant Committee and that the Arizona Water Utilities Forum Committee is looking for representatives from Northern Arizona.

John Snickers reported that AZ Water is embarking on a water conservation program with the City of Sedona.

Dee Jenkins discussed the challenges Camp Verde is faced with in obtaining private water companies and the large area of their systems. They are experiencing a 20% water loss.

Phil Goode reported Prescott is analyzing the cost of the Big Chino Pipeline and its partners involvement. Prescott has spent over \$7 million on test wells to provide a report to SRP on impacts from Big Chino pumping.

Lisa O'Neill reported on the water emergency that Clarkdale has been experiencing and the grant they have received for lead and copper treatment.

Neil Wadsworth reported Prescott Valley has still been unsuccessful in filing John Munderloh's position.

Kevin Lane reported John Riggins is moving over to WIFA to help them understand the grant proposals they are receiving as of the end of August. Kevin asked for a copy of the Reclamation Feasibility Study that was not completed for the lack of funding.

7. ADJOURNMENT

Chair Goode adjourned the meeting at approximately 11:45 am.

NAMWUA 2024 MEETING SCHEDULE

JANUARY 19th – 9:30 TAC meeting, 10:00 Board meeting – Prescott Valley (Hybrid)

FEBRUARY 16th – 10:00 TAC meeting – Virtual (Prescott)

MARCH 22nd – 10:00 TAC meeting – Virtual (Sedona)

APRIL 19th – 9:30 TAC meeting, 10:00 Board meeting – Chino Valley (Hybrid)

May 17th – 10:00 TAC meeting - Virtual (Flagstaff)

JUNE 21st - 10:00 TAC meeting – Virtual (Cottonwood)

JULY 19th - 9:30 TAC meeting, 10:00 Board meeting – Camp Verde (Hybrid)

AUGUST 16th - 10:00 TAC meeting – Virtual (Clarkdale)

SEPTEMBER 20th - 10:00 TAC meeting – Virtual (Prescott Valley)

OCTOBER 18th - 9:30 TAC meeting, 10:00 Board meeting – Prescott (Hybrid)

NOVEMBER 15th - 10:00 TAC meeting – Virtual (Sedona)

DECEMBER 20th - 10:00 TAC meeting – Virtual (Chino Valley)

The Northern Arizona Municipal Water Users Association

Balance Sheet Summary

As of September 30, 2023

	TOTAL
ASSETS	
Current Assets	
Bank Accounts	49,074.88
Total Current Assets	\$49,074.88
TOTAL ASSETS	\$49,074.88
LIABILITIES AND EQUITY	
Liabilities	
Total Liabilities	
Equity	49,074.88
TOTAL LIABILITIES AND EQUITY	\$49,074.88

The Northern Arizona Municipal Water Users Association

Profit and Loss Detail
January - September, 2023

DATE	TRANSACTION TYPE	NUM	NAME	MEMO/DESCRIPTION	SPLIT	AMOUNT	BALANCE
Ordinary Income/Expenses							
Income							
Investments							
	Interest-Savings, Short-term CD						
01/31/2023	Deposit	dep			Wells Fargo - Savings	4.25	4.25
02/28/2023	Deposit	DEP			Wells Fargo - Savings	3.84	8.09
03/31/2023	Deposit	deo			Wells Fargo - Savings	4.26	12.35
04/28/2023	Deposit	dep			Wells Fargo - Savings	4.12	16.47
05/31/2023	Deposit	dep			Wells Fargo - Savings	3.51	19.98
06/30/2023	Deposit	dep			Wells Fargo - Savings	2.35	22.33
08/02/2023	Deposit	elec	Wells Fargo	interest	Wells Fargo - Savings	4.50	26.83
08/31/2023	Deposit		Wells Fargo Bank, NA	interest	Wells Fargo - Savings	5.83	32.66
09/29/2023	Deposit		Wells Fargo	interest	Wells Fargo - Savings	5.64	38.30
Total for Interest-Savings, Short-term CD						\$38.30	
Total for Investments						\$38.30	
Program Income							
Membership Dues							
06/21/2023	Invoice		175 Arizona Water Company	FY 2024 Annual Dues	Accounts Receivable	1,976.61	1,976.61
07/04/2023	Invoice		168 Town of Clarkdale	FY 2024 Annual Dues	Accounts Receivable	1,988.45	3,945.06
07/10/2023	Invoice		169 Town of Chino Valley	FY2024 Annual Dues	Accounts Receivable	2,547.61	6,492.67
07/10/2023	Invoice		171 City of Flagstaff	FY 2024 Annual Dues	Accounts Receivable	6,791.88	13,284.55
07/12/2023	Invoice		176 Town of Camp Verde	FY 2024 Annual Dues	Accounts Receivable	2,467.17	15,751.72
07/14/2023	Invoice		170 City of Cottonwood	FY 2024 Annual Dues	Accounts Receivable	2,458.42	18,210.14
07/15/2023	Invoice		172 City of Prescott	FY 2024 Annual Dues	Accounts Receivable	4,753.78	22,963.92
07/18/2023	Invoice		173 Town of Prescott Valley	FY 2024 Annual Dues	Accounts Receivable	4,859.49	27,823.41
07/31/2023	Invoice		174 City of Sedona	FY 2024 Annual Dues	Accounts Receivable	1,976.61	29,800.02
Total for Membership Dues						\$29,800.02	
Total for Program Income						\$29,800.02	
WULF Sponsorship							
01/06/2023	Invoice		165 Black & Veatch	Water Utilities Leadership Forum, Flagstaff, May 19, 2023 Bronze Sponsorship	Accounts Receivable	500.00	500.00
01/09/2023	Invoice		162 Veregy	Water Utility Leadership Forum Platinum Sponsorship, Flagstaff, 5/19/2023	Accounts Receivable	5,000.00	5,500.00
01/19/2023	Invoice		160 Shattuc	Water Utility Leadership Forum Gold Sponsorship 5/19/2023	Accounts Receivable	2,500.00	8,000.00
01/20/2023	Invoice		163 Arizona Water Company	Water Utility Leadership Forum Gold Sponsorship, Flagstaff, 5/19/2023	Accounts Receivable	2,500.00	10,500.00
02/14/2023	Invoice		164 HDR	Water Utility Leadership Forum Silver Sponsorship, Flagstaff, 5/19/2023	Accounts Receivable	1,000.00	11,500.00
03/07/2023	Invoice		167 Civiltec Engineering, Inc.	Water Utilities Leadership Forum May 19, 2023	Accounts Receivable	500.00	12,000.00
03/21/2023	Invoice		166 Concor	Water Utility Leadership Forum 5/19/2023	Accounts Receivable	1,000.00	13,000.00

The Northern Arizona Municipal Water Users Association

Profit and Loss Detail January - September, 2023

DATE	TRANSACTION TYPE	NUM	NAME	MEMODESCRIPTION	SPLIT	AMOUNT	BALANCE
Total for WULF Sponsorship						Receivable	\$13,000.00
Total for Income							\$42,888.32
Expenses							
Advertising	Check		debit	Capitol Times			
02/23/2023					Wells Fargo Checking	400.00	400.00
Total for Advertising							\$400.00
Business Expenses							
Business Registration Fees							
01/17/2023	Check		debit	Arizona Corporation Commission			
					Wells Fargo Checking	10.00	10.00
Total for Business Registration Fees							\$10.00
Total for Business Expenses							\$10.00

The Northern Arizona Municipal Water Users Association

Profit and Loss Detail
January - September, 2023

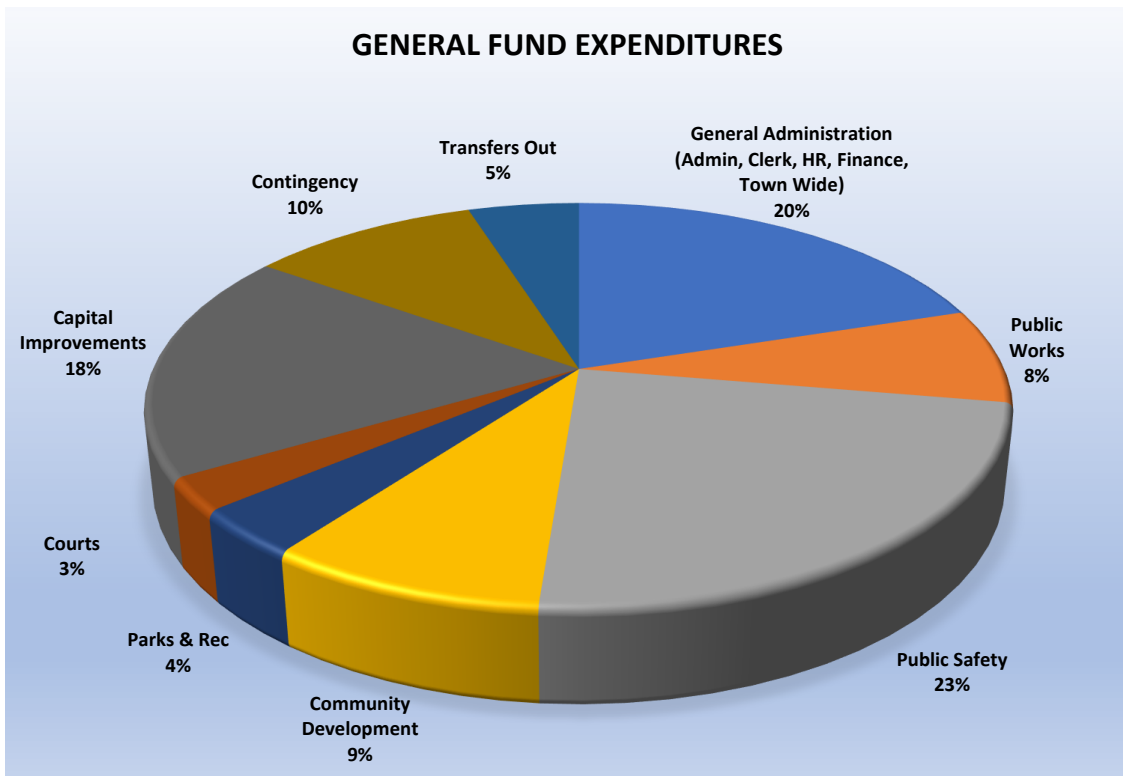
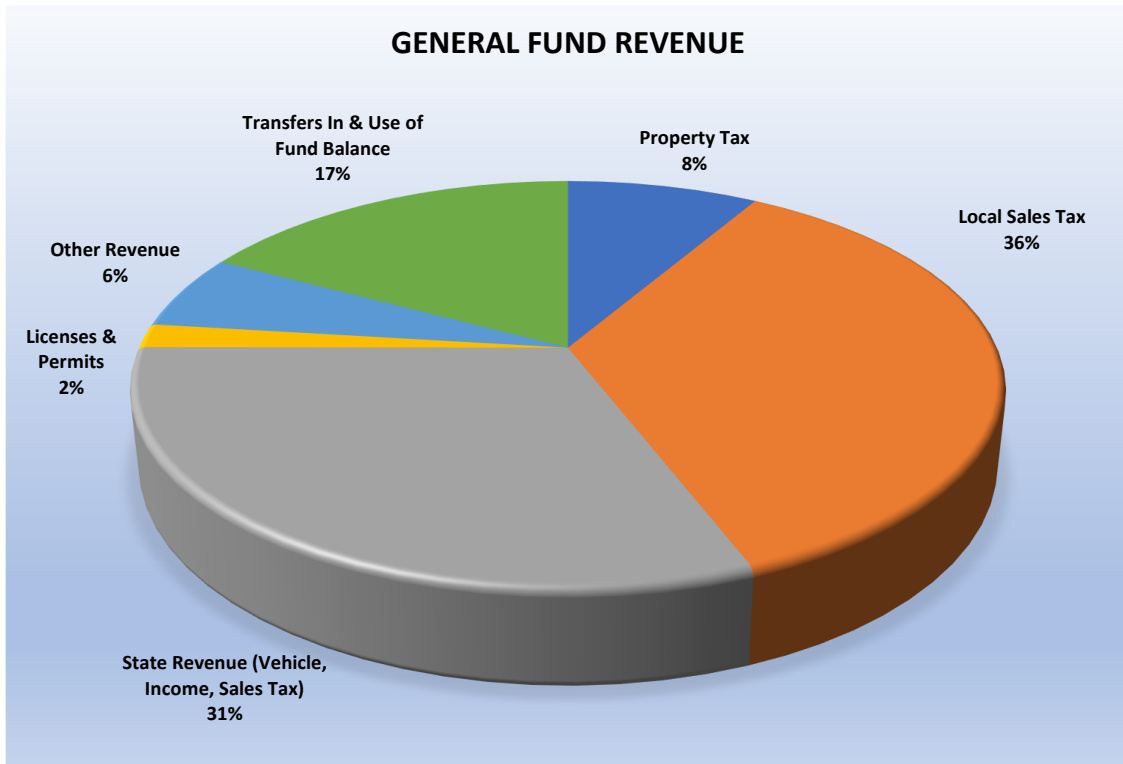
DATE	TRANSACTION TYPE	NUM	NAME	MEMO/DESCRIPTION	SPLIT	AMOUNT	BALANCE
Contract Services							
Outside Contract Services							
01/03/2023	Bill		Ron Doba Management Services	December		2,506.00	2,506.00
02/23/2023	Bill		Ron Doba Management Services	jan 2023		4,082.71	6,588.71
03/01/2023	Bill		Ron Doba Management Services	feb		2,583.24	9,171.95
04/03/2023	Bill		Ron Doba Management Services	march 2023		1,910.00	11,081.95
05/01/2023	Bill		Ron Doba Management Services	april		2,310.43	13,392.38
06/01/2023	Bill		Ron Doba Management Services	may 2023		4,470.72	17,863.10
07/02/2023	Bill		Ron Doba Management Services	june 2023		2,730.58	20,593.68
08/01/2023	Check	1268	Ron Doba Management Services			2,948.39	23,542.07
09/01/2023	Bill	1269	Ron Doba Management Services	Sept 2023		1,898.28	25,440.35
Total for Outside Contract Services						\$25,440.35	
Total for Contract Services						\$25,440.35	
Food for meetings							
01/20/2023	Check		Fry's Groceries			5.14	5.14
01/20/2023	Check		Fry's Groceries			19.60	24.74
04/21/2023	Check		Fry's Food			5.00	29.74
07/21/2023	Check		Frys			10.00	39.74
07/21/2023	Check		Starbucks			22.24	61.98
Total for Food for meetings						\$61.98	
Operations							
Books, Subscriptions, Reference							
05/02/2023	Check		CPWP			41.70	41.70
07/19/2023	Check		Quickbooks			159.37	201.07
Total for Books, Subscriptions, Reference						\$201.07	
Printing and Copying							
01/18/2023	Check		Office Max			49.01	49.01
04/19/2023	Check		Office Max			25.33	74.34
07/19/2023	Check		Office Max			66.90	141.24
Total for Printing and Copying						\$141.24	
Supplies							
02/02/2023	Check		CPWP			129.59	129.59
Total for Supplies						\$129.59	
Video Conferencing							
04/08/2023	Deposit		dep			-99.93	-99.93
04/08/2023	Check		Zoom			299.80	199.87
Total for Video Conferencing						\$199.87	
Total for Operations						\$671.77	
Other Types of Expenses							
Misc Fees							
01/08/2023	Check		Paypal fee			13.44	13.44
03/07/2023	Check		elec Paypal fee			13.44	26.88
03/21/2023	Check		elec Paypal fee			26.39	53.27
Total for Misc Fees						\$53.27	
Other Costs							
07/29/2023	Check		CPWP			65.51	65.51
Total for Other Costs						\$65.51	
Total for Other Types of Expenses						\$118.78	
Unapplied Cash Bill Payment Expense							
02/28/2023	Bill Payment (Check)	1262	Ron Doba Management Services			2,583.24	2,583.24
03/01/2023	Bill		Ron Doba Management Services	feb		-2,583.24	0.00
09/27/2023	Bill Payment (Check)		Capital Times			400.00	400.00
Total for Unapplied Cash Bill Payment Expense						\$400.00	

The Northern Arizona Municipal Water Users Association
Profit and Loss Detail
January - September, 2023

DATE	TRANSACTION TYPE	NUM	NAME	MEMO/DESCRIPTION	SPLIT	AMOUNT	BALANCE
WULF Expenses							
01/06/2023	Check	debit	Amazon		Wells Fargo Checking	131.45	131.45
01/30/2023	Check	debit	Office Max		Wells Fargo Checking	227.12	358.57
02/03/2023	Check	debit	Amazon		Wells Fargo Checking	165.62	524.19
02/10/2023	Check	debit	Office Max		Wells Fargo Checking	50.66	574.85
03/27/2023	Check	debit	Office Max		Wells Fargo Checking	174.67	749.52
03/27/2023	Check	debit	Amazon		Wells Fargo Checking	66.96	816.48
04/03/2023	Check	debit	Amazon		Wells Fargo Checking	9.67	826.15
04/03/2023	Check	debit	Yell		Wells Fargo Checking	305.73	1,131.88
04/20/2023	Check	debit	Amazon		Wells Fargo Checking	17.82	1,149.70
05/10/2023	Check	debit	Amazon		Wells Fargo Checking	85.36	1,235.06
05/10/2023	Check	debit	Amazon		Wells Fargo Checking	17.01	1,252.07
05/13/2023	Check	debit	Amazon		Wells Fargo Checking	64.80	1,316.87
05/15/2023	Check	debit	High Country Conf Center		Wells Fargo Checking	9,329.11	10,645.98
05/22/2023	Check	debit	High Country Conf Center		Wells Fargo Checking	100.24	10,746.22
Total for WULF Expenses						\$10,746.22	
Total for Expenses						\$37,649.10	
Net Income						\$4,989.22	

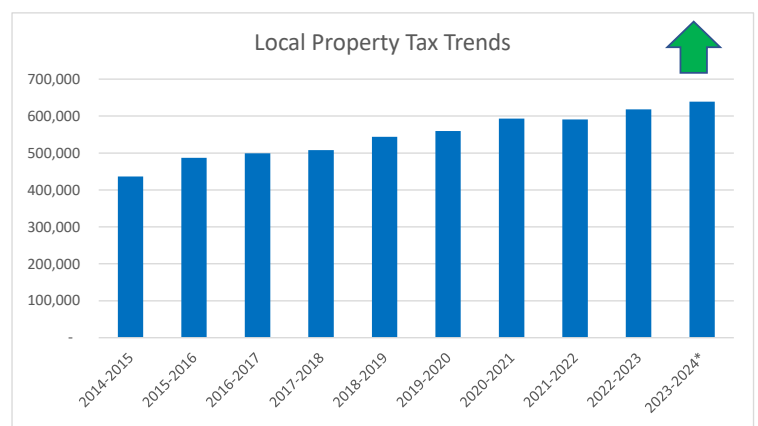
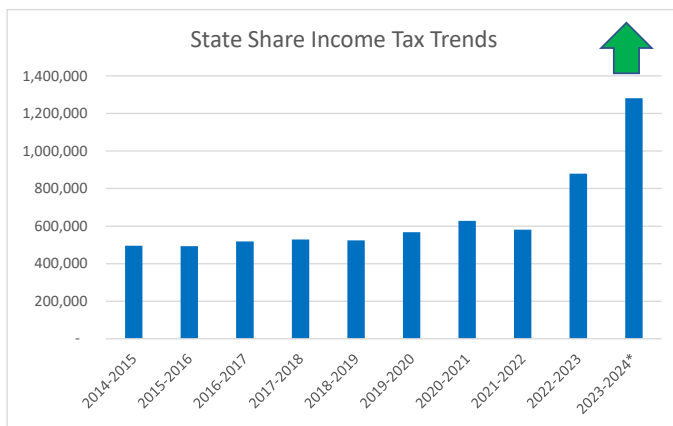
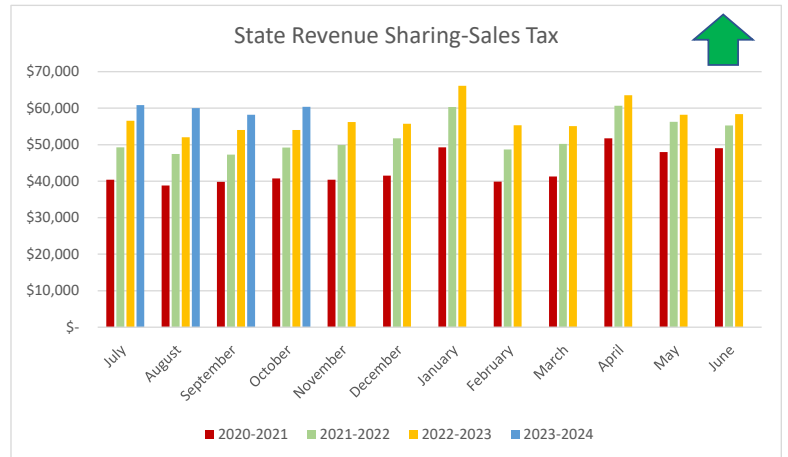
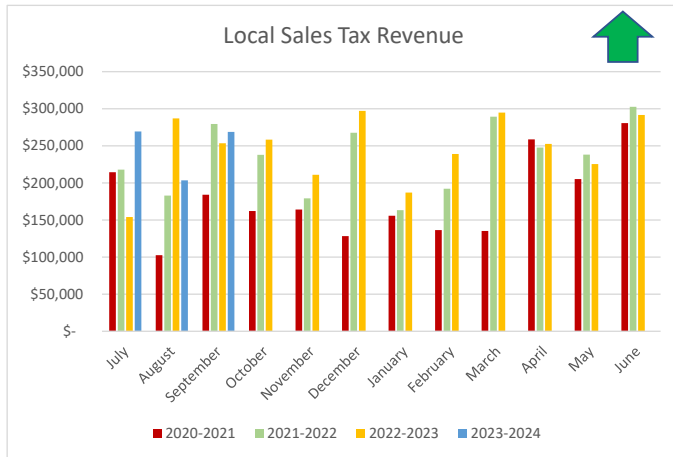
Finance Department Monthly Report

FY2023-2024 Budgeted Revenue and Expenditures by Category

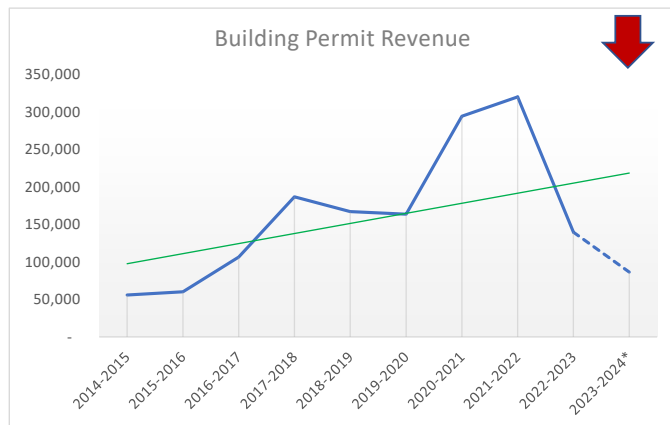


Finance Department Monthly Report October 2023

11/7/2023



*Projected

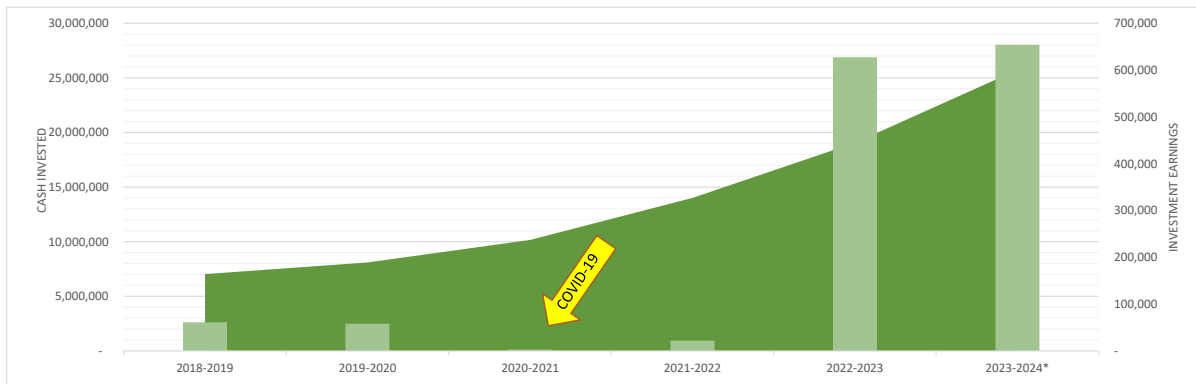


*Straightline projection yields revenues of \$144,028, projection shown above is 60%.

Comments
→Local Sales Tax receipts for September 2023 exceeded collections from September 2022.
→State Revenue Sharing in 2023 continues to exceed 2022 receipts.
→Cumulative Building Permit revenues through October 31 total \$48,009. August receipts make up approximately \$35K of this amount and are primarily a result of Crossroads "catch up" permit issuances. The Town budgeted \$125K for FY2024. Projections are shown at 60% of straightline to smooth out the August revenues.
→Interest earnings continue to exceed expectations with FYTD earnings totaling \$436,098. \$6.3million of funding was received and invested for the Bitter Creek Bridge project in July.
→The Finance Department is now live with ADP for both payroll and benefits.
→The annual update of the Financial Operations Guide is now complete.
→The audit fieldwork is now complete and the auditors are preparing the financial statements. A presentation of the annual audit is planned for January 2024.

Finance Department Monthly Report

	FY2023-2024														
Fund	Budgeted (Annual)	July 2023	August 2023	September 2023	October 2023	November 2023	December 2023	January 2024	February 2024	March 2024	April 2024	May 2024	June 2024	Fiscal Year- to-Date	
General Fund	\$ 140,000	\$ 41,234	\$ 51,098	\$ 49,833	\$ 51,819	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 193,984	
Grants Fund (Bitter Creek Bridge)	\$ -	\$ 23,313	\$ 27,882	\$ 27,133	\$ 28,266	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 106,594	
Streets Fund	\$ 11,000	\$ 3,251	\$ 3,365	\$ 3,291	\$ 3,432	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13,339	
HURF Fund	\$ 26,000	\$ 8,988	\$ 9,495	\$ 9,449	\$ 10,008	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 37,940	
Wastewater Fund	\$ 26,000	\$ 7,531	\$ 7,793	\$ 7,622	\$ 7,949	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 30,895	
Cemetery Fund	\$ 3,275	\$ 962	\$ 996	\$ 974	\$ 1,016	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,948	
Sanitation Fund	\$ -	\$ -	\$ 135	\$ 132	\$ 137	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 403	
Water Fund	\$ 41,000	\$ 11,943	\$ 12,359	\$ 12,087	\$ 12,606	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 48,994	
	\$ 247,275	\$ 97,224	\$ 113,122	\$ 110,518	\$ 115,234	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 436,098	
Percent of Year Passed		8%	17%	25%	33%	42%	50%	58%	67%	75%	83%	92%	100%		
Percent Collected vs. Budgeted		39%	85%	130%	176%	176%	176%	176%	176%	176%	176%	176%	176%		



*Projected-Straight-line projection yields investment income of \$1,308,295 the amount shown above is estimated at 50% of that. Future market conditions are difficult to predict, but it is projected that we will continue to be in a rising environment for the near term.

Town funds are currently held in the State of Arizona Local Government Investment Pool, LGIP. The funds are invested in US Government Backed Securities with the following historical investment performance as of October 31, 2023:

1 Month	QTD	3 Months	YTD	1 Year	3 Years	5 Years
5.32%	5.32%	5.29%	5.25%	4.71%	1.87%	1.73%

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

PAGE: 1

01 -GENERAL FUND

FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
LOCAL REVENUE	5,226,640.00	335,373.44	996,796.59	19.07	4,229,843.41
STATE REVENUE	<u>2,338,628.00</u>	<u>188,123.51</u>	<u>738,614.01</u>	<u>31.58</u>	<u>1,600,013.99</u>
TOTAL REVENUES	7,565,268.00	523,496.95	1,735,410.60	22.94	5,829,857.40
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
CONTINGENCY & TRANSFERS	2,532,400.00	0.00	67,153.28	2.65	2,465,246.72
ADMINISTRATION	216,636.00	16,871.88	57,837.80	26.70	158,798.20
TOWN CLERK	165,092.00	10,515.72	48,010.29	29.08	117,081.71
HUMAN RESOURCES	165,666.00	11,741.08	63,222.94	38.16	102,443.06
FINANCE	312,866.00	24,239.80	83,375.23	26.65	229,490.77
TOWN WIDE	657,885.00	26,404.41	153,992.77	23.41	503,892.23
COMMUNITY DEVELOPMENT	675,765.00	34,442.18	121,629.22	18.00	554,135.78
PARKS & RECREATION	280,198.00	21,318.85	62,368.55	22.26	217,829.45
COURT	199,634.00	4,818.60	15,615.10	7.82	184,018.90
POLICE	1,716,139.00	119,513.97	474,153.73	27.63	1,241,985.27
VERDE RIVER RAPS	68,240.00	3,117.10	15,568.99	22.82	52,671.01
PUBLIC WORKS	<u>574,747.00</u>	<u>29,299.19</u>	<u>129,275.62</u>	<u>22.49</u>	<u>445,471.38</u>
TOTAL EXPENDITURES	7,565,268.00	302,282.78	1,292,203.52	17.08	6,273,064.48
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	221,214.17	443,207.08	(	443,207.08)

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

01 -GENERAL FUND

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>LOCAL REVENUE</u>					
01-4-4000-4001 FRANCHISE ROYALTIES	100,000.00	2,317.64	2,317.64	2.32	97,682.36
01-4-4000-4002 BUSINESS & HOME OCC LICENSES	8,500.00	360.00	7,085.00	83.35	1,415.00
01-4-4000-4003 BUILDING PERMITS	125,000.00	1,462.55	48,009.42	38.41	76,990.58
01-4-4000-4004 FACILITIES RENTALS	16,000.00	2,231.00	4,600.63	28.75	11,399.37
01-4-4000-4005 COURT FINES	50,000.00	2,013.53	11,299.20	22.60	38,700.80
01-4-4000-4006 LOCAL SALES TAX (EXCLUDING CO)	2,700,000.00	310,495.54	665,407.92	24.64	2,034,592.08
01-4-4000-4007 ANIMAL CONTROL	1,800.00	10.00	150.00	8.33	1,650.00
01-4-4000-4008 PROPERTY TAX	639,000.00	6,258.26	9,253.76	1.45	629,746.24
01-4-4000-4009 FORFEITURES, AUCTION, REPOSS	2,500.00	0.00	0.00	0.00	2,500.00
01-4-4000-4010 REIMB FOR COURT APPOINTED ATTY	1,000.00	0.00	0.00	0.00	1,000.00
01-4-4000-4012 RESTITUTION TOC AS VICTIM	1,500.00	100.00	420.00	28.00	1,080.00
01-4-4000-4013 POLICE RECORD COPIES	400.00	20.00	180.00	45.00	220.00
01-4-4000-4018 INSURANCE REIMBURSEMENTS	50,000.00	0.00	0.00	0.00	50,000.00
01-4-4000-4019 GENERAL P&Z	3,000.00	150.00	425.00	14.17	2,575.00
01-4-4000-4030 PD POST STORAGE IMPOUND	1,000.00	0.00	0.00	0.00	1,000.00
01-4-4000-4033 LIQUOR LICENSE FEES	400.00	0.00	125.00	31.25	275.00
01-4-4000-4034 SPECIAL EVENT PERMIT-TOWN	600.00	0.00	0.00	0.00	600.00
01-4-4000-4035 REIMBURSE PW STAFF TIME	200.00	0.00	84.00	42.00	116.00
01-4-4000-4036 VERDE RIVER RAP OUTFITTER FEES	35,000.00	6,829.00	31,121.50	88.92	3,878.50
01-4-4000-4037 VERDE RIVER RAP DAY USE FEES	9,000.00	479.03	4,175.61	46.40	4,824.39
01-4-4000-4038 RIVER SPECIAL EVENT PERMIT	100.00	0.00	0.00	0.00	100.00
01-4-4000-4041 SOIL REMEDIATION PERMITS	5,000.00	0.00	2,834.00	56.68	2,166.00
01-4-4000-4043 SCHOOL RESOURCE OFFICER REVENUE	10,000.00	0.00	0.00	0.00	10,000.00
01-4-4000-4044 CONTGNT CONTRACT INSPECTOR	2,000.00	0.00	0.00	0.00	2,000.00
01-4-4000-4047 ENGINEERING INSPECTION FEES	2,000.00	0.00	0.00	0.00	2,000.00
01-4-4000-4051 CONCERT AMBASSADOR REVENUE	300.00	0.00	290.25	96.75	9.75
01-4-4000-4060 PD OFFICER SAFETY EQUIPMENT	0.00	61.31	61.62	0.00 (	61.62)
01-4-4000-4200 LEASE PROCEEDS	6,755.00	0.00	0.00	0.00	6,755.00
01-4-4000-4240 V RIVER MEMBERSHIP FEE REVENUE	700.00	170.00	400.00	57.14	300.00
01-4-4000-4350 SMART & SAFE FUNDS	12,000.00	0.00	0.00	0.00	12,000.00
01-4-4000-4500 MISCELLANEOUS INCOME	350.00	250.00	250.00	71.43	100.00
01-4-4000-4503 FINGER PRINTING REVENUE	1,500.00	375.00	1,815.00	121.00 (	315.00)
01-4-4000-4504 PD VEHICLE USAGE RATE REV	500.00	1,542.50	4,987.50	997.50 (	4,487.50)
01-4-4000-4505 RECORDS REQ, COPIES & FAXES	100.00	0.00	0.00	0.00	100.00
01-4-4000-4507 CC SURCHARGE REVENUE	3,700.00	248.08	1,018.45	27.53	2,681.55
01-4-4000-4700 INTEREST INCOME - LGIP 92147	140,000.00	0.00	142,164.88	101.55 (	2,164.88)
01-4-4000-4902 NSF FEES COLLECTED	35.00	0.00	0.00	0.00	35.00
01-4-4000-6007 ADMIN FEE TRANS IN FR HURF	48,093.00	0.00	0.00	0.00	48,093.00
01-4-4000-6011 ADMIN FEE TRAN IN FROM SEWER	68,441.00	0.00	0.00	0.00	68,441.00
01-4-4000-6012 ADMIN FEE TRAN IN FROM SANITAT	33,528.00	0.00	0.00	0.00	33,528.00
01-4-4000-6013 ADMIN FEE TRAN IN FROM WATER	83,825.00	0.00	0.00	0.00	83,825.00
01-4-4000-6019 ADMIN FEE TRAN IN FROM CEMETER	4,110.00	0.00	0.00	0.00	4,110.00
01-4-4000-6030 TRANSFERS IN FROM OTHER FUNDS	58,703.00	0.00	58,320.21	99.35	382.79
01-4-4000-6050 OFS-FUND BALANCE APPROPRIATION	<u>1,000,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>1,000,000.00</u>
TOTAL LOCAL REVENUE	5,226,640.00	335,373.44	996,796.59	19.07	4,229,843.41

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

01 -GENERAL FUND

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>COUNTY REVENUE</u>					
<u>STATE REVENUE</u>					
01-4-4200-4030 STATE SALES TAX	697,749.00	51,176.72	203,229.15	29.13	494,519.85
01-4-4200-4031 STATE REV SHARE (INCOME TAX)	1,242,950.00	106,791.59	427,166.36	34.37	815,783.64
01-4-4200-4035 STATE VEHICLE LIC TAX	<u>397,929.00</u>	<u>30,155.20</u>	<u>108,218.50</u>	<u>27.20</u>	<u>289,710.50</u>
TOTAL STATE REVENUE	2,338,628.00	188,123.51	738,614.01	31.58	1,600,013.99
<u>FIXED ASSET CONTRIBUTION</u>					
<u>DONATIONS REVENUE</u>					
<u>PARKS & REC DONATIONS</u>					
<u>POLICE DONATIONS</u>					
TOTAL REVENUE	7,565,268.00	523,496.95	1,735,410.60	22.94	5,829,857.40
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

01 -GENERAL FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>CAPITAL IMPROVEMENT PLAN</u>					
<u>CONTINGENCY & TRANSFERS</u>					
01-5-2000-9250 DF ECO DEV/MARKET & SEED MONEY	5,000.00	0.00	0.00	0.00	5,000.00
01-5-2000-9400 CONTINGENCY	767,619.00	0.00	55,000.00	7.17	712,619.00
01-5-2000-9801 TRANS OUT DES FUNDS STREETS	360,713.00	0.00	0.00	0.00	360,713.00
01-5-2000-9851 TRANSFER OUT TO CIP	1,386,915.00	0.00	0.00	0.00	1,386,915.00
01-5-2000-9852 TRANSFER TO GRANTS FUND	<u>12,153.00</u>	<u>0.00</u>	<u>12,153.28</u>	<u>100.00</u>	<u>(0.28)</u>
TOTAL CONTINGENCY & TRANSFERS	2,532,400.00	0.00	67,153.28	2.65	2,465,246.72
<u>ADMINISTRATION</u>					
01-5-2100-6000 SALARIES-ADMINISTRATION	154,850.00	11,873.06	41,555.71	26.84	113,294.29
01-5-2100-6020 SOCIAL SECURITY	12,612.00	966.58	3,383.31	26.83	9,228.69
01-5-2100-6022 HEALTH INSURANCE	11,390.00	949.20	3,319.60	29.14	8,070.40
01-5-2100-6023 WORKERS COMP INSURANCE	424.00	0.00	0.00	0.00	424.00
01-5-2100-6024 STATE RETIREMENT	20,260.00	1,459.18	5,107.13	25.21	15,152.87
01-5-2100-6030 TRAVEL & EDUCATION	3,600.00	0.00	347.06	9.64	3,252.94
01-5-2100-6035 TRANS & COMM ALLOWANCE	10,000.00	769.24	2,692.34	26.92	7,307.66
01-5-2100-7015 BUSINESS MEETINGS & MEALS	500.00	0.00	0.00	0.00	500.00
01-5-2100-7020 OFFICE SUPPLIES	500.00	0.00	54.91	10.98	445.09
01-5-2100-7022 DUES & ASSOCIATIONS	1,800.00	854.62	1,275.62	70.87	524.38
01-5-2100-7050 TELEPHONE	400.00	0.00	102.12	25.53	297.88
01-5-2100-8000 EQUIPMENT PURCHASE	<u>300.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>300.00</u>
TOTAL ADMINISTRATION	216,636.00	16,871.88	57,837.80	26.70	158,798.20
<u>TOWN CLERK</u>					
01-5-2101-6000 SALARIES-TOWN CLERK	81,354.00	6,258.00	21,903.00	26.92	59,451.00
01-5-2101-6001 SALARIES TOWN COUNCIL	14,400.00	1,200.00	4,800.00	33.33	9,600.00
01-5-2101-6020 SOCIAL SECURITY	7,325.00	541.74	1,943.00	26.53	5,382.00
01-5-2101-6022 HEALTH INSURANCE	15,902.00	1,325.20	4,635.60	29.15	11,266.40
01-5-2101-6023 WORKERS COMP INSURANCE	246.00	0.00	0.00	0.00	246.00
01-5-2101-6024 STATE RETIREMENT	9,998.00	772.18	2,704.17	27.05	7,293.83
01-5-2101-6026 HSA EMPLOYER PORTION	2,724.00	227.00	794.50	29.17	1,929.50
01-5-2101-6030 TRAVEL & EDUCATION	2,930.00	97.66	1,324.62	45.21	1,605.38
01-5-2101-6031 COUNCIL TRAVEL & EDUCATION	10,000.00	0.00	4,538.95	45.39	5,461.05
01-5-2101-7002 GASOLINE	300.00	0.00	0.00	0.00	300.00
01-5-2101-7004 SOFTWARE AND RENEWALS	7,100.00	0.00	0.00	0.00	7,100.00
01-5-2101-7015 BUSINESS MEETINGS & MEALS	2,000.00	0.00	145.66	7.28	1,854.34
01-5-2101-7020 OFFICE SUPPLIES	700.00	0.00	179.76	25.68	520.24
01-5-2101-7021 PUBLICATIONS & ADVERTISING	1,800.00	0.00	968.63	53.81	831.37
01-5-2101-7022 DUES & ASSOCIATIONS	500.00	0.00	0.00	0.00	500.00
01-5-2101-7050 TELEPHONE	325.00	0.00	44.24	13.61	280.76
01-5-2101-7055 TOWN CODE MAINTENANCE	2,500.00	0.00	1,319.50	52.78	1,180.50
01-5-2101-7300 RECORDS MANAGEMENT	<u>4,988.00</u>	<u>93.94</u>	<u>2,708.66</u>	<u>54.30</u>	<u>2,279.34</u>
TOTAL TOWN CLERK	165,092.00	10,515.72	48,010.29	29.08	117,081.71

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

01 -GENERAL FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>HUMAN RESOURCES</u>					
01-5-2102-6000 SALARIES- HUMAN RESOURCES	97,535.00	7,329.13	27,486.25	28.18	70,048.75
01-5-2102-6020 SOCIAL SECURITY	7,461.00	542.69	2,084.87	27.94	5,376.13
01-5-2102-6022 HEALTH INSURANCE	10,905.00	1,915.78	3,865.19	35.44	7,039.81
01-5-2102-6023 WORKERS COMP INSURANCE	251.00	0.00	0.00	0.00	251.00
01-5-2102-6024 STATE RETIREMENT	11,987.00	900.76	2,065.49	17.23	9,921.51
01-5-2102-6026 HSA EMPLOYER PORTION	4,502.00	312.12	1,104.94	24.54	3,397.06
01-5-2102-6030 TRAVEL & EDUCATION	2,500.00	0.00	261.78	10.47	2,238.22
01-5-2102-6032 EMPLOYEE RETENTION	4,000.00	0.00	38.37	0.96	3,961.63
01-5-2102-7020 OFFICE SUPPLIES	300.00	0.00	0.00	0.00	300.00
01-5-2102-7021 PUBLICATIONS & ADVERTISING	1,000.00	0.00	914.00	91.40	86.00
01-5-2102-7022 DUES & ASSOCIATIONS	800.00	0.00	65.00	8.13	735.00
01-5-2102-7023 HEALTH MANAGEMENT	500.00	0.00	0.00	0.00	500.00
01-5-2102-7024 EMPLOYEE TRAINING	15,000.00	0.00	0.00	0.00	15,000.00
01-5-2102-7030 NEW HIRE EXPENSE	3,000.00	740.60	1,135.20	37.84	1,864.80
01-5-2102-7050 TELEPHONE	425.00	0.00	38.71	9.11	386.29
01-5-2102-8000 EQUIPMENT PURCHASE	500.00	0.00	0.00	0.00	500.00
01-5-2102-9009 UNEMPLOYMENT	5,000.00	0.00	0.00	0.00	5,000.00
01-5-2102-9010 PROFESSIONAL SERVICES	<u>0.00</u>	<u>0.00</u>	<u>24,163.14</u>	<u>0.00</u>	<u>(24,163.14)</u>
TOTAL HUMAN RESOURCES	165,666.00	11,741.08	63,222.94	38.16	102,443.06

FINANCE

01-5-2103-6000 SALARIES FINANCE	219,045.00	17,016.65	58,171.39	26.56	160,873.61
01-5-2103-6020 SOCIAL SECURITY	16,757.00	1,297.97	4,443.03	26.51	12,313.97
01-5-2103-6022 HEALTH INSURANCE	30,516.00	2,543.02	8,893.71	29.14	21,622.29
01-5-2103-6023 WORKERS COMP INSURANCE	563.00	0.00	0.00	0.00	563.00
01-5-2103-6024 STATE RETIREMENT	26,921.00	2,094.41	7,371.30	27.38	19,549.70
01-5-2103-6026 HSA EMPLOYER PORTION	8,663.00	721.88	2,526.56	29.16	6,136.44
01-5-2103-6030 TRAVEL & EDUCATION	4,985.00	36.25	36.25	0.73	4,948.75
01-5-2103-7020 OFFICE SUPPLIES	1,200.00	529.62	546.87	45.57	653.13
01-5-2103-7021 PUBLICATIONS & ADVERTISING	1,000.00	0.00	1,000.00	100.00	0.00
01-5-2103-7022 DUES & ASSOCIATIONS	616.00	0.00	0.00	0.00	616.00
01-5-2103-7023 TRANSPARENCY POSTINGS	1,000.00	0.00	0.00	0.00	1,000.00
01-5-2103-7024 PHOENIX BUSINESS INT	275.00	0.00	275.00	100.00	0.00
01-5-2103-7025 POSTAGE	750.00	0.00	203.80	27.17	546.20
01-5-2103-7050 TELEPHONE	575.00	0.00	68.13	11.85	506.87
01-5-2103-7999 MISCELLANEOUS	<u>0.00</u>	<u>0.00</u>	<u>(160.81)</u>	<u>0.00</u>	<u>160.81</u>
TOTAL FINANCE	312,866.00	24,239.80	83,375.23	26.65	229,490.77

TOWN WIDE

01-5-2300-6000 SALARIES - TOWN WIDE	125,262.00	0.00	0.00	0.00	125,262.00
01-5-2300-6020 SOCIAL SECURITY	9,583.00	0.00	0.00	0.00	9,583.00
01-5-2300-6022 HEALTH INSURANCE	20,114.00	0.00	0.00	0.00	20,114.00
01-5-2300-6023 WORKERS COMP INSURANCE	235.00	0.00	0.00	0.00	235.00
01-5-2300-6024 STATE RETIREMENT	11,339.00	0.00	0.00	0.00	11,339.00
01-5-2300-6045 CELL PHONE STIPEND	1,800.00	181.25	593.75	32.99	1,206.25
01-5-2300-7001 EQUIPMENT REPAIR & MAINTANCE	10,000.00	0.00	342.40	3.42	9,657.60
01-5-2300-7002 INCODE MAINTANCE	22,400.00	17,582.26	17,582.26	78.49	4,817.74
01-5-2300-7004 SOFTWARE AND RENEWALS	41,000.00	0.00	22,772.54	55.54	18,227.46

TOWN OF CLARKDALE
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AS OF: OCTOBER 31ST, 2023

01 -GENERAL FUND

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EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
01-5-2300-7005 IT HARDWARE SERVICE CONTRACTS	5,000.00	0.00	0.00	0.00	5,000.00
01-5-2300-7007 ARC GIS LICENSE & SOFTWARE	3,400.00	0.00	3,661.31	107.69 (	261.31)
01-5-2300-7008 PLOTTER MAINT A&E REPROGRAPH	1,800.00	0.00	0.00	0.00	1,800.00
01-5-2300-7015 BUSINESS MEETINGS & MEALS	1,500.00	0.00	155.53	10.37	1,344.47
01-5-2300-7020 OFFICE SUPPLIES	1,100.00	0.00	314.32	28.57	785.68
01-5-2300-7022 DUES AND ASSOCIATION FEES	13,000.00	0.00	8,544.69	65.73	4,455.31
01-5-2300-7025 POSTAGE	1,025.00	0.00	110.21	10.75	914.79
01-5-2300-7910 BANK CHARGES/CREDIT CARD FEES	8,000.00	0.90	173.51	2.17	7,826.49
01-5-2300-8001 ITC EQUIPMENT	30,000.00	0.00	2,633.57	8.78	27,366.43
01-5-2300-9002 IT CONTRACT SERVICES	105,000.00	8,640.00	20,925.00	19.93	84,075.00
01-5-2300-9003 MFFC PAYMENT	12,500.00	0.00	12,297.55	98.38	202.45
01-5-2300-9004 LEGAL MUNICIPAL	75,000.00	0.00	12,952.08	17.27	62,047.92
01-5-2300-9007 AUDIT COSTS	29,750.00	0.00	0.00	0.00	29,750.00
01-5-2300-9008 LIABILITY & PROPERTY INSURANCE	77,829.00	0.00	42,413.59	54.50	35,415.41
01-5-2300-9010 EMERGENCY MANAGEMENT SUPPLIES	2,000.00	0.00	0.00	0.00	2,000.00
01-5-2300-9015 TOWN WEB SITE	8,448.00	0.00	0.00	0.00	8,448.00
01-5-2300-9018 INSURANCE CLAIMS	800.00	0.00	0.00	0.00	800.00
01-5-2300-9250 TOURISM/MARKETING	<u>40,000.00</u>	<u>0.00</u>	<u>8,520.46</u>	<u>21.30</u>	<u>31,479.54</u>
TOTAL TOWN WIDE	657,885.00	26,404.41	153,992.77	23.41	503,892.23

LIBRARYCOMMUNITY DEVELOPMENT

01-5-2500-6000 SALARIES- PLANNING & ZONING	382,570.00	23,776.34	83,217.19	21.75	299,352.81
01-5-2500-6020 SOCIAL SECURITY	29,267.00	1,770.26	6,220.23	21.25	23,046.77
01-5-2500-6022 HEALTH INSURANCE	69,765.00	4,286.98	14,875.61	21.32	54,889.39
01-5-2500-6023 WORKERS COMP INSURANCE	3,476.00	0.00	0.00	0.00	3,476.00
01-5-2500-6024 STATE RETIREMENT	46,994.00	2,922.10	10,227.35	21.76	36,766.65
01-5-2500-6026 HSA EMPLOYER PORTION	12,093.00	744.00	2,551.52	21.10	9,541.48
01-5-2500-6030 TRAVEL & EDUCATION	3,500.00	0.00	1,465.38	41.87	2,034.62
01-5-2500-6031 COMM & BOARD TRAVEL & ED	2,000.00	0.00	0.00	0.00	2,000.00
01-5-2500-7001 EQUIPMENT REPAIRS & MAINT	2,000.00	0.00	893.88	44.69	1,106.12
01-5-2500-7002 GASOLINE	1,500.00	0.00	249.37	16.62	1,250.63
01-5-2500-7012 SOFTWARE MAINTENANCE	11,000.00	0.00	0.00	0.00	11,000.00
01-5-2500-7015 BUSINESS MEETINGS & MEALS	500.00	0.00	0.00	0.00	500.00
01-5-2500-7020 OFFICE SUPPLIES	2,000.00	0.00	459.64	22.98	1,540.36
01-5-2500-7021 PUBLICATIONS & ADVERSTISING	1,500.00	0.00	0.00	0.00	1,500.00
01-5-2500-7022 DUES & ASSOCIATIONS	2,000.00	0.00	0.00	0.00	2,000.00
01-5-2500-7025 POSTAGE	600.00	0.00	117.93	19.66	482.07
01-5-2500-7026 PRINTING FEE	1,500.00	0.00	0.00	0.00	1,500.00
01-5-2500-7050 TELEPHONE	2,500.00	0.00	408.62	16.34	2,091.38
01-5-2500-8000 EQUIPMENT PURCHASE	1,000.00	0.00	0.00	0.00	1,000.00
01-5-2500-9005 CONTRACT SERVICES	<u>100,000.00</u>	<u>942.50</u>	<u>942.50</u>	<u>0.94</u>	<u>99,057.50</u>
TOTAL COMMUNITY DEVELOPMENT	675,765.00	34,442.18	121,629.22	18.00	554,135.78

CODE DEPTP&R

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

01 -GENERAL FUND

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EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>P&R - POOL</u>					
<u>PARKS & RECREATION</u>					
01-5-2602-6000 SALARIES - PARKS & RECREATION	174,167.00	13,578.60	40,552.08	23.28	133,614.92
01-5-2602-6002 AMBASSADOR SALARIES	4,918.00	126.00	1,196.60	24.33	3,721.40
01-5-2602-6020 SOCIAL SECURITY	13,698.00	1,012.64	3,166.11	23.11	10,531.89
01-5-2602-6022 HEALTH INSURANCE	48,187.00	3,582.60	7,810.90	16.21	40,376.10
01-5-2602-6023 WORKERS COMP INSURANCE	643.00	0.00	0.00	0.00	643.00
01-5-2602-6024 STATE RETIREMENT	21,175.00	1,610.95	4,943.10	23.34	16,231.90
01-5-2602-6026 HSA EMPLOYER PORTION	3,480.00	580.00	2,030.00	58.33	1,450.00
01-5-2602-6030 TRAVEL & EDUCATION	2,200.00	0.00	1,054.66	47.94	1,145.34
01-5-2602-6033 VOLUNTEER APPRECIATION EXP	1,000.00	0.00	0.00	0.00	1,000.00
01-5-2602-7020 OFFICE SUPPLIES	300.00	0.00	106.47	35.49	193.53
01-5-2602-7022 DUES AND ASSOCIATIONS	380.00	0.00	100.00	26.32	280.00
01-5-2602-7050 TELEPHONE	700.00	0.00	73.66	10.52	626.34
01-5-2602-7300 PROGRAMMING	2,500.00	0.00	56.91	2.28	2,443.09
01-5-2602-7700 FOURTH OF JULY	1,000.00	0.00	450.00	45.00	550.00
01-5-2602-7800 HALLOWEEN	1,000.00	0.00	0.00	0.00	1,000.00
01-5-2602-7801 CHRISTMAS	1,000.00	0.00	0.00	0.00	1,000.00
01-5-2602-7900 PARK LEASES & MAINTENANCE	850.00	828.06	828.06	97.42	21.94
01-5-2602-8000 EQUIPMENT PURCHASE	<u>3,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>3,000.00</u>
TOTAL PARKS & RECREATION	280,198.00	21,318.85	62,368.55	22.26	217,829.45
<u>CLUBHOUSE</u>					
<u>COURT</u>					
01-5-2800-6000 SALARIES- COURT	28,000.00	2,153.84	7,538.44	26.92	20,461.56
01-5-2800-6020 SOCIAL SECURITY	2,142.00	164.76	576.66	26.92	1,565.34
01-5-2800-6023 WORKERS COMP INSURANCE	72.00	0.00	0.00	0.00	72.00
01-5-2800-8020 PUBLIC DEFENDER/DEFENSE ATTY	14,000.00	0.00	0.00	0.00	14,000.00
01-5-2800-8030 PROSECUTING ATTORNEY	30,000.00	2,500.00	7,500.00	25.00	22,500.00
01-5-2800-9005 CONTRACT SERVICES	105,420.00	0.00	0.00	0.00	105,420.00
01-5-2800-9400 CONTINGENCY	<u>20,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>20,000.00</u>
TOTAL COURT	199,634.00	4,818.60	15,615.10	7.82	184,018.90
<u>POLICE</u>					
01-5-2900-6000 SALARIES- POLICE	894,208.00	75,193.84	262,706.89	29.38	631,501.11
01-5-2900-6001 OVERTIME- POLICE	43,440.00	3,617.24	15,995.18	36.82	27,444.82
01-5-2900-6020 SOCIAL SECURITY	72,832.00	5,891.63	20,897.08	28.69	51,934.92
01-5-2900-6021 PSPRS RETIREMENT	217,749.00	14,408.05	51,147.41	23.49	166,601.59
01-5-2900-6022 HEALTH INSURANCE	167,441.00	14,994.38	51,950.76	31.03	115,490.24
01-5-2900-6023 WORKERS COMP INSURANCE	44,681.00	0.00	0.00	0.00	44,681.00
01-5-2900-6024 STATE RETIREMENT	12,248.00	869.10	4,060.74	33.15	8,187.26
01-5-2900-6026 HSA EMPLOYER PORTION	27,465.00	2,459.00	8,335.50	30.35	19,129.50
01-5-2900-6030 TRAVEL & EDUCATION	6,500.00	0.00	359.71	5.53	6,140.29
01-5-2900-6040 UNIFORM ALLOWANCE	14,400.00	0.00	260.48	1.81	14,139.52
01-5-2900-7001 EQUIPMENT REPAIRS & MAINT	12,000.00	485.15	5,468.60	45.57	6,531.40
01-5-2900-7002 GASOLINE & CAR WASHES	20,000.00	210.00	5,901.95	29.51	14,098.05
01-5-2900-7009 AMMUNITION & RANGE	2,000.00	0.00	0.00	0.00	2,000.00

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
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01 -GENERAL FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
01-5-2900-7015 BUSINESS MEETINGS & MEALS	3,500.00	14.49	270.54	7.73	3,229.46
01-5-2900-7020 OFFICE SUPPLIES	3,839.00	193.02	835.96	21.78	3,003.04
01-5-2900-7021 PUBLICATIONS & ADVERTISING	500.00	0.00	0.00	0.00	500.00
01-5-2900-7022 DUES & ASSOCIATIONS	1,000.00	50.00	625.00	62.50	375.00
01-5-2900-7023 COMMUNITY PROGRAMS	2,000.00	0.00	0.00	0.00	2,000.00
01-5-2900-7025 POSTAGE	250.00	0.00	67.74	27.10	182.26
01-5-2900-7050 TELEPHONE	14,480.00	0.00	1,943.62	13.42	12,536.38
01-5-2900-7500 POLICE SUPPLIES	4,200.00	0.00	285.47	6.80	3,914.53
01-5-2900-7501 TOWING	200.00	0.00	100.00	50.00	100.00
01-5-2900-7502 ANIMAL CONTROL	125.00	0.00	0.00	0.00	125.00
01-5-2900-7504 SILENT WITNESS	100.00	0.00	0.00	0.00	100.00
01-5-2900-7505 ANIMAL SHELTER	9,500.00	791.67	2,375.01	25.00	7,124.99
01-5-2900-7550 BUILDING & EMPLOYEE SAFETY	500.00	0.00	0.00	0.00	500.00
01-5-2900-8000 EQUIPMENT PURCHASE	20,000.00	0.00	5,073.36	25.37	14,926.64
01-5-2900-8003 RECORDS SOFTWARE SUPPORT	11,822.00	0.00	0.00	0.00	11,822.00
01-5-2900-8005 CLOUD STORAGE/CAMERA SUPPORT	12,859.00	336.40	10,124.81	78.74	2,734.19
01-5-2900-9007 DISPATCHING CONTRACT	94,500.00	0.00	23,605.92	24.98	70,894.08
01-5-2900-9010 EMERGENCY MANAGEMENT	<u>1,800.00</u>	<u>0.00</u>	<u>1,762.00</u>	<u>97.89</u>	<u>38.00</u>
TOTAL POLICE	1,716,139.00	119,513.97	474,153.73	27.63	1,241,985.27

POLICE- SROVERDE RIVER RAPS

01-5-2905-6000 SALARIES-VERDE RIVER RAPS	46,483.00	2,166.75	10,053.43	21.63	36,429.57
01-5-2905-6020 SOCIAL SECURITY	3,602.00	165.76	769.10	21.35	2,832.90
01-5-2905-6023 WORKERS COMP INSURANCE	1,297.00	0.00	0.00	0.00	1,297.00
01-5-2905-6024 STATE RETIREMENT	558.00	6.29	217.90	39.05	340.10
01-5-2905-6030 UNIFORM ALLOWANCE	300.00	151.30	151.30	50.43	148.70
01-5-2905-7001 EQUIPMENT REPAIR & MAINTENANC	2,000.00	0.00	680.65	34.03	1,319.35
01-5-2905-7002 GASOLINE	2,600.00	0.00	1,011.24	38.89	1,588.76
01-5-2905-7050 TELEPHONE	600.00	0.00	81.50	13.58	518.50
01-5-2905-7060 RAP RESTROOMS	9,600.00	627.00	2,508.00	26.13	7,092.00
01-5-2905-7500 SUPPLIES	<u>1,200.00</u>	<u>0.00</u>	<u>95.87</u>	<u>7.99</u>	<u>1,104.13</u>
TOTAL VERDE RIVER RAPS	68,240.00	3,117.10	15,568.99	22.82	52,671.01

PUBLIC WORKS

01-5-3101-6000 SALARIES- PUBLIC WORKS	233,441.00	18,170.61	62,640.96	26.83	170,800.04
01-5-3101-6020 SOCIAL SECURITY	17,954.00	1,354.64	4,644.52	25.87	13,309.48
01-5-3101-6022 HEALTH INSURANCE	51,588.00	3,832.42	13,393.06	25.96	38,194.94
01-5-3101-6023 WORKERS COMP INSURANCE	8,868.00	0.00	0.00	0.00	8,868.00
01-5-3101-6024 STATE RETIREMENT	28,842.00	2,222.11	6,537.07	22.67	22,304.93
01-5-3101-6026 HSA EMPLOYER PORTION	10,354.00	864.26	3,022.03	29.19	7,331.97
01-5-3101-6030 TRAVEL & EDUCATION	3,000.00	0.00	0.00	0.00	3,000.00
01-5-3101-6040 UNIFORMS	2,000.00	0.00	0.00	0.00	2,000.00
01-5-3101-7001 EQUIPMENT REPAIRS & MAINTANCE	10,000.00	594.54	3,807.58	38.08	6,192.42
01-5-3101-7002 GASOLINE	5,000.00	457.19	1,837.10	36.74	3,162.90
01-5-3101-7004 PARK EQUIPT REPAIRS & MAINT	8,000.00	53.80	1,599.94	20.00	6,400.06
01-5-3101-7006 LANDSCAPING	750.00	0.00	0.00	0.00	750.00
01-5-3101-7008 EQUIPMENT RENTAL	1,000.00	0.00	49.27	4.93	950.73
01-5-3101-7012 SOFTWARE MAINTENANCE	2,000.00	0.00	1,540.00	77.00	460.00

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

01 -GENERAL FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
01-5-3101-7015 BUSINESS MEETINGS & MEALS	200.00	0.00	0.00	0.00	200.00
01-5-3101-7020 OFFICE SUPPLIES	425.00	0.00	116.46	27.40	308.54
01-5-3101-7021 PUBLICATIONS & ADVERTISING	100.00	0.00	0.00	0.00	100.00
01-5-3101-7022 DUES & ASSOCIATIONS	725.00	0.00	0.00	0.00	725.00
01-5-3101-7050 TELEPHONE	2,750.00	0.00	549.87	20.00	2,200.13
01-5-3101-7060 HEATING	7,000.00	0.00	391.42	5.59	6,608.58
01-5-3101-7061 WATER USE	37,000.00	0.00	6,537.54	17.67	30,462.46
01-5-3101-7062 ELECTRICAL	59,000.00	0.00	16,115.97	27.32	42,884.03
01-5-3101-7500 BUILDING SUPPLIES	3,750.00	417.16	1,320.18	35.20	2,429.82
01-5-3101-7501 PEST CONTROL	2,900.00	51.00	968.00	33.38	1,932.00
01-5-3101-7503 BUILDING MAINTENANCE	7,500.00	1,102.98	1,747.85	23.30	5,752.15
01-5-3101-7504 CLEANING SUPPLIES	750.00	114.71	311.46	41.53	438.54
01-5-3101-7505 B&G TOOLS	2,100.00	63.77	909.00	43.29	1,191.00
01-5-3101-7550 EMPLOYEE SAFETY	750.00	0.00	400.34	53.38	349.66
01-5-3101-9010 PROFESSIONAL SERVICES	10,000.00	0.00	836.00	8.36	9,164.00
01-5-3101-9012 TRANSIT CONTRACT	<u>57,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>57,000.00</u>
TOTAL PUBLIC WORKS	574,747.00	29,299.19	129,275.62	22.49	445,471.38
<u>DONATIONS</u>	_____	_____	_____	_____	_____
<u>PARKS & REC DONATION EXP</u>	_____	_____	_____	_____	_____
<u>POLICE DONATION EXPENSE</u>	_____	_____	_____	_____	_____
<u>HERITAGE CONSERVANCY</u>	_____	_____	_____	_____	_____
TOTAL EXPENDITURES	7,565,268.00	302,282.78	1,292,203.52	17.08	6,273,064.48
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	221,214.17	443,207.08	(	443,207.08)

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

03 -HURF (STREETS)

FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
LOCAL REVENUE	690,780.00	0.00	27,932.06	4.04	662,847.94
STATE REVENUE	<u>480,930.00</u>	<u>37,937.18</u>	<u>112,501.34</u>	<u>23.39</u>	<u>368,428.66</u>
TOTAL REVENUES	1,171,710.00	37,937.18	140,433.40	11.99	1,031,276.60
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
HURF (STREETS)	176,930.00	0.00	602.58	0.34	176,327.42
HURF CIP	<u>994,780.00</u>	<u>0.00</u>	<u>17,000.00</u>	<u>1.71</u>	<u>977,780.00</u>
TOTAL EXPENDITURES	1,171,710.00	0.00	17,602.58	1.50	1,154,107.42
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	37,937.18	122,830.82		(122,830.82)

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

03 -HURF (STREETS)

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>LOCAL REVENUE</u>					
03-4-4000-4030 HB 2748 HURF ALLOCATION	664,780.00	0.00	0.00	0.00	664,780.00
03-4-4000-4700 INTEREST LGIP 92148	<u>26,000.00</u>	<u>0.00</u>	<u>27,932.06</u>	<u>107.43</u>	(<u>1,932.06</u>)
TOTAL LOCAL REVENUE	690,780.00	0.00	27,932.06	4.04	662,847.94
<u>STATE REVENUE</u>					
03-4-4200-4032 HIGHWAY USER (HURF)	<u>480,930.00</u>	<u>37,937.18</u>	<u>112,501.34</u>	<u>23.39</u>	<u>368,428.66</u>
TOTAL STATE REVENUE	480,930.00	37,937.18	112,501.34	23.39	368,428.66
<u>STREET DONATIONS</u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>
TOTAL REVENUE	1,171,710.00	37,937.18	140,433.40	11.99	1,031,276.60
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

03 -HURF (STREETS)

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>HURF (STREETS)</u>					
03-5-0100-6003 REIMBURSEMENT TO GF	48,093.00	0.00	0.00	0.00	48,093.00
03-5-0100-8006 STREET SIGNS & STRIPING	5,000.00	0.00	602.58	12.05	4,397.42
03-5-0100-9400 CONTINGENCY	<u>123,837.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>123,837.00</u>
TOTAL HURF (STREETS)	176,930.00	0.00	602.58	0.34	176,327.42
<u>HURF CIP</u>					
03-5-0800-8000 CAPITAL IMPROVEMENT PLAN	<u>994,780.00</u>	<u>0.00</u>	<u>17,000.00</u>	<u>1.71</u>	<u>977,780.00</u>
TOTAL HURF CIP	994,780.00	0.00	17,000.00	1.71	977,780.00
<u>CLARKDALE R&M</u>					
 <u>COTTONWOOD R&M</u>	 	 	 	 	
 <u>FIRE DISTRICT</u>	 	 	 	 	
 <u>OFU-FUND BALANCE APPROP.</u>	 	 	 	 	
TOTAL EXPENDITURES	1,171,710.00	0.00	17,602.58	1.50	1,154,107.42
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	37,937.18	122,830.82	(	122,830.82)

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

06 -STREET FUND UNRESTRICTED
FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
LOCAL REVENUE	<u>840,360.00</u>	<u>0.00</u>	<u>10,156.61</u>	<u>1.21</u>	<u>830,203.39</u>
TOTAL REVENUES	840,360.00	0.00	10,156.61	1.21	830,203.39
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
STREETS UNRESTRICTED	387,110.00	20,142.95	85,892.23	22.19	301,217.77
CAPITAL IMPROVEMENT PLAN	<u>453,250.00</u>	<u>0.00</u>	<u>37,597.08</u>	<u>8.29</u>	<u>415,652.92</u>
TOTAL EXPENDITURES	840,360.00	20,142.95	123,489.31	14.69	716,870.69
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00 (	20,142.95) (	113,332.70)		113,332.70

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

06 -STREET FUND UNRESTRICTED

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>LOCAL REVENUE</u>					
06-4-4000-4500 MISC REVENUE	0.00	0.00	250.00	0.00 (	250.00)
06-4-4000-4600 UVSP AGREEMENT REVENUE	50,000.00	0.00	0.00	0.00	50,000.00
06-4-4000-4709 LGIP INTEREST 93924	11,000.00	0.00	9,906.61	90.06	1,093.39
06-4-4000-4802 TRANS IN FR SANITATION FD	235,000.00	0.00	0.00	0.00	235,000.00
06-4-4000-4992 DES FD GEN STREET PROJECTS	360,713.00	0.00	0.00	0.00	360,713.00
06-4-4000-6050 FUND BALANCE APPROPRIATION	<u>183,647.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>183,647.00</u>
TOTAL LOCAL REVENUE	840,360.00	0.00	10,156.61	1.21	830,203.39
<u>STATE REVENUE</u>					
TOTAL REVENUE	840,360.00	0.00	10,156.61	1.21	830,203.39
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

06 -STREET FUND UNRESTRICTED

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>STREETS UNRESTRICTED</u>					
06-5-0100-6000 SALARIES O & M	159,609.00	12,816.90	42,210.68	26.45	117,398.32
06-5-0100-6020 SOCIAL SECURITY	12,257.00	966.88	3,208.84	26.18	9,048.16
06-5-0100-6022 HEALTH INSURANCE	32,349.00	1,784.83	6,249.75	19.32	26,099.25
06-5-0100-6023 WORKERS COMPENSATION	8,360.00	0.00	0.00	0.00	8,360.00
06-5-0100-6024 STATE RETIREMENT	19,693.00	1,498.04	5,508.84	27.97	14,184.16
06-5-0100-6026 HSA EMPLOYER PORTION	4,112.00	341.24	1,197.26	29.12	2,914.74
06-5-0100-6030 TRAVEL & EDUCATION	3,000.00	0.00	375.00	12.50	2,625.00
06-5-0100-6040 UNIFORM ALLOWANCE	1,000.00	0.00	0.00	0.00	1,000.00
06-5-0100-7001 EQUIPMENT REPAIRS & MAINT	5,000.00	336.92	2,688.51	53.77	2,311.49
06-5-0100-7002 GASOLINE	3,500.00	457.20	1,752.68	50.08	1,747.32
06-5-0100-7004 SOFTWARE PROGRAMS/MAINT	3,750.00	0.00	1,540.00	41.07	2,210.00
06-5-0100-7015 BUSINESS MEETINGS & MEALS	100.00	0.00	0.00	0.00	100.00
06-5-0100-7020 OFFICE SUPPLIES	275.00	0.00	116.47	42.35	158.53
06-5-0100-7021 PUBLICATIONS & ADVERTISING	100.00	0.00	0.00	0.00	100.00
06-5-0100-7022 DUES & ASSOCIATIONS	150.00	0.00	0.00	0.00	150.00
06-5-0100-7050 TELEPHONE	1,500.00	0.00	325.85	21.72	1,174.15
06-5-0100-7062 ELECTRICAL	24,000.00	0.00	6,188.69	25.79	17,811.31
06-5-0100-7063 SIDEWALKS	14,000.00	1,940.94	1,940.94	13.86	12,059.06
06-5-0100-7500 STREET SUPPLIES	12,500.00	0.00	259.51	2.08	12,240.49
06-5-0100-7505 TOOLS	1,100.00	0.00	595.94	54.18	504.06
06-5-0100-7550 EMPLOYEE SAFETY EQUIPMENT	500.00	0.00	153.89	30.78	346.11
06-5-0100-9008 LIABILITY & PROPERTY INSURANCE	19,671.00	0.00	10,719.88	54.50	8,951.12
06-5-0100-9010 PROFESSIONAL SERVICES	10,000.00	0.00	859.50	8.60	9,140.50
06-5-0100-9400 CONTINGENCY	46,098.00	0.00	0.00	0.00	46,098.00
06-5-0100-9803 BENT RIVER MAINT/ DES FDS	<u>4,486.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>4,486.00</u>
TOTAL STREETS UNRESTRICTED	387,110.00	20,142.95	85,892.23	22.19	301,217.77
<u>CAPITAL IMPROVEMENT PLAN</u>					
06-5-0800-8000 CAPITAL IMPROVEMENT PLAN	<u>453,250.00</u>	<u>0.00</u>	<u>37,597.08</u>	<u>8.29</u>	<u>415,652.92</u>
TOTAL CAPITAL IMPROVEMENT PLAN	453,250.00	0.00	37,597.08	8.29	415,652.92
<u>OTHER FINANCING USES</u>					
<u>MISC</u>					
TOTAL EXPENDITURES	840,360.00	20,142.95	123,489.31	14.69	716,870.69
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	(20,142.95)	(113,332.70)		113,332.70

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

11 -WASTEWATER FUND

FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
WASTEWATER P & E IMPROVMT	650,000.00	0.00	0.00	0.00	650,000.00
WASTERWATER REVENUE	<u>2,173,512.00</u>	<u>84,155.99</u>	<u>360,852.21</u>	<u>16.60</u>	<u>1,812,659.79</u>
TOTAL REVENUES	2,823,512.00	84,155.99	360,852.21	12.78	2,462,659.79
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
WASTE WATER O & M	1,703,064.00	41,927.55	168,020.33	9.87	1,535,043.67
WASTEWATER P & E IMPROVMT	215,448.00	0.00	190,962.88	88.64	24,485.12
CAPITAL IMPROVEMENT PLAN	<u>905,000.00</u>	<u>7,960.00</u>	<u>33,050.54</u>	<u>3.65</u>	<u>871,949.46</u>
TOTAL EXPENDITURES	2,823,512.00	49,887.55	392,033.75	13.88	2,431,478.25
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	34,268.44 (	31,181.54)		31,181.54

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

11 -WASTEWATER FUND

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>WASTEWATER P & E IMPROVMT</u>					
11-4-0703-9803 CAPITAL TRANSFER IN	<u>650,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>650,000.00</u>
TOTAL WASTEWATER P & E IMPROVMT	650,000.00	0.00	0.00	0.00	650,000.00
 <u>WASTERWATER REVENUE</u>					
11-4-4000-4014 SEWER USE FEES	963,376.00	79,232.42	316,963.04	32.90	646,412.96
11-4-4000-4116 SEWER LINE REPLACEMENT REVENUE	36,338.00	2,969.21	11,863.07	32.65	24,474.93
11-4-4000-4120 SEWER CAPACITY FEES	288,198.00	0.00	0.00	0.00	288,198.00
11-4-4000-4125 SEWER CONNECTION FEES	41,000.00	500.00	2,000.00	4.88	39,000.00
11-4-4000-4201 EFFLUENT/ RECLAIMED WATER SALE	6,000.00	267.24	2,075.81	34.60	3,924.19
11-4-4000-4203 SBA TOWER LEASE REVENUE	0.00	385.69	1,533.19	0.00 (	1,533.19)
11-4-4000-4500 MISCELLANEOUS REVENUE	0.00	0.00	63.85	0.00 (	63.85)
11-4-4000-4507 CC SURCHARGE REVENUE	600.00	0.00	0.00	0.00	600.00
11-4-4000-4700 INTEREST INCOME-MISC. WW	7,000.00	0.00	0.00	0.00	7,000.00
11-4-4000-4705 LGIP WASTEWATER 93947	19,000.00	0.00	16,752.85	88.17	2,247.15
11-4-4000-4709 LGIP WW BOND RESERVE 93925	0.00	0.00	6,193.25	0.00 (	6,193.25)
11-4-4000-4800 TRANSFERS IN	800,000.00	0.00	0.00	0.00	800,000.00
11-4-4000-4900 SEWER LATE FEES	<u>12,000.00</u>	<u>801.43</u>	<u>3,407.15</u>	<u>28.39</u>	<u>8,592.85</u>
TOTAL WASTERWATER REVENUE	2,173,512.00	84,155.99	360,852.21	16.60	1,812,659.79
TOTAL REVENUE	2,823,512.00	84,155.99	360,852.21	12.78	2,462,659.79
	=====	=====	=====	=====	=====

11 -WASTEWATER FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>WASTE WATER O & M</u>					
11-5-0700-6000 SALARIES-WASTEWATER O&M	181,832.00	13,481.30	44,614.41	24.54	137,217.59
11-5-0700-6001 OVERTIME	0.00	0.00	490.23	0.00 (	490.23)
11-5-0700-6003 REIMBURSEMENT FEE TO GF	68,441.00	0.00	0.00	0.00	68,441.00
11-5-0700-6020 SOCIAL SECURITY	13,959.00	1,023.37	3,420.04	24.50	10,538.96
11-5-0700-6022 HEALTH INSURANCE	31,018.00	1,983.02	6,778.16	21.85	24,239.84
11-5-0700-6023 WORKERS COMPENSATION	7,956.00	0.00	0.00	0.00	7,956.00
11-5-0700-6024 STATE RETIREMENT	22,424.00	1,612.77	4,850.04	21.63	17,573.96
11-5-0700-6026 HSA EMPLOYER PORTION	7,687.00	579.80	1,996.92	25.98	5,690.08
11-5-0700-6030 TRAVEL & EDUCATION	2,500.00	93.50	343.52	13.74	2,156.48
11-5-0700-6031 CERTIFICATIONS	2,700.00	0.00	246.94	9.15	2,453.06
11-5-0700-6040 UNIFORM ALLOWANCE	1,000.00	0.00	0.00	0.00	1,000.00
11-5-0700-7000 LIFT STATION O&M	7,000.00	0.00	1,450.00	20.71	5,550.00
11-5-0700-7001 EQUIPMENT REPAIRS & MAINT	4,000.00	338.87	761.86	19.05	3,238.14
11-5-0700-7002 GASOLINE	3,500.00	457.20	1,662.65	47.50	1,837.35
11-5-0700-7003 CHEMICALS	36,000.00	5,255.35	7,446.82	20.69	28,553.18
11-5-0700-7004 RECLAIM WATER	2,500.00	0.00	0.00	0.00	2,500.00
11-5-0700-7005 LINE REPAIRS/REPLACEMENT	10,000.00	52.72	103.98	1.04	9,896.02
11-5-0700-7010 PLANT IMPROVEMENTS	30,600.00	455.86	3,651.47	11.93	26,948.53
11-5-0700-7011 SEWER TAPS (CONNECTION)	500.00	0.00	0.00	0.00	500.00
11-5-0700-7013 SOFTWARE MAINTENANCE/RENEWAL	2,000.00	0.00	1,540.00	77.00	460.00
11-5-0700-7014 UNIFORM CLEANING SERVICES	1,000.00	0.00	0.00	0.00	1,000.00
11-5-0700-7015 BUSINESS MEETINGS & MEALS	100.00	0.00	0.00	0.00	100.00
11-5-0700-7020 OFFICE SUPPLIES	500.00	0.00	245.17	49.03	254.83
11-5-0700-7022 DUES & ASSOCIATIONS	1,500.00	0.00	0.00	0.00	1,500.00
11-5-0700-7025 POSTAGE	100.00	0.00	6.93	6.93	93.07
11-5-0700-7050 TELEPHONE	1,750.00	0.00	256.90	14.68	1,493.10
11-5-0700-7061 WATER	2,800.00	0.00	470.77	16.81	2,329.23
11-5-0700-7062 ELECTRICAL	75,000.00	0.00	21,297.92	28.40	53,702.08
11-5-0700-7505 TOOLS	4,000.00	4.39	412.29	10.31	3,587.71
11-5-0700-7550 EMPLOYEE SAFETY	750.00	0.00	105.93	14.12	644.07
11-5-0700-7600 BAD DEBTS	1,350.00	0.00	0.00	0.00	1,350.00
11-5-0700-7910 BANKING/CREDIT CARD EXPENSE	7,000.00	702.49	2,167.68	30.97	4,832.32
11-5-0700-7911 INCODE MAINTENANCE	1,400.00	1,428.36	1,428.36	102.03 (	28.36)
11-5-0700-7920 FISHER HOUSE MAINTENANCE	2,000.00	19.07	89.61	4.48	1,910.39
11-5-0700-7950 BILL PRINTING&PMT PORTAL (L&R)	5,000.00	429.79	1,733.32	34.67	3,266.68
11-5-0700-8000 EQUIPMENT PURCHASE	26,000.00	0.00	14.27	0.05	25,985.73
11-5-0700-9008 LIABILITY & PROPERTY INSURANCE	26,342.00	0.00	14,345.49	54.46	11,996.51
11-5-0700-9009 CONTRACTED OPERATORS	115,000.00	9,940.00	29,820.00	25.93	85,180.00
11-5-0700-9010 PROFESSIONAL SERVICES	38,500.00	466.30	2,412.71	6.27	36,087.29
11-5-0700-9012 REGULATORY FEES ADEQ	6,750.00	0.00	0.00	0.00	6,750.00
11-5-0700-9015 CHEMICAL TESTING	27,000.00	1,643.00	6,473.00	23.97	20,527.00
11-5-0700-9018 SLUDGE REMOVAL	20,000.00	1,960.39	7,382.94	36.91	12,617.06
11-5-0700-9025 WW CONTINGENCY	<u>903,605.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>903,605.00</u>
TOTAL WASTE WATER O & M	1,703,064.00	41,927.55	168,020.33	9.87	1,535,043.67

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

11 -WASTEWATER FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>WASTEWATER LINE REPLACEMT</u>	_____	_____	_____	_____	_____
<u>WASTEWATER CAP FEE DEV P</u>	_____	_____	_____	_____	_____
<u>WASTEWATER P & E IMPROVMT</u>					
11-5-0703-9050 WIFA LOAN PRINCIPAL	<u>215,448.00</u>	<u>0.00</u>	<u>190,962.88</u>	<u>88.64</u>	<u>24,485.12</u>
TOTAL WASTEWATER P & E IMPROVMT	215,448.00	0.00	190,962.88	88.64	24,485.12
<u>WASTEWATER LIFT STATION R</u>	_____	_____	_____	_____	_____
<u>CAPITAL IMPROVEMENT PLAN</u>					
11-5-0800-8000 CAPITAL IMPROVEMENT PLAN	<u>905,000.00</u>	<u>7,960.00</u>	<u>33,050.54</u>	<u>3.65</u>	<u>871,949.46</u>
TOTAL CAPITAL IMPROVEMENT PLAN	905,000.00	7,960.00	33,050.54	3.65	871,949.46
<u>OTHER FINANCING USES</u>	_____	_____	_____	_____	_____
TOTAL EXPENDITURES	2,823,512.00	49,887.55	392,033.75	13.88	2,431,478.25
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	34,268.44 (	31,181.54)		31,181.54

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

12 -SANITATION FUND
FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
SANITATION REVENUE	<u>662,692.00</u>	<u>38,863.01</u>	<u>155,458.19</u>	<u>23.46</u>	<u>507,233.81</u>
TOTAL REVENUES	662,692.00	38,863.01	155,458.19	23.46	507,233.81
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
SANITATION O & M	<u>662,692.00</u>	<u>29,041.71</u>	<u>86,177.23</u>	<u>13.00</u>	<u>576,514.77</u>
TOTAL EXPENDITURES	662,692.00	29,041.71	86,177.23	13.00	576,514.77
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	9,821.30	69,280.96	(	69,280.96)

TOWN OF CLARKDALE
 REVENUE & EXPENSE REPORT (UNAUDITED)
 AS OF: OCTOBER 31ST, 2023

12 -SANITATION FUND

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>SANITATION REVENUE</u>					
12-4-4000-4013 TRASH USER FEES	456,642.00	38,432.66	153,249.24	33.56	303,392.76
12-4-4000-4507 CC SURCHARGE REVENUE	750.00	0.00	55.86	7.45	694.14
12-4-4000-4700 LGIP SANITATION SERVICE #94022	300.00	0.00	396.17	132.06 (	96.17)
12-4-4000-4900 TRASH LATE FEES	5,000.00	430.35	1,756.92	35.14	3,243.08
12-4-4000-6050 FUND BALANCE APPROPRIATION	<u>200,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>200,000.00</u>
TOTAL SANITATION REVENUE	662,692.00	38,863.01	155,458.19	23.46	507,233.81
TOTAL REVENUE	662,692.00	38,863.01	155,458.19	23.46	507,233.81
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

12 -SANITATION FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>SANITATION INACTIVE</u>					
<u>SANITATION</u>					
<u>SANITATION O & M</u>					
12-5-0700-6000 SALARIES- SANITATION O&M	40,527.00	2,790.83	8,855.02	21.85	31,671.98
12-5-0700-6003 REIMBURSEMENT TO GF	33,528.00	0.00	0.00	0.00	33,528.00
12-5-0700-6020 SOCIAL SECURITY	3,101.00	213.30	677.15	21.84	2,423.85
12-5-0700-6022 HEALTH INSURANCE	6,797.00	363.74	1,270.88	18.70	5,526.12
12-5-0700-6023 WORKERS COMPENSATION	257.00	0.00	0.00	0.00	257.00
12-5-0700-6024 STATE RETIREMENT	4,981.00	320.94	1,120.10	22.49	3,860.90
12-5-0700-6026 HSA EMPLOYER PORTION	696.00	58.00	203.00	29.17	493.00
12-5-0700-7008 EQUIPMENT RENTAL	1,200.00	250.00	750.00	62.50	450.00
12-5-0700-7013 SOFTWARE MAINTENANCE/RENEWAL	1,950.00	0.00	1,540.00	78.97	410.00
12-5-0700-7020 OFFICE SUPPLIES	1,900.00	0.00	172.75	9.09	1,727.25
12-5-0700-7022 DUES AND ASSOCIATIONS	125.00	0.00	0.00	0.00	125.00
12-5-0700-7025 POSTAGE	25.00	0.00	1.73	6.92	23.27
12-5-0700-7050 TELEPHONE	200.00	0.00	41.47	20.74	158.53
12-5-0700-7600 BAD DEBT	1,900.00	0.00	0.00	0.00	1,900.00
12-5-0700-7910 BANKING/CREDIT CARD EXPENSE	10,000.00	983.48	3,034.77	30.35	6,965.23
12-5-0700-7911 INCODE MAINTENANCE	1,925.00	1,999.71	1,999.71	103.88	(74.71)
12-5-0700-7950 BILL PRINTING&PMT PORTAL (L&R)	6,000.00	601.71	2,426.65	40.44	3,573.35
12-5-0700-9002 COMM HAZ WASTE CLEAN UP	5,500.00	0.00	0.00	0.00	5,500.00
12-5-0700-9004 NEIGHBORHOOD CLEAN-UP	4,000.00	0.00	0.00	0.00	4,000.00
12-5-0700-9005 CONTRACT SERVICES	251,000.00	21,460.00	64,084.00	25.53	186,916.00
12-5-0700-9015 TRANSFER TO STREET FUND (NR)	235,000.00	0.00	0.00	0.00	235,000.00
12-5-0700-9400 CONTINGENCY	<u>52,080.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>52,080.00</u>
TOTAL SANITATION O & M	662,692.00	29,041.71	86,177.23	13.00	576,514.77
<u>CAPITAL IMPROVEMENT PLAN</u>					
<u>OFU-FUND BALANCE APPROP.</u>					
TOTAL EXPENDITURES	662,692.00	29,041.71	86,177.23	13.00	576,514.77
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	9,821.30	69,280.96	(	69,280.96)

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

13 -WATER FUND

FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
WATER GENERAL REVENUE	7,156,126.00	148,543.35	718,971.74	10.05	6,437,154.26
WATER COUNTY REVENUE	500.00	0.00	203.31	40.66	296.69
WATER	<u>117,479.00</u>	<u>9,779.15</u>	<u>39,118.99</u>	<u>33.30</u>	<u>78,360.01</u>
TOTAL REVENUES	7,274,105.00	158,322.50	758,294.04	10.42	6,515,810.96
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
WATER O & M	1,727,922.45	48,682.59	223,063.78	12.91	1,504,858.67
WATER CAPITAL IMPROVEMEN	1,601,182.55	0.00	454,346.19	28.38	1,146,836.36
CAPITAL IMPROVEMENT PLAN	3,920,000.00	39,434.37	60,468.85	1.54	3,859,531.15
WATER CAPACITY	<u>25,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>25,000.00</u>
TOTAL EXPENDITURES	7,274,105.00	88,116.96	737,878.82	10.14	6,536,226.18
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	70,205.54	20,415.22	(	20,415.22)

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

13 -WATER FUND

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>WATER O & M</u>					
<u>WATER CAPITAL IMPROVEMEN</u>					
<u>WATER GENERAL REVENUE</u>					
13-4-4000-4009 SYSTEM REPLACEMENT SURCHARGE	52,614.00	4,391.18	17,529.14	33.32	35,084.86
13-4-4000-4010 WATER BASE/USAGE FEE	1,768,183.00	138,928.07	638,431.67	36.11	1,129,751.33
13-4-4000-4102 ESTABLISHMENT FEES	14,000.00	1,000.00	3,700.00	26.43	10,300.00
13-4-4000-4120 WATER CAPACITY FEES	192,729.00	2,322.00	15,094.00	7.83	177,635.00
13-4-4000-4201 CONNECTION FEE - TYPE A	39,850.00	500.00	2,225.00	5.58	37,625.00
13-4-4000-4507 CREDIT CARD SURCHARGE REVENUE	1,000.00	0.00	0.00	0.00	1,000.00
13-4-4000-4709 LGIP INT WATER UTILITY 93927	41,000.00	0.00	36,388.38	88.75	4,611.62
13-4-4000-4900 WATER LATE FEES	18,500.00	1,297.10	5,088.65	27.51	13,411.35
13-4-4000-4901 NSF FEES	750.00	105.00	350.00	46.67	400.00
13-4-4000-4999 MISC. REVENUE	0.00	0.00	164.90	0.00	(164.90)
13-4-4000-6050 FUND BALANCE APPROPRIATION	<u>5,027,500.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>5,027,500.00</u>
TOTAL WATER GENERAL REVENUE	7,156,126.00	148,543.35	718,971.74	10.05	6,437,154.26
<u>WATER COUNTY REVENUE</u>					
13-4-4100-4025 TPT ACCOUNTING CREDIT	<u>500.00</u>	<u>0.00</u>	<u>203.31</u>	<u>40.66</u>	<u>296.69</u>
TOTAL WATER COUNTY REVENUE	500.00	0.00	203.31	40.66	296.69
<u>ADUITORS GRANT REVENUE</u>					
<u>WATER</u>					
13-4-4003-4570 WATER RESOURCE DEVELOPMENT FEE	94,350.00	7,854.74	31,419.50	33.30	62,930.50
13-4-4003-4571 WATER CONSERVATION	5,900.50	490.92	1,964.47	33.29	3,936.03
13-4-4003-4572 ADJUDICATION & SETTLEMENTS	9,676.50	805.11	3,220.50	33.28	6,456.00
13-4-4003-4580 REGIONAL WATER PROJECTS	<u>7,552.00</u>	<u>628.38</u>	<u>2,514.52</u>	<u>33.30</u>	<u>5,037.48</u>
TOTAL WATER	117,479.00	9,779.15	39,118.99	33.30	78,360.01
TOTAL REVENUE	7,274,105.00	158,322.50	758,294.04	10.42	6,515,810.96
	=====	=====	=====	=====	=====

13 -WATER FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>WATER O & M</u>					
13-5-0700-6000 WATER O & M SALARIES	301,986.00	21,378.64	69,113.83	22.89	232,872.17
13-5-0700-6001 OVERTIME	0.00	457.94	3,615.97	0.00 (	3,615.97)
13-5-0700-6003 REIMBURSEMENT FEES TO GF	83,825.00	0.00	0.00	0.00	83,825.00
13-5-0700-6020 SOCIAL SERCURITY	23,156.00	1,659.42	5,547.33	23.96	17,608.67
13-5-0700-6022 HEALTH INSURANCE	61,400.00	2,993.72	10,735.32	17.48	50,664.68
13-5-0700-6023 WORKERS COMPENSATION	12,867.00	0.00	0.00	0.00	12,867.00
13-5-0700-6024 STATE RETIREMENT	37,202.00	2,617.61	9,889.16	26.58	27,312.84
13-5-0700-6026 HSA EMPLOYER PORTION	12,737.00	804.70	2,901.27	22.78	9,835.73
13-5-0700-6030 TRAVEL & EDUCATION	2,500.00	93.50	343.52	13.74	2,156.48
13-5-0700-6031 CERTIFICATIONS	2,700.00	0.00	181.94	6.74	2,518.06
13-5-0700-6040 UNIFORMS/BOOTS	1,000.00	0.00	0.00	0.00	1,000.00
13-5-0700-7001 EQUIPMENT REPAIRS & MAINT.	4,000.00	647.54	1,305.52	32.64	2,694.48
13-5-0700-7002 GASOLINE	3,500.00	457.20	2,068.74	59.11	1,431.26
13-5-0700-7005 LINE REPAIRS	21,000.00	475.19	7,983.63	38.02	13,016.37
13-5-0700-7006 WELLS O&M	18,000.00	575.77	1,236.18	6.87	16,763.82
13-5-0700-7007 STORAGE O&M	7,000.00	0.00	28.54	0.41	6,971.46
13-5-0700-7012 BOOSTER STATION O&M	1,000.00	0.00	129.00	12.90	871.00
13-5-0700-7013 SOFTWARE MAINTENANCE/RENEWAL	5,750.00	0.00	1,540.00	26.78	4,210.00
13-5-0700-7014 UNIFORM CLEANING SERVICES	1,000.00	0.00	0.00	0.00	1,000.00
13-5-0700-7015 BUSINESS MEETINGS & MEALS	300.00	0.00	7.99	2.66	292.01
13-5-0700-7020 OFFICE SUPPLIES & EXPENSE	400.00	0.00	333.42	83.36	66.58
13-5-0700-7021 PUBLICATIONS & ADVERTISING	100.00	0.00	0.00	0.00	100.00
13-5-0700-7022 DUES & ASSOCIATIONS	1,025.00	0.00	0.00	0.00	1,025.00
13-5-0700-7025 POSTAGE	300.00	0.00	114.63	38.21	185.37
13-5-0700-7049 ANSWERING SERVICE	2,175.00	198.66	779.64	35.85	1,395.36
13-5-0700-7050 TELEPHONE	4,000.00	0.00	777.01	19.43	3,222.99
13-5-0700-7062 ELECTRICAL	64,000.00	0.00	21,658.81	33.84	42,341.19
13-5-0700-7065 HYDRANTS & VALVES	35,000.00	59.27	1,860.11	5.31	33,139.89
13-5-0700-7070 WATER CONSERVATION	5,100.00	0.00	4,600.00	90.20	500.00
13-5-0700-7080 REGIONAL WATER PROJECT EXP	43,000.00	0.00	1,968.45	4.58	41,031.55
13-5-0700-7101 CUSTOMER SUPPORT PROGRAM	1,000.00	0.00	0.00	0.00	1,000.00
13-5-0700-7200 CONNECTION FEES (TYPE A)	38,000.00	228.21	3,903.49	10.27	34,096.51
13-5-0700-7500 WATER TESTING	4,815.00	665.00	5,204.94	108.10 (	389.94)
13-5-0700-7502 TOOLS	5,500.00	132.90	618.52	11.25	4,881.48
13-5-0700-7505 CHEMICALS	85,000.00	9,416.32	25,431.60	29.92	59,568.40
13-5-0700-7550 EMPLOYEE SAFETY	750.00	31.85	137.79	18.37	612.21
13-5-0700-7600 BAD DEBTS	2,160.00	0.00	0.00	0.00	2,160.00
13-5-0700-7910 BANKING/CREDIT CARD EXPENSE	11,500.00	1,123.97	3,468.30	30.16	8,031.70
13-5-0700-7911 INCODE MAINTENANCE	2,500.00	2,285.38	2,285.38	91.42	214.62
13-5-0700-7920 FISHER HOUSE MAINTENANCE	2,000.00	19.06	89.59	4.48	1,910.41
13-5-0700-7950 BILL PRINTING&PMT PORTAL (L&R)	8,000.00	687.66	2,773.32	34.67	5,226.68
13-5-0700-8001 EQUIPMENT PURCHASE	14,000.00	0.00	0.00	0.00	14,000.00
13-5-0700-8002 METER REPAIRS/REPLACEMENT	50,000.00	0.00	3,759.26	7.52	46,240.74
13-5-0700-9005 INCODE BADGER METER MAINT	3,000.00	117.95	3,302.31	110.08 (	302.31)
13-5-0700-9008 LIABLITY & PROPERTY INSURANCE	17,292.80	0.00	9,423.97	54.50	7,868.83
13-5-0700-9010 PROFESSIONAL SERVICES	35,000.00	1,376.30	5,978.71	17.08	29,021.29
13-5-0700-9015 ARSENIC O & M	4,000.00	178.83	2,247.63	56.19	1,752.37

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

13 -WATER FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
13-5-0700-9020 ADEQ FEES	5,750.00	0.00	5,718.96	99.46	31.04
13-5-0700-9760 CONTINGENCY	<u>681,631.65</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>681,631.65</u>
TOTAL WATER O & M	1,727,922.45	48,682.59	223,063.78	12.91	1,504,858.67
<u>WATER CAPITAL IMPROVEMEN</u>					
13-5-0703-8570 WATER RESOURCE DEVELOPMENT PROJ	1,000,000.00	0.00	0.00	0.00	1,000,000.00
13-5-0703-9004 ADJUDICATION WATER RIGHTS	100,000.00	0.00	0.00	0.00	100,000.00
13-5-0703-9050 TWIN 5 WIFA LOAN DEBT SERVICE	46,850.72	0.00	41,991.80	89.63	4,858.92
13-5-0703-9060 UPPER TOWN WIFA DEBT SERVICE	<u>454,331.83</u>	<u>0.00</u>	<u>412,354.39</u>	<u>90.76</u>	<u>41,977.44</u>
TOTAL WATER CAPITAL IMPROVEMEN	1,601,182.55	0.00	454,346.19	28.38	1,146,836.36
<u>CAPITAL IMPROVEMENT PLAN</u>					
13-5-0800-8000 CAPITAL IMPROVEMENT PLAN	<u>3,920,000.00</u>	<u>39,434.37</u>	<u>60,468.85</u>	<u>1.54</u>	<u>3,859,531.15</u>
TOTAL CAPITAL IMPROVEMENT PLAN	3,920,000.00	39,434.37	60,468.85	1.54	3,859,531.15
<u>WATER CAPACITY</u>					
13-5-1300-7999 WATER CAPACITY FEE	<u>25,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>25,000.00</u>
TOTAL WATER CAPACITY	25,000.00	0.00	0.00	0.00	25,000.00
<u>OTHER FINANCING USES</u>					
TOTAL EXPENDITURES	7,274,105.00	88,116.96	737,878.82	10.14	6,536,226.18
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	70,205.54	20,415.22	(	20,415.22)

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

15 -ARPA FUNDS

FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
LOCAL REVENUE	<u>1,006,746.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>1,006,746.00</u>
TOTAL REVENUES	1,006,746.00	0.00	0.00	0.00	1,006,746.00
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
ARPA	<u>1,006,746.00</u>	<u>0.00</u>	<u>1,006,746.00</u>	<u>100.00</u>	<u>0.00</u>
TOTAL EXPENDITURES	1,006,746.00	0.00	1,006,746.00	100.00	0.00
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	0.00	(1,006,746.00)		1,006,746.00

TOWN OF CLARKDALE
 REVENUE & EXPENSE REPORT (UNAUDITED)
 AS OF: OCTOBER 31ST, 2023

15 -ARPA FUNDS

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>GENERAL</u>					
<u>LOCAL REVENUE</u>					
15-4-4000-6050 FUND BALANCE APPROPRIATION	<u>1,006,746.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>1,006,746.00</u>
TOTAL LOCAL REVENUE	1,006,746.00	0.00	0.00	0.00	1,006,746.00
TOTAL REVENUE	1,006,746.00	0.00	0.00	0.00	1,006,746.00
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

15 -ARPA FUNDS

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>GENERAL</u>	_____	_____	_____	_____	_____
<u>ARPA</u>					
15-5-0045-9850 TRANSFERS OUT	<u>1,006,746.00</u>	<u>0.00</u>	<u>1,006,746.00</u>	<u>100.00</u>	<u>0.00</u>
TOTAL ARPA	1,006,746.00	0.00	1,006,746.00	100.00	0.00
<u>CONTINGENCY</u>	_____	_____	_____	_____	_____
<u>ADMINISTRATION</u>	_____	_____	_____	_____	_____
TOTAL EXPENDITURES	1,006,746.00	0.00	1,006,746.00	100.00	0.00
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	0.00	(1,006,746.00)		1,006,746.00

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

16 -GRANTS FUND
FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
MISC GRANTS	10,000,000.00	0.00	0.00	0.00	10,000,000.00
YAVAPAI COUNTY FLOOD CON	50,000.00	0.00	0.00	0.00	50,000.00
RICO	30,000.00	0.00	631.09	2.10	29,368.91
VEST	5,000.00	0.00	0.00	0.00	5,000.00
AZ DOHS RADIO GRANT	10,000.00	0.00	0.00	0.00	10,000.00
FRIENDS OF THE VERDE RIVE	5,000.00	0.00	0.00	0.00	5,000.00
HERITAGE GRANT	50,000.00	0.00	0.00	0.00	50,000.00
RAIN-DESIGN	1,500,000.00	0.00	0.00	0.00	1,500,000.00
FED APPROPRIATION USDA	1,451,610.00	0.00	0.00	0.00	1,451,610.00
RAISE GRANT	807,500.00	0.00	0.00	0.00	807,500.00
SOLAR TABLES GRANT	18,788.00	0.00	0.00	0.00	18,788.00
OTHER SOURCES	<u>0.00</u>	<u>0.00</u>	<u>78,327.87</u>	<u>0.00</u>	<u>(78,327.87)</u>
TOTAL REVENUES	13,927,898.00	0.00	78,958.96	0.57	13,848,939.04
	=====	=====	=====	=====	=====

EXPENDITURE SUMMARY

MISC GRANTS	2,580,100.00	0.00	0.00	0.00	2,580,100.00
YAVAPAI COUNTY FLOOD CON	50,000.00	0.00	0.00	0.00	50,000.00
RICO	30,000.00	0.00	631.09	2.10	29,368.91
VEST	5,000.00	0.00	1,095.18	21.90	3,904.82
AZ DOHS RADIO GRANT	10,000.00	0.00	0.00	0.00	10,000.00
FRIENDS OF THE VERDE RIVE	5,000.00	0.00	0.00	0.00	5,000.00
HERITAGE GRANT	50,000.00	0.00	0.00	0.00	50,000.00
AZ COMM FOUNDATION	1,500,000.00	0.00	0.00	0.00	1,500,000.00
FED APPROPRIATION USDA	1,451,610.00	0.00	0.00	0.00	1,451,610.00
OPIOID FUNDS	0.00	0.00	(149.99)	0.00	149.99
SOLAR TABLES GRANT	18,788.00	0.00	0.00	0.00	18,788.00
CAPITAL IMPROVEMENTS	<u>8,227,400.00</u>	<u>950.00</u>	<u>950.00</u>	<u>0.01</u>	<u>8,226,450.00</u>
TOTAL EXPENDITURES	13,927,898.00	950.00	2,526.28	0.02	13,925,371.72
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	(950.00)	76,432.68		(76,432.68)

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

16 -GRANTS FUND

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>MISC GRANTS</u>					
16-4-0000-4500 MISC GRANT REV	<u>10,000,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>10,000,000.00</u>
TOTAL MISC GRANTS	10,000,000.00	0.00	0.00	0.00	10,000,000.00
<u>LIBRARY SGIA GRANT</u>					
<u>LIBRARY AHRAB GRANT</u>					
<u>RURAL TOURISM GRANT</u>					
<u>YAVAPAI COUNTY FLOOD CON</u>					
16-4-0004-4500 YAVAPAI COUNTY FLOOD CONTROL	<u>50,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>50,000.00</u>
TOTAL YAVAPAI COUNTY FLOOD CON	50,000.00	0.00	0.00	0.00	50,000.00
<u>SAFE ROUTES TO SCHOOL</u>					
<u>TEA GRANT</u>					
<u>RICO</u>					
16-4-0007-4500 RICO REVENUE	<u>30,000.00</u>	<u>0.00</u>	<u>631.09</u>	<u>2.10</u>	<u>29,368.91</u>
TOTAL RICO	30,000.00	0.00	631.09	2.10	29,368.91
<u>VEST</u>					
16-4-0008-4001 POLICE BULLET PROOF VEST	<u>5,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>5,000.00</u>
TOTAL VEST	5,000.00	0.00	0.00	0.00	5,000.00
<u>FEMA</u>					
<u>PARA</u>					
<u>LIBRARY LSTA</u>					
<u>CSP</u>					
<u>BROADWAY BUS STOP</u>					
<u>EECDBG</u>					
<u>HELEN LYNN (CEMETERY)</u>					
<u>GOER-POLICE CAR</u>					
<u>GOHS-DUI TASK FORCE</u>					
<u>LSTA</u>					

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

16 -GRANTS FUND

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>ARRA</u>					
<u>LIBRARY MISC</u>					
<u>BROADWAY AND MAIN</u>					
<u>CLARKDALE PARKWAY</u>					
<u>AZ DOHS RADIO GRANT</u>					
16-4-0023-4500 AZDOHS PORTABLE RADIO GRANT	10,000.00	0.00	0.00	0.00	10,000.00
TOTAL AZ DOHS RADIO GRANT	10,000.00	0.00	0.00	0.00	10,000.00
<u>VERDE RIVER @ CLARKDALE</u>					
<u>AMERICAN RIVERS</u>					
<u>V V OPEN AIR CINEMA</u>					
<u>FRIENDS OF THE VERDE RIVE</u>					
16-4-0027-4500 FRIENDS OF THE VERDE GRANT	5,000.00	0.00	0.00	0.00	5,000.00
TOTAL FRIENDS OF THE VERDE RIVE	5,000.00	0.00	0.00	0.00	5,000.00
<u>STEP ENFORCEMENT/GOHS</u>					
<u>RURAL BUSI DEV GRANT</u>					
<u>SUSTAINABLE CLARKDALE GR</u>					
<u>HSIP STREET SIGN GRANT</u>					
<u>COURT SECURITY GRANT</u>					
<u>PICKLEBALL GRANT</u>					
<u>BROADWAY/BITTERCREEK EXT</u>					
<u>ART IN PUBLIC PLACES</u>					
<u>PD ANTENNA GRANT</u>					
<u>PEDESTRIAN & BIKE SAFETY</u>					
<u>MAIN/BROAD OVERLAY DESIGN</u>					
<u>MAIN/BROAD OVERLAY CONST</u>					
<u>AZ AG PD SAFETY EQUIP GRA</u>					

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

16 -GRANTS FUND

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>HERITAGE GRANT</u>					
16-4-0043-4500 HERITAGE GRANT-SIGNAGE	<u>50,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>50,000.00</u>
TOTAL HERITAGE GRANT	50,000.00	0.00	0.00	0.00	50,000.00
<u>AZ COMM FOUNDATION</u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>
<u>AMERICAN RESCUE PLAN ACT</u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>
<u>RAIN-DESIGN</u>					
16-4-0046-4500 SELNA MOGNINI FEDERAL & STATE	<u>1,500,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>1,500,000.00</u>
TOTAL RAIN-DESIGN	1,500,000.00	0.00	0.00	0.00	1,500,000.00
<u>STATE PARKS</u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>
<u>HURF EXCHANGE</u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>
<u>FED APPROPRIATION USDA</u>					
16-4-0050-4500 FEDERAL APPROPRIATION/USDA	<u>1,451,610.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>1,451,610.00</u>
TOTAL FED APPROPRIATION USDA	1,451,610.00	0.00	0.00	0.00	1,451,610.00
<u>RAISE GRANT</u>					
16-4-0051-4500 SMART FUND GRANT	<u>807,500.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>807,500.00</u>
TOTAL RAISE GRANT	807,500.00	0.00	0.00	0.00	807,500.00
<u>OPIOID FUNDS</u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>
<u>SOLAR TABLES GRANT</u>					
16-4-0055-4500 SOLAR TABLES GRANT	<u>18,788.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>18,788.00</u>
TOTAL SOLAR TABLES GRANT	18,788.00	0.00	0.00	0.00	18,788.00
<u>E TICKET GRANT</u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>
<u>OTHER SOURCES</u>					
16-4-4000-4700 INTEREST INC-BITTER CRK BRIDGE	<u>0.00</u>	<u>0.00</u>	<u>78,327.87</u>	<u>0.00</u>	<u>(78,327.87)</u>
TOTAL OTHER SOURCES	0.00	0.00	78,327.87	0.00	(78,327.87)
TOTAL REVENUE	13,927,898.00	0.00	78,958.96	0.57	13,848,939.04
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

16 -GRANTS FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>MISC GRANTS</u>					
16-5-0000-7999 MISC GRANT EXP	<u>2,580,100.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>2,580,100.00</u>
TOTAL MISC GRANTS	2,580,100.00	0.00	0.00	0.00	2,580,100.00
<u>LIBRARY SGIA GRANT</u>					
<u>LIBRARY AHRAB GRANT</u>					
<u>RURAL TOURISM GRANT</u>					
<u>YAVAPAI COUNTY FLOOD CON</u>					
16-5-0004-7000 GRANT OR PROJECT EXPENSE	<u>50,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>50,000.00</u>
TOTAL YAVAPAI COUNTY FLOOD CON	50,000.00	0.00	0.00	0.00	50,000.00
<u>SAFE ROUTES TO SCHOOL</u>					
<u>TEA GRANT</u>					
<u>RICO</u>					
16-5-0007-7999 RICO- MISC EXPENSE	<u>30,000.00</u>	<u>0.00</u>	<u>631.09</u>	<u>2.10</u>	<u>29,368.91</u>
TOTAL RICO	30,000.00	0.00	631.09	2.10	29,368.91
<u>VEST</u>					
16-5-0008-7001 POLICE BULLET PROOF VEST	<u>5,000.00</u>	<u>0.00</u>	<u>1,095.18</u>	<u>21.90</u>	<u>3,904.82</u>
TOTAL VEST	5,000.00	0.00	1,095.18	21.90	3,904.82
<u>FEMA</u>					
<u>PARA</u>					
<u>LIBRARY LSTA</u>					
<u>CSP</u>					
<u>BROADWAY BUS STOP</u>					
<u>EECDBG</u>					
<u>HELEN LYNN (CEMETERY)</u>					
<u>GOER-POLICE CAR</u>					
<u>GOHS-DUI TASK FORCE</u>					
<u>LSTA</u>					

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

16 -GRANTS FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>ARRA</u>					
<u>LIBRARY MISC</u>					
<u>BROADWAY AND MAIN</u>					
<u>CLARKDALE PARKWAY</u>					
<u>AZ DOHS RADIO GRANT</u>					
16-5-0023-7000 AZDOHS RADIO GRANT EXPENSES	10,000.00	0.00	0.00	0.00	10,000.00
TOTAL AZ DOHS RADIO GRANT	10,000.00	0.00	0.00	0.00	10,000.00
<u>VERDE RIVER @ CLARKDALE</u>					
<u>AMERICAN RIVERS</u>					
<u>V V OPEN AIR CINEMA</u>					
<u>FRIENDS OF THE VERDE RIVE</u>					
16-5-0027-7000 FRIENDS OF THE VERDE GRANT EXP	5,000.00	0.00	0.00	0.00	5,000.00
TOTAL FRIENDS OF THE VERDE RIVE	5,000.00	0.00	0.00	0.00	5,000.00
<u>STEP ENFORCEMENT/GOHS</u>					
<u>RURAL BUSI DEV GRANT</u>					
<u>SUSTAINABLE CLARKDALE GR</u>					
<u>HSIP STREET SIGN GRANT</u>					
<u>COURT SECURITY GRANT</u>					
<u>PICKLEBALL GRANT</u>					
<u>BROADWAY/BITTERCREEK EXT</u>					
<u>ART IN PUBLIC PLACES</u>					
<u>PD ANTENNA GRANT</u>					
<u>PEDESTRIAN & BIKE SAFETY</u>					
<u>MAIN/BROAD OVERLAY DESIGN</u>					
<u>MAIN/BROAD OVERLAY CONST</u>					
<u>AZ AG PD SAFETY EQUIP GRA</u>					

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

16 -GRANTS FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>HERITAGE GRANT</u>					
16-5-0043-7001 HERITAGE GRANT-SIGNAGE	<u>50,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>50,000.00</u>
TOTAL HERITAGE GRANT	50,000.00	0.00	0.00	0.00	50,000.00
<u>AZ COMM FOUNDATION</u>					
16-5-0044-7000 SELNA-MONGINI	<u>1,500,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>1,500,000.00</u>
TOTAL AZ COMM FOUNDATION	1,500,000.00	0.00	0.00	0.00	1,500,000.00
<u>AMERICAN RESCUE PLAN ACT</u>					
<u>RAIN-DESIGN</u>					
<u>STATE PARKS</u>					
<u>HURF EXCHANGE</u>					
<u>FED APPROPRIATION USDA</u>					
16-5-0050-7000 FEDERAL APPROPRIATION GRANT	<u>1,451,610.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>1,451,610.00</u>
TOTAL FED APPROPRIATION USDA	1,451,610.00	0.00	0.00	0.00	1,451,610.00
<u>OPIOID FUNDS</u>					
16-5-0052-7000 OPIOID FUNDS	<u>0.00</u>	<u>0.00</u>	<u>(149.99)</u>	<u>0.00</u>	<u>149.99</u>
TOTAL OPIOID FUNDS	0.00	0.00	(149.99)	0.00	149.99
<u>SOLAR TABLES GRANT</u>					
16-5-0055-8000 SOLAR TABLES GRANT	<u>18,788.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>18,788.00</u>
TOTAL SOLAR TABLES GRANT	18,788.00	0.00	0.00	0.00	18,788.00
<u>CAPITAL IMPROVEMENTS</u>					
16-5-0800-8000 CAPITAL IMPROVEMENT PLAN	<u>8,227,400.00</u>	<u>950.00</u>	<u>950.00</u>	<u>0.01</u>	<u>8,226,450.00</u>
TOTAL CAPITAL IMPROVEMENTS	8,227,400.00	950.00	950.00	0.01	8,226,450.00
<u>E TICKET GRANT</u>					
<u>TRANSFERS OUT</u>					
TOTAL EXPENDITURES	13,927,898.00	950.00	2,526.28	0.02	13,925,371.72
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	(950.00)	76,432.68	(	76,432.68)

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

18 -COURT FUND POLICE SAFETY
FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
COURT FD PD SAFETY	<u>8,276.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>8,276.00</u>
TOTAL REVENUES	8,276.00	0.00	0.00	0.00	8,276.00
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
COURT FD PD SAFETY	<u>8,276.00</u>	<u>0.00</u>	<u>7,670.10</u>	<u>92.68</u>	<u>605.90</u>
TOTAL EXPENDITURES	8,276.00	0.00	7,670.10	92.68	605.90
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	0.00	(7,670.10)		7,670.10

TOWN OF CLARKDALE
 REVENUE & EXPENSE REPORT (UNAUDITED)
 AS OF: OCTOBER 31ST, 2023

18 -COURT FUND POLICE SAFETY

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>COURT FD PD SAFETY</u>					
18-4-4000-6050 FUND BALANCE APPROPRIATION	<u>8,276.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>8,276.00</u>
TOTAL COURT FD PD SAFETY	8,276.00	0.00	0.00	0.00	8,276.00
TOTAL REVENUE	8,276.00	0.00	0.00	0.00	8,276.00
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

18 -COURT FUND POLICE SAFETY

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>COURT FD PD SAFETY</u>					
18-5-0000-9850 TRANSFERS OUT	<u>8,276.00</u>	<u>0.00</u>	<u>7,670.10</u>	<u>92.68</u>	<u>605.90</u>
TOTAL COURT FD PD SAFETY	8,276.00	0.00	7,670.10	92.68	605.90
TOTAL EXPENDITURES	8,276.00	0.00	7,670.10	92.68	605.90
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	0.00 (	7,670.10)		7,670.10

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

19 -CEMETERY

FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
CEMETERY REVENUE	<u>259,599.00</u>	<u>2,300.00</u>	<u>14,052.36</u>	<u>5.41</u>	<u>245,546.64</u>
TOTAL REVENUES	259,599.00	2,300.00	14,052.36	5.41	245,546.64
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
CEMETERY O & M	46,597.00	3,478.67	13,978.17	30.00	32,618.83
CAPITAL IMPROVEMENT PLAN	193,500.00	0.00	0.00	0.00	193,500.00
CEMETERY CONTINGENCY	<u>19,502.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>19,502.00</u>
TOTAL EXPENDITURES	259,599.00	3,478.67	13,978.17	5.38	245,620.83
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00 (	1,178.67)	74.19	(	74.19)

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

19 -CEMETERY

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>CEMETERY REVENUE</u>					
19-4-4000-4015 CEMETERY LOT SALES	15,000.00	2,300.00	7,000.00	46.67	8,000.00
19-4-4000-4016 INTERMENTS	12,000.00	0.00	3,550.00	29.58	8,450.00
19-4-4000-4026 GRAVE LINER SALES	3,000.00	0.00	570.00	19.00	2,430.00
19-4-4000-4100 TRANSFER FROM PERPETUAL CARE	25,324.00	0.00	0.00	0.00	25,324.00
19-4-4000-4500 INTEREST HELEN LYNN BEQUEST	3,000.00	0.00	2,686.04	89.53	313.96
19-4-4000-4700 LGIP INTEREST 93946	275.00	0.00	246.32	89.57	28.68
19-4-4000-4850 TRANSFERS IN	25,000.00	0.00	0.00	0.00	25,000.00
19-4-4000-6050 FUND BALANCE APPROPRIATION	<u>176,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>176,000.00</u>
TOTAL CEMETERY REVENUE	259,599.00	2,300.00	14,052.36	5.41	245,546.64
TOTAL REVENUE	259,599.00	2,300.00	14,052.36	5.41	245,546.64
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

19 -CEMETERY

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>CEMETERY O & M</u>					
19-5-0700-6000 SALARIES - CEMETERY O&M	17,386.00	1,613.89	5,631.87	32.39	11,754.13
19-5-0700-6003 REIMBURSEMENT TO GF	4,110.00	0.00	0.00	0.00	4,110.00
19-5-0700-6020 SOCIAL SECURITY	1,336.00	116.38	420.21	31.45	915.79
19-5-0700-6022 HEALTH INSURANCE	5,681.00	522.47	1,826.58	32.15	3,854.42
19-5-0700-6024 STATE RETIREMENT	2,146.00	198.35	692.15	32.25	1,453.85
19-5-0700-6040 UNIFORM ALLOWANCE	75.00	0.00	0.00	0.00	75.00
19-5-0700-6100 CONTRACT CANCELLED-BUY BACK	1,500.00	0.00	1,050.00	70.00	450.00
19-5-0700-7001 EQUIPMENT REPAIRS & MAINT	1,500.00	0.00	61.63	4.11	1,438.37
19-5-0700-7002 GASOLINE	325.00	0.00	89.43	27.52	235.57
19-5-0700-7008 EQUIPMENT RENTAL	1,320.00	110.00	440.00	33.33	880.00
19-5-0700-7013 SOFTWARE PURCH & MAINT	1,675.00	917.58	1,749.17	104.43 (	74.17)
19-5-0700-7062 ELECTRICAL SERVICES	850.00	0.00	177.06	20.83	672.94
19-5-0700-7500 CEMETERY SUPPLIES	750.00	0.00	209.00	27.87	541.00
19-5-0700-7502 TOOLS	750.00	0.00	0.00	0.00	750.00
19-5-0700-7506 GRAVE LINERS	4,100.00	0.00	0.00	0.00	4,100.00
19-5-0700-7550 EMPLOYEE SAFETY	100.00	0.00	0.00	0.00	100.00
19-5-0700-9008 LIABILITY & PROPERTY INSURANCE	<u>2,993.00</u>	<u>0.00</u>	<u>1,631.07</u>	<u>54.50</u>	<u>1,361.93</u>
TOTAL CEMETERY O & M	46,597.00	3,478.67	13,978.17	30.00	32,618.83
<u>CEMETERY DEPRECIATION</u>					
<u>CAPITAL IMPROVEMENT PLAN</u>					
19-5-0800-8000 CAPITAL IMPROVEMENT PLAN	<u>193,500.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>193,500.00</u>
TOTAL CAPITAL IMPROVEMENT PLAN	193,500.00	0.00	0.00	0.00	193,500.00
<u>CEMETERY CONTINGENCY</u>					
19-5-2000-9400 CONTINGENCY	<u>19,502.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>19,502.00</u>
TOTAL CEMETERY CONTINGENCY	19,502.00	0.00	0.00	0.00	19,502.00
TOTAL EXPENDITURES	259,599.00	3,478.67	13,978.17	5.38	245,620.83
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00 (	1,178.67)	74.19	(	74.19)

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

PAGE: 1

20 -PERPETUAL CARE

FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
PERPETUAL CARE REVENUE	<u>50,324.00</u>	<u>1,175.00</u>	<u>4,280.00</u>	<u>8.50</u>	<u>46,044.00</u>
TOTAL REVENUES	50,324.00	1,175.00	4,280.00	8.50	46,044.00
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
PERPETUAL CARE	<u>50,324.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>50,324.00</u>
TOTAL EXPENDITURES	50,324.00	0.00	0.00	0.00	50,324.00
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	1,175.00	4,280.00	(	4,280.00)

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

20 -PERPETUAL CARE

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>PERPETUAL CARE REVENUE</u>					
20-4-4000-4018 PERPETUAL CARE PAYMENTS	6,400.00	1,175.00	4,280.00	66.88	2,120.00
20-4-4000-6050 FUND BALANCE APPROPRIATION	<u>43,924.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>43,924.00</u>
TOTAL PERPETUAL CARE REVENUE	50,324.00	1,175.00	4,280.00	8.50	46,044.00
TOTAL REVENUE	50,324.00	1,175.00	4,280.00	8.50	46,044.00
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

20 -PERPETUAL CARE

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>PERPETUAL CARE</u>					
20-5-0700-9014 TRANSFER TO CEMETERY FUND	<u>50,324.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>50,324.00</u>
TOTAL PERPETUAL CARE	50,324.00	0.00	0.00	0.00	50,324.00
TOTAL EXPENDITURES	50,324.00	0.00	0.00	0.00	50,324.00
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	1,175.00	4,280.00	(	4,280.00)

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

24 -CAPITAL IMPROVEMENT
FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
CAPITAL IMPROVEMENT REV	<u>3,795,267.00</u>	<u>0.00</u>	<u>1,006,746.00</u>	<u>26.53</u>	<u>2,788,521.00</u>
TOTAL REVENUES	3,795,267.00	0.00	1,006,746.00	26.53	2,788,521.00
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
CAPITAL IMPROVEMENTS	1,450,000.00	0.00	0.00	0.00	1,450,000.00
CAPITAL IMPROVEMENT PLAN	<u>2,345,267.00</u>	<u>32,074.43</u>	<u>57,580.81</u>	<u>2.46</u>	<u>2,287,686.19</u>
TOTAL EXPENDITURES	3,795,267.00	32,074.43	57,580.81	1.52	3,737,686.19
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00 (	32,074.43)	949,165.19	(	949,165.19)

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

24 -CAPITAL IMPROVEMENT

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>CAPITAL IMPROVEMENT REV</u>					
24-4-4000-4801 CAPITAL TRANSFER FROM GF	1,386,915.00	0.00	0.00	0.00	1,386,915.00
24-4-4000-4802 CAPITAL TRANSFER IN FROM ARPA	1,006,746.00	0.00	1,006,746.00	100.00	0.00
24-4-4000-6050 FUND BALANCE APPROPRIATION	<u>1,401,606.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>1,401,606.00</u>
TOTAL CAPITAL IMPROVEMENT REV	3,795,267.00	0.00	1,006,746.00	26.53	2,788,521.00
TOTAL REVENUE	3,795,267.00	0.00	1,006,746.00	26.53	2,788,521.00
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

24 -CAPITAL IMPROVEMENT

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>CAPITAL IMPROVEMENTS</u>					
24-5-2100-9801 CIP DESIGNATED FUNDS TRF	800,000.00	0.00	0.00	0.00	800,000.00
24-5-2100-9850 TRANSFERS OUT	<u>650,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>650,000.00</u>
TOTAL CAPITAL IMPROVEMENTS	1,450,000.00	0.00	0.00	0.00	1,450,000.00
<u>CAPITAL IMPROVEMENT PLAN</u>					
<u>CAPITAL IMPROVEMENT PLAN</u>					
24-5-0800-8000 CAPITAL IMPROVEMENT PLAN	<u>2,345,267.00</u>	<u>32,074.43</u>	<u>57,580.81</u>	<u>2.46</u>	<u>2,287,686.19</u>
TOTAL CAPITAL IMPROVEMENT PLAN	2,345,267.00	32,074.43	57,580.81	2.46	2,287,686.19
<u>OFU-FUND BALANCE APPROP.</u>					
TOTAL EXPENDITURES	3,795,267.00	32,074.43	57,580.81	1.52	3,737,686.19
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00 (	32,074.43)	949,165.19	(	949,165.19)

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

25 -CDBG GRANT

FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
CDBG REV	<u>405,669.00</u>	<u>0.00</u>	<u>12,153.28</u>	<u>3.00</u>	<u>393,515.72</u>
TOTAL REVENUES	405,669.00	0.00	12,153.28	3.00	393,515.72
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
CDBG	54,653.00	0.00	0.00	0.00	54,653.00
CAPITAL	<u>351,016.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>351,016.00</u>
TOTAL EXPENDITURES	405,669.00	0.00	0.00	0.00	405,669.00
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	0.00	12,153.28	(	12,153.28)

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

25 -CDBG GRANT

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>CDBG REV</u>					
25-4-4000-4500 CDBG GRANT REVENUE	393,516.00	0.00	0.00	0.00	393,516.00
25-4-4000-4800 TRANSFERS IN	<u>12,153.00</u>	<u>0.00</u>	<u>12,153.28</u>	<u>100.00</u>	(<u>0.28</u>)
TOTAL CDBG REV	405,669.00	0.00	12,153.28	3.00	393,515.72
TOTAL REVENUE	405,669.00	0.00	12,153.28	3.00	393,515.72
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

25 -CDBG GRANT

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>CDBG</u>					
25-5-0000-7999 CDBG MISCELLANEOUS	<u>54,653.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>54,653.00</u>
TOTAL CDBG	54,653.00	0.00	0.00	0.00	54,653.00
<u>CAPITAL</u>					
25-5-0800-8000 CAPITAL IMPROVEMENT PLAN	<u>351,016.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>351,016.00</u>
TOTAL CAPITAL	351,016.00	0.00	0.00	0.00	351,016.00
<u>CDBG ADMIN</u>					
TOTAL EXPENDITURES	405,669.00	0.00	0.00	0.00	405,669.00
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	0.00	12,153.28	(	12,153.28)

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

28 -COURT RESTRICTED

FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
GENERAL	5,000.00	459.06	1,997.36	39.95	3,002.64
FTG REV	0.00	0.00	129.25	0.00 (	129.25)
JCEF REV	<u>500.00</u>	<u>56.00</u>	<u>134.62</u>	<u>26.92</u>	<u>365.38</u>
TOTAL REVENUES	5,500.00	515.06	2,261.23	41.11	3,238.77
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
GENERAL	<u>5,500.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>5,500.00</u>
TOTAL EXPENDITURES	5,500.00	0.00	0.00	0.00	5,500.00
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	515.06	2,261.23	(	2,261.23)

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

28 -COURT RESTRICTED

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>GENERAL</u>					
28-4-0000-4500 COURT ENHANCEMENT REVENUE	<u>5,000.00</u>	<u>459.06</u>	<u>1,997.36</u>	<u>39.95</u>	<u>3,002.64</u>
TOTAL GENERAL	5,000.00	459.06	1,997.36	39.95	3,002.64
<u>FTG REV</u>					
28-4-0002-4500 COURT FTG REVENUE	<u>0.00</u>	<u>0.00</u>	<u>129.25</u>	<u>0.00</u>	<u>(129.25)</u>
TOTAL FTG REV	0.00	0.00	129.25	0.00	(129.25)
<u>JCEF REV</u>					
28-4-0003-4500 COURT JCEF REVENUE	<u>500.00</u>	<u>56.00</u>	<u>134.62</u>	<u>26.92</u>	<u>365.38</u>
TOTAL JCEF REV	500.00	56.00	134.62	26.92	365.38
<u>FUND BALANCE</u>					
TOTAL REVENUE	5,500.00	515.06	2,261.23	41.11	3,238.77
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

28 -COURT RESTRICTED

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>GENERAL</u>					
28-5-0000-7999 COURT ENHANCEMENT MISC	<u>5,500.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>5,500.00</u>
TOTAL GENERAL	5,500.00	0.00	0.00	0.00	5,500.00
<u>FARE FUND</u>					
<u>CAPITAL IMPROVEMENT PLAN</u>					
<u>OTHER FINANCING USES</u>					
<u>MISC EXP</u>					
TOTAL EXPENDITURES	5,500.00	0.00	0.00	0.00	5,500.00
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	515.06	2,261.23	(	2,261.23)

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

29 -POLICE GRANTS
FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
GITEM REVENUE	<u>95,843.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>95,843.00</u>
TOTAL REVENUES	95,843.00	0.00	0.00	0.00	95,843.00
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
GITEM FUND	<u>95,843.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>95,843.00</u>
TOTAL EXPENDITURES	95,843.00	0.00	0.00	0.00	95,843.00
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

29 -POLICE GRANTS

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>GITEM REVENUE</u>					
29-4-4000-4500 GIITEM TASK FORCE REVENUE	<u>95,843.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>95,843.00</u>
TOTAL GITEM REVENUE	95,843.00	0.00	0.00	0.00	95,843.00
TOTAL REVENUE	95,843.00	0.00	0.00	0.00	95,843.00
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

29 -POLICE GRANTS

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>GIITEM FUND</u>					
29-5-0000-6000 GIITEM TASK FORCE WAGES	56,245.00	0.00	0.00	0.00	56,245.00
29-5-0000-6020 GIITEM SOCIAL SECURITY	4,303.00	0.00	0.00	0.00	4,303.00
29-5-0000-6021 GIITEM PSPRS EMP PORTION	15,540.00	0.00	0.00	0.00	15,540.00
29-5-0000-6022 GIITEM HEALTH INSURANCE	14,852.00	0.00	0.00	0.00	14,852.00
29-5-0000-6023 GIITEM WORKERS COMPENSATION	2,860.00	0.00	0.00	0.00	2,860.00
29-5-0000-6026 GIITEM HSA EMPLOYER PORTION	<u>2,043.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>2,043.00</u>
TOTAL GIITEM FUND	95,843.00	0.00	0.00	0.00	95,843.00
TOTAL EXPENDITURES	95,843.00	0.00	0.00	0.00	95,843.00
	=====	=====	=====	=====	=====

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

30 -POLICE SMART & SAFE AZ
FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
FUND BALANCE APPROP.	<u>50,427.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>50,427.00</u>
TOTAL REVENUES	50,427.00	0.00	0.00	0.00	50,427.00
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
TRANSFERS OUT	<u>50,427.00</u>	<u>0.00</u>	<u>50,650.11</u>	<u>100.44</u>	(<u>223.11</u>)
TOTAL EXPENDITURES	50,427.00	0.00	50,650.11	100.44	(223.11)
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	0.00	(50,650.11)		50,650.11

TOWN OF CLARKDALE
 REVENUE & EXPENSE REPORT (UNAUDITED)
 AS OF: OCTOBER 31ST, 2023

30 -POLICE SMART & SAFE AZ

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
GENERAL					
FUND BALANCE APPROP.					
30-4-4000-6050 FUND BALANCE APPROPRIATION	<u>50,427.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>50,427.00</u>
TOTAL FUND BALANCE APPROP.	50,427.00	0.00	0.00	0.00	50,427.00
TOTAL REVENUE	50,427.00	0.00	0.00	0.00	50,427.00
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

30 -POLICE SMART & SAFE AZ

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>GENERAL</u>					
<u>TRANSFERS OUT</u>					
30-5-2000-9850 TRANSFERS OUT	<u>50,427.00</u>	<u>0.00</u>	<u>50,650.11</u>	<u>100.44</u> (	<u>223.11)</u>
TOTAL TRANSFERS OUT	50,427.00	0.00	50,650.11	100.44 (	223.11)
TOTAL EXPENDITURES	50,427.00	0.00	50,650.11	100.44 (	223.11)
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	0.00 (	50,650.11)		50,650.11

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

31 -OPIOID FUND
FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
MISC.	<u>30,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>30,000.00</u>
TOTAL REVENUES	30,000.00	0.00	0.00	0.00	30,000.00
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
GENERAL	<u>30,000.00</u>	<u>0.00</u>	<u>1,525.00</u>	<u>5.08</u>	<u>28,475.00</u>
TOTAL EXPENDITURES	30,000.00	0.00	1,525.00	5.08	28,475.00
	=====	=====	=====	=====	=====
REVENUES OVER/ (UNDER) EXPENDITURES	0.00	0.00	(1,525.00)		1,525.00

TOWN OF CLARKDALE
 REVENUE & EXPENSE REPORT (UNAUDITED)
 AS OF: OCTOBER 31ST, 2023

31 -OPIOID FUND

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>MISC.</u>					
31-4-4000-4500 OPIOID FUND REVENUE	<u>30,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>30,000.00</u>
TOTAL MISC.	30,000.00	0.00	0.00	0.00	30,000.00
TOTAL REVENUE	30,000.00	0.00	0.00	0.00	30,000.00
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

31 -OPIOID FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>GENERAL</u>					
31-5-0000-7999 OPIOID FUND EXPENDITURES	<u>30,000.00</u>	<u>0.00</u>	<u>1,525.00</u>	<u>5.08</u>	<u>28,475.00</u>
TOTAL GENERAL	30,000.00	0.00	1,525.00	5.08	28,475.00
TOTAL EXPENDITURES	30,000.00	0.00	1,525.00	5.08	28,475.00
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	0.00 (	1,525.00)		1,525.00

*** END OF REPORT ***

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

80 -DONATION FUND

FINANCIAL SUMMARY

33.33% OF YEAR COMP.

	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>REVENUE SUMMARY</u>					
YAVAPAI APACHE TRIBE	432,000.00	0.00	0.00	0.00	432,000.00
TOWN WIDE	60,000.00	599.00	599.00	1.00	59,401.00
COMM DEV	300.00	100.00	100.00	33.33	200.00
PARK & RECREATION	22,000.00	2,580.00	10,290.75	46.78	11,709.25
POLICE	8,500.00	0.00	4,875.20	57.36	3,624.80
FUND BALANCE APPROPRIATIO	<u>27,500.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>27,500.00</u>
TOTAL REVENUES	550,300.00	3,279.00	15,864.95	2.88	534,435.05
	=====	=====	=====	=====	=====
<u>EXPENDITURE SUMMARY</u>					
CAPITAL IMPROVEMENT PLAN	412,000.00	0.00	0.00	0.00	412,000.00
YAVAPAI APACHE TRIBE	30,000.00	0.00	0.00	0.00	30,000.00
TOWN WIDE	50,000.00	1,422.33	28,622.82	57.25	21,377.18
COMM DEV	300.00	0.00	0.00	0.00	300.00
PARK & RECREATION	49,500.00	1,237.20	16,503.92	33.34	32,996.08
POLICE	<u>8,500.00</u>	<u>0.00</u>	<u>6,096.08</u>	<u>71.72</u>	<u>2,403.92</u>
TOTAL EXPENDITURES	550,300.00	2,659.53	51,222.82	9.31	499,077.18
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	619.47	(35,357.87)		35,357.87

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

80 -DONATION FUND

33.33% OF YEAR COMP.

REVENUES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>STREETS</u>					
<u>YAVAPAI APACHE TRIBE</u>					
80-4-2100-4001 GENERAL - YAN	20,000.00	0.00	0.00	0.00	20,000.00
80-4-2100-4002 YAVAPAI APACHE TRIBE	<u>412,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>412,000.00</u>
TOTAL YAVAPAI APACHE TRIBE	432,000.00	0.00	0.00	0.00	432,000.00
<u>TOWN WIDE</u>					
80-4-2300-4004 TOWN WIDE GENERAL DONATION	50,000.00	599.00	599.00	1.20	49,401.00
80-4-2300-4005 VERDE RIVER @ CLARKDALE DON	<u>10,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>10,000.00</u>
TOTAL TOWN WIDE	60,000.00	599.00	599.00	1.00	59,401.00
<u>LIBRARY</u>					
<u>COMM DEV</u>					
80-4-2500-4001 CENTENNIAL PLAZA DONATIONS	<u>300.00</u>	<u>100.00</u>	<u>100.00</u>	<u>33.33</u>	<u>200.00</u>
TOTAL COMM DEV	300.00	100.00	100.00	33.33	200.00
<u>PARK & RECREATION</u>					
80-4-2600-4001 GENERAL - PARKS & RECREATION	5,000.00	0.00	10.00	0.20	4,990.00
80-4-2600-4002 CONCERTS	10,000.00	0.00	7,400.75	74.01	2,599.25
80-4-2600-4003 HALLOWEEN	4,500.00	2,580.00	2,880.00	64.00	1,620.00
80-4-2600-4010 FOURTH OF JULY	<u>2,500.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>2,500.00</u>
TOTAL PARK & RECREATION	22,000.00	2,580.00	10,290.75	46.78	11,709.25
<u>POLICE</u>					
80-4-2900-4001 GENERAL - POLICE	5,000.00	0.00	3,020.20	60.40	1,979.80
80-4-2900-4006 POLICE NATIONAL NIGHT OUT	<u>3,500.00</u>	<u>0.00</u>	<u>1,855.00</u>	<u>53.00</u>	<u>1,645.00</u>
TOTAL POLICE	8,500.00	0.00	4,875.20	57.36	3,624.80
<u>FUND BALANCE APPROPRIATIO</u>					
80-4-4000-6050 FUND BALANCE APPROPRIATION	<u>27,500.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>27,500.00</u>
TOTAL FUND BALANCE APPROPRIATIO	27,500.00	0.00	0.00	0.00	27,500.00
<u>HERITAGE CONSERV</u>					
TOTAL REVENUE	550,300.00	3,279.00	15,864.95	2.88	534,435.05
	=====	=====	=====	=====	=====

TOWN OF CLARKDALE
REVENUE & EXPENSE REPORT (UNAUDITED)
AS OF: OCTOBER 31ST, 2023

80 -DONATION FUND

33.33% OF YEAR COMP.

EXPENDITURES	CURRENT BUDGET	CURRENT PERIOD	YEAR TO DATE ACTUAL	% OF BUDGET	BUDGET BALANCE
<u>CAPITAL IMPROVEMENT PLAN</u>					
80-5-0800-8000 CAPITAL IMPROVEMENT PLAN	<u>412,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>412,000.00</u>
TOTAL CAPITAL IMPROVEMENT PLAN	412,000.00	0.00	0.00	0.00	412,000.00
<u>STREETS</u>					
<u>YAVAPAI APACHE TRIBE</u>					
80-5-2100-8801 GENERAL - YAN	20,000.00	0.00	0.00	0.00	20,000.00
80-5-2100-9256 VERDE RIVER @ CLARKDALE	<u>10,000.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>10,000.00</u>
TOTAL YAVAPAI APACHE TRIBE	30,000.00	0.00	0.00	0.00	30,000.00
<u>TOWN WIDE</u>					
80-5-2300-7000 GENERAL - DEVELOPER EXP	0.00	823.33	28,023.82	0.00 (	28,023.82)
80-5-2300-8004 TOWN WIDE GEN DON EXPENSES	<u>50,000.00</u>	<u>599.00</u>	<u>599.00</u>	<u>1.20</u>	<u>49,401.00</u>
TOTAL TOWN WIDE	50,000.00	1,422.33	28,622.82	57.25	21,377.18
<u>LIBRARY</u>					
<u>COMM DEV</u>					
80-5-2500-8001 CENTENNIAL PLAZA DON BRICK EXP	<u>300.00</u>	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>	<u>300.00</u>
TOTAL COMM DEV	300.00	0.00	0.00	0.00	300.00
<u>PARK & RECREATION</u>					
80-5-2600-8001 GENERAL - PARKS & RECREATION	5,000.00	0.00	0.00	0.00	5,000.00
80-5-2600-8002 CONCERTS	10,000.00	937.20	13,574.47	135.74 (	3,574.47)
80-5-2600-8003 HALLOWEEN	4,500.00	300.00	300.00	6.67	4,200.00
80-5-2600-8005 POOL FUNDRAISING EXPENSES	27,500.00	0.00	0.00	0.00	27,500.00
80-5-2600-8010 FOURTH OF JULY	<u>2,500.00</u>	<u>0.00</u>	<u>2,629.45</u>	<u>105.18</u> (	<u>129.45)</u>
TOTAL PARK & RECREATION	49,500.00	1,237.20	16,503.92	33.34	32,996.08
<u>POLICE</u>					
80-5-2900-8001 GENERAL - POLICE	5,000.00	0.00	3,413.43	68.27	1,586.57
80-5-2900-8006 POLICE NATIONAL NIGHT OUT	<u>3,500.00</u>	<u>0.00</u>	<u>2,682.65</u>	<u>76.65</u>	<u>817.35</u>
TOTAL POLICE	8,500.00	0.00	6,096.08	71.72	2,403.92
<u>HERITAGE CONSERV</u>					
TOTAL EXPENDITURES	550,300.00	2,659.53	51,222.82	9.31	499,077.18
	=====	=====	=====	=====	=====
REVENUES OVER/(UNDER) EXPENDITURES	0.00	619.47 (	35,357.87)		35,357.87

*** END OF REPORT ***



Staff Report

Item Number: 6.A.

<u>Agenda Item:</u>	Approval of Minutes Consider and act upon the draft minutes from the Special Meeting held on Oct. 24, 2023.
<u>Staff Contact:</u>	Charity Brooks, Town Clerk
<u>Meeting Date:</u>	November 14, 2023
<u>Strategic Goal:</u>	Not applicable.
<u>Background:</u>	Review of the draft minutes from the Special Meeting held on Oct. 24, 2023.
<u>Budget Impact:</u>	No budget impact.
<u>Recommendation:</u>	Staff recommends approval of the draft minutes from the Special Meeting held on Oct. 24, 2023.



**SUMMARIZED MINUTES OF A SPECIAL MEETING OF THE TOWN COUNCIL
OF THE TOWN OF CLARKDALE**

TUESDAY, OCTOBER 24, 2023, AT 5:00 PM

(To watch the full video of the meeting, please visit www.clarkdale.az.gov – agendas & minutes)

Members Present: Mayor Prud'homme-Bauer, Vice Mayor Debbie Hunseder, and Council Members, Lisa O'Neill, Marney Babbitt-Pierce, and Laura Jones

Other Municipal Officials Present: Susan Guthrie, Town Manager; Charity Brooks, Town Clerk; Joni Westcott, Parks and Recreation Director; Julie Goucher, Finance Director; Lou Anderson, Public Works Director; Angela Benavides, Human Resources Director; Lisa Waldschmidt, Water Resource Manager; Chief Taylor, Police Chief

Zoom: Five individuals present on Zoom.

Audience: Approximately 13 members of the public were present.

1. CALL TO ORDER - *Mayor Prud'homme-Bauer called the meeting to order at 6 p.m.*

2. ROLL CALL - *All members present.*

Mayor Prud'homme-Bauer read the Clarkdale Vision.

3. PUBLIC COMMENT - *No public comment.*

4. REPORTS

Departmental Reports *(submitted with Council packet)* –

Summary of written reports from Town Departments and other agencies:

- Building Permit Report
- Magistrate Court Report
- Water, Wastewater and Cottonwood Area Transit Report
- Police Department Report
- Verde Valley Humane Society

A. Finance Report *(submitted with Council packet)*

List of specific budget to actual revenue and expenditures for all departments and a

monthly finance report.

5. CONSENT AGENDA

Items on the consent agenda are of a routine nature or have been previously studied by the Town Council at a work session or during a New Business discussion. They are intended to be acted upon in one motion. Council members may pull items from consent if they would like them considered separately.

A. Approval of Minutes of the Common Council

Consider and act upon the draft minutes from the regular meeting held on Oct. 10, 2023.

Action: Approve consent agenda item A as written:

Motion: Vice Mayor Hunseder

Second: Council Member Babbitt-Pierce

Vote: Approved 5-0

Voting Member	Aye/Nay
Mayor Robyn Prud'homme-Bauer	Aye
Vice Mayor Debbie Hunseder	Aye
Council Member Lisa O'Neill	Aye
Council Member Marney Babbitt-Pierce	Aye
Council Member Laura Jones	Aye

6. NEW BUSINESS

A. Clarkdale-Jerome Elementary School Check Presentation

The Mayor and Council presented a check from the Shred-a-Thon proceeds to Clarkdale-Jerome Student Council.

B. Proclamation - Domestic Violence Awareness Month

The Mayor and Council presented a Proclamation declaring October 2023 as Domestic Violence Awareness Month.

C. Proclamation - Extra Mile Day

The Mayor and Council presented a Proclamation declaring Nov. 1, 2023 as Extra Mile Day.

D. Presentation - Steps to Recovery

Council received a presentation from Damien Browning, co-founder of Steps to Recovery Homes, regarding the non-profit organization he helped to create.

E. Water and Wastewater Master Plan

Discussed, considered and approved an agreement with Ardurra for the Town's Water and Wastewater Master Plan.

Action: : Approve the agreement with Ardurra Group Inc for the Town's Water and Wastewater Master Plan not to exceed the proposal amount of \$273,615 and authorize the Town Manager to execute all

documents necessary.

Motion: Council Member Jones

Second: Council Member O'Neill

Vote: Approved 5-0

Voting Member	Aye/Nay
Mayor Robyn Prud'homme-Bauer	Aye
Vice Mayor Debbie Hunseder	Aye
Council Member Lisa O'Neill	Aye
Council Member Marney Babbitt-Pierce	Aye
Council Member Laura Jones	Aye

F. Town of Clarkdale Website Analytics

Council received a presentation from Chell Smart, Town Public Information Officer, regarding high-level analytics related to the first year use for the new Town website.

G. Ardurra Group Inc. Professional Services Agreement for Selna Mongini Park

Discussed, considered and approved the Professional Services Agreement with Ardurra Group Inc. for the Selna Mongini Park Project.

Action: Approve the Professional Services Agreement with Ardurra Group Inc for services related to the Selna Mongini Park Project and authorize the Town Manager to approve all change orders up to ten percent:

Motion: Council Member Babbitt-Pierce

Second: Vice Mayor Hunseder

Vote: Approved 5-0

Voting Member	Aye/Nay
Mayor Robyn Prud'homme-Bauer	Aye
Vice Mayor Debbie Hunseder	Aye
Council Member Lisa O'Neill	Aye
Council Member Marney Babbitt-Pierce	Aye
Council Member Laura Jones	Aye

H. Personnel Policy Amendment

Discuss, consider and act upon changes being proposed to the Town's Personnel Policy and Procedural Manual.

Action: Approve the amendments to the Town's Personnel Policy and Procedure Manual as presented:

Motion: Vice Mayor Hunseder

Second: Council Member O'Neill

Vote: Approved 5-0

Voting Member	Aye/Nay
Mayor Robyn Prud'homme-Bauer	Aye
Vice Mayor Debbie Hunseder	Aye
Council Member Lisa O'Neill	Aye
Council Member Marney Babbitt-Pierce	Aye
Council Member Laura Jones	Aye

- 7. POSSIBLE VOTE TO ENTER EXECUTIVE SESSION - The Council *voted* to discuss the following matters in executive session pursuant to A.R.S. § 38-431.03 (A)(3) discussion or consultation for legal advice with the attorney or attorneys of the public body and/or A.R.S. § 38-431.03 (A)(4) discussion or consultation with the attorneys of the public body in order to consider its position and instruct its attorneys regarding the public body's position regarding contracts that are the subject of negotiations, in pending or contemplated litigation or in settlement discussions conducted in order to avoid or resolve litigation.**

A. Water Rights Agreement with the Town of Clarkdale

B. Town's Position on Pending or Contemplated Litigation

Action: Motion to enter executive session:

Motion: Council Member Babbitt-Pierce

Second: Vice Mayor Hunseder

Vote: Approved 5-0

Voting Member	Aye/Nay
Mayor Robyn Prud'homme-Bauer	Aye
Vice Mayor Debbie Hunseder	Aye
Council Member Lisa O'Neill	Aye
Council Member Marney Babbitt-Pierce	Aye
Council Member Laura Jones	Aye

8. RESUMPTION OF REGULAR MEETING - *Immediately following the executive session, the Council resumed public session at 8:03 p.m.*

A. Water Rights Agreements with the Town of Clarkdale

No action taken.

B. Town's Position on Pending or Contemplated Litigation

No action taken.

9. FUTURE AGENDA ITEMS

Town Council did not propose items to be placed on a future agenda.

10. ADJOURNMENT

Action: Motion to adjourn meeting:

Motion: Vice Mayor Hunseder

Second: Council Member Babbitt-Pierce

Vote: Approved 5-0

Voting Member	Aye/Nay
Mayor Robyn Prud'homme-Bauer	Aye
Vice Mayor Debbie Hunseder	Aye
Council Member Lisa O'Neill	Aye
Council Member Marney Babbitt-Pierce	Aye
Council Member Laura Jones	Aye

Mayor Prud'homme-Bauer adjourned the meeting without objection at 8:05 p.m.

APPROVED:

ATTESTED/SUBMITTED:

Robyn Prud'homme-Bauer, Mayor

Charity Brooks, Town Clerk

CERTIFICATION AND ATTESTATION

I hereby certify that the foregoing minutes are a true and correct copy of the minutes of the regular meeting of the Town Council of the Town of Clarkdale, Arizona held on the 24th day of October 2023. I further certify that the meeting was duly called and held and that a quorum was present.

Date approved by Town Council: Nov. 14, 2023

Charity Brooks, Town Clerk



Staff Report

Item Number: 6.B.

<u>Agenda Item:</u>	Request to Ratify the State of Arizona Mutual Aid Compact Consider and act upon a request by staff to ratify the State of Arizona Mutual Aid Compact (AZMAC) with the Arizona Department of Emergency and Military Affairs.
<u>Staff Contact:</u>	Randy Taylor, Police Chief
<u>Meeting Date:</u>	November 14, 2023
<u>Strategic Goal:</u>	This agenda item supports the following Clarkdale Strategic Goal Area: • Goal Area 2 - Enhance the quality of life for residents, businesses, and visitors to Clarkdale.
<u>Background:</u>	The Arizona Mutual Aid Compact enables participating political subdivisions and tribal nations to share resources, personnel, etc. during emergencies and then be reimbursed for the resources. Mutual aid agreements provide a mechanism for participating parties to quickly obtain emergency assistance in the form of personnel, equipment, materials, and other related services. The primary objective is to facilitate rapid, short-term deployment of emergency support prior to, during and after an incident. A signed agreement does not obligate the provision or receipt of aid; the Compact provides a tool for use should the incident dictate a need. Once signed, the Mutual Aid Compact shall be effective on Jan. 1, 2024 and be valid until Dec. 31, 2033.
<u>Budget Impact:</u>	No budget impact.
<u>Recommendation:</u>	Staff recommends that Council approve the ratification of the State of Arizona Mutual Aid Compact with the Arizona Department of Emergency and Military Affairs.

ARIZONA MUTUAL AID COMPACT

This Compact was made and entered into by and among the signatory Parties, including the State of Arizona, through the Emergency Management Division of the Arizona Department of Emergency and Military Affairs (DEMA/EM).

Recitals

WHEREAS one or more Parties may find it necessary to utilize all of their own resources to cope with emergencies, and may require the assistance of another Party or other Parties; and,

WHEREAS it is desirable that all resources of all Parties be available to all other Parties on pre-established terms, in order to respond to such emergencies; and,

WHEREAS it is desirable that each of the Parties should assist one another when such emergency occurs by providing such resources as are available and needed, including, but not limited to, fire, police, medical and health, environmental, communication, and transportation services to cope with the problems of response; and,

WHEREAS it is desirable that a compact be executed for the interchange of such mutual aid; and,

WHEREAS it is desirable to utilize this Compact in exercising adopted emergency plans; and,

WHEREAS it is desirable that the manner of financing of such cooperative undertakings be resolved in advance of such emergency.

NOW, THEREFORE, IT IS HEREBY AGREED by and between each and all of the Parties as follows:

COMPACT

1. Purpose.

The purpose of this Compact is to establish emergency management terms and procedures for participating Parties to provide mutual aid assistance to any affected area in accordance with local ordinances, resolutions, emergency plans, or agreements. This Compact shall be construed in accordance with all applicable laws.

2. Scope.

The Scope of this Compact is to (1) provide the procedures to notify the Providing Parties of the need for emergency assistance; (2) identify available resources; and (3) provide a mechanism for compensation for resources.

3. Definitions.

- **Automatic Mutual Aid** means the dispatch and response of requested resources without incident-specific approvals under an agreement separate from this Compact. These agreements are usually basic contracts; some may be informal accords.
- **Compact** means this document, the Arizona Mutual Aid Compact (AZMAC).
- **Director** means the Director of the Emergency Management Division of the Arizona Department of Emergency and Military Affairs (DEMA/EM).
- **Emergency or Emergencies** means any disaster or contingency situation that requires a collaborative effort among multiple Parties.
- **Local Mutual Aid Agreements** are separate agreements between Parties and/or other entities that involve a formal request for assistance.
- **Party and Parties** refers to any entity(ies) that have signed this Compact. All state agencies, tribal nations, and political subdivisions in the State of Arizona are eligible to sign this Compact as Parties.
- **Political Subdivision** means any county, incorporated city or town, or school district, community college district, or other tax-levying public district.
- **Providing Party** means the Party rendering aid under this Compact to another Party in the event of an emergency.
- **Requesting Party** means the Party seeking aid under this Compact from another Party in the event of an Emergency.
- **Self-deploy** refers to the act of a Party responding to another Party's emergency without being requested by the affected Party.

4. Guiding Framework.

Unless otherwise expressly agreed to in writing by a Providing Party and a Receiving Party in a separate agreement, the Parties agree to interpret the language of the Compact as operating consistently with:

- Arizona Revised Statute (A.R.S.), Title 26, Military Affairs and Emergency Management.
- Arizona Administrative Code (A.A.C.), Title 8, Chapter 2, Article 3 (addressing the Governor's Emergency Fund).
- National Incident Management System (NIMS), 2017.

5. Procedures for Requesting Assistance.

Any Party experiencing an emergency may request assistance from any other Party under this Compact. However, when making such requests, consideration should be given to the geographical proximity of the other Parties from which assistance is being requested. All requests for assistance from the State must be coordinated through the Requesting Party's county emergency manager or tribal emergency manager, whichever is applicable.

Any request for assistance must specify:

1. What the emergency is.
2. The amount and type of resources needed.
3. The estimated period during which such mutual aid is required.

Parties must use the Resource Request form provided in Appendix A. Additionally, it is recommended that Parties utilize WebEOC to track requests.

6. Providing Party's Assessment of Availability of Resources and Ability to Render Assistance.

In all instances, the Providing Party shall render such mutual aid as it is able to provide consistent with its own service needs at the time, taking into consideration the Providing Party's existing commitments within its own jurisdiction. The Providing Party shall be the sole judge of what mutual aid it has available to furnish to the Requesting Party pursuant to this Compact.

7. Implementation Plan.

Each Party that plans on retaining or seeking eligibility to receive Emergency Management Performance Grant (EMPG) funds must have an emergency operations plan that includes a process to provide for the effective mobilization of its resources, both public and private, including acceptance of mutual aid to provide or receive assistance under this Compact, and must provide a copy of its most current plan to DEMA/EM Grants Administration upon request.

8. Contact List.

Each Party shall develop a contact list as outlined in Appendix B, which shall be provided to the Director to make available to all other Parties. A minimum of two contacts, preferably

three, must be provided in Appendix B. A Party may update its contact information, at any time, by providing the updated contact information in writing to the Director, who shall make available the updated contact information to all other Parties. The contact list should be updated periodically, when necessary (i.e., personnel change).

9. Reimbursement Procedures between Parties.

If the Providing Party desires reimbursement for the assistance it is providing, the Requesting Party shall reimburse the Providing Party for one hundred percent (100%) of all costs incurred, and as agreed to, within the mutual assistance compact, whether an emergency has been declared or not. The Providing Party must declare its intent to seek reimbursement as part of its response to the Requesting Party's request for assistance (see Appendix A). The Providing Party and the Requesting Party shall agree upon allowable costs, both actual and estimated, for mutual assistance prior to the dispatch of any mutual assistance resources. In the event that the agreement includes reimbursement of all agreed to activities by the Providing Party, the Providing Party must submit a claim for all costs incurred during the execution of the mission assignment to the Requesting Party. The claim must include copies (no original documents) of all documentation to support their claim of reimbursement, including but not limited to timecards, payroll reports, fringe benefits information, pay policies, vehicle/daily logs, maintenance records, equipment lists, invoices, contracts, receipts, procurement policies, inventory reports, proofs of payments, insurance policies, rental agreements, etc.

The Requesting Party shall reimburse the Providing Party for all sufficiently documented submitted costs, as agreed to, regardless of the existence of a State Declaration or a State-Level Emergency, or a federal Emergency or Major Disaster Declaration.

Self-deployed resources will not be reimbursed under this Compact.

10. Reimbursement Procedures from the State or Federal Government.

The Providing Party shall be reimbursed for costs associated with this Compact by the Requesting Party only. The Providing Party is not eligible for reimbursement from the State or federal government under the terms of this Compact; eligibility for reimbursement from the State or federal government is determined solely by state and/or federal laws and policies. Additionally, neither the State nor the federal government is liable for any claim arising from an emergency for which the applicant receives funds from another source.

Costs and work performed by the Providing Party may, or may not, be reimbursable by the State or federal government, if submitted by the Requesting Party for reimbursement under a State Declaration for a State-Level Emergency, or federal Emergency, or Major Disaster declaration. Regardless of eligibility for reimbursement from the State or the federal government under a State Declaration for a State-Level Emergency, or federal Emergency or Major Disaster declaration, the Requesting Party shall fully reimburse the Providing Party's claim, providing all requirements are met. Failure to fully reimburse claims may establish a history of non-payment of AZMAC claims and may disqualify a Requesting Party from being able to be reimbursed under future State Declaration for a State-Level Emergency, or federal Emergency, or Major Disaster

declaration events.

11. Personnel Compensation and Insurance.

Except to the extent that reimbursement is separately agreed to, the Requesting Party and the Providing Party each shall be responsible for all compensation of their respective employees and insurance coverage for their respective equipment.

12. Immunity.

Notwithstanding the indemnification provision set forth below, the Parties shall have such immunity as provided by applicable state, federal, or tribal law.

13. Indemnification.

To the extent permitted under applicable laws, each Party (as "Indemnitor") agrees to defend, indemnify, and hold harmless the other Party (as "Indemnatee") from and against any and all claims, losses, liability, costs, or expenses (including reasonable attorney's fees) (hereinafter collectively referred to as "Claims") arising out of bodily injury of any person (including death) or property damage, but only to the extent that such Claims are caused by negligent act or omission or other fault of the Indemnitor, its officers, officials, agents, employees, emergency workers, or volunteers. If a Claim or Claims become subject to this indemnity provision, the Parties in question shall expeditiously meet to discuss a common and mutual defense, including proportional liability and payment of possible litigation expense and money damages. Notwithstanding the foregoing, nothing herein shall be construed to modify the gross negligence standard applicable to each Party under the law applicable to that Party. Should a Party to this Compact use a contractor for any purpose, that Party must ensure that its contractor(s) (and all of their subcontractors and materials suppliers, regardless of their degree of removal from the Party's contractor) are required to abide by the insurance requirements which are set forth in Appendix C. The obligations under this Section shall survive termination of this Compact.

14. Term.

This Compact shall terminate ten years after the effective date or until participation in this Compact is terminated by the Party. The 2014 Compact shall cease to be effective as of midnight Arizona time on the evening of December 31, 2023, and this Compact shall go into effect immediately thereafter for all Parties that have signed this Compact before that date and time. This Compact shall continue in effect until midnight Arizona time on the evening of December 31, 2033.

15. Effect of Termination by a Party.

The termination by one or more of the Parties of its/their participation in this Compact shall not affect the operation of this Compact as between the other Parties. The Director shall identify on DEMA's website, with updates as needed, all Parties to this Compact.

16. Compliance with Laws.

Each Party shall comply with all federal, tribal, state, and local laws, rules, regulations, standards, and Executive Orders, as applicable, without limitation to those designated within this Compact. Any changes in such laws, rules and regulations during the terms of this Compact shall apply without the need to amend this Compact.

17. Workers' Compensation.

Each Party shall maintain Workers' Compensation insurance coverage on all of its own employees providing services pursuant to this Compact.

18. Insurance.

Except as expressly provided in this Compact, each Party shall bear the risk of its own actions, as it does with all its operations, and shall determine for itself an appropriate level of insurance coverage and maintain such coverage. Nothing in this Compact shall be construed as a waiver of any limitation on liability that may apply to a Party.

19. Non-Appropriation.

Every payment obligation of each of the Parties under this Compact is conditioned upon the availability of funds appropriated and allocated for the payment of such obligation. If a Party's funds are not appropriated, allocated, and available or if the appropriation is changed by the legislature or other governing body resulting in funds no longer being available for the continuance of that Party's participation in this Compact, that Party may terminate its participation in this Compact as of the end of the period for which funds are available by providing written notice in advance to the Director. No liability shall accrue to the Party in question in the event this provision is exercised, and that Party shall not be obligated or liable for any future payments or for any damages as a result of termination under this paragraph.

20. No Third-Party Beneficiaries.

Nothing in this Compact confers any rights or remedies to any person or entity that is not a Party. Nothing in this Compact affects the legal liability of any Party to this Compact by imposing any standard of care different from the standard of care imposed by law.

21. Entire Compact.

This document constitutes the entire Compact between the Parties pertaining to the subject matter hereof. This Compact shall not be modified, amended, or altered.

22. Jurisdiction.

Nothing in this Compact shall be construed as otherwise limiting or extending the legal jurisdiction of any Party.

23. Conflict of Interest.

If applicable to the Party in question, that Party may terminate its participation in this Compact, without penalty or further obligation, if any person significantly involved in initiating, negotiating, securing, drafting, creating, or executing this Compact on behalf of the Party is, at

any time while this Compact or any extension is in effect, an employee, agent or consultant of another Party with respect to the subject matter of this Compact.

24. Supervision and Control.

Management of an emergency shall remain with the Party originally responsible for responding to the emergency. From the time of arrival to the time of departure at the emergency scene, the Providing Party shall be considered for all purposes to be under the direction and control (other than for the purposes of Workers' Compensation, as provided in Section 17 of this Compact) of the Requesting Party. In proceeding to and returning from the emergency scene, the Providing Party shall not be under the direction and control of the Requesting Party. Supervision and control of Providing Parties' personnel and equipment shall be in accordance with the National Incident Management System. All equipment and personnel used pursuant to this Compact shall be returned to the Providing Party upon being released by the Requesting Party or on demand of the Providing Party for such return.

25. Severability: Effect on Other Agreements.

It is expressly understood that this Compact shall not supplant existing agreements between any of the Parties that provide for the exchange or furnishing of goods and/or service.

26. Severability.

If any provision of this Compact is held to be invalid or unenforceable, the remaining provisions shall continue to be valid and enforceable to the fullest extent permitted by law.

27. Responsibility of the Department of Emergency and Military Affairs.

Nothing in this Compact limits or restricts the legal duties and obligations of DEMA/EM when responding to the emergency of any Party.

28. Execution Procedure.

Execution of this Compact shall be as follows:

This Compact, which will be designated as "ARIZONA MUTUAL AID COMPACT," shall be executed in counterparts by the governing body of each Party. Upon execution, the counterpart will be provided to the Director. This Compact will be effective between all Parties that execute this Compact even if it is not executed by all eligible entities.

29. Termination.

Termination of participation in this Compact by any Party may be affected by that Party as follows:

Notice of termination will be given to the Director 20 days prior to termination. Any Party may, by resolution of its governing body or person, terminate its participation in this Compact by providing a copy of such resolution to the Director. The Parties understand and acknowledge that a Party's participation in this Compact may be subject to cancellation or termination by that Party pursuant to the terms of this Compact, or pursuant A.R.S. § 38-511, or applicable tribal law. Pursuant to the provisions of A.R.S. § 11-952(B)(4), in the event of termination by a Providing Party or a Requesting Party, any property belonging to such Party shall be returned to that Party.

30. Dispute Resolution.

The Parties to this Compact agree to resolve all disputes arising out of or relating to this Compact through arbitration, after exhausting applicable administrative review, to the extent required by law.

31. Record Retention.

Each Party shall retain all records relating to this Compact for a period of five (5) years after the expiration of its term. All records shall be subject to review and copying by any other Party that participated in the emergency response to which such records relate (including such entities that are former Parties whose participation in this Compact was terminated within the five years preceding a request to review and copy) at reasonable times.

32. Forced Labor of Ethnic Uyghurs.

To the extent applicable under A.R.S. § 35-394, each Party warrants and certifies that it does not currently, and agrees that it will not for the duration of this Compact, use the forced labor, any goods or services produced by the forced labor, or any contractors, subcontractors, or suppliers that use the forced labor or any goods or services produced by the forced labor of ethnic Uyghurs in the People's Republic of China. If a Party becomes aware that it is not in compliance with this paragraph, it shall notify all other Parties of the noncompliance within five business days of becoming aware of it. If the offending Party fails to provide a written certification that it has remedied the noncompliance within 180 days after that, said Party's participation in this Compact shall terminate unless the termination date of this Compact occurs before the end of the remedy, in which their participation will terminate on the Compact's termination date.

33. Counterparts.

This Compact may be executed simultaneously or in counterparts, each of which constitutes an original, but all of which together constitute one and the same agreement.

Arizona Mutual Aid Compact

Signature Page

(NAME OF PARTY)

IN WITNESS WHEREOF, the Party below hereby signs this Arizona Mutual Aid Compact signature page. The signor warrants that he or she has been duly authorized to commit the Party named to participate in this Compact by formal approval of the Party's governing body or person.

(Signing Authority) Date: _____

ATTEST:

(Attesting Authority) Date: _____

Date of formal approval by governing body: _____

Pursuant to A.R.S. § 11-952(D) or applicable tribal law, the attorney for the above entity has determined that the foregoing Compact is in proper form and is within the powers and authority of the entity as granted under the laws of this State and the applicable tribal government.

(Attorney) Date: _____



Appendix A

Arizona Mutual Aid Compact (AZMAC) Emergency Management Resource Request

Requesting Party:

Point of Contact: _____

Work: _____ Cell: _____

Email: _____

Requested Resource:

Quantity: _____

Unit of Measure: _____

Date/Time Required: _____

Delivery Location: _____

Date of Request: _____

Requesting Party Tracking Number: _____

Mission

--

Special Instructions

(i.e., delivery instructions, if the resource must come with personnel, fuel, lodging, etc.)

--

Personnel

Name:				
Phone Number:				
Email Address:				
Regular Salary/ Hourly Rate:				
Regular Fringe Benefit Hourly:				
Overtime Salary Hourly Rate:				
Overtime Fringe Benefit Hourly Rate:				

Estimated Resource Cost:

Request Forwarded to

Contact Name: _____

Organization/Agency: _____

Date/Time of Submission: _____

Request Approved by:

Date:

(Name, Title, Signature)

Reimbursement

Providing Party Representative Signature

Date:

Requesting Party Representative Signature

Date:



Appendix B

Arizona Mutual Aid Compact (AZMAC) Points of Contact

Date: November 8, 2023

Name of Party: Clarkdale Police Department

Mailing Address: PO Box 308, Clarkdale, AZ 86324

Authorized Representatives to Contact for Mutual Aid Assistance

	Primary Contact	1st Alternate	2nd Alternate
Name	Randy Taylor	Joe Candelaria	Susan Guthrie
Title	Police Chief	Police Lieutenant	Town Manager
24hr 			
Day 	same	same	same
Night 	same	same	same
Fax 	928-649-7709	928-649-7709	928-639-2459
Email 	randy.taylor@clarkdale.az.gov	joe.candelaria@clarkdale.az.gov	susan.guthrie@clarkdale.az.gov

Appendix C

Arizona Mutual Aid Compact (AZMAC) Use of A Contractor

Each Party shall cause all of its contractor(s) and subcontractors performing any service or work or providing any equipment or material relating to an emergency response undertaken through this Compact to defend, indemnify, and hold harmless the State of Arizona, all Requesting Parties, and all Providing Parties participating in responding to the emergency in question under this Compact, and their respective directors, officers, officials, agents, and employees (hereinafter referred to as "Indemnatee") from and against any and all claims, actions, liabilities, damages, losses, or expenses (including court costs, attorneys' fees, and costs of claim processing, investigation and litigation) (hereinafter referred to as "Claims") for bodily injury or personal injury (including death), or loss or damage to tangible or intangible property caused, or alleged to be caused, in whole or in part, by the negligent or willful acts or omissions of that Party's contractor or any of the directors, officers, agents, or employees or subcontractors of such contractor. This indemnity includes any claim or amount arising out of or recovered under the Workers' Compensation Law or arising out of the failure of such contractor to conform to any applicable federal, state, tribal or local law, statute, ordinance, rule, regulation, or court decree. It is the specific intention of the Parties that the Indemnatee shall, in all instances, except for Claims arising solely from the negligent or willful acts or omissions of the Indemnatee, be indemnified by such contractor from and against any and all claims. It is agreed that such a contractor will be responsible for primary loss investigation, defense, and judgment costs where this indemnification is applicable. Nothing herein shall be construed to expand or modify the gross negligence or immunity standard as set forth in the state, federal, or tribal law applicable to the Party in issue.

Insurance Requirements for Parties:

None.

Insurance Requirements for Any Contractors Used by a Party to this Compact:

(Note: this applies only to Contractors used by a Party, not to the Party itself.) The insurance requirements herein are minimum requirements and in no way limit the indemnity covenants contained in this Compact. The State of Arizona in no way warrants that the minimum limits contained herein are sufficient to protect the Party or Contractor from liabilities that might arise out of the performance of the work under this Contract by the Contractor, its agents, representatives, employees or subcontractors, and Contractor and the Party are free to purchase additional insurance.

A. Minimum Scope and Limits of Insurance: Contractor shall provide coverage with limits of

liability not less than those stated below.

1. Commercial General Liability- Occurrence Form

Policy shall include bodily injury, property damage, personal and advertising injury, and broad form contractual liability.

- a. The policy shall be endorsed (Blanket Endorsements are not acceptable) to include the following additional insured language: "The State of Arizona, [insert names of the Requesting Party(ies) and Providing Party(ies) in issue], and their departments, agencies, boards, commissions, universities, officers, officials, agents, and employees shall be named as additional insureds with respect to liability arising out of the activities performed by or on behalf of the Contractor." Such additional insured shall be covered to the full limits of liability purchased by the Contractor, even if those limits of liability are in excess of those required by this Contract.
- b. The policy shall contain a waiver of subrogation endorsement (Blanket Endorsements are not acceptable) in favor of the "State of Arizona, [insert names of the Requesting Party(ies) and Providing Party(ies) in issue], and their departments, agencies, boards, commissions, universities, officers, officials, agents, and employees" for losses arising from work performed by or on behalf of the Contractor.

2. Business Automobile Liability

Bodily Injury and Property Damage for any owned, hired, and/or non-owned vehicles used in the performance of this Contract.

- Combined Single Limit (CSL) \$1,000,000

- a. The policy shall be endorsed (Blanket Endorsements are not acceptable) to include the following additional insured language: "The State of Arizona, [insert names of the Requesting Party(ies) and Providing Party(ies) in issue], and their departments, agencies, boards, commissions, universities, officers, officials, agents, and employees shall be named as additional insureds with respect to liability arising out of the activities performed by or on behalf of the Contractor, involving automobiles owned, leased, hired or borrowed by the Contractor". Such additional insured shall be covered to the full limits of liability purchased by the Contractor, even if those limits of liability are in excess of those required by this Contract.
- b. Policy shall contain a waiver of subrogation endorsement (Blanket Endorsements are not acceptable) in favor of the "State of Arizona, [insert names of the Requesting Party(ies) and Providing Party(ies) in issue], and their departments, agencies, boards, commissions, universities and its officers,

officials, agents, and employees" for losses arising from work performed by or on behalf of the Contractor.

- c. Policy shall contain a severability of interest provision.

3. Workers' Compensation and Employers' Liability

• Workers' Compensation	Statutory
• Employers' Liability	
Each Accident	\$1,000,000
Disease - Each Employee	\$1,000,000
Disease - Policy Limit	\$1,000,000

- a. Policy shall contain a waiver of subrogation endorsement (Blanket Endorsements are not acceptable) in favor of the "State of Arizona, [insert names of the Requesting Party(ies) and Providing Party(ies) in issue], and their departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees" for losses arising from work performed by or on behalf of the Contractor.

B. Additional Insurance Requirements: The policies are to contain, or be endorsed (Blanket Endorsements are not acceptable) to contain, the following provisions:

1. The Contractor's policies shall stipulate that the insurance afforded the Contractor shall be primary insurance and that any insurance carried by the State of Arizona, [insert names of the Requesting Part(ies) and Providing Part(ies) in issue], and their departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees shall be excess and not contributory insurance.
2. The Contractor's insurance shall apply separately to each insured against whom a claim is made, or suit is brought, except with respect to the limits of the insurer's liability. Coverage provided by the Contractor shall not be limited to the liability assumed under the indemnification provisions of its Contract with the other Parties.

C. Notice of Cancellation: With the exception of (10) day notice of cancellation for non-payment of premium, any changes material to compliance with this Contract in the insurance policies above shall require (30) days written notice to the [insert names of the Requesting Party(ies) and Providing Party(ies) in issue].

- D. Acceptability of Insurers: Contractor's insurance shall be placed with companies licensed in the State of Arizona. Insurers shall have an "A.M. Best" rating of not less than A-VII or be duly authorized to transact Workers' Compensation insurance in the State of Arizona. The State of Arizona in no way warrants that the above-required minimum insurer rating is sufficient to protect the Contractor from potential insurer insolvency.
- E. Verification of Coverage: Contractor shall furnish the Parties retaining the contractor in question with certificates of insurance (ACORD form or equivalent approved by the State of Arizona) as required by this Contract. The certificates for each insurance policy are to be signed by an authorized representative.

All certificates and endorsements (Blanket Endorsements are not acceptable) are to be received and approved by the Parties retaining the contractor in question before work commences. Each insurance policy required by this Contract must be in effect at or prior to the commencement of work under this Contract and remain in effect for the duration of the project. Failure to maintain the insurance policies as required by this Contractor or to provide evidence of renewal is a material breach of contract.

All certificates required by this Contract shall be sent directly to the Parties retaining the contractor in question.

- F. Subcontractors: Contractor's certificate(s) shall include all subcontractors as insureds under its policies or Contractor shall furnish separate certificates for each subcontractor to the Party to this Compact that the contractor in question has contracted with. All coverage for subcontractors shall be subject to the minimum requirements identified above.
- G. Exceptions: In the event the Contractor or subcontractor(s) is/are a public entity, then the Insurance Requirements shall not apply. Such a public entity shall provide a Certificate of Self-Insurance. If the contractor or subcontractor(s) is/are a State of Arizona agency, board, commission, or university then none of the above shall apply.

Addendum #1

Arizona Mutual Aid Compact (AZMAC)

Cyber-Related Mutual Aid For Participating Tribal Parties

1. Purpose.

The purpose of this Addendum #1 (“Addendum”) to the Arizona Mutual Aid Compact is to define for the participating Parties the Arizona National Guard Cyber Joint Task Force of the Arizona Department of Emergency and Military Affairs (AZNG-CJTF) terms and procedures which will be used among the participating Parties for dispatching cyber-related mutual aid assistance to any affected area in accordance with tribal laws, resolutions, and emergency plans or agreements. The AZNG-CJTF may work with other applicable State and federal cybersecurity entities, as needed. Except as expressly stated in this Addendum, all of the terms of the Arizona Mutual Aid Compact itself apply to this Addendum as if set forth in this Addendum in full.

2. Scope.

The scope of this Addendum is to (1) identify the available cyber resources of the AZNG-CJTF; (2) identify the terms and conditions for Requesting Parties to utilize those resources; and (3) provide a mechanism for compensation for those cyber resources.

3. Definitions.

In addition to the definitions listed below, all of the definitions set forth in the Arizona Mutual Aid Compact shall apply here.

- **Cyber** refers to both information and communications networks.
- **Cyber Attack** means any kind of malicious activity that attempts to collect, disrupt, deny, degrade, or destroy information system resources or the information itself.
- **Cyber Emergency** means any actual, imminent, or potential cyber-related incident that will adversely affect public health, safety, or security; the environment; or economic prosperity on a level materially significant.
- **Cyber Incident** means actions taken through the use of an information system or network that result in an actual or potentially adverse effect on an information system, network, and/or the information residing therein.
- **Cyber Threat** means any circumstance or event with the potential to adversely impact organizational operations (including mission, functions, image, or reputation), organizational assets, or individuals through an information system via unauthorized access, destruction, disclosure, modification of information, and/or denial of service. Also, the potential for a threat-source to successfully exploit a particular information system vulnerability.
- **Cybersecurity** means prevention of damage to, protection of, and restoration of computers, electronic communications systems, electronic communications services, wire communication, and electronic communication, including information contained

therein, to ensure its availability, integrity, authentication, confidentiality, and nonrepudiation.

4. Types of Assistance.

The AZNG-CJTF offers two types of assistance, (1) vulnerability assessment and (2) cyber incident response. Vulnerability assessments are pre-emptive examinations of a party's physical and electronic safeguards in place protecting the party's information system or network. Vulnerability assessments are not done in response to a particular cyber incident. Cyber incident response is assistance provided following a cyber-attack or intrusion on a party's information system or network.

Regardless of whether a party requests AZNG-CJTF assistance to conduct either a vulnerability assessment or a cyber incident response, AZNG-CJTF assistance is limited to examinations of relevant physical and electronic security measures for an information system or network, an outline of AZNG-CJTF identified risks, threats, or breaches that were found as a result of any examination, and AZNG-CJTF recommendations to either address or minimize any identified risks, threats, or breaches and how a party could undertake such measures. AZNG-CJTF assistance does not include implementing any cyber security recommendation it or its personnel make, nor does it include directly thwarting or eliminating any ongoing cyber incident.

To request a vulnerability assessment from the AZNG-CJTF, contact AZCyberJointTaskForce@arizona.gov. For cyber incident response, refer to section 5.

5. Procedures for Requesting Assistance.

All procedures for requesting cyber-related assistance should follow the provisions outlined below:

1. Notify the DEMA/EM Duty Officer of the incident via phone at (602) 469-3401.
2. Submit a Resource Request into WebEOC detailing:
 - a. When the Cyber Incident began,
 - b. what the Indicators of Compromise (IoCs) are,
 - c. the systems that have been affected (i.e., host machines, servers, other devices),
 - d. the steps that have been taken, and
 - e. what assistance is being requested.

Additionally, prior to any cyber-related assistance is provided, the Requesting Party and AZNG-CJTF must enter into either a Memorandum of Understanding (MOU) or a Memorandum of Agreement (MOA). These Memoranda will outline the scope of the cyber-related assistance that the Requesting Party desires from the AZNG-CJTF and the conditions under which this assistance will be conducted. These conditions can include terms or limitations from both the Requesting Party and the AZNG-CJTF.

An MOU will be entered if the cyber-related assistance being requested relates to a preventative computer and network vulnerability assessment that is not in response to a particular Cyber Incident. Any cyber-assistance provided by the AZNG-CJTF pursuant to an MOU will not involve reimbursement or any other form of cost-sharing by the Requesting Party. An MOA will be entered if the cyber-related assistance being requested relates to a particular Cyber Incident and not merely preventative assessments or examinations. Cyber-related assistance conducted pursuant to an MOA may require the Requesting Party to reimburse some or all of the AZNG-CJTF costs to conduct such assistance.

Any cyber-related assistance may be available regardless of whether there has been a federal declaration of emergency. However, if the AZNG-CJTF provides cyber-related assistance pursuant to an MOA that requires the Requesting Party to reimburse assistance costs to DEMA, the Requesting Party shall be solely responsible for determining whether these costs can be reimbursed by the federal government. Whether or not any cyber-assistance costs incurred by the Requesting Party to the AZNG-CJTF are reimbursable by the federal government shall not be a basis by the Requesting Party to delay reimbursing these costs to the Arizona National Guard Cyber Response Revolving Fund in the timely manner outlined in the respective MOA.



Staff Report

Item Number: 6.C.

<u>Agenda Item:</u>	Resolution #1705 - IGA with Arizona Department of Revenue for Transaction Privilege Tax Transmission Consider and act upon Resolution #1705, authorizing the Mayor to execute the 2023 Intergovernmental Agreement (IGA) with the Arizona Department of Revenue Providing for Uniform Administration of the Town's Transaction Privilege Tax.
<u>Staff Contact:</u>	Julie Goucher, Finance Director
<u>Meeting Date:</u>	November 14, 2023
<u>Strategic Goal:</u>	Not applicable.
<u>Background:</u>	This 2023 Intergovernmental Agreement (IGA) was negotiated with the Department of Revenue (DOR) and Attorney General's office by the City Tax Administrators Council (CTAC) Rulings Group, which includes the League of Arizona Cities and Towns, and with the assistance of several city attorneys and a multitude of tax and IT experts from many municipalities. Local Transaction Privilege Tax (TPT) administration is governed by A.R.S. § 42-6001. This statute requires the Arizona Department of Revenue (DOR) to administer the transaction privilege and use taxes imposed by all cities and towns and to enter into an intergovernmental agreement (IGA) with each city and town to clearly define the working relationship between the DOR and Arizona cities and towns. This IGA establishes the framework for collaboration between the Department and the cities and towns for every aspect of TPT administration. It provides the principles, requirements, and responsibilities of both the Town and the Department, and it defines the many specific operational processes related to tax collection, the protection of taxpayer confidentiality, and information security. The 2023 IGA replaces the 2019 IGA and represents a complete rewrite of the prior Agreement. Great emphasis was placed on improving the thoroughness and consistency of the Agreement as well as minimizing the need to look through multiple sections to answer a single question or resolve a specific issue.

This is a high-level summary of the most notable changes compared to the 2019 IGA:

The new IGA adds or clarifies the definitions of various terms including City Services, Collection, Development Fees, Options Chart, Primary Point of Contact (PPOC), Profile, Independent Contractor, State Tax, Tax Information, Authorized Access Lists, and Qualified Recipients of Information, and all defined terms are now capitalized throughout the Agreement for easy recognition.

Taxpayer confidentiality measures have been significantly strengthened, placing additional emphasis on the protection of Tax Information provided under Arizona statutes, and refining the details surrounding authorized access, disclosure restrictions, and remedies for improper disclosure such as the possible suspension of Tax Information sharing.

New procedures have been established for both the cities and the Department to regularly maintain the Authorized Access Lists that control who can see detailed taxpayer information, including clarifying confidentiality training requirements and adding that all responsibilities and restrictions apply to Independent Contractors in the same manner as they apply to regular employees.

The new IGA addresses the developing scope of city assistance offered to the Department with the addition of delinquent tax collection activities and new collection reports, along with new language that covers cities assisting with reviews of selected refund claims. In addition to the services that have long been provided by city and town auditors, these new areas expand the ways that we can partner with the Department, leveraging our personnel to help the DOR achieve more efficient operations.

The 2023 IGA also provides new or improved coverage for several miscellaneous topics, including the City/Town's responsibility for reviewing its City Profile and the Model City Tax Code website; workers' compensation issues when sharing office space with other jurisdictions; the expiration, termination, or amendment of the Agreement; and the disclosure of aggregated financial information.

Finally, this version rewrote much of Appendix A regarding the handling and protection of confidential taxpayer information. Changes were made relative to identifying retention requirements and authorized disposal methods, critical information security protocols, and various software system requirements that cities and towns must follow to protect any confidential taxpayer data stored on their computer systems.

Budget Impact: No budget impact.

Recommendation: Staff recommends approval of an Intergovernmental Agreement between the

Town of Clarkdale and the Arizona Department of Revenue regarding the uniform administration, licensing, collection, and auditing of transaction privilege tax, use tax, severance tax, jet fuel excise and use tax and rental occupancy tax imposed by the State, or cities or towns.

RESOLUTION #1705

A RESOLUTION OF THE TOWN COUNCIL OF THE TOWN OF CLARKDALE, ARIZONA APPROVING AND AUTHORIZING THE MAYOR TO EXECUTE AN INTERGOVERNMENTAL AGREEMENT (IGA) BETWEEN THE TOWN OF CLARKDALE AND THE STATE OF ARIZONA DEPARTMENT OF REVENUE FOR THE ADMINISTRATION, COLLECTION, AUDIT, AND LICENSING OF TRANSACTION PRIVILEGE TAXES, USE TAXES, SEVERANCE TAXES, JET FUEL EXCISE AND USE TAXES AND RENTAL OCCUPANCY TAXES IMPOSED BY THE STATE, CITIES OR TOWNS.

WHEREAS, Title 11, Chapter 7, Article 3 (A.R.S. § 11-952) authorizes two or more public agencies to enter into intergovernmental agreements to contract for services if authorized by their legislative or governing bodies; and

WHEREAS, A.R.S. § 42-6001 et seq. was amended effective January 1, 2015 to provide that the Arizona Department of Revenue, hereinafter referred to as ADOR, shall enter into an intergovernmental contract or agreement pursuant to A.R.S. § 11-952 to provide a uniform method of administration, collection, audit and licensing of transaction privilege and affiliated excise taxes imposed by the State, cities or towns; and

WHEREAS, representatives of ADOR and representatives of Arizona cities and towns, with support from the League of Arizona Cities and Towns, have negotiated the terms of a new IGA to take effect as described within that document, which can be adopted individually by each Arizona city and town, and is the subject of this Resolution.

NOW THEREFORE BE IT RESOLVED, that the Town Council of the Town of Clarkdale, Arizona hereby approves the Intergovernmental Agreement between the Town of Clarkdale and the Arizona Department of Revenue for the administration, collection, audit and licensing of transaction privilege taxes, use taxes, severance taxes, jet fuel excise and use taxes and rental occupancy taxes imposed by the State, cities or towns, and authorizes the following:

1. The Mayor, or their duly authorized agent, shall notify ADOR of the Town's desire to enter into an IGA as required by ARS §42-6001, with an effective date as described within that document, together with the encapsulated provisions for annual renewals.

2. The Mayor may enter into the proposed IGA on behalf of the Town of Clarkdale, and execute all such other documents, contracts, amendments and agreements with ADOR as may be necessary to effectuate this agreement.

PASSED AND ADOPTED by the Town Council of the Town of Clarkdale, Arizona this 14th day of November 2023.

ATTEST:

Robyn Prud'homme-Bauer – Mayor

Charity Brooks – Town Clerk

Approved as to form:

Stephen Polk, Town Attorney

**INTERGOVERNMENTAL AGREEMENT BETWEEN
THE ARIZONA DEPARTMENT OF REVENUE AND
THE CITY/TOWN OF Clarkdale**

THIS INTERGOVERNMENTAL AGREEMENT (“Agreement”) is entered into this 14 day of November, 2023, by and between the Arizona Department of Revenue (“Department”) and the City/Town of _____, an Arizona municipal corporation (“City/Town”). This Agreement shall supersede and replace all previous intergovernmental agreements, including amendments thereto, entered into by the Department and City/Town regarding the administration, collection, audit, and/or licensing of transaction privilege tax, use tax, severance tax, jet fuel excise and use taxes, and rental occupancy taxes (collectively referred to as “Taxes”) imposed by the State, City/Town, and other Arizona municipalities.

RECITALS

WHEREAS, A.R.S. Title 11, Chapter 7, Article 3 (A.R.S. § 11-952 *et seq.*) authorizes two (2) or more public agencies to enter into intergovernmental agreements to contract for services, if authorized by their legislative or governing bodies.

WHEREAS, A.R.S. § 42-6001 *et seq.* was amended effective January 1, 2015 to provide that the Department shall collect and administer any transaction privilege and affiliated excise taxes imposed by any Arizona municipality and that the Department and each municipality shall enter into an intergovernmental contract or agreement pursuant to A.R.S. § 11-952 to provide a uniform method of administration, collection, audit, and licensing of transaction privilege and affiliated excise taxes imposed by the State and Arizona municipalities.

WHEREAS, City/Town has taken appropriate action by ordinance, resolution, or otherwise, pursuant to the laws applicable to the governing body of City/Town, to approve and authorize City/Town to enter into this Agreement.

AGREEMENT

NOW, THEREFORE, in consideration of the foregoing, the Department and City/Town enter into this Agreement as follows:

1. Definitions

1.1 A.R.S. means the Arizona Revised Statutes.

1.2 Adoption of an Ordinance means final approval by majority vote of the City/Town council.

- 1.3 Ambassador Program** means the Department’s provided structure and support of curriculum related to tax administration and compliance education.
- 1.4 Arizona Management System** means the State’s professional, results-driven management system that focuses on customer value and vital mission outcomes for citizens. The system is based on principles of Lean, a proven people-centered approach that has delivered effective results in both public and private sectors. Lean focuses on customer value, continuous improvement and engaged employees to improve productivity, quality, and service.
- 1.5 Audit** means an examination and verification of accounts and records to determine taxpayer compliance with A.R.S. Title 42 and the Model City Tax Code, or any other assessment issued pursuant to A.R.S. § 42-1108.
- 1.6 City Services** means the Department’s team or successor unit thereof that assists Arizona municipalities with administrative functions and all other activities related to transaction privilege tax licensing, collection, and compliance of any kind. In this Agreement, all references to electronic communications with City Services shall be directed to the team’s address at citiesunit@azdor.gov.
- 1.7 Closing Agreement** means an agreement to settle a tax liability pursuant to A.R.S. § 42-1113.
- 1.8 Collection** means activities to collect established liabilities for transaction privilege taxes, fees, and related penalties and interest that are due and owing.
- 1.9 Confidentiality Standards** means the standards set forth in A.R.S. § 42-2001 *et seq.*, Model City Tax Code § 510, Appendix A of this Agreement, and such other written standards mutually agreed to by the Department and City/Town, and which will be incorporated into Appendix A of this Agreement.
- 1.10 Development Fees** has the same meaning prescribed in A.R.S. § 42-5075(B).
- 1.11 Desk Review** means any assessment issued pursuant to A.R.S. § 42-1109(B).
- 1.12 Federal Tax Information (“FTI”)** means Federal income tax returns or return information the Department receives from the Internal Revenue Service, including any information created by the Department derived from that information. Documents obtained from a taxpayer or State income tax returns are not considered Federal Tax Information. The scope of this Agreement does not permit the Department to share FTI with any Arizona municipality.
- 1.13 Independent Contractor** means any individual or entity with which City/Town may enter into an agreement to perform transaction privilege tax administration, collection, audit, licensing, and any other related duties described in this Agreement or A.R.S. § 42-6001 *et seq.*
- 1.14 Model City Tax Code** means the document defined in A.R.S. § 42-6051.

- 1.15 Municipal Tax or Municipal Taxes** means collectively the transaction privilege and affiliated excise taxes, including use tax, severance tax, jet fuel excise and use tax, and rental occupancy tax imposed by City/Town in accordance with the Model City Tax Code and similar taxes imposed by City/Town pursuant to a City/Town code section outside the Model City Tax Code that are collected via the standard transaction privilege tax return. Unless the context provides otherwise, this definition includes municipal privilege tax, municipal privilege tax license fees, and all related penalties, interest and other similar charges collected by the Department on behalf of an Arizona municipality.
- 1.16 Options Chart** means any chart contained in a section of the Model City Tax Code which identifies the various approved standard Options adopted by an Arizona municipality.
- 1.17 Primary Point of Contact (“PPOC”)** means a designated City/Town representative with the primary responsibility for communicating with the Department and their respective staff on any matters described within this Agreement. The PPOC must be an individual qualified to receive Tax Information under Section 2.1 of this Agreement and be included on the Master Authorization List referenced in Section 2.3 of this Agreement.
- 1.18 Profile** means a dedicated section of the Model City Tax Code that provides specific information for each Arizona municipality, including contact information, applicable tax rates, and amendments and provisions unique to City/Town.
- 1.19 Standard Audit Life Cycle Process Map** means a document containing the decisions and procedures adopted by the Department and Arizona municipalities from the assignment of audits through final resolution consistent with this Agreement and the Arizona Management System principle of continuous improvement. This document shall be reviewed by the Department and Arizona municipalities as needed, not less than annually, with any changes memorialized in a revised map. In the event of a disagreement regarding proposed changes, the Standard Audit Life Cycle Process Map shall be referred to SMART for resolution.
- 1.20 Standard Authorization List Update Process Map** means a document containing the decisions and procedures adopted by the Department and Arizona municipalities to keep an updated list of current employees and contractors who are authorized to receive Tax Information consistent with this Agreement and with the Arizona Management System principle of continuous improvement. This document shall be reviewed by the Department and Arizona municipalities as needed, not less than annually, with any changes memorialized in a revised map. In the event of a disagreement regarding proposed changes, the Standard Authorization List Update Process Map shall be referred to SMART for resolution.
- 1.21 Standard Inter-Jurisdictional Transfer Process Map** means a document containing the decisions and procedures adopted by the Department and Arizona municipalities to document and authorize the transfer of erroneously reported or

allocated tax from one (1) municipality to another based upon a municipality's request or during the audit process consistent with this Agreement and with the Arizona Management System principle of continuous improvement. This document shall be reviewed by the Department and Arizona municipalities as needed, not less than annually, with any changes memorialized in a revised map. In the event of a disagreement regarding proposed changes, the Standard Inter-Jurisdictional Transfer Process Map shall be referred to SMART for resolution.

- 1.22 State** means the State of Arizona.
- 1.23 State & Municipal Audit Resolution Team ("SMART")** means an advisory committee responsible for resolving issues as set forth in Section 15 of this Agreement.
- 1.24 State Tax or State Taxes** means transaction privilege tax and affiliated excise taxes, including use tax, severance tax, and jet fuel excise and use taxes imposed by the State of Arizona or its counties.
- 1.25 Tax Information** means information deemed confidential taxpayer information protected from disclosure pursuant to A.R.S. § 42-2001 *et seq.* or Model City Tax Code § 510 concerning the business financial affairs or operations of a taxpayer as it relates to Municipal Taxes or State Taxes. Tax Information includes all financial information related to transaction privilege taxes obtained from any source related to an individual taxpayer and all such aggregate financial information related to any group of identified or identifiable taxpayers.
- (a) Examples of Tax Information include without limitation:
- (1) Any information provided by the Department to City/Town derived from any source including tax returns, reports, tax license applications, and the New License Report or License Update Report; and
 - (2) Any information received by, recorded by, prepared by, furnished to, or collected by the Department or City/Town with respect to a transaction privilege tax return or the termination or possible existence of liability of any person for any transaction privilege tax and related penalty or interest, such as the taxpayer's identity; the nature, source, or amount of the taxpayer's income, payments, receipts, deductions, exemptions, credits, assets, liabilities, net worth, tax liability, taxes withheld, deficiencies, over-assessments, or tax payments; or whether the taxpayer's account was, is being, or will be examined or subject to audit, desk review, investigation, collection, or processing.
- (b) Taxpayer identifying information obtained by City/Town from any source not identified in Section 1.25(a) of this Agreement is not Tax Information for purposes of this Agreement.

- 1.26 Voluntary Disclosure Agreement** means a document used in a voluntary disclosure program designed for eligible taxpayers with exposure for tax liability and/or civil penalties arising from a failure to report and/or pay all Taxes due which allows the taxpayer to come into voluntary compliance.

2. Authorized Access Lists

- 2.1 Statutory Authority:** The disclosure of information relating to State Taxes and Municipal Taxes is governed by A.R.S. § 42-2001 *et seq.*, and, for reporting periods prior to January 1, 2015, by Model City Tax Code § 510.
- 2.2 Qualified Recipients of Information:** The Department and City/Town shall only disclose Tax Information related to State Tax and Municipal Tax pursuant to this Agreement to individuals authorized by law as described in Section 2.1 of this Agreement, including those authorized persons listed on the Authorized Access Lists provided by the Department and Arizona municipalities. Questions related to a listed Department recipient may be directed to City Services at citiesunit@azdor.gov. Questions related to a listed Arizona municipal recipient may be directed to the PPOC of the pertinent Arizona municipality.
- 2.3 Department's Authorized Access List:** Pursuant to Section 2.4(c) of this Agreement, the Department shall maintain, update, and provide a current statewide Master Authorization List of names, job titles, and contact information of the Department staff and Arizona municipal representatives who are authorized by law as described in Section 2.1 of this Agreement to receive State Tax and Municipal Tax information from the Department or Arizona municipalities, as defined in the Standard Authorization List Update Process Map. Updates shall be provided on not less than a monthly basis. The Department shall promptly notify Arizona municipalities of any individual whose authorization to receive State Tax and Municipal Tax information has been revoked for any reason.
- 2.4 City/Town's Authorized Access List:** City/Town shall maintain, update, and provide a current Authorized Access List of names, job titles, and contact information for all persons acting on behalf of City/Town authorized by law as described in Section 2.1 of this Agreement to receive State Tax and Municipal Tax information as defined in the Standard Authorization List Update Process Map.
- (a) City/Town shall indicate one PPOC on the Authorized Access List to resolve any administrative issues with the Authorized Access List. At its discretion, City/Town may also indicate an additional individual as a back-up or alternate PPOC.
 - (b) City/Town shall ensure all personnel on the Authorized Access List meet the requirements indicated in the Confidentiality Standards (Appendix A) including completing all required confidentiality training certification and recertification as required from time to time, within the time required by the Department.

- (c) Upon execution of this Agreement and on the first day of each calendar quarter thereafter, City/Town shall email to City Services at citiesunit@azdor.gov a current Authorized Access List of its staff and representatives authorized to receive State Tax and Municipal Tax information from the Department and Arizona municipalities, including additions and deletions, changes in job titles, and contact information. City/Town's PPOC shall promptly notify the Department of any person whose authorization to receive State Tax and Municipal Tax information is revoked for any reason.
- (d) The Department shall review City/Town's Authorized Access List. If the Department finds that any person on the list has not completed the required confidentiality training in a timely manner or does not meet the Confidentiality Standards in Appendix A of this Agreement, the Department shall notify the City/Town PPOC to resolve the issue. The Department shall not include that person on the Master Authorization List until the issues have been resolved to the satisfaction of the Department.

2.5 Independent Contractors:

- (a) City/Town may at times choose to enter into a contract with an Independent Contractor to perform the transaction privilege tax administration, collection, audit, desk review, licensing, and other duties described in this Agreement or A.R.S. § 42-6001 *et seq.*
- (b) In accordance with A.R.S. §§ 42-1004 and 42-6002, no contract with an Independent Contractor may be entered into on a contingency fee basis for the performance of any transaction privilege tax related functions, including but not limited to license inspections, audits, desk reviews, or collections.
- (c) Within ten (10) business days of ratification of this Agreement, or subsequent execution of such a contract between City/Town and an Independent Contractor, City/Town shall provide a copy of each such contract to the City Services electronically at citiesunit@azdor.gov.
- (d) City/Town shall notify the Department of the expiration, termination, or amendment of any agreement with such Independent Contractors within ten (10) business days of such event.
- (e) In this Agreement it is presumed that any reference to a municipal employee such as a license inspector, auditor, desk reviewer, collector, supervisor, etc., also refers to an Independent Contractor performing that function on behalf of the Department or City/Town.
- (f) An Independent Contractor is subject to all training requirements, authorization limitations, and other privileges and restrictions incorporated into this Agreement or provided in statute in the same form and manner as

such conditions apply to an employee performing the same function on behalf of the Department or City/Town.

- (g) When an Independent Contractor issues any correspondence to a taxpayer on behalf of the Department or City/Town, the Independent Contractor shall expressly identify all of the following on such correspondence: the Independent Contractor's name; the name of the Independent Contractor's firm, if applicable; the Independent Contractor's status as a license inspector, auditor, desk reviewer, or collector acting on behalf of the Department or City/Town; the Independent Contractor's mailing address, telephone number, and e-mail address; and the telephone number and e-mail address of a specific person who is an employee of the Department or City/Town capable of responding to the issues raised in the correspondence for the Department or City/Town.
- (h) The Department and/or City/Town may pursue any remedy authorized by this Agreement or by statute for a violation of this Section by an Independent Contractor.

2.6 Sharing of Authorized Access Lists: The Department shall share the Department's Authorized Access List and the Authorized Access Lists for all Arizona municipalities provided to the Department on the Core SFTP site. The Department shall update the lists on a monthly basis with information provided by each Arizona municipality, or as needed to immediately remove any person whose authorized access has been revoked by the Department or an Arizona municipality.

3. Disclosure of Information by City/Town to the Department or Another Arizona Municipality

3.1 Disclosure and Use of Municipal Tax Information: Any Tax Information released by City/Town to the Department or another Arizona municipality may only be used by persons authorized to receive such Tax Information for tax administration and collection purposes and may not be disclosed to the public in any manner that does not comply with A.R.S. § 42-2003, and/or Model City Tax Code § 510 for reporting periods prior to January 1, 2015. All Tax Information shall be stored and destroyed in accordance with the Confidentiality Standards (Appendix A).

3.2 Municipal Ordinances: City/Town shall provide the Department with a copy of its Municipal Tax Code or any City/Town ordinances imposing the taxes to be collected hereunder within ten (10) calendar days of a request for such information from the Department. This information shall be sent to City Services electronically at citiesunit@azdor.gov.

- (a) Tax Code Changes: City/Town shall provide notice to the Department of any tax code change with a copy of any ordinance adopted by City/Town that imposes or modifies the Municipal Taxes or municipal privilege tax

license fees to be collected hereunder within ten (10) calendar days of adoption of the ordinance. This information shall be sent to City Services electronically at citiesunit@azdor.gov.

- (1) City/Town agrees that any ordinance that changes a tax rate, Option selection, or includes the addition or removal of a unique Model City Tax Code exception shall take effect on the first day of the month that is at least sixty (60) calendar days after the City/Town council adopts the change. City/Town and the Department recognize there may be occasions when the City/Town council establishes an effective date less than sixty (60) calendar days after adoption. If City/Town chooses to propose an ordinance with an effective date that is less than sixty (60) calendar days after adoption, City/Town shall notify the Department as soon as possible after that choice is made.
 - (2) The Department shall incorporate all ordinance changes into the official copy of the Model City Tax Code within ten (10) calendar days of receipt of notice from City/Town. The copy provided to the Department may be an electronic copy but shall reflect the identical language contained in the version adopted by the City/Town and shall contain the required signatures indicating council approval.
 - (3) The Department shall notify City/Town when the tax code change has been incorporated and City/Town is responsible for confirming the change has been correctly entered in the official copy of the Model City Tax Code.
 - (4) Pursuant to A.R.S. § 42-6052, if City/Town fails to notify the Department of a tax code change within ten (10) calendar days after City/Town council approval, the ordinance shall be considered null and void. All tax code changes described in this Section shall have no effect until reflected in the official copy of the Model City Tax Code.
- (b) Annexation Ordinances: Within fifteen (15) calendar days following the adoption of an annexation ordinance, one (1) copy of the ordinance and notification of the effective date of such ordinance shall be sent to the Department via email at GIS@azdor.gov and City Services at citiesunit@azdor.gov. City/Town may provide the Department with a list of businesses subject to Municipal Taxes known to be located in the annexed area.
- (c) Review of the Model City Tax Code: City/Town shall be responsible for reviewing the information contained on its Profile, the Options Charts, tax rates for City/Town, and other information specific to City/Town contained in the official copy of the Model City Tax Code and ensuring it is correct.

City/Town affirms that it has an ongoing obligation to notify City Services of any changes needed to such information at citiesunit@azdor.gov.

- 3.3 Development Fees:** Upon request by the Department to City/Town's PPOC, City/Town shall provide to the Department any information regarding Development Fees imposed by City/Town under A.R.S. § 9-463.05 to assist the Department with the auditing of taxpayers and the ordinary billing and collection of taxes.
- 3.4 Prior Audits:** Upon request by the Department, City/Town shall allow inspections and copies of any City/Town tax audits conducted prior to January 1, 2015.
- 3.5 Other Information:** City/Town shall provide other relevant information necessary for tax administration and collection purposes as requested by the Department.

4. Disclosure of Information by Department to City/Town

- 4.1 Statutory Authority:** The Department may disclose information relating to State Taxes and Municipal Taxes to City/Town pursuant to A.R.S. § 42-2003 if the information relates to a taxpayer who is or may be taxable by a county, city, or town or who may be subject to audit by the Department pursuant to A.R.S. § 42-6002.
- 4.2 Restrictions on Use and Disclosure to Unauthorized Parties:** Any Tax Information disclosed by the Department to City/Town is subject to all restrictions provided for in A.R.S. § 42-2003. Tax Information shall only be used by persons authorized to receive such Tax Information for internal tax administration purposes, including audit, desk review, collection, and licensing activity, and may not be disclosed to the public or any unauthorized party in any manner that does not comply with the Confidentiality Standards (Appendix A).
- 4.3 Liability for Improper Disclosure:** The disclosure of confidential information concerning Arizona taxes is governed by A.R.S. § 42-2001 *et seq.*, which strictly controls the accessibility and use of this information. Individuals who receive confidential information relating to State Taxes and Municipal Taxes from the Department are subject to the penalties provided in A.R.S. § 42-2004 and other applicable statutes if they misuse or improperly disclose this information to unauthorized individuals.
- 4.4 Reporting Potential Disclosure Violations/Incidents:** The Department shall not withhold Tax Information from City/Town provided that City/Town complies with A.R.S. § 42-2001 *et seq.*, and the Confidentiality Standards (Appendix A).
 - (a) If City/Town or the Department has information to suggest City/Town or any of its duly authorized representatives has violated A.R.S. § 42-2001 *et seq.* or the Confidentiality Standards (Appendix A), City/Town or the Department shall immediately notify City Services at citiesunit@azdor.gov, the Department's Disclosure Officer at DisclosureOfficer@azdor.gov, and the Department's Information Security Team at InfoSec@azdor.gov.

- (b) City/Town and the Department shall fully cooperate with the Department's Disclosure Officer and Information Security Team in investigating the alleged violation and shall promptly address any identified issues.
- (c) The Department's Disclosure Officer and Information Security Team:
 - (1) Shall send written notice to City/Town's PPOC detailing the alleged breach as understood by the Department and request a response to the allegation within twenty (20) calendar days of the date of the letter, and
 - (2) May inspect City/Town's records, facilities, and equipment to determine whether there has been a violation, and
 - (3) Shall review the written response from City/Town and consider the information contained therein and all relevant circumstances surrounding the alleged violation prior to issuing any determination, and
 - (4) Shall issue a written determination delivered by certified mail to City/Town regarding the alleged violation within sixty (60) calendar days of the date of City/Town's response letter. If the Department determines that a violation has occurred, the Department shall indicate whether a suspension of information is warranted and the length of the suspension. During the period of suspension, City/Town shall not access information maintained or created by the Department related to City/Town.
- (d) If the Department makes a written determination to suspend sharing of information, City/Town may, within ten (10) calendar days of receiving the written determination, submit a written request to SMART requesting the group review the determination as provided in Section 15 of this Agreement.
- (e) If there is a suspension of Tax Information sharing with City/Town, the Department shall maintain all information collected or created during the suspension period related to City/Town that would otherwise have been shared with City/Town and shall assist City/Town with accessing the accumulated information for City/Town immediately upon termination of the suspension.
- (f) Under no circumstances shall the suspension of any right to receive Tax Information adversely impact the Department's delivery or transfer of any City/Town revenues in any manner.

4.5 Information to be Provided: The Department shall provide information pursuant to A.R.S. § 42-6001(B). The Department shall provide such information as outlined

in Appendix B and elsewhere in this Agreement, which shall only be modified in accordance with Section 4.7 of this Agreement.

- (a) The Department shall not provide Federal Tax Information to City/Town.
- (b) In addition to the information detailed in Appendix B of this Agreement, the Department shall disclose, upon request, the following information to City/Town:
 - (1) Department tax audits, including all information related to all Arizona municipalities included in the tax audit; and
 - (2) Other relevant information necessary for City/Town's tax administration and collection purposes, including all information necessary to verify that City/Town received all revenues collected by the Department on behalf of City/Town.

4.6 Storage and Destruction of Tax Information: All Tax Information provided by the Department to City/Town shall be managed, stored, protected, and destroyed in accordance with the Confidentiality Requirements (Appendix A).

4.7 Specificity of Data: Pursuant to A.R.S. § 42-6001, the Department and City/Town agree that the data fields identified in Appendix B of this Agreement and the JT-1, TPT-2, and TPT-EZ forms in Appendix C of this Agreement (also provided online at www.azdor.gov) meet the specificity requirements of City/Town. The data fields identified in Appendix B and the forms in Appendix C may be revised or replaced only by mutual agreement of the Department and Arizona municipalities, with any unresolved issues being referred to SMART for final determination.

4.8. Notwithstanding any provision to the contrary, nothing in this Section shall prevent the Department from complying with state information security requirements in the situation of a data breach or similar event.

5. Audit

The Department shall administer audit functions with City/Town in accordance with the Standard Audit Life Cycle Process Map and with the following provisions.

5.1 Training: All auditors, desk reviewers, and supervisors shall be trained in accordance with the policies of the Department. Auditors and desk reviewers who have not completed the training may only work in conjunction with a trained auditor or supervisor and cannot be the only auditor or desk reviewer assigned to the audit or desk review. The Department shall do all the following:

- (a) Provide semi-annual audit and desk review training in accordance with A.R.S. § 42-6002(C) and be responsible for the costs of the training, limited to any cost for procuring the site and training materials;

- (b) Notify City/Town of any training sessions at least thirty (30) calendar days before the date of the training session;
- (c) Permit City/Town auditors, desk reviewers, and supervisors to attend any scheduled training as space permits at any designated training location;
- (d) Provide additional training, as needed, to inform auditors, desk reviewers, and supervisors regarding changes in State law, the Model City Tax Code, audit and desk review procedures, or Department policy.

5.2 Conflicts of Interest:

- (a) An auditor, desk reviewer, supervisor, or Independent Contractor trained and authorized to conduct an audit or desk review, in addition to the restrictions provided under A.R.S. Title 38, Chapter 3, Article 8, § 38-501 *et seq.*, shall not conduct any of the following prohibited acts:
 - (1) Represent a taxpayer in any tax matter against the Department or City/Town while employed by or in an Independent Contractor relationship with the Department or City/Town.
 - (2) Attempt to use his/her official position to secure any valuable thing or valuable benefit for himself/herself or his/her family members.
 - (3) Represent a taxpayer before the Department or City/Town concerning any matter in which he/she personally participated for a period of one year after he/she ends employment or the Independent Contractor relationship with the Department or City/Town.
 - (4) Use information he/she acquires in the course of the official duties as an auditor, desk reviewer, supervisor, or Independent Contractor in a manner inconsistent with his/her official duties without prior written approval from the Department.
 - (5) For a period of one (1) calendar year after he/she ends employment by or an Independent Contractor relationship with the Department or City/Town, work in the same firm as a person who represents a taxpayer against the Department or City/Town unless the firm institutes a formal screen or ethical wall to prevent any sharing of information between the person and the remainder of the firm. Documentation of such formal screen or ethical wall shall be provided by the firm to the Department or City/Town upon request.
 - (6) Receive compensation from a source other than City/Town or pursuant to an agreement with City/Town for the performance of any work or transaction performed expressly on behalf of City/Town.

- (7) Make any representation of being an employee or contractor of City/Town or the Department in marketing and promotional materials soliciting work or transactions to be performed on behalf of a taxpayer or potential taxpayer.
- (b) In addition to any other remedies available to the Department and City/Town by statute and under the terms of this Agreement, the Department may revoke an individual's authority to audit or perform desk reviews on behalf of the Department or City/Town and prohibit the use of any auditor, desk reviewer, supervisor, or Independent Contractor who violates this provision.

5.3 Audits and Desk Reviews:

- (a) City/Town may request the Department conduct an audit or desk review of any taxpayer engaged in business in City/Town, including a taxpayer whose business activity is subject to tax by City/Town but is not subject to tax by the State. The Department and Arizona municipalities shall collaboratively establish and maintain minimum justification standards and procedures City/Town shall adhere to when submitting an audit or desk review request.
- (b) City/Town may conduct an audit or desk review of a taxpayer engaged in business only in City/Town. Before commencing such audit, City/Town shall submit a Field Audit Request Form. Before commencing such desk review, City/Town shall submit the request using the Desk Review Approval Excel Sheet. The Department shall provide City/Town with a determination of approval or denial of the request within ten (10) calendar days of the notice from City/Town.
- (c) Except as permitted below, the Department shall conduct all audits and desk reviews of taxpayers having locations in two (2) or more Arizona municipalities. A City/Town auditor may participate in any audit or desk review City/Town requested the Department to perform.
- (d) City/Town shall notify the Department if it wants to conduct an audit or desk review of a taxpayer having locations in two (2) or more Arizona municipalities and whose business activity is subject to tax by City/Town but is not subject to tax by the State. The Department shall authorize such audits or desk reviews, to be overseen by the Department, unless there is already an audit or desk review of the taxpayer in process, scheduled, or planned, or the Department determines the audit or desk review selection is discriminatory, an abuse of process, or poses other similar defects. The Department shall notify City/Town of its determination within ten (10) calendar days. No initial audit or desk review contact may occur between City/Town and a taxpayer until the Department approves the audit or desk review notice.

- (e) In the case of taxpayers doing business in more than one (1) Arizona municipality:
 - (1) City/Town may request the Department conduct an audit or desk review of a taxpayer having locations in two (2) or more Arizona municipalities and whose primary business is subject to both Municipal Taxes and State Taxes.
 - (2) City/Town may request authorization from the Department for City/Town to conduct an audit or desk review of a taxpayer having locations in two (2) or more Arizona municipalities and whose primary business is subject to both Municipal Taxes and State Taxes.
 - (3) Requests pursuant to (e)(1) or (e)(2) shall be made using the Department's Field Audit Request Form or the Desk Review Approval Excel Sheet, as appropriate. The Department shall notify City/Town of its decision regarding the request within ten (10) calendar days of receipt of the request.
- (f) The Department may deny, in writing, City/Town's request for the Department to conduct an audit or desk review within ten (10) calendar days of receiving the request for any of the following reasons:
 - (1) An audit or desk review is already in process or is scheduled or planned for the taxpayer within six (6) months of the request;
 - (2) The requested audit or desk review would interfere with strategic tax administration planning;
 - (3) The audit or desk review selection is discriminatory, an abuse of process, or poses other similar defects;
 - (4) The request lacks sufficient information for the Department to determine whether it is appropriate;
 - (5) The Taxpayer was audited within the previous two (2) years;
 - (6) The Department lacks sufficient resources to conduct the audit or desk review;
 - (7) The scope or subject of the audit or desk review does not justify the use of Department resources.
- (g) If the Department denies a request to conduct an audit or desk review for the reasons provided in (f)(6) or (f)(7), and the audit or desk review is not for a taxpayer that only has a business location in City/Town, then City/Town may request to conduct the audit or desk review itself under the

supervision of the Department. No initial audit or desk review contact may occur between City/Town and a taxpayer until City/Town receives written Department approval.

- (h) Any decision by the Department denying City/Town's request to conduct any audit or desk review may be referred to SMART in accordance with Section 15 of this Agreement.
- (i) All audits or desk reviews conducted by City/Town shall be in accordance with standard audit procedures defined in the Department audit manual and the Standard Audit Life Cycle Process Map. All auditors and desk reviewers shall be trained in accordance with Section 5.1 of this Agreement.
- (j) The Department may appoint a Department manager to supervise any audit or desk review conducted by City/Town.
- (k) All audits shall include all taxing jurisdictions in the State regardless of which jurisdiction's auditors participate in the audit. All desk reviews must include all taxing jurisdictions for which there is information provided by the taxpayer.
- (l) The Department shall issue all audit or desk review assessments on behalf of all affected taxing jurisdictions in a single notice to the taxpayer.
- (m) The Department shall issue amendments to audit or desk review assessments on behalf of all affected taxing jurisdictions in a single notice to the taxpayer.

5.4 Claims for Refund:

- (a) When a taxpayer files a request for refund, including refunds requested by filing amended returns, the Department shall process the request and review it for mathematical errors or for the failure of the taxpayer to properly compute the tax based on the taxable income reported on the return or refund request.
- (b) The Department shall notify City/Town of all refund requests that are reviewed and approved involving City/Town's Municipal Taxes within thirty (30) calendar days of processing the refund. City/Town may request an audit of the taxpayer as set forth in Section 5.3 of this Agreement.
- (c) The Department may assign a Department auditor to review requests for refunds. The Department shall notify City/Town of all refunds under review by an auditor pertaining to a taxpayer who engages in business within City/Town within thirty (30) calendar days of initiating the review and may request that City/Town assist with such reviews, with acceptance of such request at the discretion of City/Town. The Department may assign a refund request to a City/Town for review, with acceptance of such assignment at

the discretion of City/Town. The Department may only assign refund requests to City/Town if taxes paid to City/Town are included in the refund request.

- (d) City/Town is responsible for payment of all amounts to be refunded to taxpayers for Municipal Tax incorrectly paid to City/Town. The Department may offset a remittance to City/Town under this Agreement to cover the amounts of allowed Municipal Tax refunds paid by the Department.
- (e) The Department shall issue refund approvals/denials on behalf of all taxing jurisdictions in a single notice to the taxpayer. City/Town may request copies of such determinations.

5.5 Protests: Taxpayer protests of audit assessments, desk review assessments, and refund denials shall be directed to the Department. Protests of audit assessments, desk review assessments, and refund denials shall be administered pursuant to A.R.S. Title 42, Chapter 1, Article 6. The Department shall notify City/Town of any protests within thirty (30) calendar days of receipt of the protest.

5.6 Status Reports: The Department shall keep all Arizona municipalities apprised of the status of each protested matter involving the imposition of Municipal Taxes. City/Town may request to be on a distribution list for monthly status reports by contacting City Services at citiesunit@azdor.gov.

6. Voluntary Disclosure Agreements

The Department may enter into a Voluntary Disclosure Agreement with a taxpayer. A Voluntary Disclosure Agreement may limit the years subject to audit and waive penalties. If the taxpayer discloses to the Department that it owes Municipal Taxes to City/Town, the Department shall notify City/Town of the Department's intent to enter into a Voluntary Disclosure Agreement and the Department shall provide the taxpayer's identity within thirty (30) calendar days of the identity being disclosed to Department. City/Town may subsequently request an audit of a taxpayer subject to a Voluntary Disclosure Agreement pursuant to Section 5.3 of this Agreement.

7. License Compliance

7.1 License Issuance and Renewal: The Department shall issue new municipal privilege tax licenses and shall annually renew such licenses for City/Town Municipal Tax. The Department shall provide City/Town with information about all persons obtaining and renewing municipal privilege tax licenses as set forth in Appendix B of this Agreement.

7.2 License Checks: The Department and City/Town shall coordinate efforts to conduct mutual tax license compliance checks through canvassing and other compliance methods.

7.3 Confidentiality: Any tax license information City/Town obtains from the Department is confidential and may only be disclosed as authorized by A.R.S. § 42-2003. Any tax license information City/Town obtains through its own efforts may be disclosed as allowed by City/Town ordinance or policy.

7.4 Changes to License Fees: Within ten (10) business days following the adoption of an ordinance (or official acknowledgment of an ordinance approved by voters in an election) creating or modifying a municipal privilege tax license fee, one (1) copy of the ordinance and notification of the effective date of such ordinance shall be sent to City Services at citiesunit@azdor.gov. The Department shall not be obligated to begin collection of the new or modified tax license fee any sooner than sixty (60) calendar days after the date the Department received the ordinance from City/Town.

8. Closing Agreements

The Department shall notify City/Town before entering into a Closing Agreement and shall seek a range of settlement authority from City/Town related to the tax levied and imposed by City/Town in accordance with the Standard Audit Life Cycle Process.

9. Responsibility for Representation in Litigation

9.1 Administrative Proceedings: Pursuant to A.R.S. § 42-6002, the Department shall coordinate the litigation and defense of assessments and refund denials in any administrative appeals before the Office of Administrative Hearings or the Director of the Department regardless of the jurisdiction that conducted the audit, desk review, or refund review in accordance with the Standard Audit Life Cycle Process Map. The Department shall diligently defend the interests of City/Town and City/Town shall assist the Department in such representation as requested by either party.

9.2 Further Appeals: The Arizona Attorney General is responsible for defending the assessment or refund denial at the Board of Tax Appeals, the Arizona Tax Court, and all higher courts. City/Town shall assist the Attorney General in such representation and litigation as requested by the Attorney General's Office in accordance with the Standard Audit Life Cycle Process Map.

9.3 Mutual Cooperation: The Department and City/Town agree they shall cooperate in the appeal and litigation processes and shall ensure their auditors, desk reviewers, supervisors, and other necessary employees are available to assist the Department and the Attorney General through informal interviews, providing documents and records, preparing for depositions, attending depositions and trial as witnesses, and assisting in trial/hearing preparation, as needed.

9.4 Administrative Decisions: The Department shall provide a copy of all administrative hearing level decisions regarding State Taxes and Municipal Taxes, including Director's decisions issued by the Department, to City/Town within ten (10) business days after issuance of the decision if City/Town requests to be

included on a distribution list created by the Department for the purposes of disseminating such decisions. City/Town may request to be on the distribution list by contacting City Services at citiesunit@azdor.gov. Administrative decisions contain Tax Information and must be stored and destroyed in accordance with the Confidentiality Standards (Appendix A).

10. Collection of Municipal Taxes

10.1 Tax Returns: Taxpayers who are subject to City/Town Municipal Taxes are required to pay such taxes to the Department utilizing a form prescribed by the Department.

10.2 Delinquent Tax Collections: Pursuant to A.R.S. § 42-6001, the Department shall collect any delinquent Municipal Tax imposed by City/Town recorded on the Department's tax accounting system. In the event this Agreement is terminated, the Department shall continue to collect delinquent Municipal Taxes recorded on the Department's accounting system on behalf of City/Town and distribute any such amounts collected to City/Town.

10.3 City/Town Assistance in Delinquent Tax Collections: To expand the Department's State Tax and Municipal Tax collection efforts by leveraging City/Town resources, City/Town may choose to provide collection efforts by deploying City/Town collectors to work in conjunction with the Department on the following terms:

- (a) **Training:** All City/Town collectors authorized to collect obligations in cooperation with the Department shall be trained in accordance with the policies of the Department. Training shall be provided in the same manner as set forth in Section 5.1 of this Agreement.
- (b) **Conflict of Interest:** A collector trained and authorized under this provision to perform collections activity shall not conduct any of the following prohibited acts:
 - (1) Represent a taxpayer in any tax matter against the Department or City/Town while employed by City/Town.
 - (2) Attempt to use his/her official position to secure any valuable thing or valuable benefit for himself/herself or his/her family members.
 - (3) Represent a taxpayer before the Department or City/Town concerning any matter in which he/she personally participated for a period of one (1) calendar year after he/she ends employment with City/Town.
 - (4) Use information he/she acquires in the course of the official duties as a collector in a manner inconsistent with his/her official duties without prior written approval from the Department.

- (5) For a period of one (1) calendar year after he/she ends employment with City/Town, work in the same firm as a person who represents a taxpayer against the Department or City/Town unless the firm institutes formal barriers to prevent any sharing of information between the trained collector and the remainder of the firm.
 - (c) **Revocation:** The Department may revoke the authorization of City/Town or of any individual City/Town employee to collect obligations under this Section. A revocation determination may be subject to review by SMART upon request by the individual or City/Town.
- 10.4 State of Arizona Liquor License Affidavit:** City/Town may request that the Department issue a liquor license affidavit. The Department shall respond to the request via secured e-mail to indicate the status of completion of the request with a note stating complete, in-process, or unable to complete.
- 10.5 Uncollectible/Discretionary Write-offs:** The Department shall share the annual list of uncollectible/discretionary write-offs of City/Town's Municipal Taxes prior to the write-off event at the end of the fiscal year. City/Town shall have forty-five (45) calendar days to submit feedback.
- 10.6 Remittance:** All amounts collected by the Department for City/Town's Municipal Taxes under this Agreement shall be remitted to City/Town weekly on the basis of actual collections. To the extent possible, the Department shall initiate the electronic payment by Noon on the Monday after the end of the week in which the collections were received by the Department. If the Monday falls on a holiday, payment will be initiated by Noon on the Tuesday after the end of the week in which the collections were received by the Department. Remittance shall be made in the form of immediately available funds transferred electronically to the bank account designated by City/Town.
- 10.7 Abatement:** Pursuant to A.R.S. § 42-1004, the Department, with the approval of the Attorney General, may abate tax under certain circumstances including Municipal Taxes. During the ordinary course of business, the Department may determine that certain taxpayer accounts shall be closed or cancelled. The Department shall seek input from City/Town or SMART before abating Municipal Taxes or closing accounts with Municipal Taxes due. The Department may request a telephonic meeting of SMART if time or circumstances require immediate action.
- 10.8 Funds Owed to City/Town:** At all times and under all circumstances, payments remitted by a taxpayer to the Department for City/Town Municipal Taxes shall be considered property of City/Town. The Department may not retain or fail to remit such funds to City/Town for any reason not specifically set forth in this Agreement including, but not limited to, during the course of a dispute between City/Town and the Department.

10.9 Adjustments to Reported Taxes: If the Department determines that a payment remitted by a taxpayer incorrectly identifies the city or town to which the payment should be made, the Department may temporarily hold the payment until the distribution of the payment is corrected to ensure the appropriate city or town receives the payment. If a payment by the Department has been made to an incorrect City/Town, the Department and affected Arizona municipalities shall follow the Standard Inter-Jurisdictional Transfer Process Map.

10.10 Collection Reports: The Department shall keep all Arizona municipalities apprised of the status of every open case in a collection status involving the imposition of Municipal Taxes. City/Town may request to be on a distribution list for monthly status reports by contacting Cities Collections at citiescollection@azdor.gov.

11. Taxpayer Rulings and Uniformity

The Department shall be responsible for issuing the official responses to taxpayer ruling requests and requests for interpretation of the Model City Tax Code. City/Town acknowledges that pursuant to A.R.S. § 42-6005(B), when the state statutes and Model City Tax Code are the same and where the Department has issued written guidance, the Department's interpretation is binding on Arizona municipalities and interpretation of Model City Tax Code. The Department acknowledges that in all other situations, interpretation of the Model City Tax Code is the sole purview of Municipal Tax Code Commission or its designee. This Section is not intended to affect procedures for appeals and litigation as outlined in Section 5.5 and Section 9 of this Agreement.

12. Financing Collection of Taxes

The costs incurred by the Department in administering this Agreement shall be financed through the State general fund appropriation to the Department. This provision does not relieve City/Town of any financial obligation imposed by statute.

13. Inter-Jurisdictional Transfers (“IJTs”)

The Department shall administer Inter-Jurisdictional Transfers of Municipal Tax monies in accordance with the Standard Inter-Jurisdictional Transfer Process Map. The affected Arizona municipalities shall agree on any amounts to be transferred before notifying the Department of such amounts to be transferred under this Section.

14. Education and Outreach Efforts

To further its focus on serving taxpayers and its commitment to funding Arizona’s future through enhanced customer service, continuous improvement, and innovation, the Department is expanding its outreach and education program to connect with all citizens of Arizona by strategically working with taxpayer and industry groups, tax practitioners, and Arizona’s municipalities to ensure consistent tax education is widely available.

Any City/Town may further this mission, at its own expense, in providing education and outreach to taxpayers. Education and outreach programs and content shall be consistent with applicable law and the Department's written guidance.

Increased education and outreach efforts undertaken by the Department and Arizona municipalities will ensure collaborative partnerships beneficial to both parties, emphasize an ongoing two-way exchange of information, enhance communication on issues of common interest, and promote cooperation in areas of overlapping special projects. Therefore, upon request, City/Town shall provide information to the Department concerning such education and outreach efforts.

The Department shall implement an Ambassador Program, whereby the Department provides curriculum, structure, and support for education related to tax administration and compliance. City/Town shall be provided a standard work process when participating in Department-led educational events.

15. State & Municipal Audit Resolution Team ("SMART")

15.1 Members: The SMART committee shall consist of four (4) primary (voting) members representing municipal taxing jurisdictions and four (4) primary (voting) members representing the Department. There shall also be two (2) alternate members representing each party, who are non-voting representatives unless required to vote due to the absence, recusal, or disqualification of a primary (voting) member. All primary and alternate members are required to attend all meetings unless excused.

15.2 Selection: The Director of the Department shall appoint Department employees to serve as primary and alternate members representing the Department. Arizona municipalities shall collectively appoint municipal employees to serve as primary and alternate members representing the Arizona municipalities. The members representing either party may be changed at any time following the standard work process agreed upon by both parties.

15.3 Meetings: SMART shall meet monthly unless there is no business to be conducted. Additional meetings can be scheduled as necessary to timely discuss issues presented.

15.4 Issues: The Department or City/Town may refer issues to SMART for resolution including but not limited to:

- (a) Decisions by the Department to not audit a taxpayer;
- (b) Amendments to Department audit procedures or manuals;
- (c) Closing Agreements or a range of settlement authority;
- (d) Abatement or account closure in collections;

- (e) Suspension of disclosure of Tax Information to City/Town;
- (f) Disagreements regarding proposed changes to the process maps defined in Sections 1.19, 1.20, and 1.21 of this Agreement;
- (g) Revocation of collection authority; and
- (h) Other issues as authorized by the Director of the Department or agreed upon by the parties, subject to the limitations described in Section 29 of this Agreement.

15.5 Recommendations: SMART shall make recommendations to the Director of the Department or Director's designee. If the recommendation is approved by at least five (5) members of SMART, the Director shall accept the recommendation of SMART. If SMART cannot reach a recommendation agreeable to at least five (5) members of the group, the Director or Director's designee may act as they deem to be in the best interests of all parties. Notwithstanding the above, upon request by City/Town, the Director shall submit their decision to the Attorney General's Office for review.

15.6 Voting: Any voting member of the committee may request the vote be held by secret ballot.

15.7 Procedures: SMART shall develop procedures concerning the operation of the committee consistent with this Agreement.

16. Funding of Additional Auditors by City/Town

16.1 Funding: At the sole discretion of City/Town, City/Town may contribute funding to the Department to pay for additional auditors to assist the Department in the performance of audits of Municipal Tax owed to City/Town. Such additional auditors funded by City/Town shall at all times be deemed to be employees of the Department and under no circumstances shall be deemed to be employees or agents of City/Town. It is the Parties' intention that any City/Town funding provided pursuant to this Section shall be used to increase the resources and capabilities of the Department to perform Municipal Tax audits and not to subsidize or replace State funding required for audit and collection of taxes.

16.2 Use of Funds: City/Town funding for additional auditors under this Section shall be used to fund the auditors' salaries and related expenses and shall not be used to pay for Department office space, utilities, equipment, supplies, or similar kinds of overhead.

16.3 Pool of Funds: The Department may pool any City/Town funding with any other similar funding provided by other Arizona municipalities to pay for additional auditors dedicated to serving those jurisdictions. The Department shall separately account for such funds in its annual budget.

- 16.4 Accounting:** The Department shall provide an annual accounting to City/Town, by August 31 each year describing how City/Town funding was used during the prior fiscal year.

17. Satellite Offices for Department Auditors

- 17.1 Funding:** City/Town, at its own expense and at its sole discretion, may provide one (1) or more satellite offices and associated amenities for use by Department employees to provide audit and/or customer service to taxpayers. Use of such facilities by Department employees shall be at the sole discretion of the Department. Nothing in this Section shall require the Department to make use of such facilities provided by City/Town.
- 17.2 Requirements:** Any Department employee using a City/Town satellite office must meet reasonable requirements of City/Town related to the use of the facility. City/Town shall be responsible for notifying the Department of any concerns and the Department shall be responsible for taking appropriate actions to resolve those concerns.
- 17.3 Termination:** Once a satellite office is established, City/Town shall provide at least one hundred eighty (180) calendar days' written notice to the Department prior to the termination or relocation of a satellite office. The Department may discontinue the use of a satellite office at any time upon notice to City/Town and shall promptly remove all Department property.
- 17.4 License:** All requirements of City/Town and the Department related to the satellite office shall be outlined in a mutually acceptable form of license and subject to separate approval.
- 17.5 Workers' Compensation for Satellite Offices and Certain Site Visits:** If employees of City/Town or Department are working at the facility of the other public agency pursuant to this Agreement, it is agreed that:
- (a) Each employee will be deemed an employee of both public agencies for the purposes of A.R.S. § 23-1022(D) and Arizona workers' compensation laws.
 - (b) Each employee's primary employer (*i.e.*, the State of Arizona for a Department employee, and City/Town for a City/Town employee or Independent Contractor) shall be solely liable for the payment of workers' compensation benefits.
 - (c) Each public agency for which employees of City/Town or Department are working at the facility of the other public agency under this Agreement shall post a notice complying with A.R.S. § 23-1022(E).
 - (d) In all circumstances other than as provided in the foregoing, nothing in this Agreement shall be construed to result in any person being the officer,

agent, employee, or servant of either party when such person, absent this Agreement and the performance thereof, would not in law have such status.

18. Non-availability of Funds

Every payment obligation of the Department and City/Town pursuant to this Agreement is conditioned upon the availability of funds appropriated or allocated for the payment of such obligation, except for the rendering of funds to City/Town paid by a taxpayer for Municipal Taxes or municipal privilege tax license fees of City/Town. If funds are not appropriated, allocated, and available, or if the appropriation is changed resulting in funds no longer being available for the continuance of this Agreement, this Agreement may be terminated at the end of the period for which funds are available. No liability shall accrue to the State or City/Town, as applicable, in the event this provision is exercised and the State or City/Town, as applicable, shall not be obligated or liable for any future payments or for any damages as a result of termination under this Section. The termination of this Agreement shall not entitle the Department to retain any Municipal Tax collected on behalf of City/Town pursuant to this Agreement.

19. Waiver

Nothing in this Agreement should be interpreted as City/Town relinquishing its legal rights under the Arizona Constitution or other applicable law, nor that City/Town is conceding the administration and collection of its Municipal Tax is not of a local interest or should not be under local control.

20. Cancellation

The requirements of A.R.S. § 38-511 apply to this Agreement. The Department or City/Town may cancel this Agreement, without penalty or further obligation, if any person significantly involved in initiating, negotiating, securing, drafting, or creating this Agreement on behalf of the Department or City/Town is, at any time while this Agreement or any extension is in effect, an employee, agent, or consultant of the other party with respect to the subject matter of this Agreement. The obligation of the Department to remit City/Town taxes shall survive cancellation.

21. Notice

- (a) When any Notice to City/Town is required under the terms of this Agreement, such Notice shall be sent by electronic correspondence to:

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- (b) When any Notice to the Department is required under the terms of this Agreement, such Notice shall be sent by electronic correspondence to City Services at citiesunit@azdor.gov.

22. Non-discrimination

ADOR and City/Town shall comply with Executive Order 2023-01, which prohibits discrimination based on race, color, sex, pregnancy, childbirth or medical conditions related to pregnancy or childbirth, political or religious affiliation or ideas, culture, creed, social origin or condition, genetic information, sexual orientation, gender identity or expression, national origin, ancestry, age, disability, military service or veteran status, or marital status, by persons performing state contracts or subcontracts. ADOR and City/Town also agree to comply with Executive Orders 2003-22 and 2009-09 as amended by Executive Order 2023-01, all other applicable State and Federal employment laws, rules, and regulations, including the Americans with Disabilities Act of 1990. ADOR and the City/Town shall also comply with Executive Order 2023-09 prohibiting race-based hair discrimination.

23. Compliance with Immigration Laws and A.R.S. § 41-4401

23.1 The Department and City/Town shall comply with all Federal immigration laws and regulations relating to employees and warrants compliance with A.R.S. § 23-214 which reads in part: “After December 31, 2007, every employer, after hiring an employee, shall verify the employment eligibility of the employee through the E-Verify program.”

23.2 A breach of compliance with immigration laws and regulations shall be deemed a material breach of this Agreement and may be grounds for the immediate termination of this Agreement.

23.3 The Department and City/Town retain the legal right to confirm the authorized presence and work authorization of any employee who works under this Agreement to ensure the Department and City/Town are complying with the applicable Federal immigration laws and regulations, and State statutes as set forth above.

24. Audit of Records

City/Town and the Department shall retain all data, books, and other records (“Records”) relating to this Agreement for at least six (6) years

(a) after termination of this Agreement, and

(b) following each annual renewal thereof.

All Records shall be subject to inspection by the Department at reasonable times. Upon request, the Department and City/Town shall produce any or all such records. This Agreement is subject to A.R.S. § 35-214 and A.R.S. § 35-215.

25. Amendments

Any amendments to the enumerated provisions or Appendices A, B, and C of this Agreement must be executed in writing in accordance with the provisions of this

Agreement. The Standard Process Maps described within certain enumerated provisions are not themselves part of this Agreement.

26. Mutual Cooperation

In the event of a disagreement between the parties regarding the terms, provisions, and requirements of this Agreement, or in the event of the occurrence of any circumstances bearing upon or affecting this Agreement, parties hereby agree to mutually cooperate to resolve the said disagreement or deal with the said circumstance.

27. Arbitration

To the extent required by A.R.S. § 12-1518 and as provided for in A.R.S. § 12-133, the parties agree to resolve any dispute arising out of this Agreement by arbitration. The parties agree that any lawsuit filed by City/Town relating to the issues outlined in Section 19 of this Agreement is not considered to be a dispute arising out of this Agreement.

28. Implementation

The implementation and execution of the provisions of this Agreement shall be the responsibility of the Director of the Department or his/her designee and the Mayor of City/Town, his/her designee, or another party with designated authority pursuant to applicable law or City/Town charter to act on behalf of City/Town.

29. Limitations

Nothing in this Agreement shall be construed as limiting or expanding the statutory responsibilities of the parties in performing functions beyond those granted to them by law, or as requiring the parties to expend any sum in excess of their appropriations.

30. Duration

30.1 The term of this Agreement shall commence from the latest date as indicated in Section 33 of this Agreement and continue in force through December 31st of the following calendar year unless canceled or terminated as provided herein. The term of this Agreement shall automatically be extended for successive one (1) year terms commencing on January 1st and ending on December 31st of each year thereafter unless canceled or terminated as provided herein.

30.2 Amendments to this Agreement that are negotiated and agreed to by a simple majority of the review committee referenced in Section 30.9 of this Agreement shall thereafter be executed by the parties hereto by a separate signed amendment and incorporated herein to be effective during the term of this Agreement and any extensions.

30.3 This Agreement may be canceled or terminated effective on December 31st of any year by either party by providing written notice no later than sixty (60) calendar days prior to the expiration of the term then in effect.

- 30.4** This Agreement shall expire on December 31st of any year the Department is subject to sunset review by the legislature. Upon expiration, cancellation, or termination, any subsequent Agreement must be ratified through signature by both parties.
- 30.5** If State legislation enacted subsequent to the date of this Agreement substantially affects the performance of this Agreement by either party or substantially diminishes the benefits either party would receive under this Agreement, either party may then terminate this Agreement by giving at least thirty (30) calendar days' notice to the other party. The termination shall become effective immediately upon the expiration of the notice period unless otherwise agreed to by the parties.
- 30.6** Notwithstanding any provision to the contrary herein, both parties may by mutual agreement provide for the termination of this Agreement upon such terms and at such time as is mutually agreeable to them.
- 30.7** Any notice of termination shall be mailed and served on the other party in accordance with Section 21 of this Agreement.
- 30.8** In the event of a partial or complete termination of this Agreement, if the parties have shared or exchanged property the parties will return the property to its original owner or dispose of it in a manner required by the original owner as described in this Agreement.
- 30.9** During the term of this Agreement, the terms and conditions of this Agreement shall undergo an annual review to be initiated no later than June 1st of each year. The review shall be performed by a committee made up of equal parts representatives of the Department and representatives of the municipal taxing jurisdictions entering into an IGA with the Department for the administration and collection of Municipal Taxes.

31. Choice of Law

The laws and regulations of the State of Arizona shall govern the rights of the parties, the performance of this Agreement, and any disputes arising from this Agreement.

32. Entire Agreement

This document, including the specific appendices attached hereto, and any approved subcontracts, amendments, and modifications made thereto, shall constitute the entire Agreement between the parties and shall supersede all other understandings, oral or written.

33. Signature Authority

33.1 By signing below, the signer certifies he or she has the authority to enter into this Agreement on behalf of his or her respective party, and he or she has read the foregoing and agrees to accept the provisions herein on said party's behalf.

33.2 This Agreement may be executed in counterpart.

For the Department:

For City/Town:

Signature _____ Date _____			Signature _____ Date _____		
Robert Woods, Director			Robyn Prud'homme-Bauer, Mayor		
Typed Name and Title			Typed Name and Title		
Arizona Department of Revenue			Town of Clarkdale		
Entity Name			Entity Name		
1600 W. Monroe St.			PO Box 308		
Address			Address		
Phoenix	Arizona	85007	Clarkdale	Arizona	86324
City	State	Zip	City	State	Zip
RESERVED FOR THE ATTORNEY GENERAL:			RESERVED FOR CITY/TOWN ATTORNEY:		
This agreement between public agencies has been reviewed pursuant to A.R.S. § 11-952 by the undersigned Assistant Attorney General who has determined that it is in proper form and is within the powers and authority granted under the laws of the State of Arizona to the Arizona Department of Revenue represented by the Attorney General. KRIS MAYES The Attorney General BY: _____ Signature Assistant Attorney General Date: _____			This agreement between public agencies has been reviewed pursuant to A.R.S. § 11-952 by the undersigned City/Town Attorney who has determined, on behalf of the City/Town only, that it is in proper form and is within the powers and authority granted under the laws of the State of Arizona to the City/Town. APPROVED AS TO FORM AND AUTHORITY: BY: _____ CITY/TOWN ATTORNEY Date: _____		

APPENDIX A

ARIZONA DEPARTMENT OF REVENUE CONFIDENTIALITY REQUIREMENTS

1. Confidential Information

- 1.1 “Confidential Information” is defined in A.R.S. § 42-2001. Confidential Information may not be disclosed except as provided by statute. A.R.S. §§ 42-2001 through 42-2004.
- 1.2 “Tax Information” as defined in this Agreement is Confidential Information.
- 1.3 **Disclosure of aggregated financial information.** Under no circumstance shall aggregated financial information related to transaction privilege taxes allow any person who is not authorized to receive Tax Information to identify or discover the financial information of an individual taxpayer.
 - (a) Except as provided in Section 1.3(b) of this Appendix, City/Town will disclose aggregated financial information in accordance with the Department’s standard:
 - (1) City/Town shall only disclose aggregated financial information from not less than ten (10) taxpayers within the political boundaries of City/Town.
 - (2) No individual taxpayer’s financial information should be discernible due to its relative size compared to other members of the aggregated group. For example, if one of the taxpayers in the data set represents 90% or more of the data point, then that data point must not be disclosed, regardless of the number of taxpayers.
 - (b) City/Town may disclose its aggregated financial information from less than ten (10) taxpayers provided City/Town first determines the aggregated data could not potentially reveal the financial information of an individual taxpayer. Such a determination shall take all the following into consideration:
 - (1) *Ownership.* All taxpayers with common ownership entities shall be considered a single taxpayer for aggregation purposes; and
 - (2) *Proportionality.* No individual taxpayer’s financial information should be discernible due to its relative size compared to other members of the aggregated group; and

- (3) Any other factor that might allow any person who is not authorized to receive Tax Information to identify or discover the financial information of an individual taxpayer.

2. Protecting Information

- 2.1 City/Town must identify all places, both physical and logical, where City/Town receives, processes, and stores Tax Information and create a plan to adequately secure those areas.
- 2.2 Tax Information must be protected during transmission, storage, use, and destruction. City/Town must have written policies, standards, and procedures to document how it protects its information systems, including Tax Information so that it conforms to the State of Arizona statutes A.R.S. §§ 42-2001 through 42-2004 and policies, standards, and procedures found on the Arizona Strategic Enterprise Technology (“ASET”) website at aset.az.gov/resources/policies-standards-and-procedures or ASET’s successor agency or website and Arizona Department of Homeland Security’s website at <https://azdohs.gov/information-security-policies-standards-and-procedures>.
- 2.3 Department staff and authorized City/Town staff are prohibited from inspecting Tax Information unless they have a business reason. Browsing through Tax Information concerning friends, neighbors, family members, or people in the news is strictly prohibited.
- 2.4 All removable media, including paper and CDs, containing Tax Information must be secured when not in use and after normal business hours by placing all materials in a locked drawer or cabinet. During use, Tax Information must be protected so that it is not visible to members of the public or anyone without a business need for the information.
- 2.5 All individuals accessing or storing Tax Information from an alternative work site must enter into a signed agreement that specifies how the Tax Information will be protected while at that site. Only trusted employees shall be permitted to access Tax Information from alternative sites. Tax Information may not be accessed while in public places such as restaurants, lounges, or pools.
- 2.6 Tax Information may not be discussed in elevators, restrooms, the cafeteria, or other public areas. Terminals should be placed in such a manner that prohibits public viewing of Tax Information.
- 2.7 When transporting confidential materials, the materials should be covered so that others cannot see the Tax Information. When sending Tax Information by fax, a cover sheet should always be used.
- 2.8 Any person with unsupervised access to Tax Information shall receive training on the confidentiality laws and requirements to protect such information before being given access to such information and annually thereafter. They must sign

certificates after the training acknowledging that they understand their responsibilities. City/Town must keep records to document this training and certification and submit a copy of the certification to the Department.

3. Disclosure of Information

- 3.1 Tax Information may only be disclosed as permitted by A.R.S. § 42-2003.
- 3.2 Tax Information is protected by statute and, therefore, shall not be disclosed in response to a public records request except as authorized by law. A state agency, including political subdivisions (City/Town), may deny inspection of public records if the records are deemed confidential by statute. *Berry v. State*, 145 Ariz. 12, 13 699 P.2d 387, 388 (App. 1985).
- 3.3 A taxpayer may designate a person to whom Tax Information may be disclosed by completing an [Arizona Department of Revenue Form 285](#) or [Form 285B](#), or such other form that contains the authorizing information included in those forms. City/Town may contact the Department's Disclosure Officer at DisclosureOfficer@azdor.gov if there are any questions concerning this requirement.

4. Retention and Disposal of Information

- 4.1 All records received from the Department must be kept for the duration of the records retention period as listed in the official records retention schedules approved by the Secretary of State Library Archives and Public Records Division ("LAPR") published on the LAPR website.
 - (a) The Department's custom records retention schedule is published on the LAPR website at apps.azlibrary.gov/records/schedules.aspx.
 - (b) In the event of a legal hold (such as a litigation hold or investigative hold), Department and/or City/Town may be required to retain records beyond the retention period.
- 4.2 The Department and City/Town shall follow the legal requirements for reporting the disposition and destruction of records to the Arizona State Library Archives, & Public Records Division under A.R.S. § 41-151.19. Certificate of Records Destruction Forms are found at: azlibrary.gov/arm/forms.
- 4.3 All removable media containing Tax Information must be returned to the Department or sanitized before disposal or release from the control of City/Town.
- 4.4 Tax Information must be destroyed by shredding or burning the materials when the retention period has been met and no legal holds are in place. Tax Information may not be disposed of by placing the materials in the garbage or recycle bins. Destruction of Tax Information may be performed by a third-party vendor.

City/Town must take appropriate actions to protect the Tax Information in transit and storage before it is destroyed, such as periodic inspections of the vendor.

- 4.5 Computer system components and devices, such as copiers and scanners, which have been used to store or process Tax Information may not be repurposed for non-tax administration uses unless the memory or hard drive of the device is sanitized to ensure under no circumstances Tax Information can be restored or recovered.

5. Information Security

- 5.1 Systems containing Tax Information must be protected in accordance with the State of Arizona Policies, Standards, and Procedures that govern State data found at <https://azdohs.gov/information-security-policies-standards-and-procedures>, particularly Policies and Standards 8000-8410 and the Arizona NIST Security Baseline Controls.
- 5.2 City/Town is responsible for creating architectural diagrams of any systems connecting to the Department's systems and depicting the flow of State Tax Information. Architectural diagrams for systems connecting to the ADOR shall be shared with the ADOR and updated after any architectural changes.
- 5.3 Incident Reporting. City/Town is required to notify the Department in the event of a suspected or actual unauthorized disclosure of Tax Information, data loss, breach, or other security concern regarding Tax Information by reporting the incident to the Department's: 1) City Services Manager by email at citiesunit@azdor.gov, 2) Disclosure Officer by email at DisclosureOfficer@azdor.gov, and 3) Chief Information Security Officer's Information Security Team by email at InfoSec@azdor.gov.
- 5.4 The Department may send employees or auditors to inspect any of City/Town information systems and/or facilities used to process, store, or transmit any Department data at any time to ensure that Department information is adequately protected. City/Town shall provide audit records and evidence of system and application hardening to the department's information security team upon request. Hardening evidence can include, but is not limited to: RiskSense, CIS benchmarks, SCSEMs, STIGs, or other security best practices. If City/Town hires a third-party for any system or information support, all security provisions apply.

6. Wireless Access (if accessing State Confidential Information from a wireless network)

City/Town must:

- 6.1 Establish restrictions, configuration/connection requirements, and implementation guidance for wireless access.
- 6.2 Authorize wireless access to the information system prior to allowing such connections.

- 6.3 Employ a wireless intrusion detection system to identify rogue wireless devices and to detect attack attempts and potential compromises/breaches to the information system.

APPENDIX B

REQUIRED REPORTS AND DATA FIELDS

At a minimum, the Department of Revenue shall provide the following reports which display all of fields identified below, per report:

NEW LICENSE REPORT and LICENSE UPDATE REPORT

- Region Code
- Run Date
- Report Start Date
- Report End Date
- Update Date
- ID Type
- ID
- Account ID
- Entity Name
- Ownership Type
- License ID
- OTO/Applied For indicator
- Bankruptcy Indicator
- Filing Frequency
- Issue Date
- Account Start Date
- Business Start Date
- Arizona Start Date
- Doc Loc Nbr
- Accounting Method
- Close Date
- Close Code
- Business Description
- NAICS1
- NAICS2
- NAICS3
- NAICS4
- Mailing Street1
- Mailing Street2
- Mailing Street3
- Mailing City
- Mailing State
- Mailing ZIP
- Mailing Country
- Mailing Phone Number
- Mailing Address Add date
- Mailing Address End Date

- Audit Street1
- Audit Street 2
- Audit Street 3
- Audit City
- Audit State
- Audit Zip
- Audit Country
- Audit Phone Number
- Audit Address Add Date
- Audit Address End Date
- Location Code
- Business Codes
- Location Name (DBA)
- Number of Units
- Location Street 1
- Location Street 2
- Location Street 3
- Location City
- Location State
- Location Zip
- Location Country
- Location Phone Number
- Location Start Date
- Location End Date
- Primary Location Street 1
- Primary Location Street 2
- Primary Location Street 3
- Primary Location City
- Primary Location State
- Primary Location Zip Code
- Primary Location Country
- Primary Location Phone Number
- Primary Location Start Date
- Primary Location End Date
- Owner Name
- Owner Title
- Owner Name 2
- Owner Title 2
- Owner Name 3
- Owner Title 3

CITY PAYMENT JOURNAL

- Run Date
- Report Start Date
- Report End Date
- GL Accounting Period

- Period End Date
- Payment received date
- Return received date
- Payment process date
- Return process date
- Filing Frequency
- License ID
- Entity Name
- Location Code
- Location Name (DBA)
- Location Street 1
- Location Street 2
- Location Street 3
- Location City
- Location State
- Location Zip
- Location Country
- NAICS
- Business Code
- Doc Loc Nbr
- Pmt Loc Nbr
- Gross Receipts
- Total Deductions
- Tax or Fee Collected
- P & I Collected
- Audit Collections
- Tran Type
- Tran Subtype
- Rev Type

CITY PAYMENT JOURNAL SUMMARY

- Region Code
- Run Date
- Report Start Date
- Report End Date
- GL Accounting Period
- Business Code
- Number of Accounts
- Collections

NO MONEY REPORT

- Region Code
- GL Accounting Period
- Period End Date
- Payment received date
- Return received date

- Payment process date
- Return process date
- Filing Frequency
- License ID
- Entity Name
- Location Code
- Location Name (DBA)
- Location Street 1
- Location Street 2
- Location Street 3
- Location City
- Location State
- Location Zip
- Location Country
- NAICS
- Business Code
- Doc Loc Nbr
- Pmt Loc Nbr
- Gross Receipts
- Total Deductions
- Tax or Fee Collected
- P & I Collected
- Audit Collections
- Tran Type
- Tran Subtype

DEDUCTION REPORT

- Region Code
- Run Date
- Report Start Date
- Report End Date
- GL Accounting Period
- Period End Date
- License ID
- Entity Name
- Location Code
- Location Name (DBA)
- Business Code
- Doc Loc Nbr
- Deduction Code
- Deduction Amount
- Tran Type
- Tran Subtype
- Rev Type

FUND DISTRIBUTION REPORT

- Region Code
- Run Date
- Report Start Date
- Report End Date
- GL Accounting Period
- Period End Date
- Payment Received Date
- Return Received Date
- Payment Processed Date
- Return Processed Date
- License ID
- Entity Name
- Location Code
- Location Name (DBA)
- Business Code
- Doc Loc Nbr
- Fund Allocation Code
- Amount Distributed

FUND DISTRIBUTION SUMMARY REPORT

- Region Code
- Run Date
- Report Start Date
- Report End Date
- GL Accounting Period
- Fund Allocation Code
- Amount Distributed

APPENDIX C

REQUIRED FORMS

1. **JT-1 Joint Tax Application for a TPT License**

[ADOR Form 10196](#)

2. **TPT-2 Transaction Privilege, Use and Severance Tax Return (filing periods beginning on or AFTER June 1, 2016)**

[ADOR Form 11249](#)

3. **TPT-EZ Transaction Privilege, Use and Severance Tax Return**

[ADOR Form 11263](#)



Staff Report

Item Number: 7.A.

Agenda Item: **Purchase of New Equipment by the Public Works Department**
Discuss, consider and act upon the purchase of a backhoe, mower, mini-excavator and trailer from RDO Equipment Co. for the total amount of \$268,982.85.

Staff Contact: Lou Andersen, Public Works Director

Meeting Date: November 14, 2023

Strategic Goal: This agenda item supports the following Clarkdale Strategic Goal Area:

- Goal Area 5 - Plan for the maintenance and growth of quality infrastructure that is sustainable and resilient.

Background: The Council's adopted budget for FY 2024 included provisions for the purchase of new equipment for the Public Works Department.

Article 6-2-C (4) requires Council approval for all purchases which exceed \$75,000. Article 6-2-D (2) allows procurement of materials under cooperative purchasing agreements which are deemed advantageous to the Town by Council. RDO Equipment Co. submitted the listed quotes below under the Sourcewell Cooperative Agreement for a backhoe, mower, and mini-excavator with trailer.

Equipment	Contract #	Sourcewell Discount	CIP	Quote Amount
Towmaster TC-12D Trailer	092922-MNR	16%	V03	\$15,347.78
John Deere 1575 with 60-inch Deck	031121-DAC	23%	V03	\$51,355.43
John Deere 320P Backhoe	011723-JCD	43%	V03	\$144,097.98
John Deere 30P Mini-Excavator	011723-JCD	27%	V02	\$58,181.66

Budget Impact: CIP V03 General Government Vehicle Replacement 24-5-0800-8023 and 06-5-0800-8000, budgeted at \$386,000. \$87,590.69 has already been spent on a new dump truck and we have \$66,000 allocated for the Police Department Vehicle. Budgeted remaining amount is \$232,409.31. Expected expense for this purchase \$210,801.19 if approved by council.

CIP V02 Enterprise Operations Vehicle Replacement 13-5-0800-8000, budgeted at \$60,000. Expected expense for this purchase \$58,181.66.

Recommendation: Staff recommends that Council approve the purchase of a backhoe, mower, mini-excavator and trailer from RDO Equipment Co. for the total amount of \$268,982.85 and authorize the Town Manager to execute all documents necessary to complete the purchase.



JOHN DEERE

Investment Proposal (Quote)

RDO Equipment Co.
1091 Commerce St
Prescott AZ, 86305

Phone: (928) 776-8300 - Fax: (928) 776-8500

Proposal for:
TOWN OF CLARKDALE
PO BOX 308
CLARKDALE, AZ, 863240308
YAVAPAI

Investment Proposal Date: 10/30/2023
Pricing Valid Until: 11/30/2023
Deal Number: 1708925
Customer Account#: 5923002
Sales Professional: Brian Gathen
Phone: (928) 968-3983
Fax:
Email: bgathen@rdoequipment.com

Comments

Note: Sourcewell Contract # 011723 / Taxes to be removed w/ valid tax exempt certificate / Includes free walk around and operator training.

Equipment Information

Quantity	Serial Number Stock Number	Hours (approx.)	Status / Year / Make / Model Additional Items	Cash Price
1	TBD TBD	0	New 2023 JOHN DEERE 30P	\$66,093.00
			Freight in CA to Prescott	\$2,500.00
			Prep / Reconditioning Predelivery Inspection and test	\$1,500.00
			Install and Training Labor for Hyd Thb Install	\$1,000.00
			Customer Discount Sourcewell disc from list 27%	(\$17,845.11)
			Warranty -John Deere Power Train & Hyd.-60 Months, 2500 Hours,Deductible: 0, Exp Date: 10/25/2028	\$450.00
Equipment Subtotal:				\$53,697.89

Purchase Order Totals

Balance:	\$53,697.89
AZ STATE TAX:	\$3,007.08
AZ COUNTY TAX:	\$402.73
AZ CITY TAX:	\$1,073.96
Sales Tax Total:	\$4,483.77
Sub Total:	\$58,181.66
Cash with Order:	\$0.00
Balance Due:	\$58,181.66

Equipment Options

Qty	Serial Number	Year / Make / Model	Description
1	TBD	2023 JOHN DEERE 30P	0140FF 30 P-Tier Compact Excavator 0202 Destination Code - United States 0259 English Customer Delivery Packet 0401 Configuration 1 - Canopy, Rubber, Susp, Std Arm BYT10965 30 in. (762 mm) Ditch Cleaning Bucket; 4.4 c ft. (0.12 c. m.) (No Teeth) BYT11907 12 in. (305 mm) Heavy Duty, 1.5 c. ft. (0.04 c. m.); 35 Size Pin Grabber Coupler Bucket BYT11548 Hydraulic Gray Thumb BYT10142 Auxiliary Hydraulic Quick Disconnect Kit

ALL PURCHASE ORDERS MUST BE MADE OUT TO (VENDOR):

RDO Agriculture Equipment Co
1091 Commerce Drive
Prescott, AZ 86305
US

ALL PURCHASE ORDERS MUST BE SENT TO DELIVERING DEALER:

RDO Agriculture Equipment Co
1091 Commerce Drive
Prescott, AZ 86305
928-776-8300
SLMiller@rdoequipment.com

Quote Summary

Prepared For:

TOWN OF CLARKDALE
891 FIRST ST
CLARKDALE, AZ 86324
Business: 928-634-9591

Delivering Dealer:

RDO Agriculture Equipment Co
Stefanie Miller
1091 Commerce Drive
Prescott, AZ 86305
Phone: 928-776-8300
slmiller@rdoequipment.com

Sourcewell Account # 79277

* Current ETA 6 - 8 months from date RDO Equipment Co. confirms receipt of agency PO or LOI. ETA can be subject to change without notice.

Quote ID: 29841397

Created On: 23 October 2023

Last Modified On: 26 October 2023

Expiration Date: 22 November 2023

Equipment Summary	Selling Price	Qty	Extended
JOHN DEERE 1575 TerrainCut™ with ComfortCab Commercial Front Mower (Less Mower Deck)	\$ 39,894.89 X	1 =	\$ 39,894.89
PowerGard Protection Plan	\$ 2,638.00 X	1 =	\$ 2,638.00
Contract: Sourcewell Grounds Maint 031121-DAC (PG BT CG 76)			
Price Effective Date: October 22, 2023			
Sub Total			\$ 42,532.89
JOHN DEERE 60 In. 7-Iron PRO Commercial Side Discharge Deck	\$ 4,615.64 X	1 =	\$ 4,615.64
Contract: Sourcewell Grounds Maint 031121-DAC (PG BT CG 76)			
Price Effective Date: October 22, 2023			
Equipment Total			\$ 47,148.53

* Includes Fees and Non-contract items

Quote Summary

Equipment Total \$ 47,148.53

Trade In

SubTotal **\$ 47,148.53**

Sales Tax - (8.35%) \$ 3,936.90

Sourcewell Delivery \$ 270.00
Fee

*Balance Due Continues onto Page 2

Salesperson : X _____

Accepted By : X _____

**ALL PURCHASE ORDERS MUST BE MADE OUT
TO (VENDOR):**

RDO Agriculture Equipment Co
1091 Commerce Drive
Prescott, AZ 86305
US

**ALL PURCHASE ORDERS MUST BE SENT
TO DELIVERING DEALER:**

RDO Agriculture Equipment Co
1091 Commerce Drive
Prescott, AZ 86305
928-776-8300
SLMiller@rdoequipment.com

Est. Service	\$ 0.00
Agreement Tax	
Total	\$ 51,355.43
Down Payment	(0.00)
Rental Applied	(0.00)
Balance Due	\$ 51,355.43

Salesperson : X _____

Accepted By : X _____

Selling Equipment

Quote Id: 29841397 **Customer Name:** TOWN OF CLARKDALE

ALL PURCHASE ORDERS MUST BE MADE OUT TO (VENDOR):
RDO Agriculture Equipment Co
1091 Commerce Drive
Prescott, AZ 86305
US

ALL PURCHASE ORDERS MUST BE SENT TO DELIVERING DEALER:
RDO Agriculture Equipment Co
1091 Commerce Drive
Prescott, AZ 86305
928-776-8300
SLMiller@rdoequipment.com

JOHN DEERE 1575 TerrainCut™ with ComfortCab Commercial Front Mower							
Hours:							
Stock Number:							
Contract: Sourcewell Grounds Maint 031121-DAC (PG BT CG 76)						Selling Price *	
						\$ 39,894.89	
Price Effective Date: October 22, 2023							
* Price per item - includes Fees and Non-contract items							
Code	Description	Qty	List Price	Discount%	Discount Amount	Contract Price	Extended Contract Price
244BTC	1575 TerrainCut™ with ComfortCab Commercial Front Mower (Less Mower Deck)	1	\$ 51,549.00	23.00	\$ 11,856.27	\$ 39,692.73	\$ 39,692.73
Standard Options - Per Unit							
001A	United States and Canada	1	\$ 0.00	23.00	\$ 0.00	\$ 0.00	\$ 0.00
183N	JDLink™ M Modem	1	\$ 0.00	23.00	\$ 0.00	\$ 0.00	\$ 0.00
Standard Options Total			\$ 0.00		\$ 0.00	\$ 0.00	\$ 0.00
Dealer Attachments/Non-Contract/Open Market							
LVB24844	External Mirror Kit (Cab Only)	1	\$ 262.55	23.00	\$ 60.39	\$ 202.16	\$ 202.16
Dealer Attachments Total			\$ 262.55		\$ 60.39	\$ 202.16	\$ 202.16
Value Added Services							
	PowerGard Protection Plan	1	\$ 2,638.00			\$ 2,638.00	\$ 2,638.00
Value Added Services Total			\$ 2,638.00			\$ 2,638.00	\$ 2,638.00
Total Selling Price			\$ 51,811.55		\$ 11,916.66	\$ 39,894.89	\$ 42,532.89

JOHN DEERE 60 In. 7-Iron PRO Commercial Side Discharge Deck	
Equipment Notes:	
Hours:	
Stock Number:	Selling Price *
Contract: Sourcewell Grounds Maint 031121-DAC (PG BT CG 76)	\$ 4,615.64
Price Effective Date: October 22, 2023	
* Price per item - includes Fees and Non-contract items	

Selling Equipment

Quote Id: 29841397 **Customer Name:** TOWN OF CLARKDALE

**ALL PURCHASE ORDERS MUST BE MADE OUT
TO (VENDOR):**

RDO Agriculture Equipment Co
1091 Commerce Drive
Prescott, AZ 86305
US

**ALL PURCHASE ORDERS MUST BE SENT
TO DELIVERING DEALER:**

RDO Agriculture Equipment Co
1091 Commerce Drive
Prescott, AZ 86305
928-776-8300
SLMiller@rdoequipment.com

Code	Description	Qty	List Price	Discount%	Discount Amount	Contract Price	Extended Contract Price
033OTC	60 In. 7-Iron PRO Commercial Side Discharge Deck	1	\$ 5,449.00	23.00	\$ 1,253.27	\$ 4,195.73	\$ 4,195.73
Standard Options - Per Unit							
001A	United States and Canada	1	\$ 0.00	23.00	\$ 0.00	\$ 0.00	\$ 0.00
Standard Options Total			\$ 0.00		\$ 0.00	\$ 0.00	\$ 0.00
Dealer Attachments/Non-Contract/Open Market							
UC13263	Quik-Tatch Weight, 42 lb (19 kg)	4	\$ 90.69	23.00	\$ 20.86	\$ 279.32	\$ 279.32
TCB10303	Rear Weight Mounting Kit	1	\$ 182.57	23.00	\$ 41.99	\$ 140.58	\$ 140.58
Dealer Attachments Total			\$ 545.33		\$ 125.43	\$ 419.90	\$ 419.90
Total Selling Price			\$ 5,994.33		\$ 1,378.70	\$ 4,615.63	\$ 4,615.63

**Extended Warranty Proposal****PowerGard™ Protection Plan****Commercial Mowing****Date :** October 26, 2023**Machine/Use Information****Plan Description****Price**

Manufacturer	JOHN DEERE	Plan Type:	New	Deductible:	\$ 0
Equipment Type	Commercial Mowing	Coverage:	Comprehensive	Quoted Price	\$ 2,638.00
Model	1575	Total Months:	48		
Country	US	Total Hours:	2250	Date Quoted	October 26, 2023
MFWD/Tracks	N				

Scraper Use

THIS PROPOSAL IS VALID FOR 30-DAYS FROM DATE ISSUED. GRACE pricing is offered only early during the Deere basic warranty period and has no surcharges. After this period, DELAYED PURCHASE pricing (surcharged) is offered later during the John Deere Basic Warranty. Many PowerGard quotes presented in the Delayed Purchase Period will require inspection/certification process and must also past fluid testing. The Total Months and Total Hours listed above include the John Deere Basic Warranty terms (24 months / 2000 hours on Tractors, 24 months on Golf & Turf Products, 12 months for all AG Harvesting and Sprayer equipment, and 12 months/1000 hours on most Gator Utility Vehicles). "Limited" Plan coverage = Engine & Powertrain only. "Comprehensive" Plan coverage = Full Machine.

PowerGard Protection Proposal Prepared for:*I have been offered this extended warranty and*

Customer Name - Please Print

☒ **I ACCEPT** the PowerGard Protection☐ **I DECLINE** the PowerGard Protection

Customer Signature

If declined, I fully understand that my equipment listed above is not covered for repair expenses due to component failures beyond the original basic warranty period provided by John Deere.

Note : This is not a contract. For specific PowerGard Protection coverage terms and conditions, please refer to the actual PowerGard Protection Plan contract for more information and the terms, conditions and limitations of the agreement.

What PowerGard Protection is :

The PowerGard Protection Plan is an **extended warranty** program for reimbursement on parts and labour for covered components that fail due to faulty material or original workmanship that occur beyond the John Deere Basic Warranty coverage period. The agreement is between Deere & Company and the owners of select John Deere Commercial and Agricultural equipment, who purchase the PowerGard Plans for the desired coverage as indicated in this proposal.

What PowerGard Protection is not :

PowerGard Protection is **not insurance**. It also does not cover routine maintenance or high wear items, or insurance-related risks/perils such as collision, overturn, vandalism, wind, fire, hail, etc. It does not cover loss of income or loss of value of crops during or after an equipment failure. See the actual product-specific PowerGard Protection Plan agreement for a complete listing of covered components, and limitations and conditions under the program.

Features/Benefits:

PowerGard protection include the following features and benefits under the program :

- Pays for parts and labour costs incurred on failed covered components (less any applicable deductibles),
- Does not require pre-approval before repairs are made by the authorized John Deere dealership,
- Payments are reimbursed directly to the dealership with no prepayment required by the contract holder.
- PowerGard Protection agreements ensure that only Genuine John Deere Parts are used in all repairs,
- PowerGard coverage is fully transferable to future owners, with no transfer fees when coverage remains,
- PowerGard ensures higher resale value and makes equipment more marketable during the sale or trade-in,
- PowerGard allows you to budget your total cost of ownership, with financing available through John Deere Credit or other sources,
- PowerGard helps prevent large,unexpected repair bills during later years of equipment ownership,in exchange for a smaller protection fee up front.



JOHN DEERE

Investment Proposal (Quote)

RDO Equipment Co.
1091 Commerce St
Prescott AZ, 86305

Phone: (928) 776-8300 - Fax: (928) 776-8500

Proposal for:
TOWN OF CLARKDALE
PO BOX 308
CLARKDALE, AZ, 863240308
YAVAPAI

Investment Proposal Date: 10/30/2023
Pricing Valid Until: 11/30/2023
Deal Number: 1710110
Customer Account#: 5923002
Sales Professional: Brian Gathen
Phone: (928) 968-3983
Fax:
Email: bgathen@rdoequipment.com

Comments

Note:

Source well Contract # 092922MNR / Taxes to be removed w/ valid tax exemption certificate.

Equipment Information

Quantity	Serial Number Stock Number	Hours (approx.)	Status / Year / Make / Model Additional Items	Cash Price
1	TBD TBD	0	New 2024 TOWMASTER TRAILERS TC-12D	\$13,375.00
			Freight in Litchfield, MN to Prescott	\$1,180.00
			Prep / Reconditioning Predelivery Inspection and test	\$1,000.00
			Freight Out Delivery	\$750.00
			Customer Discount Sourcewell Disc from List 16%	(\$2,140.00)
Equipment Subtotal:				\$14,165.00

Purchase Order Totals

Balance:	\$14,165.00
AZ STATE TAX:	\$793.24
AZ COUNTY TAX:	\$106.24
AZ CITY TAX:	\$283.30
Sales Tax Total:	\$1,182.78
Sub Total:	\$15,347.78
Cash with Order:	\$0.00
Balance Due:	\$15,347.78

Equipment Options

Qty	Serial Number	Year / Make / Model	Description
1	TBD	2024 TOWMASTER TRAILERS TC-12D	TC12D 12,000lbs Elec trailer



JOHN DEERE

Investment Proposal (Quote)

RDO Equipment Co.
1091 Commerce St
Prescott AZ, 86305

Phone: (928) 776-8300 - Fax: (928) 776-8500

Proposal for:
TOWN OF CLARKDALE
PO BOX 308
CLARKDALE, AZ, 863240308
YAVAPAI

Investment Proposal Date: 10/30/2023
Pricing Valid Until: 11/30/2023
Deal Number: 1708893
Customer Account#: 5923002
Sales Professional: Brian Gathen
Phone: (928) 968-3983
Fax:
Email: bgathen@rdoequipment.com

Comments

Note: Sourcewell Contract # 011723-JDC / Taxes to be removed w/ qualifying tax exempt certificate. /
Unit comes w/ free walk around and operator training

Equipment Information

Quantity	Serial Number Stock Number	Hours (approx.)	Status / Year / Make / Model Additional Items	Cash Price
1	TBD TBD	0	New 2023 JOHN DEERE 320P	\$216,958.00
			Freight in IA to Prescott	\$5,000.00
			Prep / Reconditioning Predelivery Inspection and test	\$1,500.00
			Inside Parts 1 set Additional Bct Pins	\$550.00
			Customer Discount Sourcewell Disc from list 43%	(\$93,291.94)
			Warranty -John Deere Power Train & Hyd.-60 Months, 1500 Hours,Deductible: 0, Exp Date: 10/25/2028	\$2,277.00
Equipment Subtotal:				\$132,993.06

Purchase Order Totals

Balance:	\$132,993.06
AZ STATE TAX:	\$7,447.61
AZ COUNTY TAX:	\$997.45
AZ CITY TAX:	\$2,659.86
Sales Tax Total:	\$11,104.92
Sub Total:	\$144,097.98
Cash with Order:	\$0.00
Balance Due:	\$144,097.98

Equipment Options

Qty	Serial Number	Year / Make / Model	Description
1	TBD	2023 JOHN DEERE 320P	17C0T 320 P-tier Backhoe Loader 0202 United States 0351 Translated Text Labels 0259 English 1003 Cab 8096 Premium Mirror Option - Exterior Rear View Mirrors (2) and Front View Mirror (1) 8109 Sun Visor 8146 Left Side Console Storage with Cup Holders 8207 Seat, Cloth Air-Suspension 183E JDLink™ 3009 Autoshift Transmission - Mechanical Front Wheel Drive (MFWD) with Limited Slip Differential 4006 John Deere 4.5L - FT4/Stage IV 8075 Diagnostic Oil Sampling Ports 5245 Galaxy 19.5L-24 12PR Rear & 12.5/80-18 10PR Front 6152 Single Battery with Disconnect and Jump Post 6754 Heavy Lift - Extendible Dipperstick 6575 750 lb. (340 kg.) Front Counterweight 7000 No Auxiliary 7028 Pilot Controls, Two Lever, with Pattern Selection 7040 Three-Function Loader Hydraulics, Single Lever 7804 18 in. (457 mm.) Heavy-Duty Bucket, 4.9 cu. ft. (0.14 cu. m.) 7702 Deere Standard Quick Coupler - Less Thumb 7862 92 in. (2.35 m.) Multi-Purpose Bucket, 1.23 cu. yd. (0.94 cu. m.) Capacity, Flip-over Fork Ready 8126 Heavy-Duty Grille Frame AT437338 12 in (309 mm) Heavy Duty Bucket, 3.1 cu. ft. (0.09 cu. m.)



Staff Report

Item Number: 7.B.

Agenda Item:**Sidewalk Repairs and Funding**

Discuss, consider and act upon the transfer of \$15,000 from the Unrestricted Streets Contingency line item to the Sidewalks line item to complete the outstanding sidewalk work-orders and other sidewalk repairs identified through the end of the fiscal year.

Staff Contact:

Lou Andersen, Public Works Director

Meeting Date:

November 14, 2023

Strategic Goal:

This agenda item supports the following Clarkdale Strategic Goal Area:

- Goal Area 5 - Plan for the maintenance and growth of quality infrastructure that is sustainable and resilient.

Background:

The FY24 Streets budget was proposed and approved by Council including \$14,000 for sidewalk repairs. The proposed amount was primarily based on the outstanding requests for sidewalk repairs at the time of budget preparation and an estimate of costs that would be incurred throughout FY24 for minor sidewalk maintenance items.

Public Works has four outstanding requests for sidewalk repairs listed below:

Date Submitted	iWorQ Work Order Number	Address of Requested Sidewalk Repair
04/25/2019	15	1311 Main Street
10/27/2020	47	1017 First South Street
07/12/2021	13	22 North Tenth Street
07/18/2022	19	1311 First North Street

Sidewalk projects completed fiscal year to date are the alley crossings along Ninth Street and Hazard Removal in Downtown for a total of \$11,332. This leaves \$2,668 for the remainder of the fiscal year.

Budget Impact:

06-5-0100-7063 Sidewalks, \$14,000 budgeted
06-5-0100-9400 Contingency, \$46,098 budgeted

Recommendation: Staff recommends that Council approve the transfer of \$15,000 from the Unrestricted Streets Contingency line item to the Sidewalks line item to complete the outstanding sidewalk work-orders and other sidewalk repairs identified through the end of the fiscal year.



Staff Report

Item Number: 7.C.

<u>Agenda Item:</u>	Third North Sewer Realignment Discuss, consider and act upon a proposal for \$104,225 from Ardurra Engineering for design of the realignment of the 6" sewer main along Third North Street, and other services.
<u>Staff Contact:</u>	Lou Andersen, Public Works Director
<u>Meeting Date:</u>	November 14, 2023
<u>Strategic Goal:</u>	This agenda item supports the following Clarkdale Strategic Goal Area: • Goal Area 5 - Plan for the maintenance and growth of quality infrastructure that is sustainable and resilient.
<u>Background:</u>	The original sewer line for Third North Street is still in use and runs through the back of residential parcels, underneath structures and pools. The 100-year-old six inch clay sewer line has several 45 degree turns that hinder its ability to function optimally and impedes the Staff's ability to maintain and repair the line. The realignment will include moving sewer connections for approximately 23 homes.
<u>Budget Impact:</u>	11-5-0800-8000 CIP S09 Third North Sewer Realignment, \$50,000 budgeted. Transfer \$55,000 from CIP S07 First South Sewer to CIP S09 to cover the difference between the proposal amount and the budgeted amount.
<u>Recommendation:</u>	Staff recommends that Council approve the proposal from Ardurra Group Inc. for the design of the Third North Sewer Realignment, not to exceed \$104,225, and authorize the Town Manager to execute all documents necessary.

Town of Clarkdale
ADDITIONAL SERVICES AGREEMENT (ASA)

RE: Town of Clarkdale – On-call

Date: October 16, 2023

For: Town of Clarkdale – 3rd N St. Sewer Replacement

SCOPE:

This Additional Services Agreement (ASA) provides for the creation of construction plans for the replacement of the gravity sewer main and services on 3rd N St. from N Broadway to N 4th St. The existing sewer main that serves the houses on the north side of the street is located north of the houses. Several of the residents have constructed improvements on top of the existing sewer main. The sewer main is also located at the top of a slope and has experienced erosion that exposed the main in the past. The Town would like to replace the existing sewer main, relocating it south of the houses to the street.

The services covered under this agreement are, as follows:

Topographic Survey and Basemap

We will perform a topographic survey of the project limits to create a basemap to be used for the design. The initial survey will be an aerial survey that is part of the survey for the adjacent bridge replacement project. Supplemental ground survey will be completed to compliment the aerial survey in areas that are not able to be captured. The basemap and plans will be created in an AutoCAD Civil 3D format. It is assumed that a topographic survey of both sides of the road or a right of way survey will not be needed for this project.

Construction Documents

Ardurra will produce construction plans to allow for a biddable project. Plans will be produced and submitted to the Town of Clarkdale for review at the 60%, 90% and 100% levels. Plans will show plan view and profile view of the sewer main replacement. Plans will also show the proposed route for the new sewer service from the proposed sewer main to the existing cleanouts. We will provide an Engineer's Construction Cost Estimate at the 90% and 100% submittal levels. Ardurra will include a bid document with a bid schedule and general provisions at the 90% and 100% levels. All technical specifications will be included on the plans, a separate special provisions or specifications document will not be included.

Yavapai County DEQ Permitting

Ardurra will produce an Engineer's Design Report for the proposed sewer line and fill out and submit the Yavapai County Notice of Intent to Discharge for a Sewage Collection System permit. We will also fill out and submit the capacity assurance supporting documents with the permit. It is assumed that fees will be paid by the Town.

Following construction, we will produce and submit the Engineer's Certificate of Completion (ECC) to Yavapai County. Part of this application will include asbuilt drawings, test results, and the sealed application. Asbuilt drawings will be based on Contractor redlines.

Post Design

Ardurra will attend the prebid meeting and respond to questions during the bid in the form of Bid Addenda. We will also attend the bid opening and assist the Town with a review of the bids. We will also provide a Notice of Intent to Award the Contract, and a Recommendation to Award the Contract to the Contractor and Town. Ardurra will attend the preconstruction meeting with the Town and Contractor. We will also review material submittals as needed and respond to contractor RFIs. Should RFIs require a site visit to observe field conditions a total of 2 site visits have been budgeted for. An additional 2 site visits will be anticipated to observe and record sewer main and manhole testing.

Meetings and Coordination

Ardurra will meet with staff to after each submittal to review comments and design features. A comment response form will be prepared and submitted with the 90% and 100% submittals. We will visit the site to conduct field reviews with or without staff during the design. We will attend a public meeting to discuss individual improvements across each of the individual homeowner's properties. We will attend the Town Council Meeting for the award of the construction contract.

Additional Services

Additional Services that may be required during the project but that are not included in the below fee might include the following:

- Any permitting, submittal, or review fees

- ALTA/Boundary Survey

- Utility Potholing

- Contractor Payment Application Review

- Certified Payroll Review

- Additional Construction Meetings/Substantial/Final Completion Walk Throughs

- Geotechnical Investigation/Report

- System Surveys

- Structural Design

- Water/Wastewater Modeling

- System Mapping

- Additional services outside of above-described tasks

Ardurra Group, Inc. will work with the CLIENT to coordinate or complete these services on an as needed basis as directed by the CLIENT at our standard hourly rate.

Project Fees: The fees to perform this work are estimated to be \$104,225 and will be charged on a time and material not to exceed basis.

ACTIVITY	FEE
Topographic Survey and Basemap	\$6,040
Construction Documents	\$37,975
Yavapai County DEQ Permitting	\$12,350
Post Design	\$25,875
Meetings and Coordination	\$23,385
TOTAL	\$104,225

Thank you and feel free to contact me if you have any questions.

Submitted By:



10/16/2023

Michael Janes
Engineering Manager

Date

Susan Guthrie
Town Manager

Date



Staff Report

Item Number: 7.D.

Agenda Item:

Notice of Intent to Set Water and Wastewater Rates

Discuss, consider and act upon a Notice of Intent to set water and wastewater rates for the Town of Clarkdale.

Staff Contact:

Virginia Smith, Administrative Manager

Meeting Date:

November 14, 2023

Strategic Goal:

This agenda item supports the following Clarkdale Strategic Goal Area:

- Goal Area 5 - Plan for the maintenance and growth of quality infrastructure that is sustainable and resilient.

Background:

The Town of Clarkdale hired Dan Jackson of Economists.com to complete a Water and Wastewater Rate Study and Long-Term Financial Plan for the Town's Utility Services. A presentation regarding the study was made to the Town Council on Nov. 2, 2023. Town Council directed staff to prepare a Notice of Intent to Set Water and Wastewater Rates based on the recommendations presented in the study in accordance with A.R.S. § 9-511.01

Pursuant to the provisions of A.R.S. § 9-511.01, the Mayor and Town Council of the Town of Clarkdale, Arizona, may increase water and wastewater rates or rate components, fees or service charges where a written report or data supporting the proposed increase rates or rate components, fees or service charges has been prepared and will be posted to the Town's website no less than thirty days prior to the public hearing on the proposed increased rate or rate components, fees or service charges.

The Town has made available to the public a notice of intention to increase water and wastewater rates or rate components, fees or service charges setting out that a public hearing will be held on the proposed increased rates or rate components, fees or service charges, at least 60-days after adoption of the notice of intention and a copy of the notice of intention showing the date, time and place of such hearing will be published one time in a newspaper of general circulation within the boundaries of the Town not less than twenty days prior to the public hearing date.

A public hearing on the proposed increase in water and wastewater rates,

components and charges shall be held before the Mayor and Town Council on Tuesday, Jan. 23, 2024, at 3 p.m. in the Clark Memorial Clubhouse, Men's Lounge, 19 N. Ninth St., Clarkdale, Arizona.

Budget Impact: Fund 11 (Wastewater) and Fund 13 (Water) Revenues

Recommendation: Staff recommends approval of the Notice of Intent to set water and wastewater rates for the Town of Clarkdale.

TOWN OF CLARKDALE

NOTICE OF INTENT – PROPOSED INCREASE WATER AND WASTEWATER RATES, FEES, OR SERVICE CHARGES

Pursuant to A.R.S. § 9-511.01, the Town of Clarkdale provides Notice of Intent to adopt utility rate adjustments as outlined in the Town’s Water and Wastewater Rate Study/Analysis prepared by Economists.com (the “Report”). The increased water/wastewater rates, rate components, fees, or services charges are generally related to capital investments.

This Notice of Intent is scheduled to be heard by the Town Council at its meeting on Nov. 14, 2023, at 6:00 p.m. The Town Council will also hold a public hearing at least sixty days after adoption of the notice of intent on Jan. 23, 2024, at 3:00 p.m., in which the public may comment on the rates, fees, or service charges. The Clarkdale Town Council will consider adoption of the new rates by resolution after the public hearing on Jan. 23, 2024. All meetings will be held at 19 N. Ninth Street, Clarkdale, Arizona.

A copy of this Notice of Intent will be posted on the Town’s website with a copy of the Report, data supporting changes to the Town’s rates, and cash flow projections at least thirty days prior to the public hearing.

IF APPROVED BY COUNCIL, THE RATES WILL BECOME EFFECTIVE ON FEB. 22, 2024, AND ANNUALLY ADJUST THEREAFTER FOR THE NEXT 5 YEARS WITHOUT FURTHER ACTION OF COUNCIL AS SPECIFIED IN THE FEE SCHEDULE.

A copy of the Report, data supporting changes to the Town’s rates, and cash flow projections may be reviewed at the office of the Town Clerk of the Town of Clarkdale, at 39 N. Ninth St. during normal office hours, Monday through Thursday, 8:00 a.m. – 5:30 p.m. and Friday, 8:00 a.m. – 12:00 p.m.

Persons wishing to comment on the proposed changes may do so, in writing, prior to the meeting listed above or may testify in person at the meeting. The Town Council may adopt any of the water and wastewater rates, fees, or service charges at its meeting.

Dated this 14 day of November 2023.

TOWN OF CLARKDALE

Charity Brooks, Town Clerk

DATE POSTED ON TOWN WEBSITE: _____, 2023.

PUBLISHED ON _____ 2023 at _____ NOT LESS
THAN TWENTY DAYS BEFORE THE PUBLIC HEARING DATE

Recommended Wastewater Rate Plan



The rate plan fully funds all aspects of the wastewater utility based on the existing market conditions and assumptions.

Rate Plan will also enable the Town to fully fund its wastewater related capital improvements.

	Current	Effective Feb-24	Effective Jan-25	Effective Jan-26	Effective Jan-27	Effective Jan-28
Wastewater Rates						
Residential						
Monthly Charge	\$ 54.00	\$ 56.70	\$ 58.97	\$ 61.33	\$ 65.62	\$ 70.21
Non-Residential						
Monthly Minimum Charge	54.00	56.70	58.97	61.33	65.62	70.21
Volume Rate/1,000 Gal						
5,001	2.25	2.36	2.46	2.56	2.73	2.93
Above						

Impact on Monthly Bills



Water/WWW Charge – Scenario I (All CIP)

Residential - 5/8"

5,000 Gal												
Total	\$	102.14	\$	109.17	\$	116.16	\$	122.53	\$	131.10	\$	140.28
Increase -- \$				7.03		6.99		6.36		8.58		9.18
Increase -- %				6.9%		6.4%		5.5%		7.0%		7.0%

10,000 Gal

Total		127.89		137.24		146.76		155.26		166.13		177.76
Increase – \$				9.35		9.52		8.50		10.87		11.63
Increase – %				7.3%		6.9%		5.8%		7.0%		7.0%

Commercial - 5/8"

25000 Gal												
Total	\$	299.49	\$	322.48	\$	346.31	\$	367.31	\$	393.02	\$	420.53
Increase – \$				22.99		23.83		21.00		25.71		27.51
Increase – %				7.7%		7.4%		6.1%		7.0%		7.0%

50000 Gal

Total		629.99		680.48		733.57		779.84		834.42		892.83
Increase – \$				50.49		53.09		46.26		54.59		58.41
Increase – %				8.0%		7.8%		6.3%		7.0%		7.0%

Business Confidential – Willdan

Town of Clarkdale

**2023 Water and
Wastewater Rate Study
and Long-Term Financial
Plan**

November 2023 -- DRAFT

**TOWN OF CLARKDALE, ARIZONA
2023 WATER AND WASTEWATER RATE STUDY
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Appendix

Appendix A – Water and Wastewater Rate Model

Acknowledgements

Throughout the course of this rate study, Town employees expended considerable time and effort in aiding the project team. These employees included Susan Guthrie, Ruth Mayday, Virginia Smith, Julie Goucher, and Lou Andersen. The project team owes a debt of gratitude to the hard work, dedication, and professionalism of these individuals, without whom this project would not have been successfully completed.

The project team has relied upon the extensive data supplied by the Town. Thus, the veracity of the study is principally reliant upon the accuracy of this financial and customer data. Every effort has been made by the project team to validate the information contained herein prior to the preparation of the final study documents. **This report presents no assurance or guarantee that the forecast contained herein will be consistent with actual results or performances.** These represent forecasts based on a series of assumptions about future behavior and are not guarantees. Any changes in assumptions or actual events may result in significant revisions to the forecast and its conclusions. The cash flow projections and debt service coverage calculations are not intended to present overall financial positions, results of operations, and/or cash flows for the periods indicated, in conformity with guidelines for presentation of a forecast established by the American Institute of Certified Public Accountants.

Executive Summary



Background

In March 2023, the Town of Clarkdale, Arizona (“the Town”) engaged **economists.com** to complete a Water and Wastewater Rate Study and Long-Term Financial Plan. The Town identified numerous objectives for this study, including but not limited to the following:

- A comprehensive analysis and evaluation of the water and wastewater systems’ current cost of service and revenue requirements.
- A forecast of operating expenses over the next decade, taking into consideration salient factors such as cost of water and wastewater treatment, inflation, system growth, and increases in staffing levels.
- An estimate of current and forecast accounts, volumes, and billing units for the ten-year forecast period.
- A thorough assessment, review, and update of the water and wastewater system’s known capital improvement needs, as well as a determination of the need for funding capital requirements through the issuance of long-term debt.
- An evaluation of the current water and wastewater rate structures and revenue recovered versus the revenue requirement, both overall and for each customer class.
- The development of a rate structure that would recover the Town’s cost of service, ensure equitable, just, and reasonable treatment of identified customer classes, and maintain critical financial ratios.

The analysis and recommendation presented in this study achieve all the objectives outlined.

Water and Wastewater Rate Comparison

Table ES-1 compares the Town’s monthly water and wastewater charges to several cities or utilities located in Arizona. A billing volume of 5,000 gallons of water and 5,000 gallons of wastewater was applied for the residential comparison, as it represents typical usage levels for an average household on the Town’s system. A 5/8” meter size was used for the residential comparison.

The rate and customer cost data are based on published rates and ordinances posted by each municipality in their rate ordinance. These rates do not include sales tax, activation or other charges beyond the monthly base and volume charges. Additionally, where appropriate, certain cities that charge for service based on cubic feet of water have had their rates converted to an equivalent charge per 1,000 gallons.

Table ES-1

TOWN OF CLARKDALE			
MONTHLY RESIDENTIAL CHARGES -- 5,000 GALLONS WATER AND WASTEWATER			
	Water	Wastewater	Total
Clarkdale	\$ 48.14	\$ 54.00	\$ 102.14
Prescott	41.24	54.97	\$ 96.21
Prescott Valley	25.05	27.77	52.82
Cottonwood	40.45	48.75	89.20
Camp Verde	40.50	52.20	92.70
Jerome	41.05	52.67	93.72
Sedona	48.14	61.11	109.25
Chino Valley	32.01	60.00	92.01
Flagstaff	41.26	26.75	68.01
Winslow	29.57	38.88	68.45
Kingman	20.66	56.10	76.76
Page	18.83	31.96	50.79
Payson	60.78	54.00	114.78
Source: Cities' Websites; US Census Bureau & 2023 TML Water & Wastewater Rate Survey			

Water and Wastewater Customers and Volumes – Test Year & Ten-Year Forecast

Most of the water and wastewater accounts served by the Town are residential accounts. **Table ES-2** presents total water connections (customers) by customer class for the test year and forecast period. As demonstrated, overall water accounts are forecast to increase from **2,322** in the test year to **2,862** in FY 2033. **Table ES-3** presents total wastewater accounts by customer class for the test year and the projection period. Similarly, wastewater accounts are forecast to increase from **1,545** in the test year to **2,085** in FY 2033. The addition of these new connections will result in both non-recurring connection fees and increasing monthly water and wastewater revenues.

Table ES-2

TOWN OF CLARKDALE							
FORECAST TOTAL CUSTOMERS							
WATER Customer Classes							
	Residential	Multi-Family	Commercial	Government	Other	Industrial	Total
WATER Total Customers							
2020	1,633	297	34	24	9	6	2,002
2021	1,700	338	35	24	9	6	2,111
2022	1,797	338	34	24	11	6	2,210
Last 12 Months	1,835	335	34	24	12	6	2,245
2024	1,917	330	33	24	12	6	2,322
2025	1,977	330	33	24	12	6	2,382
2026	2,037	330	33	24	12	6	2,442
2027	2,097	330	33	24	12	6	2,502
2028	2,157	330	33	24	12	6	2,562
2029	2,217	330	33	24	12	6	2,622
2030	2,277	330	33	24	12	6	2,682
2031	2,337	330	33	24	12	6	2,742
2032	2,397	330	33	24	12	6	2,802
2033	2,457	330	33	24	12	6	2,862
WATER Annual New Customers							
2021	67	41	1	-	1	-	109
2022	98	-	(0)	-	2	-	99
Last 12 Months	38	(3)	(1)	-	1	-	34
2024	82	(5)	(1)	-	1	-	77
2025	60	-	-	-	-	-	60
2026	60	-	-	-	-	-	60
2027	60	-	-	-	-	-	60
2028	60	-	-	-	-	-	60
2029	60	-	-	-	-	-	60
2030	60	-	-	-	-	-	60
2031	60	-	-	-	-	-	60
2032	60	-	-	-	-	-	60
2033	60	-	-	-	-	-	60

Table ES-3

TOWN OF CLARKDALE			
FORECAST TOTAL CUSTOMERS			
WASTEWATER Customer Classes			
	Residential	Non-Residential	Total
WASTEWATER Total Customers			
2020	1,186	46	1,232
2021	1,279	41	1,320
2022	1,385	41	1,426
Last 12 Months	1,424	41	1,464
2024	1,504	41	1,545
2025	1,564	41	1,605
2026	1,624	41	1,665
2027	1,684	41	1,725
2028	1,744	41	1,785
2029	1,804	41	1,845
2030	1,864	41	1,905
2031	1,924	41	1,965
2032	1,984	41	2,025
2033	2,044	41	2,085
WASTEWATER Annual New Customers			
2021	94	(5)	89
2022	105	(0)	105
Last 12 Months	39	0	39
2024	81	0	81
2025	60	-	60
2026	60	-	60
2027	60	-	60
2028	60	-	60
2029	60	-	60
2030	60	-	60
2031	60	-	60
2032	60	-	60
2033	60	-	60

The Town's water and wastewater volumes are presented in **Table ES-4** and **Table ES-5**. Wastewater billing units are estimated by the project team based on average water usage per account. Detailed calculations of consumption billing units are presented in Section II of this report and in the rate model presented in **Appendix A**.

Table ES-4

TOWN OF CLARKDALE							
FORECAST BILLED CONSUMPTION							
WATER Customer Classes							
	Residential	Multi-Family	Commercial	Government	Other	Industrial	Total
WATER Annual Consumption							
2020	95,716,647	25,461,497	4,695,421	7,075,146	572,918	668,067	134,189,696
2021	114,959,831	33,202,960	5,392,174	8,705,634	1,059,940	701,203	164,021,742
2022	109,408,659	30,997,115	4,968,275	10,380,104	1,075,242	566,687	157,396,082
Last 12 Months	110,591,154	32,207,865	4,636,505	8,182,136	2,023,674	528,390	158,169,724
Test Year	114,164,374	32,207,865	4,636,505	8,182,136	2,023,674	528,390	161,742,944
2025	117,737,594	32,207,865	4,636,505	8,182,136	2,023,674	528,390	165,316,164
2026	121,310,814	32,207,865	4,636,505	8,182,136	2,023,674	528,390	168,889,384
2027	124,884,033	32,207,865	4,636,505	8,182,136	2,023,674	528,390	172,462,603
2028	128,457,253	32,207,865	4,636,505	8,182,136	2,023,674	528,390	176,035,823
2029	132,030,473	32,207,865	4,636,505	8,182,136	2,023,674	528,390	179,609,043
2030	135,603,693	32,207,865	4,636,505	8,182,136	2,023,674	528,390	183,182,263
2031	139,176,913	32,207,865	4,636,505	8,182,136	2,023,674	528,390	186,755,483
2032	142,750,133	32,207,865	4,636,505	8,182,136	2,023,674	528,390	190,328,703
2033	146,323,352	32,207,865	4,636,505	8,182,136	2,023,674	528,390	193,901,922

Table ES-5

TOWN OF CLARKDALE			
FORECAST WASTEWATER BILLING UNITS			
WASTEWATER Customer Classes			
	Residential	Non-Residential	Total
WASTEWATER Billing Units			
2024	89,568,711	5,760,506	95,329,217
2025	93,141,930	5,760,506	98,902,437
2026	96,715,150	5,760,506	102,475,657
2027	100,288,370	5,760,506	106,048,876
2028	103,861,590	5,760,506	109,622,096
2029	107,434,810	5,760,506	113,195,316
2030	111,008,030	5,760,506	116,768,536
2031	114,581,249	5,760,506	120,341,756
2032	118,154,469	5,760,506	123,914,976
2033	121,727,689	5,760,506	127,488,195

Water and Wastewater Capital Improvement Plan

One of the most critical components of a utility's revenue requirement and rate plan is the forecast Capital Improvements required to repair and maintain the system. Like most municipalities, Clarkdale maintains an extensive and detailed Capital Improvement Plan. Minor capital improvements are contained in the Town's budget and are funded annually. Major capital improvements have historically been funded primarily through debt issued by the Town.

Town staff and the project team worked together to develop the Town's forecast capital improvements needs in FY 2024 - 2028. The forecast CIP is summarized in **Table ES-6**. As the table reveals, the Town is forecast to invest **\$18,397,500** in total capital improvements in the next five years.

Table ES-6

TOWN OF CLARKDALE	
CAPITAL IMPROVEMENT PLAN FOR FUNDING THROUGH NEW BOND ISSUES	
FY 2024 - FY 2028	
SCENARIO:	2023 11 08 -- Scenario I -- Status Quo
WATER PROJECTS	
<u>Project Description</u>	
Treatment - Arsenic Plant Expansion	\$ 5,500,000
Production - Well 3 Reactivation	1,005,000
89A Reservoir Rehab	225,000
Arsenic Treatment Plant Backwash Tank & Lift Station	285,000
ATP Vessel Maintenance, Diagnostics, & Repair	250,000
Distribution - Mid Zone PRV	375,000
Infrastructure - Water Line Research	50,000
Distribution - Panorama Booster Station Rehab	330,000
Distribution - Water Storage Expansion	2,200,000
Haskell Springs Tank Coating	200,000
Haskell Well Pump Emergent Repairs	50,000
Rate Study and IIP (Infrastructure Plan)	150,000
Cellular Meter Program	562,500
Total Water	\$ 11,182,500
WASTEWATER PROJECTS	
<u>Project Description</u>	
Treatment - WWTP Expansion	\$ 6,050,000
Sludge Drying Beds	110,000
VRIC Property Rehab	150,000
First South Sewer	200,000
Third North Sewer Realignment	610,000
SCADA Upgrades	50,000
Reclaim Feasibility	45,000
Total Wastewater	\$ 7,215,000
Total Water and Wastewater Projects	\$ 18,397,500

The Town plans to use several different funding sources in order to pay for the Capital Improvement Plan. For water capital improvements, the Town plans to use Water Capacity Fees totaling \$963,645, Water Resource Development Fees totaling \$471,750, Fund Balance draw down totaling \$1,602,921, Grant totaling \$562,500, and long-term debt totaling \$8,000,000 from FY 2024 – FY 2028.

For wastewater capital improvements, the Town plans to use Sewer Capacity Fees totaling \$1,440,990, capital transfer in of \$650,000, and long-term debt totaling \$5,500,000 from FY 2024 – FY 2028.

It should be noted that if the Town materially revises its CIP, the rate plan may be subject to potentially significant revision.

Table ES-7 illustrates the annual forecast of long-term debt issuances required to fund CIP projects.

Table ES-7

TOWN OF CLARKDALE			
WATER/WASTEWATER FUTURE BOND ISSUES			
	Water	Wastewater	Total
2024	\$ -	\$ -	\$ -
2025	-	500,000	500,000
2026	2,000,000	-	2,000,000
2027	1,000,000	5,000,000	6,000,000
2028	5,000,000	-	5,000,000
2029	2,000,000	-	2,000,000
2030	-	-	-
2031	-	-	-
2032	2,000,000	-	2,000,000
2033	-	-	-
Total	\$ 12,000,000	\$ 5,500,000	\$ 17,500,000
Total 2024-2028	8,000,000	5,500,000	13,500,000
Total 2029-2033	4,000,000	-	4,000,000

Water and Wastewater Test Year and Forecast Net Revenue Requirement

Table ES-8 presents the Town's forecast Net Revenue Requirement for the ten-year period FY 2024 through FY 2033. Detailed calculations are presented in the rate model contained in **Appendix A** of this report.

Table ES-8

TOWN OF CLARKDALE							
CURRENT AND FORECAST NET REVENUE REQUIREMENT							
SCENARIO: 2023 11 08 – Scenario I – Status Quo							
	Operating Expenses	Capital Outlays	Debt Service	Total Cost of Service	Less Non-Rate Revenues	Net Revenue Requirement	
WATER Revenue Requirement							
2024	\$ 2,852,923	\$ 30,000	\$ 501,183	\$ 3,384,105	\$ 1,331,343	\$ 2,052,762	
2025	1,752,606	20,000	501,117	2,273,722	191,343	2,082,379	
2026	1,878,066	20,000	501,049	2,399,116	191,343	2,207,773	
2027	1,916,630	20,000	631,565	2,568,196	191,343	2,376,853	
2028	1,956,470	20,000	696,788	2,673,258	191,343	2,481,915	
2029	1,997,629	20,000	1,023,178	3,040,807	191,343	2,849,464	
2030	2,040,153	20,000	1,153,690	3,213,843	191,343	3,022,500	
2031	2,084,089	20,000	1,153,616	3,257,705	191,343	3,066,362	
2032	2,129,485	20,000	1,153,541	3,303,026	191,343	3,111,683	
2033	2,176,392	20,000	1,277,997	3,474,389	191,343	3,283,046	
WASTEWATER Revenue Requirement							
2024	\$ 1,703,064	\$ 30,000	\$ 218,518	\$ 1,951,582	\$ 921,938	\$ 1,029,644	
2025	1,017,739	20,000	218,449	1,256,188	121,938	1,134,250	
2026	1,056,075	20,000	251,025	1,327,100	121,938	1,205,162	
2027	1,168,222	20,000	250,953	1,439,175	121,938	1,317,237	
2028	1,202,839	20,000	577,339	1,800,178	121,938	1,678,240	
2029	1,238,604	20,000	577,263	1,835,867	121,938	1,713,929	
2030	1,275,558	20,000	577,184	1,872,742	121,938	1,750,804	
2031	1,313,740	20,000	577,103	1,910,843	121,938	1,788,905	
2032	1,353,193	20,000	577,019	1,950,213	121,938	1,828,275	
2033	1,393,961	20,000	576,933	1,990,894	121,938	1,868,956	
TOTAL Revenue Requirement							
2024	\$ 4,555,986	\$ 60,000	\$ 719,700	\$ 5,335,687	\$ 2,253,281	\$ 3,082,406	
2025	2,770,345	40,000	719,566	3,529,910	313,281	3,216,629	
2026	2,934,141	40,000	752,075	3,726,215	313,281	3,412,934	
2027	3,084,852	40,000	882,518	4,007,370	313,281	3,694,089	
2028	3,159,309	40,000	1,274,127	4,473,436	313,281	4,160,155	
2029	3,236,234	40,000	1,600,441	4,876,674	313,281	4,563,393	
2030	3,315,711	40,000	1,730,874	5,086,585	313,281	4,773,304	
2031	3,397,829	40,000	1,730,719	5,168,548	313,281	4,855,267	
2032	3,482,678	40,000	1,730,560	5,253,238	313,281	4,939,957	
2033	3,570,353	40,000	1,854,930	5,465,283	313,281	5,152,002	

Water and Wastewater Rate Design

This section of the reports presents the water and wastewater rate for the Town to consider. The rate design contained in this section is forecast to recover sufficient revenues to fund current and future operating expenses.

The rate design maintains the existing rate structure for water and wastewater and introduces necessary percentage adjustments. The average percentage adjustment for both water and wastewater from FY 2024 to FY 2029 is 6.4%. It is important to note that the Town has not adjusted rates since 2018.

Table ES-9 summarizes the water rate recommendations for the six-year period 2024 - 2029. The table reveals that the proposed water rate increases are higher in the years 2024 – 2025 with lower percentage adjustment after.

Table ES-10 summarizes the wastewater rate recommendations for the six-year period 2024 - 2029. The table reveals that the proposed wastewater rate increases are lower in the years 2024 – 2026 with higher percentage adjustment after.

Table ES-11 calculates the average impact on monthly residential and non-residential water and wastewater bills of the proposed rate design at various usage levels. The results of the analysis are included in **Appendix A**.

Table ES-9

TOWN OF CLARKDALE									
Proposed Water Rate Plan									
Scenario: 2023 11 08 – Scenario I -- Status Quo									
		Current	Effective Feb-24	Effective Jan-25	Effective Jan-26	Effective Jan-27	Effective Jan-28		
Water Rates									
Monthly Minimum Charge									
5/8" & 3/4"		\$ 28.30	\$ 30.85	\$ 33.62	\$ 35.98	\$ 38.50	\$ 41.19		
1"		46.96	51.19	55.79	59.70	63.88	68.35		
1 1/2"		93.93	102.38	111.60	119.41	127.77	136.71		
2"		150.52	164.07	178.83	191.35	204.75	219.08		
3"		301.04	328.13	357.67	382.70	409.49	438.16		
4"		475.64	518.45	565.11	604.67	646.99	692.28		
6"		951.29	1,036.91	1,130.23	1,209.34	1,294.00	1,384.58		
8"		1,502.79	1,638.04	1,785.46	1,910.45	2,044.18	2,187.27		
Volume Rate Per 1,000 Gal									
1,001	5,000	4.96	5.41	5.89	6.31	6.75	7.22		
5,001	10,000	5.15	5.61	6.12	6.55	7.01	7.50		
10,001	15,000	7.21	7.86	8.57	9.17	9.81	10.49		
15,001	20,000	7.46	8.13	8.86	9.48	10.15	10.86		
20,001	25,000	10.65	11.61	12.65	13.54	14.49	15.50		
25,001	30,000	10.85	11.83	12.89	13.79	14.76	15.79		
30,001	Above	11.00	11.99	13.07	13.98	14.96	16.01		

Table ES-10

TOWN OF CLARKDALE													
Proposed Wastewater Rate Plan													
<u>Scenario:</u>		2023 11 08 -- Scenario I -- Status Quo											
		Current		Effective Feb-24		Effective Jan-25		Effective Jan-26		Effective Jan-27		Effective Jan-28	
Wastewater Rates													
Residential													
Monthly Charge		\$	54.00	\$	56.70	\$	58.97	\$	61.33	\$	65.62	\$	70.21
Non-Residential													
Monthly Minimum Charge		\$	54.00	\$	56.70	\$	58.97	\$	61.33	\$	65.62	\$	70.21
Volume Rate/1,000 Gal													
5,001	Above		2.25		2.36		2.46		2.56		2.73		2.93

Table ES-11

TOWN OF CLARKDALE													
Impact on Monthly Bills													
Scenario: 2023 11 08 -- Scenario I -- Status Quo													
		Current	Effective Feb-24	Effective Jan-25	Effective Jan-26	Effective Jan-27	Effective Jan-28						
Water/WW Charge													
Residential - 5/8"													
5,000 Gal	Total	\$ 102.14	\$ 109.17	\$ 116.16	\$ 122.53	\$ 131.10	\$ 140.28						
	Increase -- \$		7.03	6.99	6.36	8.58	9.18						
	Increase -- %		6.9%	6.4%	5.5%	7.0%	7.0%						
10,000 Gal	Total	127.89	137.24	146.76	155.26	166.13	177.76						
	Increase -- \$		9.35	9.52	8.50	10.87	11.63						
	Increase -- %		7.3%	6.9%	5.8%	7.0%	7.0%						
15,000 Gal	Total	163.94	176.53	189.59	201.09	215.17	230.23						
	Increase -- \$		12.59	13.05	11.50	14.08	15.06						
	Increase -- %		7.7%	7.4%	6.1%	7.0%	7.0%						
Commercial - 5/8"													
25,000	Total	\$ 299.49	\$ 322.48	\$ 346.31	\$ 367.31	\$ 393.02	\$ 420.53						
	Increase -- \$		22.99	23.83	21.00	25.71	27.51						
	Increase -- %		7.7%	7.4%	6.1%	7.0%	7.0%						
50,000	Total	629.99	680.48	733.57	779.84	834.42	892.83						
	Increase -- \$		50.49	53.09	46.26	54.59	58.41						
	Increase -- %		8.0%	7.8%	6.3%	7.0%	7.0%						

SECTION I

Introduction

Background



In March 2023, the Town of Clarkdale, Arizona (“the Town”) engaged **economists.com** to conduct a Water and Wastewater Rate Study and Long-Term Financial Plan. The Town identified numerous objectives for this study, including but not limited to the following:

- A comprehensive analysis and evaluation of the water and wastewater systems’ current cost of service and revenue requirements
- A forecast of operating expenses over the next decade, taking into consideration salient factors such as cost of water and wastewater treatment, inflation, system growth, and increases in staffing levels
- An estimate of current and forecast accounts, volumes, and billing units for the ten-year forecast period
- A thorough assessment, review, and update of the water and wastewater system’s known capital improvement needs, as well as a determination of the need for funding capital requirements through the issuance of long-term debt
- An evaluation of the current water and wastewater rate structures and revenue recovered versus the revenue requirement, both overall and for each customer class
- The development of a rate structure that would recover the Town’s cost of service, ensure equitable, just, and reasonable treatment of identified customer classes, and maintain critical financial ratios

The analysis and recommendations presented in this study achieve all the objectives outlined.

In conjunction with Town staff, the project team evaluated alternative rate structures, which would enable the Town to achieve these objectives while continuing to provide ratepayers with a superior quality of municipal water and wastewater service.

Report Organization

This report is organized into the following sections:

Section I – Introduction - outlines objectives and scope of this rate study and long-term financial plan. Also presents the Town's current rate structure and a comparison of the Town's water and wastewater charges with other Arizona cities.

Section II – Water and Wastewater Test Year and Forecast Volumes – analyzes the Town's customer base, total accounts and current volumes of treated water and wastewater. This section presents totals for the current year and a forecast ten-years into the future.

Section III – Water and Wastewater Test Year and Forecast Revenue Requirement – outlines the process of analyzing the Town's current water and wastewater utility cost structure. The total current or "test year" revenue requirements are developed, and costs are functionalized. Using the test year as a basis, costs are forecast for a ten-year period.

Section IV – Water and Wastewater Rate Design – Presents the final rate recommendation for the Town, which would enable it to meet its revenue requirements over the next decade. Also presents an analysis of the impact of the rate scenario on each defined customer class.

Appendix A – Water and Wastewater Rate Model

Water and Wastewater Current Rates

Table I-1 summarizes the Town's current water and wastewater rate structure.

Table I-1

TOWN OF CLARKDALE				
CURRENT WATER AND WASTEWATER RATES				
WATER Rates All Classes			Wastewater Rates	
Monthly Charge			<u>Residential</u>	
5/8" & 3/4"	\$	28.30	Monthly Charge	\$ 54.00
1"		46.96		
2"		150.52		
3"		301.04		
4"		475.64	<u>Non-Residential</u>	
6"		951.29	Minimum Charge	\$ 54.00
8"		1,502.79		
Volume Rate (per 1,000 Gallons)			Volume Rate (per 1,000 Gallons)	
1,001	5,000	4.96	5,001	Above 2.25
5,001	10,000	5.15		
10,001	15,000	7.21		
15,001	20,000	7.46		
20,001	25,000	10.65		
25,001	30,000	10.85		
30,001	Above	11.00		

The monthly service charge for all water accounts includes a monthly minimum charge based on the size and type of meter serving the customer. Customers with a larger meter size are assessed a larger minimum charge based on their respective meter size. All water customers are billed based on a seven-tier system per 1,000 gallons of usage after the first 1,000 gallons. The higher the tier of usage the higher the cost per 1,000 gallons. This rate structure encourages water conservation.

Residential wastewater customers are billed based on a flat fee of \$54.00. Non-Residential wastewater customers are billed a base charge of \$54.00, which includes the first 5,000 gallons. After the first 5,000 gallons, Non-Residential customers pay \$2.25 per 1,000 gallons.

Water and Wastewater Rate Comparison

Table I-2 compares Clarkdale's monthly water and wastewater average bills to several cities and towns located in Arizona. The average residential user in the Town consumes approximately 4,900 gallons monthly. Volumes of 5,000 gallons water and 5,000 gallons wastewater, and a 5/8" meter size were used for the comparison as they represent typical usage levels for an average household in Clarkdale.

The rate data is based on published rates and ordinances posted by each municipality on their websites and the WIFA Rates Dashboard. These rates do not include sales tax, activation or other charges beyond the monthly base and volume charges. Additionally, where appropriate, certain cities that charge for service based on cubic feet of water have had their rates converted to an equivalent charge per 1,000 gallons. For 5,000 gallons of water usage and 5,000 gallons of wastewater service with a 5/8" meter, a residential ratepayer in Clarkdale pays approximately \$102.14 (not including taxes).

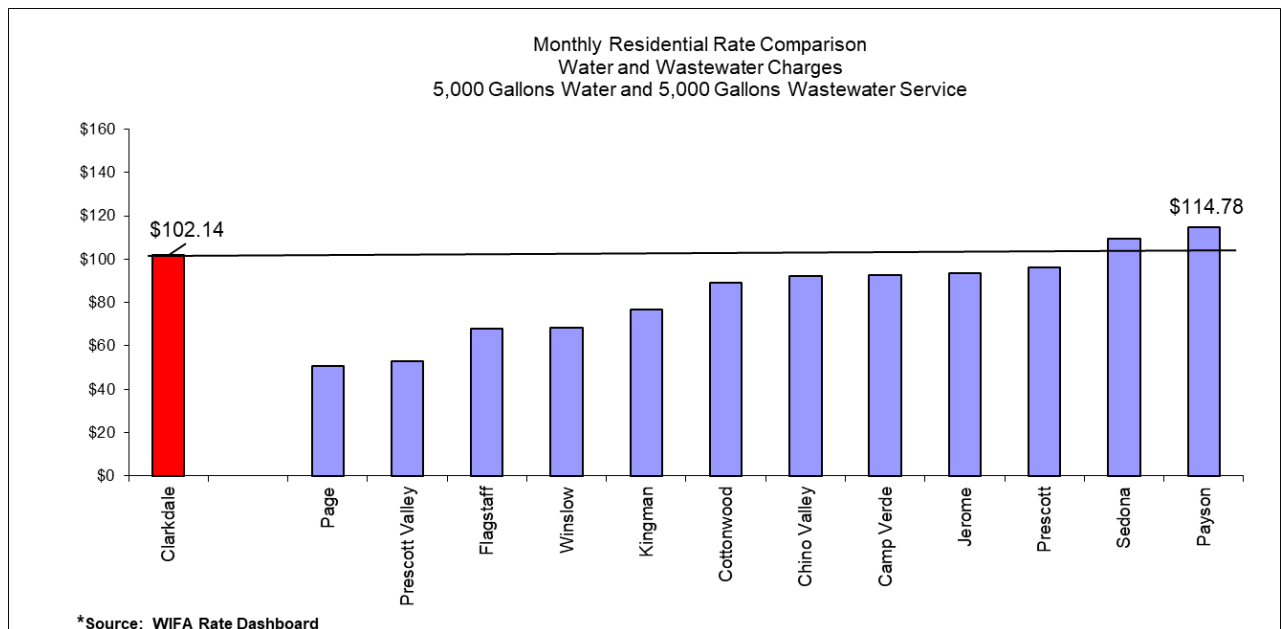
Table I-2

TOWN OF CLARKDALE			
MONTHLY RESIDENTIAL CHARGES -- 5,000 GALLONS WATER AND WASTEWATER			
	Water	Wastewater	Total
Clarkdale	\$ 48.14	\$ 54.00	\$ 102.14
Prescott	41.24	54.97	\$ 96.21
Prescott Valley	25.05	27.77	52.82
Cottonwood	40.45	48.75	89.20
Camp Verde	40.50	52.20	92.70
Jerome	41.05	52.67	93.72
Sedona	48.14	61.11	109.25
Chino Valley	32.01	60.00	92.01
Flagstaff	41.26	26.75	68.01
Winslow	29.57	38.88	68.45
Kingman	20.66	56.10	76.76
Page	18.83	31.96	50.79
Payson	60.78	54.00	114.78
Source: Cities' Websites; US Census Bureau & 2023 TML Water & Wastewater Rate Survey			

This type of comparison may have the unintended effect of discriminating against communities who choose to finance system expansions through current rates or revenue bonds, which are included in rates, as opposed to those who utilize general obligation bonds, which are funded through taxes. All else being equal, a Town that primarily or exclusively uses general obligation bonds will have a lower water rate per 1,000 gallons but a higher tax rate.

With these caveats in mind, **Chart I-3** presents a graphic comparison of residential water and wastewater monthly charges for 5,000 gallons.

Chart I-3



SECTION II

Water and Wastewater Test Year and Forecast Volumes



To accurately forecast future revenues and expenses, it is necessary to examine current water and wastewater utility conditions. The first step in developing cost of service rates is to analyze patterns of usage, both for the system as a whole and for specified customer classes.

For the Town of Clarkdale, water consumption records maintained by the Town were reviewed for a four-year period dating back to July 2019. These records provided information on the monthly water volumes distributed system-wide by account type as well as the number of accounts by meter size for each month and the associated revenues.

According to standard utility ratemaking methodology, to allocate revenue requirements equitably among system users, customers must be classified into relatively homogeneous groups with similar usage characteristics or service demands. Costs are then allocated to the customer classes in proportion to the usage characteristics of each class. For the water system, costs are typically allocated to customers based on their average and peak water demands. For the wastewater system, costs are allocated to customers based on their estimated wastewater flows, and in some cases, based on wastewater strengths.

After thoroughly examining volume and customer data, the project team made no revisions to the Town's rate classifications. The project team finds these customer class distinctions to be reasonable and appropriate for the Town of Clarkdale, meeting the criteria of homogenous groups with similar usage patterns.

In this section, the Town's functional customer classes and test year usage patterns will be thoroughly analyzed. A ten-year projection of customers and usage will also be presented. These forecasts, along with the revenue requirements, will form the basis of the rate design recommendations.

Water and Wastewater Customers and Meters – Test Year & Ten-Year Forecast

The Town's water and wastewater customers are categorized by customer class and are listed in **Table II-1**. The town has six water customer classes but only two wastewater customer classes. The Town has provided the project team with account data for all months from July 2019 through December 2022. The project team has used the December 2022 data as the basis for the test year.

The table lists all water and wastewater accounts by customer class managed by the Town of Clarkdale. As of December 2022, the Town maintained 2,322 water accounts and 1,545 wastewater accounts. **Table II-2** details

the growth projections used by the project team for the Town's water customers beginning in the Test Year and continuing through Fiscal Year 2033.

Table II-1

TOWN OF CLARKDALE			
RATE MODEL CUSTOMER CLASS			
WATER Accounts		WASTEWATER Accounts	
Residential	1,917	Residential	1,504
Multi-Family	330	Non-Residential	41
Commercial	33		
Government	24		
Other	12		
Industrial	6		
Total	2,322	Total	1,545

Table II-2

TOWN OF CLARKDALE							
FORECAST TOTAL CUSTOMERS							
WATER Customer Classes							
	Residential	Multi-Family	Commercial	Government	Other	Industrial	Total
WATER Total Customers							
2020	1,633	297	34	24	9	6	2,002
2021	1,700	338	35	24	9	6	2,111
2022	1,797	338	34	24	11	6	2,210
Last 12 Months	1,835	335	34	24	12	6	2,245
2024	1,917	330	33	24	12	6	2,322
2025	1,977	330	33	24	12	6	2,382
2026	2,037	330	33	24	12	6	2,442
2027	2,097	330	33	24	12	6	2,502
2028	2,157	330	33	24	12	6	2,562
2029	2,217	330	33	24	12	6	2,622
2030	2,277	330	33	24	12	6	2,682
2031	2,337	330	33	24	12	6	2,742
2032	2,397	330	33	24	12	6	2,802
2033	2,457	330	33	24	12	6	2,862
WATER Annual New Customers							
2021	67	41	1	-	1	-	109
2022	98	-	(0)	-	2	-	99
Last 12 Months	38	(3)	(1)	-	1	-	34
2024	82	(5)	(1)	-	1	-	77
2025	60	-	-	-	-	-	60
2026	60	-	-	-	-	-	60
2027	60	-	-	-	-	-	60
2028	60	-	-	-	-	-	60
2029	60	-	-	-	-	-	60
2030	60	-	-	-	-	-	60
2031	60	-	-	-	-	-	60
2032	60	-	-	-	-	-	60
2033	60	-	-	-	-	-	60

Table II-2 reveals that water accounts are forecast to increase an average of 60 new accounts annually through the study period. This translates to an increase in water accounts from 2,322 in the test year to 2,862 in FY 2033, an average annual increase of **2.35%**. This overall growth is illustrated further in **Chart II-3**.

Chart II-3

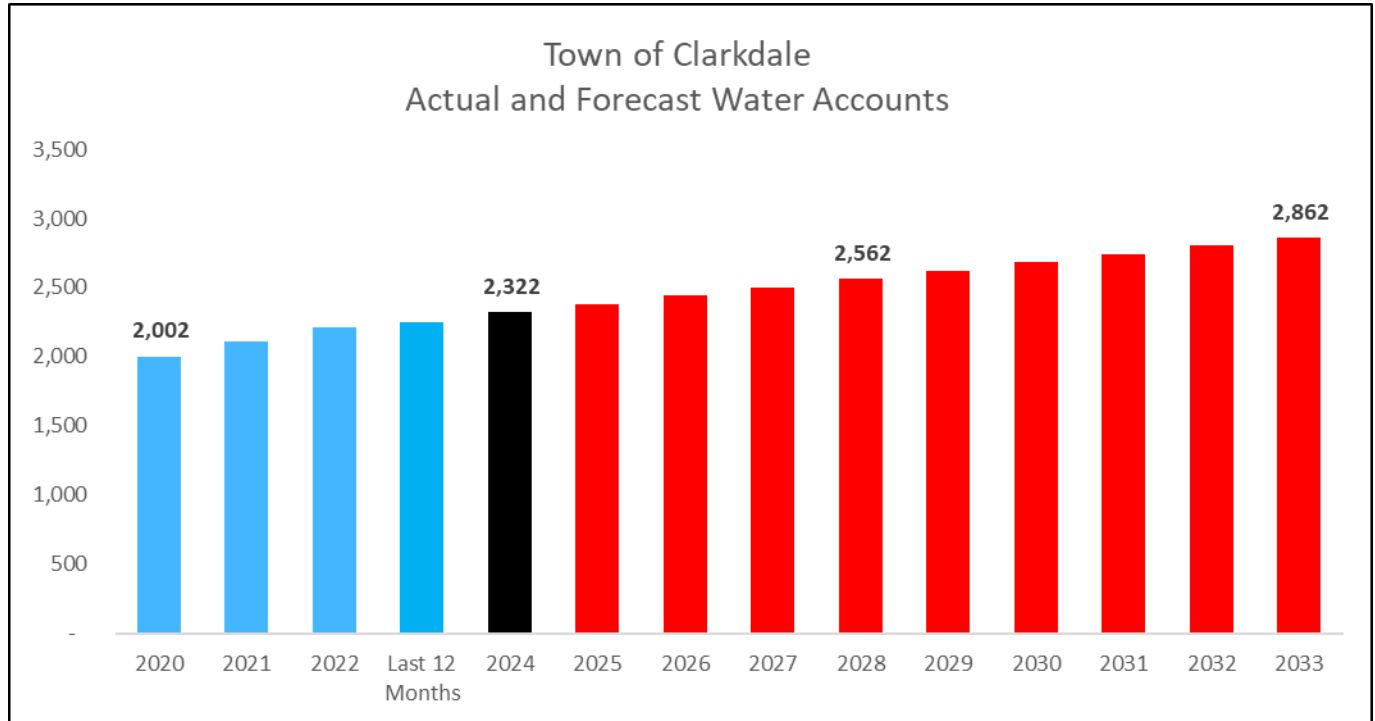


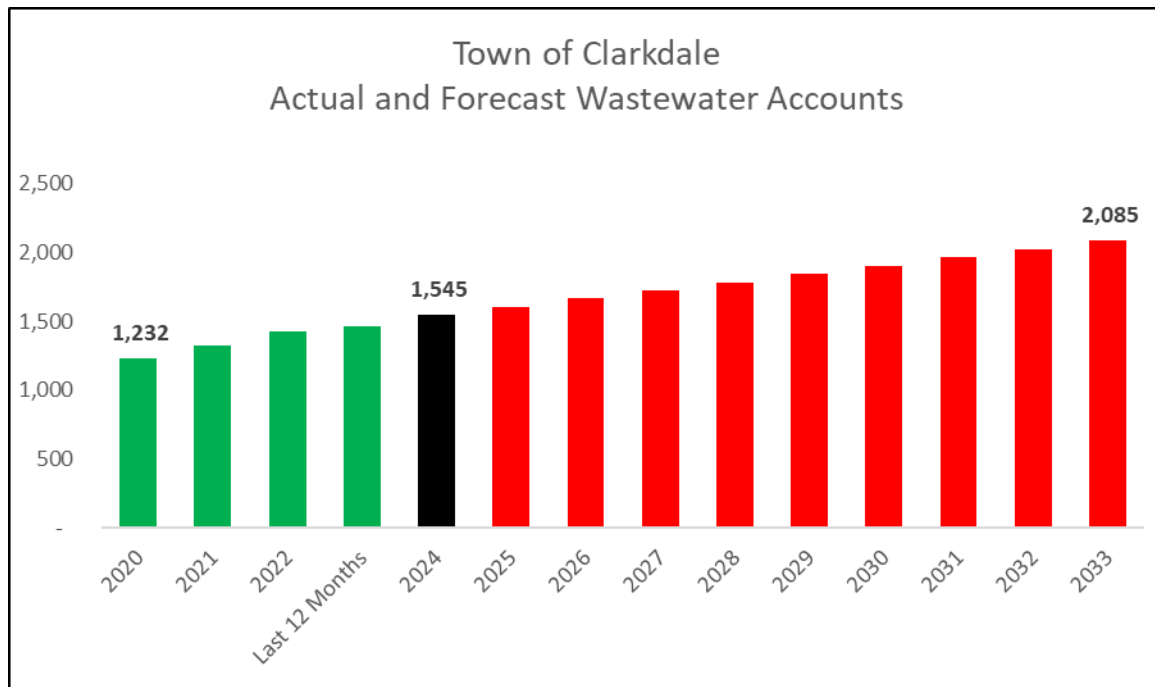
Table II-4 presents the project team's ten-year forecast of wastewater account growth. It reveals that the wastewater customer base is forecast to increase by an average of 60 accounts per year for the forecast period. Wastewater accounts are forecast to increase from 1,545 in the test year to 2,085 in FY 2033, for an average annual increase of **3.39%**.

Table II-4

TOWN OF CLARKDALE			
FORECAST TOTAL CUSTOMERS			
WASTEWATER Customer Classes			
	Residential	Non-Residential	Total
WASTEWATER Total Customers			
2020	1,186	46	1,232
2021	1,279	41	1,320
2022	1,385	41	1,426
Last 12 Months	1,424	41	1,464
2024	1,504	41	1,545
2025	1,564	41	1,605
2026	1,624	41	1,665
2027	1,684	41	1,725
2028	1,744	41	1,785
2029	1,804	41	1,845
2030	1,864	41	1,905
2031	1,924	41	1,965
2032	1,984	41	2,025
2033	2,044	41	2,085
WASTEWATER Annual New Customers			
2021	94	(5)	89
2022	105	(0)	105
Last 12 Months	39	0	39
2024	81	0	81
2025	60	-	60
2026	60	-	60
2027	60	-	60
2028	60	-	60
2029	60	-	60
2030	60	-	60
2031	60	-	60
2032	60	-	60
2033	60	-	60

Chart II-5 illustrates the overall growth of wastewater accounts.

Chart II-5



Water Billed Consumption – Ten-Year Forecast

Table II-6 and Chart II-7 present the project team's ten-year forecast of water consumption in gallons for the Town. Consistent with the growth of accounts presented above, the project team has tracked the increase in consumption for the customer classes impacted and grouped the other classes for which growth is not projected.

Despite account growth, consumption levels often decrease when rainfall levels are higher since a portion of water consumption is used for irrigation. Factors such as account growth, existing rate structure and rainfall totals each exercise various degrees of influence over the ultimate level of water consumption. Therefore, the development of the test year for the volume forecast requires that each of these factors be analyzed.

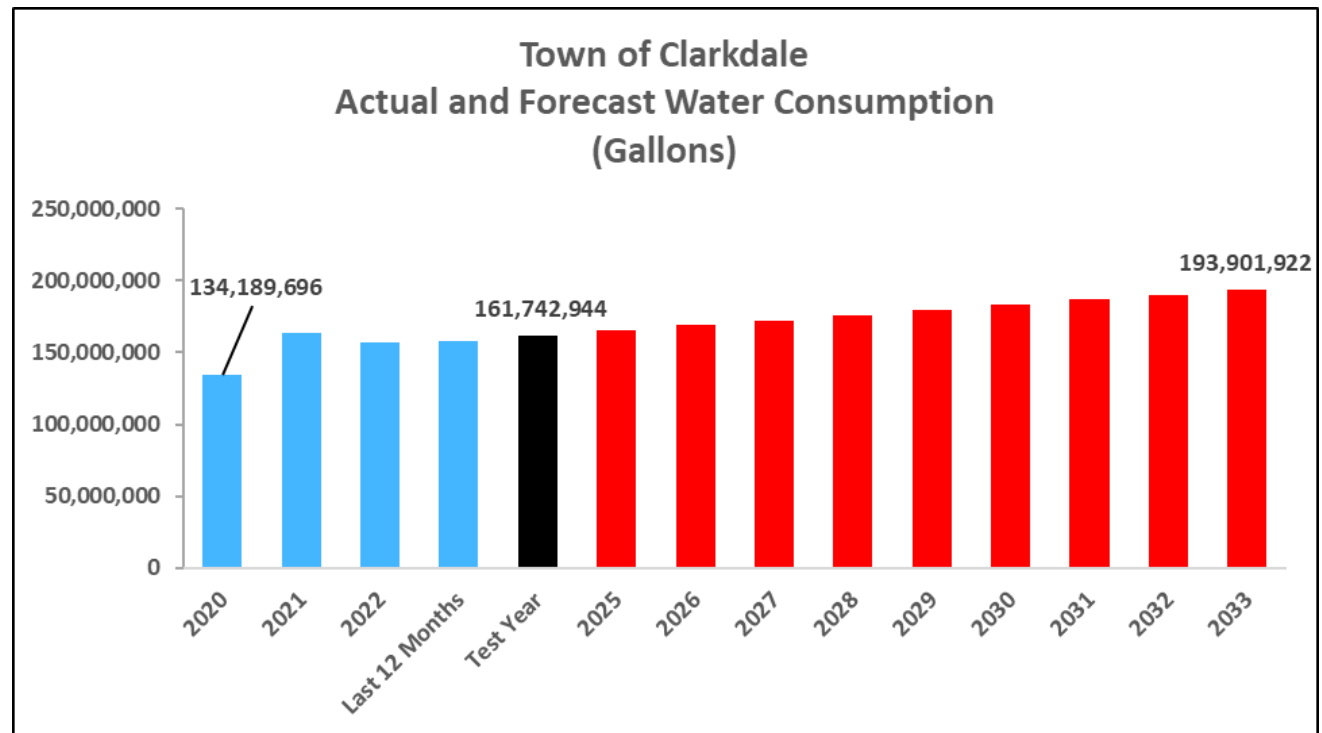
The project team's forecast is based on the following factors:

- Clarkdale's water and wastewater account growth is forecast to continue to increase by approximately 60 new accounts per year.
- Projections contained in this report include adjustments based on the impacts of normal customer growth and no drought restrictions and designed to forecast usage under normal conditions.
- Each new account added to the system will include both water and wastewater service.

Table II-6

TOWN OF CLARKDALE							
FORECAST BILLED CONSUMPTION							
WATER Customer Classes							
	Residential	Multi-Family	Commercial	Government	Other	Industrial	Total
WATER Annual Consumption							
2020	95,716,647	25,461,497	4,695,421	7,075,146	572,918	668,067	134,189,696
2021	114,959,831	33,202,960	5,392,174	8,705,634	1,059,940	701,203	164,021,742
2022	109,408,659	30,997,115	4,968,275	10,380,104	1,075,242	566,687	157,396,082
Last 12 Months	110,591,154	32,207,865	4,636,505	8,182,136	2,023,674	528,390	158,169,724
Test Year	114,164,374	32,207,865	4,636,505	8,182,136	2,023,674	528,390	161,742,944
2025	117,737,594	32,207,865	4,636,505	8,182,136	2,023,674	528,390	165,316,164
2026	121,310,814	32,207,865	4,636,505	8,182,136	2,023,674	528,390	168,889,384
2027	124,884,033	32,207,865	4,636,505	8,182,136	2,023,674	528,390	172,462,603
2028	128,457,253	32,207,865	4,636,505	8,182,136	2,023,674	528,390	176,035,823
2029	132,030,473	32,207,865	4,636,505	8,182,136	2,023,674	528,390	179,609,043
2030	135,603,693	32,207,865	4,636,505	8,182,136	2,023,674	528,390	183,182,263
2031	139,176,913	32,207,865	4,636,505	8,182,136	2,023,674	528,390	186,755,483
2032	142,750,133	32,207,865	4,636,505	8,182,136	2,023,674	528,390	190,328,703
2033	146,323,352	32,207,865	4,636,505	8,182,136	2,023,674	528,390	193,901,922

Chart II-7



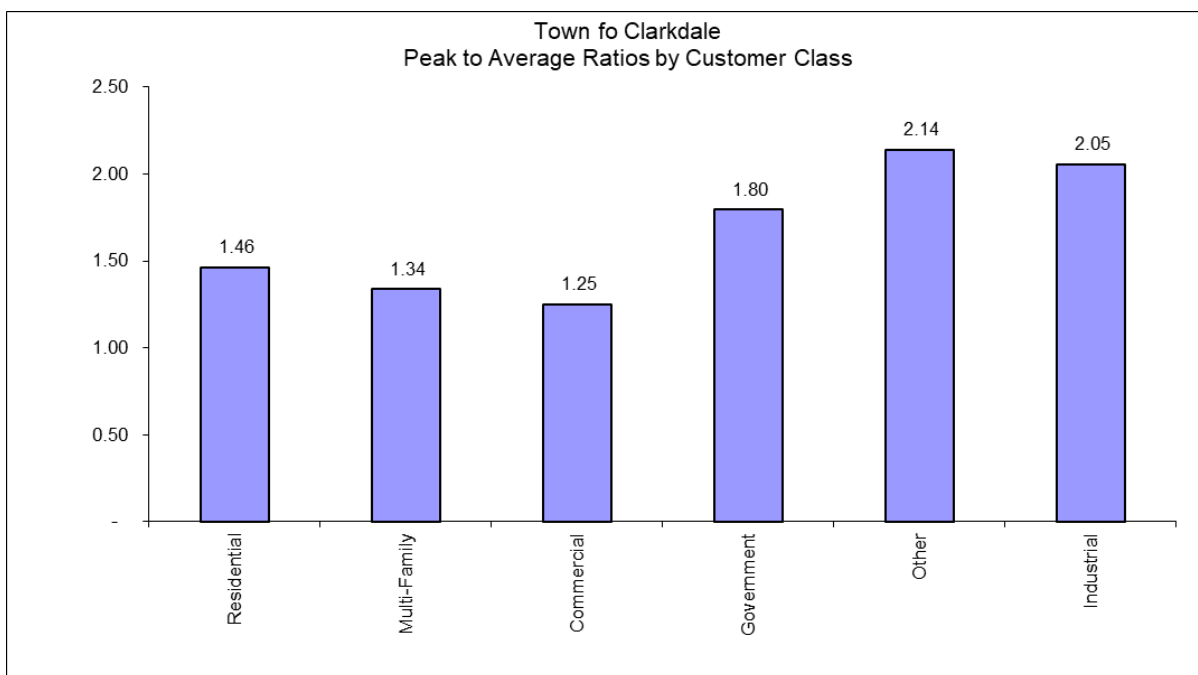
Peaking Factors

The cost of providing water to customers depends not only on the amount of water each class uses, but also on how that usage occurs over time. The maximum-day peaking requirements of a water utility's customers are an important influence on the utility's costs. Because water utilities attempt to meet all the demands of their customers, water systems are sized to meet customers' peak requirements. Therefore, during off-peak periods, there are costs associated with the unused capacity of the system. Ratemaking guidelines direct that these costs must be allocated to customers in proportion to the contribution of each customer class to the system peak. Thus, it is necessary to determine the peak rate of use relative to the average rate of use for each class. This ratio is called a **Peaking Factor**.

The calculation of peaking factors for individual classes relies on available pumping and consumption information as well as professional judgment. If customer meters could record daily flow rates for each customer, more refined information could be obtained on peaking factors. This is not feasible because of the enormous cost that would be imposed on the utility. Therefore, it is accepted practice in the water industry to develop peaking factor estimates based on standard formulas using system peak day information and monthly customer class use records. This is a conservative methodology, since customer class peaking factors based on peak months will inevitably be lower than the system-wide peaking factor, which is based on the peak day.

Based on AWWA guidelines, the customer class peaking factors calculated in this study are for non-coincidental peaks. The peaking factors developed for this analysis are based on actual monthly water consumption by customer class for the recent twelve-month period, January 2022 – December 2022. The calculations of the monthly peaking factors by customer class are shown in **Chart II-8**. The combined peak day to average ratio used in the rate model is **1.50**.

Chart II-8



Wastewater Flows – Test Year and Forecast

The forecast for billing units is derived using anticipated growth in accounts as depicted in **Table II-4**. The results of the forecast are presented in **Table II-9**.

Three points are notable about this table:

- First, many water accounts do not return wastewater to the system, particularly if they are using a septic system.
- Second, wastewater usage is not subject to the significant fluctuations experienced by water accounts. This is because the water volume fluctuation is due to outdoor usage that is not returned to the wastewater system.
- Third, the billing units are estimated by the project team based on average water usage per account.

Table II-9

TOWN OF CLARKDALE			
FORECAST WASTEWATER BILLING UNITS			
WASTEWATER Customer Classes			
	Residential	Non-Residential	Total
WASTEWATER Billing Units			
2024	89,568,711	5,760,506	95,329,217
2025	93,141,930	5,760,506	98,902,437
2026	96,715,150	5,760,506	102,475,657
2027	100,288,370	5,760,506	106,048,876
2028	103,861,590	5,760,506	109,622,096
2029	107,434,810	5,760,506	113,195,316
2030	111,008,030	5,760,506	116,768,536
2031	114,581,249	5,760,506	120,341,756
2032	118,154,469	5,760,506	123,914,976
2033	121,727,689	5,760,506	127,488,195

SECTION III

Water & Wastewater Forecast Revenue Requirement



In this section of the rate study and long-term financial plan, the Town of Clarkdale's test year and a 10-year forecast water and wastewater utility revenue requirements are developed. As noted in Section I, the test year consists of the Town's current fiscal year, July 1, 2023, through June 30, 2024.

The estimates presented in this section are based on the Town's Council-adopted budget for FY 2024, as well as a forecast of the Town's future capital improvements.

The calculation of a revenue requirement differs from a utility's budget in that it represents only that amount that must be raised through the Town's user rates. This means that non-rate revenue (such as

connection fees, late payment charges and interest) must be subtracted from the budgeted operating and capital expenditures to determine the net revenue requirement to be raised from rates.

As is typical for publicly owned utilities, Clarkdale's system revenue requirements were developed using the cash basis of ratemaking. Under the cash basis, as defined by the AWWA Manual M-1, system revenue requirements consist of cash expenditures and other financial commitments (such as debt service coverage or reserves) that must be met through system operating revenues and other revenue sources.

The following specific items are included in the Town's revenue requirements raised from rates:

O&M expenses

Operating Transfers

Capital Outlays Funded from Rates

Debt service -- Current

Debt Service – Forecast

All data used in the development of the revenue requirements was obtained from the financial statements, budgets and other information provided by Town staff.

Operating Expenses – Test Year

Table III-1 summarizes the test year FY 2024 Operating Costs by departmental category for water and wastewater for the Town.

Table III-1

TOWN OF CLARKDALE		
TEST YEAR OPERATING EXPENSES		
SCENARIO: 2023 11 08 -- Scenario I -- Status Quo		2024 Budget
WATER OPERATING EXPENDITURES & CAPITAL OUTLAYS		
P	Personnel	\$ 539,373
SU	Supplies	380,875
SE	Services	1,932,675
	SUB-TOTAL	\$ 2,852,923
WASTEWATER OPERATING EXPENDITURES		
P	Personnel	\$ 339,517
SU	Supplies	226,350
SE	Services	1,137,197
	SUB-TOTAL	\$ 1,703,064
Total Operating Expenses		\$ 4,555,986

As shown in Table III-1, the Town's operating expenses (net of Transfers, Capital outlays and Debt Service) for its water utility are forecast to be **\$2,852,923** in the test year, and **\$1,703,064** for the wastewater utility. **Table III-2** and **Table III-3** present the FY 2024 operating budget, transfers, and capital outlays in detail allocated by functional category. These totals are derived from the Town's FY 2024 budget. Capital outlays and debt service are examined in detail separately later in this section.

Table III-2

TOWN OF CLARKDALE						
WATER Operating Expenses, Transfers and Capital Outlays						
SCENARIO:		2023 11 08 -- Scenario I -- Status Quo				
		Water Budget Line Item				
		Net Budget	Supply/ Treatment	Distribution	Admin	Customer Billing
Expense Category Code						
	<u>Operating & Maintenance</u>					
P	Personnel	\$ 539,373	\$ 188,781	\$ 188,781	\$ 107,875	\$ 53,937
SU	Supplies	380,875	133,306	133,306	76,175	38,088
SE	Services	<u>1,932,675</u>	<u>676,436</u>	<u>676,436</u>	<u>386,535</u>	<u>193,267</u>
	Total Operating & Maintenance	2,852,923	998,523	998,523	570,585	285,292
	Transfers	-	-	-	-	-
	Capital Outlays	30,000	-	30,000	-	-
	Total WATER Operating Expenses, Transfers and Capital Outlays	2,882,923	998,523	1,028,523	570,585	285,292

Table III-3

TOWN OF CLARKDALE						
WASTEWATER Operating Expenses, Transfers and Capital Outlays						
SCENARIO: 2023 11 08 -- Scenario I -- Status Quo						
Water Budget Line Item						
		Net Budget	Treatment	Collection	Admin	Customer Billing
Expense Category Code						
<u>Operating & Maintenance</u>						
P	Personnel	\$ 339,517	\$ 118,831	\$ 118,831	\$ 67,903	\$ 33,952
SU	Supplies	226,350	79,223	79,223	45,270	22,635
SE	Services	1,137,197	398,019	398,019	227,439	113,720
Total Operating & Maintenance		1,703,064	596,072	596,072	340,613	170,306
Transfers		-	-	-	-	-
Capital Outlays		30,000	-	30,000	-	-
Total WASTEWATER O&M Exp., Transfers and Capital Outlays		1,733,064	596,072	626,072	340,613	170,306

Operating Expenses and Capital Outlays – Ten Year Forecast

Table III-4 presents the water and wastewater utility operating expense and capital outlays forecast for the ten-year period FY 2024 – FY 2033. Details behind these calculations can be found in the rate model contained in Appendix A. This forecast is based on the following set of assumptions:

- For FY 2025 and FY 2026, the water and wastewater operating expenses are assumed to increase at a 4% rate of inflation, returning to 3.0% per year after 2026.
- Certain operating expenses are one-time expenses budgeted in the FY 2024 budget and will go away in FY 2025 and after, additional details on these expenses can be found in Appendix A.
- In addition to anticipated inflationary increases, there are other factors that are considered when forecasting various expense items. One such factor is that certain expense categories are expected to increase at rates greater than the average inflation rate. Account growth and volume growth will also affect certain expense categories, and some of the expense items are vulnerable to a combination of these greater than average increases. Items that are affected by general inflation, premium escalation, and account growth are primarily energy related items such as gas and electric expenses, fuels, and lubricants.
- Certain personnel related expenses such as insurance are projected to increase at a higher rate annually.
- Certain water and wastewater expenses are forecast to increase at a rate which reflects both inflation and the growth of new accounts.

Table III-4

TOWN OF CLARKDALE									
FORECAST OPERATING EXPENSES AND CAPITAL OUTLAYS									
SCENARIO: 2023 11 08 -- Scenario I -- Status Quo									
	Water			Wastewater			Total Water & Wastewater		
	Operating Expense	Capital Outlays	Total	Operating Expense	Capital Outlays	Total	Operating Expense	Capital Outlays	Total
2024	\$ 2,852,923	\$ 30,000	\$ 2,882,923	\$ 1,703,064	\$ 30,000	\$ 1,733,064	\$ 4,555,986	\$ 60,000	\$ 4,615,986
2025	1,752,606	20,000	1,772,606	1,017,739	20,000	1,037,739	2,770,345	40,000	2,810,345
2026	1,878,066	20,000	1,898,066	1,056,075	20,000	1,076,075	2,934,141	40,000	2,974,141
2027	1,916,630	20,000	1,936,630	1,168,222	20,000	1,188,222	3,084,852	40,000	3,124,852
2028	1,956,470	20,000	1,976,470	1,202,839	20,000	1,222,839	3,159,309	40,000	3,199,309
2029	1,997,629	20,000	2,017,629	1,238,604	20,000	1,258,604	3,236,234	40,000	3,276,234
2030	2,040,153	20,000	2,060,153	1,275,558	20,000	1,295,558	3,315,711	40,000	3,355,711
2031	2,084,089	20,000	2,104,089	1,313,740	20,000	1,333,740	3,397,829	40,000	3,437,829
2032	2,129,485	20,000	2,149,485	1,353,193	20,000	1,373,193	3,482,678	40,000	3,522,678
2033	2,176,392	20,000	2,196,392	1,393,961	20,000	1,413,961	3,570,353	40,000	3,610,353

Existing Debt Service

The City currently has three bond issues outstanding in the Test Year that were issued to fund both water and wastewater system improvements. Debt service on these issues is currently being paid from contributions from the City's Utility System Revenue. The debt service requirement for the current debt in the Test Year is \$719,700. None of the current outstanding bonds are retiring in the next ten years.

Table III-5 presents debt service for the debt currently outstanding.

Table III-5

TOWN OF CLARKDALE					
CURRENT DEBT SERVICE					
SCENARIO: 2023 11 08 -- Scenario I -- Status Quo					
Debt Service					
	1	2	3		
	Series	Series	Series		
	910152-12	920235-13	920264-16	Total Debt	
				Service	
WATER Debt Service					
2024	\$ -	\$ 46,851	\$ 454,332	\$	501,183
2025	-	46,838	454,279		501,117
2026	-	46,825	454,224		501,049
2027	-	46,812	454,169		500,981
2028	-	46,798	454,113		500,911
2029	-	46,784	454,056		500,840
2030	-	46,770	453,998		500,768
2031	-	46,755	453,939		500,694
2032	-	46,740	453,879		500,619
2033	-	40,673	453,818		494,491
WASTEWATER Debt Service					
2024	\$ 218,518	\$ -	\$ -	\$	218,518
2025	218,449	-	-		218,449
2026	218,379	-	-		218,379
2027	218,307	-	-		218,307
2028	218,232	-	-		218,232
2029	218,156	-	-		218,156
2030	218,077	-	-		218,077
2031	217,996	-	-		217,996
2032	217,912	-	-		217,912
2033	217,826	-	-		217,826
TOTAL Debt Service					
2024	\$ 218,518	\$ 46,851	\$ 454,332	\$	719,700
2025	218,449	46,838	454,279		719,566
2026	218,379	46,825	454,224		719,428
2027	218,307	46,812	454,169		719,288
2028	218,232	46,798	454,113		719,144
2029	218,156	46,784	454,056		718,996
2030	218,077	46,770	453,998		718,845
2031	217,996	46,755	453,939		718,690
2032	217,912	46,740	453,879		718,531
2033	217,826	40,673	453,818		712,317

Capital Improvement Plan

Like most towns, Clarkdale maintains an extensive and detailed capital improvement program to repair, maintain and expand its water and wastewater system. Minor capital improvements are contained in the Town's budget. Major capital improvements are funded through a series of debt issued by the Town.

Town staff and the project team worked together to develop the Town's forecast capital improvements needs in FY 2024 – FY 2028. The forecast CIP is presented in **Table III-6**. As the table reveals, the Town is forecast to spend **\$18,397,500** in total capital improvements in the next five years.

Table III-6

TOWN OF CLARKDALE

CAPITAL IMPROVEMENT PLAN FOR FUNDING THROUGH NEW BOND ISSUES

FY 2024 - FY 2028

SCENARIO:2023 11 08 -- Scenario I -- Status Quo

WATER PROJECTS

Project Description		
Treatment - Arsenic Plant Expansion	\$	5,500,000
Production - Well 3 Reactivation		1,005,000
89A Reservoir Rehab		225,000
Arsenic Treatment Plant Backwash Tank & Lift Station		285,000
ATP Vessel Maintenance, Diagnostics, & Repair		250,000
Distribution - Mid Zone PRV		375,000
Infrastructure - Water Line Research		50,000
Distribution - Panorama Booster Station Rehab		330,000
Distribution - Water Storage Expansion		2,200,000
Haskell Springs Tank Coating		200,000
Haskell Well Pump Emergent Repairs		50,000
Rate Study and IIP (Infrastructure Plan)		150,000
Cellular Meter Program		562,500
Total Water	\$	11,182,500

WASTEWATER PROJECTS

Project Description		
Treatment - WWTP Expansion	\$	6,050,000
Sludge Drying Beds		110,000
VRIC Property Rehab		150,000
First South Sewer		200,000
Third North Sewer Realignment		610,000
SCADA Upgrades		50,000
Reclaim Feasibility		45,000
Total Wastewater	\$	7,215,000

Total Water and Wastewater Projects	\$	18,397,500
-------------------------------------	----	------------

The Town plans to use several different funding sources in order to pay for the Capital Improvement Plan. For water capital improvements, the Town plans to use Water Capacity Fees totaling \$963,645, Water Resource Development Fees totaling \$471,750, Fund Balance draw down totaling \$1,602,921, Grant totaling \$562,500, and long-term debt totaling \$8,000,000 from FY 2024 – FY 2028.

For wastewater capital improvements, the Town plans to use Sewer Capacity Fees totaling \$1,440,990, capital transfer in of \$650,000, and long-term debt totaling \$5,500,000 from FY 2024 – FY 2028.

The Town is forecast to issue additional revenue bonds during the 2029-2033 period as future capital projects are developed. Annual forecast debt issuances are presented in **Table III-7**.

Table III-7

TOWN OF CLARKDALE			
WATER/WASTEWATER FUTURE BOND ISSUES			
	Water	Wastewater	Total
2024	\$ -	\$ -	\$ -
2025	-	500,000	500,000
2026	2,000,000	-	2,000,000
2027	1,000,000	5,000,000	6,000,000
2028	5,000,000	-	5,000,000
2029	2,000,000	-	2,000,000
2030	-	-	-
2031	-	-	-
2032	2,000,000	-	2,000,000
2033	-	-	-
Total	\$ 12,000,000	\$ 5,500,000	\$ 17,500,000
Total 2024-2028	8,000,000	5,500,000	13,500,000
Total 2029-2033	4,000,000	-	4,000,000

Debt Service – Forecast

Table III-8 presents current and forecast debt service, assuming that the Town issues new water and wastewater debt in FY 2025-2033 to fund the CIP projects according to the schedule presented in Table III-7. It is projected that newly-issued bond will be repaid entirely with Utility System Revenues. Future revenue debt is assumed to have a 25-year term, 4.0% interest rate and level principal and interest payments.

These assumptions are preliminary in nature and subject to change. Should the Town Council choose to issue more or less revenue debt than assumed in this study, or should different finance terms be available at the time the debt is issued, then the rate plans contained in this study may require revision.

Table III-8

TOWN OF CLARKDALE						
CURRENT AND FORECAST DEBT SERVICE						
SCENARIO: 2023 11 08 -- Scenario I -- Status Quo						
Year	Water		Wastewater		Total	
	Current	Forecast	Current	Forecast		
2024	\$ 501,183	\$ -	\$ 218,518	\$ -	\$ 719,700	
2025	501,117	-	218,449	-	719,566	
2026	501,049	-	218,379	32,646	752,075	
2027	500,981	130,584	218,307	32,646	882,518	
2028	500,911	195,877	218,232	359,107	1,274,127	
2029	500,840	522,338	218,156	359,107	1,600,441	
2030	500,768	652,922	218,077	359,107	1,730,874	
2031	500,694	652,922	217,996	359,107	1,730,719	
2032	500,619	652,922	217,912	359,107	1,730,560	
2033	494,491	783,506	217,826	359,107	1,854,930	

Non-Rate Revenues

Although sales revenues constitute most of the revenue received by the Town for water and wastewater service, a certain amount of revenue is accrued from non-rate sources. These revenues include other general revenues, surcharges, penalty charges, and miscellaneous revenues. These non-rate revenues are subtracted from the overall budget to determine the revenue requirement to be raised from rates. In FY 2024, there are several one-time fund balance appropriation transfers to pay for one-time expenses. These non-rate revenue transfers will go away after FY 2024. Additional details on these transfers can be found in Appendix A. Non-rate revenues are presented in **Table III-9**.

Table III-9

TOWN OF CLARKDALE

FORECAST NON-RATE REVENUES

SCENARIO:

2023 11 08 -- Scenario I -- Status Quo

	Water	Wastewater	Total Water & WW
2024	\$ 1,331,343	\$ 921,938	\$ 2,253,281
2025	191,343	121,938	313,281
2026	191,343	121,938	313,281
2027	191,343	121,938	313,281
2028	191,343	121,938	313,281
2029	191,343	121,938	313,281
2030	191,343	121,938	313,281
2031	191,343	121,938	313,281
2032	191,343	121,938	313,281
2033	191,343	121,938	313,281

Net Revenue Requirement

Table III-10 presents the test year and ten-year forecast for the Town's net revenue requirement to be raised from rates. Detailed calculations are presented in the rate model contained in **Appendix A** of this report.

Table III-10

TOWN OF CLARKDALE									
CURRENT AND FORECAST NET REVENUE REQUIREMENT									
SCENARIO: 2023 11 08 – Scenario I – Status Quo									
	Operating Expenses	Capital Outlays	Debt Service	Total Cost of Service	Less Non-Rate Revenues	Net Revenue Requirement			
WATER Revenue Requirement									
2024	\$ 2,852,923	\$ 30,000	\$ 501,183	\$ 3,384,105	\$ 1,331,343	\$ 2,052,762			
2025	1,752,606	20,000	501,117	2,273,722	191,343	2,082,379			
2026	1,878,066	20,000	501,049	2,399,116	191,343	2,207,773			
2027	1,916,630	20,000	631,565	2,568,196	191,343	2,376,853			
2028	1,956,470	20,000	696,788	2,673,258	191,343	2,481,915			
2029	1,997,629	20,000	1,023,178	3,040,807	191,343	2,849,464			
2030	2,040,153	20,000	1,153,690	3,213,843	191,343	3,022,500			
2031	2,084,089	20,000	1,153,616	3,257,705	191,343	3,066,362			
2032	2,129,485	20,000	1,153,541	3,303,026	191,343	3,111,683			
2033	2,176,392	20,000	1,277,997	3,474,389	191,343	3,283,046			
WASTEWATER Revenue Requirement									
2024	\$ 1,703,064	\$ 30,000	\$ 218,518	\$ 1,951,582	\$ 921,938	\$ 1,029,644			
2025	1,017,739	20,000	218,449	1,256,188	121,938	1,134,250			
2026	1,056,075	20,000	251,025	1,327,100	121,938	1,205,162			
2027	1,168,222	20,000	250,953	1,439,175	121,938	1,317,237			
2028	1,202,839	20,000	577,339	1,800,178	121,938	1,678,240			
2029	1,238,604	20,000	577,263	1,835,867	121,938	1,713,929			
2030	1,275,558	20,000	577,184	1,872,742	121,938	1,750,804			
2031	1,313,740	20,000	577,103	1,910,843	121,938	1,788,905			
2032	1,353,193	20,000	577,019	1,950,213	121,938	1,828,275			
2033	1,393,961	20,000	576,933	1,990,894	121,938	1,868,956			
TOTAL Revenue Requirement									
2024	\$ 4,555,986	\$ 60,000	\$ 719,700	\$ 5,335,687	\$ 2,253,281	\$ 3,082,406			
2025	2,770,345	40,000	719,566	3,529,910	313,281	3,216,629			
2026	2,934,141	40,000	752,075	3,726,215	313,281	3,412,934			
2027	3,084,852	40,000	882,518	4,007,370	313,281	3,694,089			
2028	3,159,309	40,000	1,274,127	4,473,436	313,281	4,160,155			
2029	3,236,234	40,000	1,600,441	4,876,674	313,281	4,563,393			
2030	3,315,711	40,000	1,730,874	5,086,585	313,281	4,773,304			
2031	3,397,829	40,000	1,730,719	5,168,548	313,281	4,855,267			
2032	3,482,678	40,000	1,730,560	5,253,238	313,281	4,939,957			
2033	3,570,353	40,000	1,854,930	5,465,283	313,281	5,152,002			

Water Utility Cost Functionalization

Once the total water and wastewater system costs have been identified, the next step in the rate development process is to isolate the costs associated with each system function. Some of these expenditures are a function of base water demand; others are based on the peak demands placed on the system. Certain costs are associated with serving customers regardless of the volume of water use or wastewater discharge. The basic steps used to allocate the Town's water revenue requirements include the following:

1. Each system's costs (revenue requirements) are categorized by utility function (i.e., treatment, distribution, administrative, customer). This process is known as *functionalization*.
2. Functionalized costs are classified based on the service characteristics or the types of demand served by the utility (base and maximum day). This process is known as *classification*.
3. Costs by service characteristic are allocated to customer classes in proportion to the service demands demonstrated by each class.

This three-step process allows for the allocation of system costs in the same terms as customer classes. The approaches described in this section follow standard industry practices. Water system costs are allocated to the following functions:

Treatment – the process by which raw water is converted to potable water

Distribution – the lines that carry water to individual customers' properties

Administration – miscellaneous overhead and other non-operating costs

Customer Billing – the processes involved in billing and providing other services to customers

The project team allocated operating budget line-item expenses individually to system functions based on general guidelines, specific research, and input from Town staff. The results of the allocation process for the test year are presented in **Table III-11**. The rate model presented in Appendix A includes a detailed listing of the allocations by line item.

Table III-11

TOWN OF CLARKDALE			
TEST YEAR WATER COST FUNCTIONALIZATION			
SCENARIO: 2023 11 08 – Scenario I – Status Quo			
Function	Revenue Requirement	Percent	
Treatment	\$ 605,693	29.5%	
Distribution	927,903	45.2%	
Administration	346,110	16.9%	
Customer	173,055	8.4%	
Total	2,052,762	100.0%	

Water Utility Cost Classification

The allocation of functionalized water system costs to service characteristics follows the base-extra capacity cost allocation method recommended by AWWA. Using this method, costs are segregated into the following categories:

Base costs – capital costs and O&M expenses associated with service to customers under average demand conditions. This category does not include any costs attributable to variations in water use resulting from peaks in demand. Base costs tend to vary directly with the total quantity of water used.

Maximum Day/Extra Capacity costs – costs attributable to facilities that are designed to meet peaking requirements. These costs include capital and operating charges for additional plant and system capacity beyond that required for average usage.

Customer Billing costs – costs associated with any aspect of customer service, including billing, accounting, and meter services. These costs are independent of the amount of water used and the size of the customer's meter and are not subject to peaking factors.

Limitations in the availability of information resulted in the decision not to attempt to allocate costs further to the maximum hour component.

According to AWWA Manual M-1 (p. 12), in the base-extra capacity method, care must be taken in separating costs between those devoted to base capacity and those devoted to extra capacity. Based on general industry standards, the Town's peak to average capacity factor is assumed to be 1.5. The peak to average factor is calculated by dividing the volume on the peak day of the year by the average daily volume. This means that facilities designed to meet maximum-day requirements, such as the treatment and distribution functions, are allocated 50.00% to base, and 50.00% to extra capacity.

All customer service-related costs are allocated 100% to customer billing. Administration costs are generally not directly assignable to individual classifications. Therefore, it is standard rate-making practice to allocate these costs on an indirect basis to service characteristics.

The rate model in Appendix A provides the detailed allocations of costs to service characteristics. The system-wide costs by service characteristic are shown in **Table III-12**. As with cost functionalization, these percentages are not expected to change significantly in the forecast period.

Table III-12

TOWN OF CLARKDALE		
TEST YEAR WATER COST CLASSIFICATION		
SCENARIO: 2023 11 08 -- Scenario I -- Status Quo		
Function	2024 Revenue Requirement	Percent
Base	\$ 1,224,670	59.66%
Maximum Day	612,335	29.83%
Customer	<u>215,758</u>	<u>10.51%</u>
Total	2,052,762	100.0%

Water Utility Cost Allocation

Allocation of costs by service characteristic to customer classes is based on the proportionate use levels of each characteristic by each class. The water utility costs for Test Year 2024 by customer class are presented in **Table III-13**. Total water utility costs by customer class for the entire term of the study are summarized in **Table III-14**. Overall cost calculations are presented in detail in the rate model contained in **Appendix A**.

Table III-13

TOWN OF CLARKDALE		
TEST YEAR WATER COST ALLOCATION		
SCENARIO: 2023 11 08 -- Scenario I -- Status Quo		
Function	2024 Revenue Requirement	Percent
Residential	\$ 1,478,541	72.0%
Multi-Family	364,212	17.7%
Commercial	47,776	2.3%
Government	117,693	5.7%
Other	35,403	1.7%
Industrial	9,137	0.4%
Total	2,052,762	100.0%

Table III-14

TOWN OF CLARKDALE							
FORECAST WATER COST ALLOCATION							
SCENARIO: 2023 11 08 -- Scenario I -- Status Quo							
Year	Residential	Multi-Family	Commercial	Government	Other	Industrial	Total
2024	\$ 1,478,541	\$ 364,212	\$ 47,776	\$ 117,693	\$ 35,403	\$ 9,137	\$ 2,052,762
2025	1,512,634	361,353	47,405	116,792	35,130	9,066	2,082,379
2026	1,616,667	374,880	49,183	121,187	36,450	9,406	2,207,773
2027	1,753,831	395,101	51,840	127,747	38,421	9,913	2,376,853
2028	1,844,724	404,067	53,020	130,668	39,297	10,139	2,481,915
2029	2,132,641	454,543	59,647	147,016	44,211	11,406	2,849,464
2030	2,277,155	472,608	62,022	152,882	45,973	11,859	3,022,500
2031	2,324,836	470,167	61,705	152,115	45,740	11,798	3,066,362
2032	2,373,484	468,038	61,429	151,448	45,538	11,745	3,111,683
2033	2,518,705	484,594	63,606	156,827	47,153	12,161	3,283,046

Wastewater Utility Cost Functionalization and Classification

Wastewater system costs are allocated to the following functions:

Treatment -- the costs associated with treating wastewater volume discharges

Collection – the lines that transport wastewater from customers' properties to the wastewater treatment plant

Administration – miscellaneous overhead and other non-operating costs

Customer Billing – the processes involved in billing and other services to customers

As was the case for the water system, wastewater utility operating budget line-item expenses are allocated individually to functions. The results of the allocation process are presented in **Table III-15**. The rate model in **Appendix A** presents a detailed listing of the cost allocations by line item. As with the water utility, these percentages are not forecast to change significantly during the next ten years.

Table III-15

TOWN OF CLARKDALE			
TEST YEAR WASTEWATER COST FUNCTIONALIZATION			
SCENARIO: 2023 11 08 -- Scenario I -- Status Quo			
Function	2024 Revenue Requirement	Percent	
Treatment	\$ 314,484	30.5%	
Collection	445,601	43.3%	
Administration	179,705	17.5%	
Customer	89,853	8.7%	
Total	1,029,644	100.0%	

Wastewater Utility Cost Allocation

Allocation of wastewater utility costs by service characteristic to customer classes is performed in the same manner as described for the water utility. The wastewater utility costs for Test Year 2024 by customer class are presented in **Table III-16**. Total wastewater utility costs by customer class are summarized in **Table III-17**. The rate model in **Appendix A** presents a detailed listing of the cost calculations by line item.

Table III-16

TOWN OF CLARKDALE		
TEST YEAR WASTEWATER COST ALLOCATION		
SCENARIO: 2023 11 08 -- Scenario I -- Status Quo		
Function	2024 Revenue Requirement	Percent
Residential	\$ 971,114	94.3%
Non-Residential	58,530	5.7%
Total	1,029,644	100.0%

Table III-17

TOWN OF CLARKDALE			
FORECAST WASTEWATER COST ALLOCATION			
SCENARIO: 2023 11 08 -- Scenario I -- Status Quo			
Year	Residential	Residential	Total
2024	\$ 971,114	\$ 58,530	\$ 1,029,644
2025	1,071,773	62,478	1,134,250
2026	1,141,020	64,142	1,205,162
2027	1,249,586	67,650	1,317,237
2028	1,593,889	84,351	1,678,240
2029	1,630,549	83,380	1,713,929
2030	1,668,281	82,523	1,750,804
2031	1,707,134	81,771	1,788,905
2032	1,747,157	81,118	1,828,275
2033	1,788,401	80,556	1,868,956

SECTION IV

Water and Wastewater Rate Design



Rate design involves determining charges for each class of customers that will generate a desired level of revenue. Over the course of the engagement, the project team has participated in numerous conversations and meetings with Town staff at which alternative rate plans were discussed. As a result of these conversations and work sessions, the project team has developed the alternative long-term rate plan presented in this section.

The plan is designed to allow the Town to recover sufficient revenues to meet all operating and capital obligations, including the debt service required to fund the Town's forecast capital improvements.

Rate Design

The rate design maintains the existing rate structure for water and wastewater and introduces necessary percentage adjustments. The average percentage adjustment for both water and wastewater from FY 2024 to FY 2029 is 6.4%. It is important to note that the Town has not adjusted rates since 2018.

Some of the advantages of this scenario are:

- Consistent and easy to understand,
- Fair treatment of all customer classes,

Table IV-1 summarizes the water rate recommendations for the six-year period 2024 - 2029. The table reveals that the proposed water rate increases are higher in the years 2024 – 2025 with lower percentage adjustment after.

Table IV-2 summarizes the wastewater rate recommendations for the six-year period 2024 - 2029. The table reveals that the proposed wastewater rate increases are lower in the years 2024 – 2026 with higher percentage adjustment after.

Table IV-3 calculates the average impact on monthly residential and non-residential water and wastewater bills of the proposed rate design at various usage levels. The results of the analysis are included in **Appendix A**.

Table IV-1

TOWN OF CLARKDALE							
Proposed Water Rate Plan							
Scenario: 2023 11 08 – Scenario I – Status Quo							
	Current	Effective Feb-24	Effective Jan-25	Effective Jan-26	Effective Jan-27	Effective Jan-28	
Water Rates							
Monthly Minimum Charge							
5/8" & 3/4"	\$ 28.30	\$ 30.85	\$ 33.62	\$ 35.98	\$ 38.50	\$ 41.19	
1"	46.96	51.19	55.79	59.70	63.88	68.35	
1 1/2"	93.93	102.38	111.60	119.41	127.77	136.71	
2"	150.52	164.07	178.83	191.35	204.75	219.08	
3"	301.04	328.13	357.67	382.70	409.49	438.16	
4"	475.64	518.45	565.11	604.67	646.99	692.28	
6"	951.29	1,036.91	1,130.23	1,209.34	1,294.00	1,384.58	
8"	1,502.79	1,638.04	1,785.46	1,910.45	2,044.18	2,187.27	
Volume Rate Per 1,000 Gal							
1,001 5,000	4.96	5.41	5.89	6.31	6.75	7.22	
5,001 10,000	5.15	5.61	6.12	6.55	7.01	7.50	
10,001 15,000	7.21	7.86	8.57	9.17	9.81	10.49	
15,001 20,000	7.46	8.13	8.86	9.48	10.15	10.86	
20,001 25,000	10.65	11.61	12.65	13.54	14.49	15.50	
25,001 30,000	10.85	11.83	12.89	13.79	14.76	15.79	
30,001 Above	11.00	11.99	13.07	13.98	14.96	16.01	

Table IV-2

TOWN OF CLARKDALE							
Proposed Wastewater Rate Plan							
Scenario: 2023 11 08 – Scenario I – Status Quo							
	Current	Effective Feb-24	Effective Jan-25	Effective Jan-26	Effective Jan-27	Effective Jan-28	
Wastewater Rates							
Residential							
Monthly Charge	\$ 54.00	\$ 56.70	\$ 58.97	\$ 61.33	\$ 65.62	\$ 70.21	
Non-Residential							
Monthly Minimum Charge	\$ 54.00	\$ 56.70	\$ 58.97	\$ 61.33	\$ 65.62	\$ 70.21	
Volume Rate/1,000 Gal							
5,001 Above	2.25	2.36	2.46	2.56	2.73	2.93	

Table IV-3

TOWN OF CLARKDALE									
Impact on Monthly Bills									
Scenario:		2023 11 08 -- Scenario I -- Status Quo							
		Current	Effective Feb-24	Effective Jan-25	Effective Jan-26	Effective Jan-27	Effective Jan-28		
Water/WW Charge									
Residential - 5/8"									
5,000 Gal	Total	\$ 102.14	\$ 109.17	\$ 116.16	\$ 122.53	\$ 131.10	\$ 140.28		
	Increase -- \$		7.03	6.99	6.36	8.58	9.18		
	Increase -- %		6.9%	6.4%	5.5%	7.0%	7.0%		
10,000 Gal	Total	127.89	137.24	146.76	155.26	166.13	177.76		
	Increase -- \$		9.35	9.52	8.50	10.87	11.63		
	Increase -- %		7.3%	6.9%	5.8%	7.0%	7.0%		
15,000 Gal	Total	163.94	176.53	189.59	201.09	215.17	230.23		
	Increase -- \$		12.59	13.05	11.50	14.08	15.06		
	Increase -- %		7.7%	7.4%	6.1%	7.0%	7.0%		
Commercial - 5/8"									
25,000	Total	\$ 299.49	\$ 322.48	\$ 346.31	\$ 367.31	\$ 393.02	\$ 420.53		
	Increase -- \$		22.99	23.83	21.00	25.71	27.51		
	Increase -- %		7.7%	7.4%	6.1%	7.0%	7.0%		
50,000	Total	629.99	680.48	733.57	779.84	834.42	892.83		
	Increase -- \$		50.49	53.09	46.26	54.59	58.41		
	Increase -- %		8.0%	7.8%	6.3%	7.0%	7.0%		

Table IV-4 presents a summary of the forecast revenues, expenses and net revenues for the water and wastewater fund for the ten-year period FY 2024 – FY 2033.

Table IV-4

TOWN OF CLARKDALE FORECAST REVENUES AND EXPENSES										
Scenario:	2023 11 08 -- Scenario I -- Status Quo									
	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033
Fund Balance, Revenues and Expenses										
Revised Beginning Fund Balance	\$ 4,855,274	\$ 4,578,409	\$ 4,476,347	\$ 4,473,386	\$ 4,501,139	\$ 4,423,861	\$ 4,323,655	\$ 4,330,195	\$ 4,474,751	\$ 4,751,393
Revenues and Expenses										
<u>Water Rate Revenues</u>										
Residential	1,219,618	1,380,975	1,538,489	1,694,671	1,865,181	2,051,258	2,208,614	2,312,148	2,418,940	2,529,079
Multi-Family	369,592	405,789	438,757	469,470	502,333	537,496	563,479	574,748	586,243	597,968
Commercial	48,565	53,321	57,653	61,689	66,007	70,628	74,042	75,523	77,033	78,574
Government	102,990	113,077	122,264	130,822	139,980	149,778	157,019	160,159	163,362	166,629
Other	21,648	23,769	25,700	27,499	29,424	31,483	33,005	33,665	34,339	35,025
Industrial	17,731	19,467	21,049	22,522	24,099	25,786	27,032	27,573	28,124	28,687
Total	1,780,145	1,996,398	2,203,911	2,406,673	2,627,023	2,866,429	3,063,191	3,183,816	3,308,042	3,435,963
<u>WW Rate Revenues</u>										
Residential	990,835	1,081,881	1,168,321	1,275,436	1,413,341	1,551,636	1,669,663	1,767,805	1,859,392	1,953,936
Non-Residential	34,561	36,289	37,740	39,732	42,514	45,121	46,991	48,201	49,165	50,149
Total	1,025,396	1,118,170	1,206,061	1,315,169	1,455,855	1,596,758	1,716,654	1,816,006	1,908,558	2,004,085
Non-Rate Revenues	2,253,281	313,281	313,281	313,281	313,281	313,281	313,281	313,281	313,281	313,281
Total Revenues	5,058,821	3,427,849	3,723,254	4,035,123	4,396,159	4,776,468	5,093,125	5,313,103	5,529,881	5,753,329
Operating Expenses	4,555,986	2,770,345	2,934,141	3,084,852	3,159,309	3,236,234	3,315,711	3,397,829	3,482,678	3,570,353
Net Revenues for Transfers/COS/Debt Service	502,835	657,504	789,113	950,271	1,236,850	1,540,234	1,777,414	1,915,274	2,047,202	2,182,976
Capital Outlays	60,000	40,000	40,000	40,000	40,000	40,000	40,000	40,000	40,000	40,000
Net Revenues Available for Debt Service	442,835	617,504	749,113	910,271	1,196,850	1,500,234	1,737,414	1,875,274	2,007,202	2,142,976
Current Debt Service	719,700	719,566	719,428	719,288	719,144	718,996	718,845	718,690	718,531	712,317
Future Debt Service	-	-	32,646	163,231	554,984	881,445	1,012,029	1,012,029	1,012,029	1,142,614
Total Debt Service	719,700	719,566	752,075	882,518	1,274,127	1,600,441	1,730,874	1,730,719	1,730,560	1,854,930
Net Revenues for Contingencies and Transfers	(276,865)	(102,062)	(2,962)	27,753	(77,277)	(100,206)	6,540	144,555	276,642	288,046
<u>Transfers</u>										
Total Contingencies & Transfers	-	-	-	-	-	-	-	-	-	-
Total Cost of Service	5,335,687	3,529,910	3,726,215	4,007,370	4,473,436	4,876,674	5,086,585	5,168,548	5,253,238	5,465,283
Net Revenues	(276,865)	(102,062)	(2,962)	27,753	(77,277)	(100,206)	6,540	144,555	276,642	288,046
Percent of COS	-5.2%	-2.9%	-0.1%	0.7%	-1.7%	-2.1%	0.1%	2.8%	5.3%	5.3%
Ending Water & Sewer Combined Fund Balance	\$ 4,578,409	\$ 4,476,347	\$ 4,473,386	\$ 4,501,139	\$ 4,423,861	\$ 4,323,655	\$ 4,330,195	\$ 4,474,751	\$ 4,751,393	\$ 5,039,439

Notes on Rate Recommendations

The forecast and recommendations presented in this study represent a combination of the best information available from the Town and the project team's expertise. However, this forecast relies in part on assumptions about future events and events beyond the control of the project team (such as account growth rates within the Town). The forecast and recommendations contained in this study may be subject to revision if any of the following events occurs:

- Actual growth in accounts and consumed volumes is less than (or significantly greater than) forecast
- Capital improvement plan funding costs increase significantly due to the rising cost of materials or other factors
- An unforeseen event impacts the Town, such as an extended recession, natural catastrophe, or terrorist attack
- Town budget levels or priorities change significantly from those forecast in this study

It should be noted that none of these events are foreseen by the project team or the Town at this time.

If any of these events occur, the Town may be compelled to consider further adjustments to its water and wastewater rates.

APPENDIX A



Town of Clarkdale Ten Year Rate Analysis and Pro Forma Fiscal Years 2024 - 2033



Utility System

Water
Sewer
Combined

Dashboard

Projections
Bill Comparison
Comparable Utilities

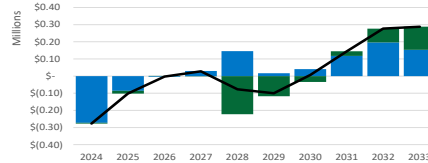
Customer Class

All Classes

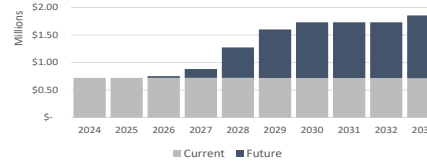
Years

10
5

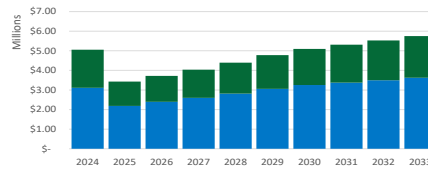
Total Net Revenues Available for Contingency



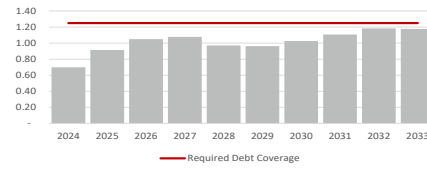
Debt Service



Total Revenues



Debt Coverage



Water Sewer

Combined Projections

Future Debt Term

25

Water Future Bond Issues

	Alternative	Proposed
2024		\$ -
2025		\$ -
2026		\$ 2,000,000
2027		\$ 1,000,000
2028		\$ 5,000,000
2029		\$ 2,000,000
2030		\$ -
2031		\$ -
2032		\$ 2,000,000
2033		\$ -

Sewer Future Bond Issues

	Alternative	Proposed
2024		\$ -
2025		\$ 500,000
2026		\$ -
2027		\$ 5,000,000
2028		\$ -
2029		\$ -
2030		\$ -
2031		\$ -
2032		\$ -
2033		\$ -

Water Rate Adjustments

	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033
Meter Charge	9.00%	9.00%	7.00%	7.00%	7.00%	7.00%	2.00%	2.00%	2.00%	2.00%
Volume Charge	9.00%	9.00%	7.00%	7.00%	7.00%	7.00%	2.00%	2.00%	2.00%	2.00%

Sewer Rate Adjustments

	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033
Base Charge	5.00%	4.00%	4.00%	7.00%	7.00%	5.00%	3.00%	2.00%	2.00%	2.00%
Volume Charge Residential	5.00%	4.00%	4.00%	7.00%	7.00%	5.00%	3.00%	2.00%	2.00%	2.00%
Volume Charge Non-Residential	5.00%	4.00%	4.00%	7.00%	7.00%	5.00%	3.00%	2.00%	2.00%	2.00%

TOWN OF CLARKDALE
WATER/WASTEWATER COST OF SERVICE MODEL

Current 2024 2025 2026 2027 2028 2029 2030 2031 2032 2033

Water Summary

Scen: 2023 11 08 -- Scenario I -- Status Quo

1 Water Monthly Rates and Charges

CITY Water Rate and Charges

W1 Residential

Monthly Minimum Charge

5/8" & 3/4"	\$	28.30	\$	30.85	\$	33.62	\$	35.98	\$	38.50	\$	41.19	\$	44.07	\$	44.95	\$	45.85	\$	46.77	\$	47.71
1"		46.96		51.19		55.79		59.70		63.88		68.35		73.13		74.60		76.09		77.61		79.16
1 1/2"		93.93		102.38		111.60		119.41		127.77		136.71		146.28		149.21		152.19		155.24		158.34
2"		150.52		164.07		178.83		191.35		204.75		219.08		234.41		239.10		243.88		248.76		253.74
3"		301.04		328.13		357.67		382.70		409.49		438.16		468.83		478.20		487.77		497.52		507.47
4"		475.64		518.45		565.11		604.67		646.99		692.28		740.74		755.56		770.67		786.08		801.80
6"		951.29		1,036.91		1,130.23		1,209.34		1,294.00		1,384.58		1,481.50		1,511.13		1,541.35		1,572.18		1,603.62
8"		1,502.79		1,638.04		1,785.46		1,910.45		2,044.18		2,187.27		2,340.38		2,387.19		2,434.93		2,483.63		2,533.30

Volume Rate/1,000 Gal

1,001	5,000	4.96	5.41	5.89	6.31	6.75	7.22	7.72	7.88	8.04	8.20	8.36
5,001	10,000	5.15	5.61	6.12	6.55	7.01	7.50	8.02	8.18	8.34	8.51	8.68
10,001	15,000	7.21	7.86	8.57	9.17	9.81	10.49	11.23	11.45	11.68	11.92	12.15
15,001	20,000	7.46	8.13	8.86	9.48	10.15	10.86	11.62	11.85	12.09	12.33	12.58
20,001	25,000	10.65	11.61	12.65	13.54	14.49	15.50	16.59	16.92	17.26	17.60	17.95
25,001	30,000	10.85	11.83	12.89	13.79	14.76	15.79	16.90	17.24	17.58	17.93	18.29
30,001	Above	11.00	11.99	13.07	13.98	14.96	16.01	17.13	17.47	17.82	18.18	18.54

W2 Multi-Family

Monthly Minimum Charge

5/8" & 3/4"	\$	28.30	\$	30.85	\$	33.62	\$	35.98	\$	38.50	\$	41.19	\$	44.07	\$	44.95	\$	45.85	\$	46.77	\$	47.71
1"		46.96		51.19		55.79		59.70		63.88		68.35		73.13		74.60		76.09		77.61		79.16
1 1/2"		93.93		102.38		111.60		119.41		127.77		136.71		146.28		149.21		152.19		155.24		158.34
2"		150.52		164.07		178.83		191.35		204.75		219.08		234.41		239.10		243.88		248.76		253.74
3"		301.04		328.13		357.67		382.70		409.49		438.16		468.83		478.20		487.77		497.52		507.47
4"		475.64		518.45		565.11		604.67		646.99		692.28		740.74		755.56		770.67		786.08		801.80
6"		951.29		1,036.91		1,130.23		1,209.34		1,294.00		1,384.58		1,481.50		1,511.13		1,541.35		1,572.18		1,603.62
8"		1,502.79		1,638.04		1,785.46		1,910.45		2,044.18		2,187.27		2,340.38		2,387.19		2,434.93		2,483.63		2,533.30

Volume Rate/1,000 Gal

1,001	5,000	4.96	5.41	5.89	6.31	6.75	7.22	7.72	7.88	8.04	8.20	8.36
5,001	10,000	5.15	5.61	6.12	6.55	7.01	7.50	8.02	8.18	8.34	8.51	8.68
10,001	15,000	7.21	7.86	8.57	9.17	9.81	10.49	11.23	11.45	11.68	11.92	12.15
15,001	20,000	7.46	8.13	8.86	9.48	10.15	10.86	11.62	11.85	12.09	12.33	12.58
20,001	25,000	10.65	11.61	12.65	13.54	14.49	15.50	16.59	16.92	17.26	17.60	17.95
25,001	30,000	10.85	11.83	12.89	13.79	14.76	15.79	16.90	17.24	17.58	17.93	18.29
30,001	Above	11.00	11.99	13.07	13.98	14.96	16.01	17.13	17.47	17.82	18.18	18.54

TOWN OF CLARKDALE
WATER/WASTEWATER COST OF SERVICE MODEL

Current 2024 2025 2026 2027 2028 2029 2030 2031 2032 2033

Water Summary

Scen: 2023 11 08 -- Scenario I -- Status Quo

W3 Commercial

Monthly Minimum Charge

5/8" & 3/4"	\$	28.30	\$	30.85	\$	33.62	\$	35.98	\$	38.50	\$	41.19	\$	44.07	\$	44.95	\$	45.85	\$	46.77	\$	47.71
1"		46.96		51.19		55.79		59.70		63.88		68.35		73.13		74.60		76.09		77.61		79.16
1 1/2"		93.93		102.38		111.60		119.41		127.77		136.71		146.28		149.21		152.19		155.24		158.34
2"		150.52		164.07		178.83		191.35		204.75		219.08		234.41		239.10		243.88		248.76		253.74
3"		301.04		328.13		357.67		382.70		409.49		438.16		468.83		478.20		487.77		497.52		507.47
4"		475.64		518.45		565.11		604.67		646.99		692.28		740.74		755.56		770.67		786.08		801.80
6"		951.29		1,036.91		1,130.23		1,209.34		1,294.00		1,384.58		1,481.50		1,511.13		1,541.35		1,572.18		1,603.62
8"		1,502.79		1,638.04		1,785.46		1,910.45		2,044.18		2,187.27		2,340.38		2,387.19		2,434.93		2,483.63		2,533.30

Volume Rate/1,000 Gal

1,001	5,000	4.96	5.41	5.89	6.31	6.75	7.22	7.72	7.88	8.04	8.20	8.36
5,001	10,000	5.15	5.61	6.12	6.55	7.01	7.50	8.02	8.18	8.34	8.51	8.68
10,001	15,000	7.21	7.86	8.57	9.17	9.81	10.49	11.23	11.45	11.68	11.92	12.15
15,001	20,000	7.46	8.13	8.86	9.48	10.15	10.86	11.62	11.85	12.09	12.33	12.58
20,001	25,000	10.65	11.61	12.65	13.54	14.49	15.50	16.59	16.92	17.26	17.60	17.95
25,001	30,000	10.85	11.83	12.89	13.79	14.76	15.79	16.90	17.24	17.58	17.93	18.29
30,001	Above	11.00	11.99	13.07	13.98	14.96	16.01	17.13	17.47	17.82	18.18	18.54

W4 Government

Monthly Minimum Charge

5/8" & 3/4"	\$	28.30	\$	30.85	\$	33.62	\$	35.98	\$	38.50	\$	41.19	\$	44.07	\$	44.95	\$	45.85	\$	46.77	\$	47.71
1"		46.96		51.19		55.79		59.70		63.88		68.35		73.13		74.60		76.09		77.61		79.16
1 1/2"		93.93		102.38		111.60		119.41		127.77		136.71		146.28		149.21		152.19		155.24		158.34
2"		150.52		164.07		178.83		191.35		204.75		219.08		234.41		239.10		243.88		248.76		253.74
3"		301.04		328.13		357.67		382.70		409.49		438.16		468.83		478.20		487.77		497.52		507.47
4"		475.64		518.45		565.11		604.67		646.99		692.28		740.74		755.56		770.67		786.08		801.80
6"		951.29		1,036.91		1,130.23		1,209.34		1,294.00		1,384.58		1,481.50		1,511.13		1,541.35		1,572.18		1,603.62
8"		1,502.79		1,638.04		1,785.46		1,910.45		2,044.18		2,187.27		2,340.38		2,387.19		2,434.93		2,483.63		2,533.30

Volume Rate/1,000 Gal

1,001	5,000	4.96	5.41	5.89	6.31	6.75	7.22	7.72	7.88	8.04	8.20	8.36
5,001	10,000	5.15	5.61	6.12	6.55	7.01	7.50	8.02	8.18	8.34	8.51	8.68
10,001	15,000	7.21	7.86	8.57	9.17	9.81	10.49	11.23	11.45	11.68	11.92	12.15
15,001	20,000	7.46	8.13	8.86	9.48	10.15	10.86	11.62	11.85	12.09	12.33	12.58
20,001	25,000	10.65	11.61	12.65	13.54	14.49	15.50	16.59	16.92	17.26	17.60	17.95
25,001	30,000	10.85	11.83	12.89	13.79	14.76	15.79	16.90	17.24	17.58	17.93	18.29
30,001	Above	11.00	11.99	13.07	13.98	14.96	16.01	17.13	17.47	17.82	18.18	18.54

W5 Other

Monthly Minimum Charge

5/8" & 3/4"	\$	28.30	\$	30.85	\$	33.62	\$	35.98	\$	38.50	\$	41.19	\$	44.07	\$	44.95	\$	45.85	\$	46.77	\$	47.71
1"		46.96		51.19		55.79		59.70		63.88		68.35		73.13		74.60		76.09		77.61		79.16
1 1/2"		93.93		102.38		111.60		119.41		127.77		136.71		146.28		149.21		152.19		155.24		158.34
2"		150.52		164.07		178.83		191.35		204.75		219.08		234.41		239.10		243.88		248.76		253.74
3"		301.04		328.13		357.67		382.70		409.49		438.16		468.83		478.20		487.77		497.52		507.47
4"		475.64		518.45		565.11		604.67		646.99		692.28		740.74		755.56		770.67		786.08		801.80
6"		951.29		1,036.91		1,130.23		1,209.34		1,294.00		1,384.58		1,481.50		1,511.13		1,541.35		1,572.18		1,603.62
8"		1,502.79		1,638.04		1,785.46		1,910.45		2,044.18		2,187.27		2,340.38		2,387.19		2,434.93		2,483.63		2,533.30

Volume Rate/1,000 Gal

1,001	5,000	4.96	5.41	5.89	6.31	6.75	7.22	7.72	7.88	8.04	8.20	8.36
5,001	10,000	5.15	5.61	6.12	6.55	7.01	7.50	8.02	8.18	8.34	8.51	8.68
10,001	15,000	7.21	7.86	8.57	9.17	9.81	10.49	11.23	11.45	11.68	11.92	12.15
15,001	20,000	7.46	8.13	8.86	9.48	10.15	10.86	11.62	11.85	12.09	12.33	12.58
20,001	25,000	10.65	11.61	12.65	13.54	14.49	15.50	16.59	16.92	17.26	17.60	17.95
25,001	30,000	10.85	11.83	12.89	13.79	14.76	15.79	16.90	17.24	17.58	17.93	18.29
30,001	Above	11.00	11.99	13.07	13.98	14.96	16.01	17.13	17.47	17.82	18.18	18.54

TOWN OF CLARKDALE WATER/WASTEWATER COST OF SERVICE MODEL										
Current	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033

Water Summary
Scen: 2023 11 08 -- Scenario I -- Status Quo

W6 Industrial

Monthly Minimum Charge																						
5/8" & 3/4"	\$	28.30	\$	30.85	\$	33.62	\$	35.98	\$	38.50	\$	41.19	\$	44.07	\$	44.95	\$	45.85	\$	46.77	\$	47.71
1"		46.96		51.19		55.79		59.70		63.88		68.35		73.13		74.60		76.09		77.61		79.16
1 1/2"		93.93		102.38		111.60		119.41		127.77		136.71		146.28		149.21		152.19		155.24		158.34
2"		150.52		164.07		178.83		191.35		204.75		219.08		234.41		239.10		243.88		248.76		253.74
3"		301.04		328.13		357.67		382.70		409.49		438.16		468.83		478.20		487.77		497.52		507.47
4"		475.64		518.45		565.11		604.67		646.99		692.28		740.74		755.56		770.67		786.08		801.80
6"		951.29		1,036.91		1,130.23		1,209.34		1,294.00		1,384.58		1,481.50		1,511.13		1,541.35		1,572.18		1,603.62
8"		1,502.79		1,638.04		1,785.46		1,910.45		2,044.18		2,187.27		2,340.38		2,387.19		2,434.93		2,483.63		2,533.30
Volume Rate/1,000 Gal																						
1,001	5,000	4.96		5.41		5.89		6.31		6.75		7.22		7.72		7.88		8.04		8.20		8.36
5,001	10,000	5.15		5.61		6.12		6.55		7.01		7.50		8.02		8.18		8.34		8.51		8.68
10,001	15,000	7.21		7.86		8.57		9.17		9.81		10.49		11.23		11.45		11.68		11.92		12.15
15,001	20,000	7.46		8.13		8.86		9.48		10.15		10.86		11.62		11.85		12.09		12.33		12.58
20,001	25,000	10.65		11.61		12.65		13.54		14.49		15.50		16.59		16.92		17.26		17.60		17.95
25,001	30,000	10.85		11.83		12.89		13.79		14.76		15.79		16.90		17.24		17.58		17.93		18.29
30,001	Above	11.00		11.99		13.07		13.98		14.96		16.01		17.13		17.47		17.82		18.18		18.54

TOWN OF CLARKDALE WATER/WASTEWATER COST OF SERVICE MODEL											
Current	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	

Water Summary
Scen: 2023 11 08 -- Scenario I -- Status Quo

2 Wastewater Monthly Rates and Charges

Residential																						
<u>Monthly Minimum Charge</u>																						
All Meters	\$	54.00	\$	56.70	\$	58.97	\$	61.33	\$	65.62	\$	70.21	\$	73.72	\$	75.94	\$	77.45	\$	79.00	\$	80.58
Non-Residential																						
<u>Monthly Minimum Charge</u>																						
All Meters		54.00		56.70		58.97		61.33		65.62		70.21		73.72		75.94		77.45		79.00		80.58
<u>Volume Rate/1,000 Gal</u>																						
5,001 Above		2.25		2.36		2.46		2.56		2.73		2.93		3.07		3.16		3.23		3.29		3.36

**TOWN OF CLARKDALE
WATER/WASTEWATER COST OF SERVICE MODEL**

Current 2024 2025 2026 2027 2028 2029 2030 2031 2032 2033

Forecast Summary**Scenario: 2023 11 08 -- Scenario I -- Status Quo****1 WATER and WASTEWATER Utility Revenues and Expenses**

Beginning of TY Fund Balance \$ 4,855,274
TY Transfer/Use -

Revised Beginning Fund Balance \$ 4,855,274 \$ 4,578,409 \$ 4,476,347 \$ 4,473,386 \$ 4,501,139 \$ 4,423,861 \$ 4,323,655 \$ 4,330,195 \$ 4,474,751 \$ 4,751,393

Revenues

Water Rate Revenues	1,780,145	1,996,398	2,203,911	2,406,673	2,627,023	2,866,429	3,063,191	3,183,816	3,308,042	3,435,963
Wastewater Rate Revenues	1,025,396	1,118,170	1,206,061	1,315,169	1,455,855	1,596,758	1,716,654	1,816,006	1,908,558	2,004,085
	2,805,540	3,114,568	3,409,973	3,721,842	4,082,878	4,463,187	4,779,844	4,999,822	5,216,600	5,440,048
Non-Rate Revenues	2,253,281	313,281	313,281	313,281	313,281	313,281	313,281	313,281	313,281	313,281
Total Revenues	5,058,821	3,427,849	3,723,254	4,035,123	4,396,159	4,776,468	5,093,125	5,313,103	5,529,881	5,753,329

Cost of Service**Operating Expenses:**

Personnel	878,890	995,046	1,115,847	1,230,323	1,267,233	1,305,250	1,344,407	1,384,739	1,426,281	1,469,070
Supplies	607,225	588,740	614,327	636,298	659,092	682,739	707,273	732,730	759,146	786,559
Services	3,069,871	1,186,558	1,203,967	1,218,231	1,232,985	1,248,246	1,264,031	1,280,360	1,297,251	1,314,724
Capital	-	-	-	-	-	-	-	-	-	-
Total	4,555,986	2,770,345	2,934,141	3,084,852	3,159,309	3,236,234	3,315,711	3,397,829	3,482,678	3,570,353

Net Revenues for Debt Service, Capital Outlays and Transfers 502,835 657,504 789,113 950,271 1,236,850 1,540,234 1,777,414 1,915,274 2,047,202 2,182,976

Current Debt Service	719,700	719,566	719,428	719,288	719,144	718,996	718,845	718,690	718,531	712,317
Future Debt Service	-	-	32,646	163,231	554,984	881,445	1,012,029	1,012,029	1,012,029	1,142,614
Total Debt Service	719,700	719,566	752,075	882,518	1,274,127	1,600,441	1,730,874	1,730,719	1,730,560	1,854,930

Net Revenues for Capital Outlays, Contingencies and Transfers (216,865) (62,062) 37,038 67,753 (37,277) (60,206) 46,540 184,555 316,642 328,046

Capital Outlays .	60,000	40,000	40,000	40,000	40,000	40,000	40,000	40,000	40,000	40,000
Transfers	-	-	-	-	-	-	-	-	-	-

Total Cost of Service 5,335,687 3,529,910 3,726,215 4,007,370 4,473,436 4,876,674 5,086,585 5,168,548 5,253,238 5,465,283

Net Revenues	(276,865)	(102,062)	(2,962)	27,753	(77,277)	(100,206)	6,540	144,555	276,642	288,046
Percent of Revenues	-5.5%	-3.0%	-0.1%	0.7%	-1.8%	-2.1%	0.1%	2.7%	5.0%	5.0%

Ending Water & WW Combined Fund Balance \$ 4,578,409 \$ 4,476,347 \$ 4,473,386 \$ 4,501,139 \$ 4,423,861 \$ 4,323,655 \$ 4,330,195 \$ 4,474,751 \$ 4,751,393 \$ 5,039,439

Revenue Adequacy Tests

Total Expenses less Transfers	5,335,687	3,529,910	3,726,215	4,007,370	4,473,436	4,876,674	5,086,585	5,168,548	5,253,238	5,465,283
Expenses per Day	14,618	9,671	10,209	10,979	12,256	13,361	13,936	14,160	14,392	14,973
Working Capital as % of Tot Exp.	86%	127%	120%	112%	99%	89%	85%	87%	90%	92%
Number of Working Capital Days	313	463	438	410	361	324	311	316	330	337
Debt Coverage (excludes Capital Outlays and G/F Transfers)	0.70	0.91	1.05	1.08	0.97	0.96	1.03	1.11	1.18	1.18

TOWN OF CLARKDALE
WATER/WASTEWATER COST OF SERVICE MODEL

Current 2024 2025 2026 2027 2028 2029 2030 2031 2032 2033

Forecast Summary**Scenario: 2023 11 08 -- Scenario I -- Status Quo****2 WATER Utility Revenues and Expenses**

Beginning of TY Fund Balance \$ 4,855,274

TY Transfer/Use -

Revised Beginning Fund Balance	\$	4,855,274	\$	4,582,657	\$	4,496,675	\$	4,492,814	\$	4,522,634	\$	4,667,743	\$	4,684,708	\$	4,725,399	\$	4,842,853	\$	5,039,213
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Revenues**Water Rate Revenues**

W.1 Residential		1,219,618		1,380,975		1,538,489		1,694,671		1,865,181		2,051,258		2,208,614		2,312,148		2,418,940		2,529,079
W.2 Multi-Family		369,592		405,789		438,757		469,470		502,333		537,496		563,479		574,748		586,243		597,968
W.3 Commercial		48,565		53,321		57,653		61,689		66,007		70,628		74,042		75,523		77,033		78,574
W.4 Government		102,990		113,077		122,264		130,822		139,980		149,778		157,019		160,159		163,362		166,629
W.5 Other		21,648		23,769		25,700		27,499		29,424		31,483		33,005		33,665		34,339		35,025
Sub-Total		1,780,145		1,996,398		2,203,911		2,406,673		2,627,023		2,866,429		3,063,191		3,183,816		3,308,042		3,435,963

Non-Rate Revenues

		1,331,343		191,343		191,343		191,343		191,343		191,343		191,343		191,343		191,343		191,343
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Total Revenues		3,111,488		2,187,741		2,395,254		2,598,016		2,818,366		3,057,772		3,254,534		3,375,159		3,499,385		3,627,306
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Cost of Service**Operating Expenses:**

Personnel		539,373		560,948		664,386		684,317		704,847		725,992		747,772		770,205		793,311		817,111
Supplies		380,875		352,941		368,681		382,412		396,674		411,489		426,877		442,864		459,472		476,727
Services		1,932,675		838,716		845,000		849,901		854,949		860,148		865,504		871,020		876,702		882,554
Capital		-		-		-		-		-		-		-		-		-		-
Total		2,852,923		1,752,606		1,878,066		1,916,630		1,956,470		1,997,629		2,040,153		2,084,089		2,129,485		2,176,392

Net Revenues for Debt Service, Capital Outlays and Transfers

		258,565		435,135		517,188		681,386		861,896		1,060,143		1,214,381		1,291,071		1,369,900		1,450,914
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Current Debt Service

		501,183		501,117		501,049		500,981		500,911		500,840		500,768		500,694		500,619		494,491
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Future Debt Service		-		-		-		130,584		195,877		522,338		652,922		652,922		652,922		783,506
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Total Debt Service		501,183		501,117		501,049		631,565		696,788		1,023,178		1,153,690		1,153,616		1,153,541		1,277,997
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Net Revenues for Capital Outlays, Contingencies and Transfers

		(242,617)		(65,982)		16,139		49,821		165,108		36,965		60,691		137,455		216,359		172,917
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Capital Outlays .

		30,000		20,000		20,000		20,000		20,000		20,000		20,000		20,000		20,000		20,000
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Transfers		-		-		-		-		-		-		-		-		-		-
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Total Cost of Service		3,384,105		2,273,722		2,399,116		2,568,196		2,673,258		3,040,807		3,213,843		3,257,705		3,303,026		3,474,389
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Net Revenues		(272,617)		(85,982)		(3,861)		29,821		145,108		16,965		40,691		117,455		196,359		152,917
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Percent of Revenues		-8.8%		-3.9%		-0.2%		1.1%		5.1%		0.6%		1.3%		3.5%		5.6%		4.2%
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Ending Water Fund Balance		\$ 4,582,657		\$ 4,496,675		\$ 4,492,814		\$ 4,522,634		\$ 4,667,743		\$ 4,684,708		\$ 4,725,399		\$ 4,842,853		\$ 5,039,213		\$ 5,192,130
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Revenue Adequacy Tests

Total Expenses less Transfers		3,384,105		2,273,722		2,399,116		2,568,196		2,673,258		3,040,807		3,213,843		3,257,705		3,303,026		3,474,389
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Expenses per Day		9,272		6,229		6,573		7,036		7,324		8,331		8,805		8,925		9,049		9,519
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Working Capital as % of Tot Exp.		135%		198%		187%		176%		175%		154%		147%		149%		153%		149%
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Number of Working Capital Days		494		722		684		643		637		562		537		543		557		545
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Debt Coverage (excludes Capital Outlays and G/F Transfers)

		0.52		0.87		1.03		1.08		1.24		1.04		1.05		1.12		1.19		1.14
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TOWN OF CLARKDALE WATER/WASTEWATER COST OF SERVICE MODEL

Current	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033
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Forecast Summary**Scenario: 2023 11 08 -- Scenario I -- Status Quo****3 WASTEWATER Utility Revenues and Expenses**

Beginning of TY Fund Balance	\$	-																		
TY Transfer/Use		-																		
Revised Beginning Fund Balance	\$	-	\$	(4,248)	\$	(20,328)	\$	(19,428)	\$	(21,496)	\$	(243,881)	\$	(361,053)	\$	(395,203)	\$	(368,103)	\$	(287,820)

Revenues**Wastewater Rate Revenues**

WW.1 Residential	990,835	1,081,881	1,168,321	1,275,436	1,413,341	1,551,636	1,669,663	1,767,805	1,859,392	1,953,936
WW.2 Non-Residential	34,561	36,289	37,740	39,732	42,514	45,121	46,991	48,201	49,165	50,149
WW.5 Other1	-	-	-	-	-	-	-	-	-	-
WW.6 Other1	-	-	-	-	-	-	-	-	-	-
WW.5 Other1	-	-	-	-	-	-	-	-	-	-
Total	1,025,396	1,118,170	1,206,061	1,315,169	1,455,855	1,596,758	1,716,654	1,816,006	1,908,558	2,004,085

Non-Rate Revenues

Non-Rate Revenues	921,938	121,938	121,938	121,938	121,938	121,938	121,938	121,938	121,938	121,938
Total Revenues	1,947,334	1,240,108	1,327,999	1,437,107	1,577,793	1,718,696	1,838,592	1,937,944	2,030,496	2,126,023

Cost of Service**Operating Expenses:**

Personnel	339,517	434,098	451,462	546,005	562,386	579,257	596,635	614,534	632,970	651,959
Supplies	226,350	235,799	245,646	253,886	262,417	271,250	280,396	289,866	299,674	309,831
Services	1,137,197	347,842	358,967	368,330	378,036	388,097	398,528	409,340	420,550	432,171
Capital	-	-	-	-	-	-	-	-	-	-
Total	1,703,064	1,017,739	1,056,075	1,168,222	1,202,839	1,238,604	1,275,558	1,313,740	1,353,193	1,393,961

Net Revenues for Debt Service, Capital Outlays and Transfers

Net Revenues for Debt Service, Capital Outlays and Transfers	244,270	222,369	271,925	268,885	374,954	480,091	563,033	624,203	677,302	732,062
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Current Debt Service

Current Debt Service	218,518	218,449	218,379	218,307	218,232	218,156	218,077	217,996	217,912	217,826
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Future Debt Service	-	-	32,646	32,646	359,107	359,107	359,107	359,107	359,107	359,107
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Total Debt Service	218,518	218,449	251,025	250,953	577,339	577,263	577,184	577,103	577,019	576,933
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Net Revenues for Capital Outlays, Contingencies and Transfers

Net Revenues for Capital Outlays, Contingencies and Transfers	25,752	3,920	20,900	17,932	(202,385)	(97,172)	(14,151)	47,101	100,283	155,129
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Capital Outlays .

Capital Outlays .	30,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000
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Transfers	-	-	-	-	-	-	-	-	-	-
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Total Cost of Service	1,951,582	1,256,188	1,327,100	1,439,175	1,800,178	1,835,867	1,872,742	1,910,843	1,950,213	1,990,894
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Net Revenues	(4,248)	(16,080)	900	(2,068)	(222,385)	(117,172)	(34,151)	27,101	80,283	135,129
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Percent of Revenues	-0.2%	-1.3%	0.1%	-0.1%	-14.1%	-6.8%	-1.9%	1.4%	4.0%	6.4%
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Ending Wastewater Fund Balance	\$	(4,248)	\$	(20,328)	\$	(19,428)	\$	(21,496)	\$	(243,881)	\$	(361,053)	\$	(395,203)	\$	(368,103)	\$	(287,820)	\$	(152,691)
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Revenue Adequacy Tests

Total Expenses less Transfers	1,951,582	1,256,188	1,327,100	1,439,175	1,800,178	1,835,867	1,872,742	1,910,843	1,950,213	1,990,894
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Expenses per Day	5,347	3,442	3,636	3,943	4,932	5,030	5,131	5,235	5,343	5,455
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Working Capital as % of Tot Exp.	0%	-2%	-1%	-1%	-14%	-20%	-21%	-19%	-15%	-8%
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Number of Working Capital Days	(1)	(6)	(5)	(5)	(49)	(72)	(77)	(70)	(54)	(28)
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Debt Coverage (excludes Capital Outlays and G/F Transfers)	1.12	1.02	1.08	1.07	0.65	0.83	0.98	1.08	1.17	1.27
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**TOWN OF CLARKDALE
WATER/WASTEWATER COST OF SERVICE MODEL**

Current 2024 2025 2026 2027 2028 2029 2030 2031 2032 2033

Forecast Summary

Scenario: 2023 11 08 -- Scenario I -- Status Quo

1 Water and Wastewater Rates

Water Rates -- Residential

Monthly Minimum Charge --5/8" \$ 28.30 \$ 30.85 \$ 33.62 \$ 35.98 \$ 38.50 \$ 41.19 \$ 44.07 \$ 44.95 \$ 45.85 \$ 46.77 \$ 47.71

Volume Rate Per 1,000 Gal

1,001	5,000	4.96	5.41	5.89	6.31	6.75	7.22	7.72	7.88	8.04	8.20	8.36
5,001	10,000	5.15	5.61	6.12	6.55	7.01	7.50	8.02	8.18	8.34	8.51	8.68
10,001	15,000	7.21	7.86	8.57	9.17	9.81	10.49	11.23	11.45	11.68	11.92	12.15
15,001	20,000	7.46	8.13	8.86	9.48	10.15	10.86	11.62	11.85	12.09	12.33	12.58
20,001	25,000	10.65	11.61	12.65	13.54	14.49	15.50	16.59	16.92	17.26	17.60	17.95
25,001	30,000	10.85	11.83	12.89	13.79	14.76	15.79	16.90	17.24	17.58	17.93	18.29
30,001	Above	11.00	11.99	13.07	13.98	14.96	16.01	17.13	17.47	17.82	18.18	18.54

Water Rates -- Commercial

Monthly Minimum Charge -- 5/8" \$ 28.30 \$ 30.85 \$ 33.62 \$ 35.98 \$ 38.50 \$ 41.19 \$ 44.07 \$ 44.95 \$ 45.85 \$ 46.77 \$ 47.71

Volume Rate Per 1,000 Gal

1,001	5,000	4.96	5.41	5.89	6.31	6.75	7.22	7.72	7.88	8.04	8.20	8.36
5,001	10,000	5.15	5.61	6.12	6.55	7.01	7.50	8.02	8.18	8.34	8.51	8.68
10,001	15,000	7.21	7.86	8.57	9.17	9.81	10.49	11.23	11.45	11.68	11.92	12.15
15,001	20,000	7.46	8.13	8.86	9.48	10.15	10.86	11.62	11.85	12.09	12.33	12.58
20,001	25,000	10.65	11.61	12.65	13.54	14.49	15.50	16.59	16.92	17.26	17.60	17.95
25,001	30,000	10.85	11.83	12.89	13.79	14.76	15.79	16.90	17.24	17.58	17.93	18.29
30,001	Above	11.00	11.99	13.07	13.98	14.96	16.01	17.13	17.47	17.82	18.18	18.54

Wastewater Rates - Residential

Monthly Charge \$ 54.00 \$ 56.70 \$ 58.97 \$ 61.33 \$ 65.62 \$ 70.21 \$ 73.72 \$ 75.94 \$ 77.45 \$ 79.00 \$ 80.58

Wastewater Rates - Commercial

Monthly Minimum Charge	\$ 54.00 \$ 56.70 \$ 58.97 \$ 61.33 \$ 65.62 \$ 70.21 \$ 73.72 \$ 75.94 \$ 77.45 \$ 79.00 \$ 80.58
Volume Rate/1,000 Gal	2.25 2.36 2.46 2.56 2.73 2.93 3.07 3.16 3.23 3.29 3.36
(Over 5,000 Gallons)	

2 Residential Standard Monthly Bill -- 5/8" Meter

5,000 Gal	Total	5/5	\$ 102.14 \$	109.17 \$	116.16 \$	122.53 \$	131.10 \$	140.28 \$	148.69 \$	152.41 \$	155.45 \$	158.56 \$	161.73
Water & WW	Increase -- \$			7.03	6.99	6.36	8.58	9.18	8.42	3.71	3.05	3.11	3.17
	Increase -- %			6.9%	6.4%	5.5%	7.0%	7.0%	6.0%	2.5%	2.0%	2.0%	2.0%
7,000 Gal	Total	7/5	112.44	120.40	128.40	135.62	145.11	155.27	164.74	168.77	172.14	175.59	179.10
Water; 7K WW	Increase -- \$			7.96	8.00	7.22	9.49	10.16	9.46	4.03	3.38	3.44	3.51
	Increase -- %			7.1%	6.6%	5.6%	7.0%	7.0%	6.1%	2.4%	2.0%	2.0%	2.0%
15,000 Gal	Total	15/10	163.94	176.53	189.59	201.09	215.17	230.23	244.94	250.58	255.59	260.70	265.91
Water; 15K W	Increase -- \$			12.59	13.05	11.50	14.08	15.06	14.71	5.64	5.01	5.11	5.21
	Increase -- %			7.7%	7.4%	6.1%	7.0%	7.0%	6.4%	2.3%	2.0%	2.0%	2.0%

Commercial Monthly Bill -- 5/8"

25,000 Gal	Total	\$ 299.49 \$	322.48 \$	346.31 \$	367.31 \$	393.02 \$	420.53 \$	447.39 \$	457.69 \$	466.85 \$	476.18 \$	485.71
Water & WW	Increase -- \$			23.83	21.00	25.71	27.51	26.86	10.30	9.15	9.34	9.52
	Increase -- %			7.7%	7.4%	6.1%	7.0%	7.0%	6.4%	2.3%	2.0%	2.0%

TOWN OF CLARKDALE WATER/WASTEWATER COST OF SERVICE MODEL										
Current	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033

Forecast Summary

Scenario: 2023 11 08 -- Scenario I -- Status Quo

3 Fund Balance, Revenues and Expenses										
Beginning of TY Fund Balance	\$ 4,855,274									
TY Transfer/Use	-									
Revised Beginning Fund Balance	\$ 4,855,274	\$ 4,578,409	\$ 4,476,347	\$ 4,473,386	\$ 4,501,139	\$ 4,423,861	\$ 4,323,655	\$ 4,330,195	\$ 4,474,751	\$ 4,751,393
Revenues and Expenses										
Water Rate Revenues	1,780,145	1,996,398	2,203,911	2,406,673	2,627,023	2,866,429	3,063,191	3,183,816	3,308,042	3,435,963
WW Rate Revenues	1,025,396	1,118,170	1,206,061	1,315,169	1,455,855	1,596,758	1,716,654	1,816,006	1,908,558	2,004,085
Non-Rate Revenues	2,253,281	313,281	313,281	313,281	313,281	313,281	313,281	313,281	313,281	313,281
Total Revenues	5,058,821	3,427,849	3,723,254	4,035,123	4,396,159	4,776,468	5,093,125	5,313,103	5,529,881	5,753,329
Operating Expenses	4,555,986	2,770,345	2,934,141	3,084,852	3,159,309	3,236,234	3,315,711	3,397,829	3,482,678	3,570,353
Net Revenues for Transfers,Capital Outlays and Debt Ser	502,835	657,504	789,113	950,271	1,236,850	1,540,234	1,777,414	1,915,274	2,047,202	2,182,976
Capital Outlays .	60,000	40,000	40,000	40,000	40,000	40,000	40,000	40,000	40,000	40,000
Net Revenues Available for Debt Service	442,835	617,504	749,113	910,271	1,196,850	1,500,234	1,737,414	1,875,274	2,007,202	2,142,976
Current Debt Service	719,700	719,566	719,428	719,288	719,144	718,996	718,845	718,690	718,531	712,317
Future Debt Service	-	-	32,646	163,231	554,984	881,445	1,012,029	1,012,029	1,012,029	1,142,614
Total Debt Service	719,700	719,566	752,075	882,518	1,274,127	1,600,441	1,730,874	1,730,719	1,730,560	1,854,930
Net Revenues for Contingencies and Transfers	(276,865)	(102,062)	(2,962)	27,753	(77,277)	(100,206)	6,540	144,555	276,642	288,046
Transfers										
Total Contingencies & Transfers	-	-	-	-	-	-	-	-	-	-
Total Cost of Service	5,335,687	3,529,910	3,726,215	4,007,370	4,473,436	4,876,674	5,086,585	5,168,548	5,253,238	5,465,283
	\$ 532,537	\$ 600,393	\$ (146,220)	\$ (317,344)	\$ (367,845)	\$ (453,776)	\$ 57,340	\$ 253,120	\$ 465,493	
Net Revenues	(276,865)	(102,062)	(2,962)	27,753	(77,277)	(100,206)	6,540	144,555	276,642	288,046
Percent of COS	-5.2%	-2.9%	-0.1%	0.7%	-1.7%	-2.1%	0.1%	2.8%	5.3%	5.3%
Ending Water & Sewer Combined Fund Balance	\$ 4,578,409	\$ 4,476,347	\$ 4,473,386	\$ 4,501,139	\$ 4,423,861	\$ 4,323,655	\$ 4,330,195	\$ 4,474,751	\$ 4,751,393	\$ 5,039,439
Revenue Adequacy Tests										
Total Exp (Budgetary Basis)	5,335,687	3,529,910	3,726,215	4,007,370	4,473,436	4,876,674	5,086,585	5,168,548	5,253,238	5,465,283
Expenses per Day	14,618	9,671	10,209	10,979	12,256	13,361	13,936	14,160	14,392	14,973
Working Capital as % of Tot Exp.	86%	127%	120%	112%	99%	89%	85%	87%	90%	92%
Number of Working Capital Days	313	463	438	410	361	324	311	316	330	337
Debt Coverage (excludes Capital Outlays and G/F Transfe Goal is 1.60 and Minimum is 1.20	0.70	0.91	1.05	1.08	0.97	0.96	1.03	1.11	1.18	1.18
Water& WW Repair & Repl. Fund Balance	-	-	-	-	-	-	-	-	-	-

TOWN OF CLARKDALE WATER/WASTEWATER COST OF SERVICE MODEL										
Current	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033

Forecast Summary

Scenario: 2023 11 08 -- Scenario I -- Status Quo

5 Total Accounts

Water Accounts

Total Accounts	2,322	2,382	2,442	2,502	2,562	2,622	2,682	2,742	2,802	2,862
New Accounts	-	60	60	60	60	60	60	60	60	60
Avg. Annual Growth Rate		2.58%	2.52%	2.46%	2.40%	2.34%	2.29%	2.24%	2.19%	2.14%

Wastewater Accounts

Total Accounts	1,545	1,605	1,665	1,725	1,785	1,845	1,905	1,965	2,025	2,085
New Accounts	-	60	60	60	60	60	60	60	60	60
Avg. Annual Growth Rate		3.88%	3.74%	3.60%	3.48%	3.36%	3.25%	3.15%	3.05%	2.96%

6 Annual Volume

Water Volume

Residential	114,164,374	117,737,594	121,310,814	124,884,033	128,457,253	132,030,473	135,603,693	139,176,913	142,750,133	146,323,352
Multi-Family	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865
Commercial	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505
Government	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136
Other	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674
Total System	161,742,944	165,316,164	168,889,384	172,462,603	176,035,823	179,609,043	183,182,263	186,755,483	190,328,703	193,901,922

Wastewater Billing Units

Residential	89,568,711	93,141,930	96,715,150	100,288,370	103,861,590	107,434,810	111,008,030	114,581,249	118,154,469	121,727,689
Non-Residential	5,760,506	5,760,506	5,760,506	5,760,506	5,760,506	5,760,506	5,760,506	5,760,506	5,760,506	5,760,506
Other1	-	-	-	-	-	-	-	-	-	-
Other1	-	-	-	-	-	-	-	-	-	-
Other1	-	-	-	-	-	-	-	-	-	-
Total System	95,329,217	98,902,437	102,475,657	106,048,876	109,622,096	113,195,316	116,768,536	120,341,756	123,914,976	127,488,195

TOWN OF CLARKDALE
WATER/WASTEWATER COST OF SERVICE MODEL

Current 2024 2025 2026 2027 2028 2029 2030 2031 2032 2033

Water Summary

Scen: 2023 11 08 -- Scenario I -- Status Quo

1 Water Monthly Rates and Charges

Residential

Monthly Minimum Charge

Base Charge	5/8" & 3/4"	\$	28.30	\$	30.85	\$	33.62	\$	35.98	\$	38.50	\$	41.19	\$	44.07	\$	44.95	\$	45.85	\$	46.77	\$	47.71
	1"		46.96		51.19		55.79		59.70		63.88		68.35		73.13		74.60		76.09		77.61		79.16
	1 1/2"		93.93		102.38		111.60		119.41		127.77		136.71		146.28		149.21		152.19		155.24		158.34
	2"		150.52		164.07		178.83		191.35		204.75		219.08		234.41		239.10		243.88		248.76		253.74
	3"		301.04		328.13		357.67		382.70		409.49		438.16		468.83		478.20		487.77		497.52		507.47
	4"		475.64		518.45		565.11		604.67		646.99		692.28		740.74		755.56		770.67		786.08		801.80
	6"		951.29		1,036.91		1,130.23		1,209.34		1,294.00		1,384.58		1,481.50		1,511.13		1,541.35		1,572.18		1,603.62
	8"		1,502.79		1,638.04		1,785.46		1,910.45		2,044.18		2,187.27		2,340.38		2,387.19		2,434.93		2,483.63		2,533.30

Volume Rate/1,000 Gal

1,001	5,000	4.96	5.41	5.89	6.31	6.75	7.22	7.72	7.88	8.04	8.20	8.36
5,001	10,000	5.15	5.61	6.12	6.55	7.01	7.50	8.02	8.18	8.34	8.51	8.68
10,001	15,000	7.21	7.86	8.57	9.17	9.81	10.49	11.23	11.45	11.68	11.92	12.15
15,001	20,000	7.46	8.13	8.86	9.48	10.15	10.86	11.62	11.85	12.09	12.33	12.58
20,001	25,000	10.65	11.61	12.65	13.54	14.49	15.50	16.59	16.92	17.26	17.60	17.95
25,001	30,000	10.85	11.83	12.89	13.79	14.76	15.79	16.90	17.24	17.58	17.93	18.29
30,001	Above	11.00	11.99	13.07	13.98	14.96	16.01	17.13	17.47	17.82	18.18	18.54

Commercial

Monthly Minimum Charge

5/8" & 3/4"	\$	28.30	\$	30.85	\$	33.62	\$	35.98	\$	38.50	\$	41.19	\$	44.07	\$	44.95	\$	45.85	\$	46.77	\$	47.71
1"		46.96		51.19		55.79		59.70		63.88		68.35		73.13		74.60		76.09		77.61		79.16
2"		150.52		164.07		178.83		191.35		204.75		219.08		234.41		239.10		243.88		248.76		253.74
3"		301.04		328.13		357.67		382.70		409.49		438.16		468.83		478.20		487.77		497.52		507.47
4"		475.64		518.45		565.11		604.67		646.99		692.28		740.74		755.56		770.67		786.08		801.80
6"		951.29		1,036.91		1,130.23		1,209.34		1,294.00		1,384.58		1,481.50		1,511.13		1,541.35		1,572.18		1,603.62
8"		1,502.79		1,638.04		1,785.46		1,910.45		2,044.18		2,187.27		2,340.38		2,387.19		2,434.93		2,483.63		2,533.30

Volume Rate Per 1,000 Gal - Commercial

1,001	5,000	4.96	5.41	5.89	6.31	6.75	7.22	7.72	7.88	8.04	8.20	8.36
5,001	10,000	5.15	5.61	6.12	6.55	7.01	7.50	8.02	8.18	8.34	8.51	8.68
10,001	15,000	7.21	7.86	8.57	9.17	9.81	10.49	11.23	11.45	11.68	11.92	12.15
15,001	20,000	7.46	8.13	8.86	9.48	10.15	10.86	11.62	11.85	12.09	12.33	12.58
20,001	25,000	10.65	11.61	12.65	13.54	14.49	15.50	16.59	16.92	17.26	17.60	17.95
25,001	30,000	10.85	11.83	12.89	13.79	14.76	15.79	16.90	17.24	17.58	17.93	18.29
30,001	Above	11.00	11.99	13.07	13.98	14.96	16.01	17.13	17.47	17.82	18.18	18.54

2.1 Residential Monthly Bill --

5,000 Gallons	Total	\$	48.14	\$	52.47	\$	57.20	\$	61.20	\$	65.48	\$	70.07	\$	74.97	\$	76.47	\$	78.00	\$	79.56	\$	81.15
	Dollar Inc				4.33		4.72		4.00		4.28		4.58		4.90		1.50		1.53		1.56		1.59
	Percent Inc				9.0%		9.0%		7.0%		7.0%		7.0%		7.0%		2.0%		2.0%		2.0%		2.0%
10,000 Gallons	Total		73.89		80.54		87.79		93.93		100.51		107.54		115.07		117.37		119.72		122.12		124.56
	Dollar Inc				6.65		7.25		6.15		6.58		7.04		7.53		2.30		2.35		2.39		2.44
	Percent Inc				9.0%		9.0%		7.0%		7.0%		7.0%		7.0%		2.0%		2.0%		2.0%		2.0%
20,000 Gallons	Total		147.24		160.49		174.94		187.18		200.28		214.30		229.31		233.89		238.57		243.34		248.21
	Dollar Inc				13.25		14.44		12.25		13.10		14.02		15.00		4.59		4.68		4.77		4.87
	Percent Inc				9.0%		9.0%		7.0%		7.0%		7.0%		7.0%		2.0%		2.0%		2.0%		2.0%
30,000 Gallons	Total		254.74		277.67		302.66		323.84		346.51		370.77		396.72		404.66		412.75		421.00		429.42
	Dollar Inc				22.93		24.99		21.19		22.67		24.26		25.95		7.93		8.09		8.25		8.42
	Percent Inc				9.0%		9.0%		7.0%		7.0%		7.0%		7.0%		2.0%		2.0%		2.0%		2.0%

TOWN OF CLARKDALE WATER/WASTEWATER COST OF SERVICE MODEL										
Current	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033

Scen: 2023 11 08 -- Scenario I -- Status Quo

2.2 Commercial Monthly Bill --

10,000 Gallons																							
3/4"	\$	73.89	\$	80.54	\$	87.79	\$	93.93	\$	100.51	\$	107.54	\$	115.07	\$	117.37	\$	119.72	\$	122.12	\$	124.56	
1"		92.55		100.88		109.96		117.66		125.89		134.70		144.13		147.02		149.96		152.96		156.01	
2"		196.11		213.76		233.00		249.31		266.76		285.43		305.41		311.52		317.75		324.11		330.59	
3"		346.63		377.83		411.83		440.66		471.51		504.51		539.83		550.62		561.64		572.87		584.33	
4"		521.23		568.14		619.27		662.62		709.01		758.64		811.74		827.98		844.54		861.43		878.65	
6"		996.88		1,086.60		1,184.39		1,267.30		1,356.01		1,450.93		1,552.50		1,583.55		1,615.22		1,647.52		1,680.47	

[illegible]

3 Total Accounts

Total Accounts	2,322	2,382	2,442	2,502	2,562	2,622	2,682	2,742	2,802	2,862
New Accounts		60	60	60	60	60	60	60	60	60
Avg. Annual Growth Rate		2.58%	2.52%	2.46%	2.40%	2.34%	2.29%	2.24%	2.19%	2.14%

4 Annual Water Consumption

W.1 Residential	114,164,374	117,737,594	121,310,814	124,884,033	128,457,253	132,030,473	135,603,693	139,176,913	142,750,133	146,323,352
W.2 Multi-Family	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865	32,207,865
W.3 Commercial	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505	4,636,505
W.4 Government	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136	8,182,136
W.5 Other	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674	2,023,674
Total System	161,742,944	165,316,164	168,889,384	172,462,603	176,035,823	179,609,043	183,182,263	186,755,483	190,328,703	193,901,922

5 Revenues and Expenses -- CASH BASIS

Water Rate Revenue

W.1 Residential	\$	1,219,618	\$	1,380,975	\$	1,538,489	\$	1,694,671	\$	1,865,181	\$	2,051,258	\$	2,208,614	\$	2,312,148	\$	2,418,940	\$	2,529,079
W.2 Multi-Family		369,592		405,789		438,757		469,470		502,333		537,496		563,479		574,748		586,243		597,968
W.3 Commercial		48,565		53,321		57,563		61,689		66,007		70,628		74,042		75,523		77,033		78,574
W.4 Government		102,990		113,077		122,264		130,822		139,980		149,778		157,019		160,159		163,362		166,629
W.5 Other		<u>21,648</u>		<u>23,769</u>		<u>25,700</u>		<u>27,499</u>		<u>29,424</u>		<u>31,483</u>		<u>33,005</u>		<u>33,665</u>		<u>34,339</u>		<u>35,025</u>
		1,780,145		1,996,398		2,203,911		2,406,673		2,627,023		2,866,429		3,063,191		3,183,816		3,308,042		3,435,963
Non-Rate Revenues		<u>1,331,343</u>		<u>191,343</u>		<u>191,343</u>		<u>191,343</u>		<u>191,343</u>		<u>191,343</u>		<u>191,343</u>		<u>191,343</u>		<u>191,343</u>		<u>191,343</u>
Total Revenues		3,111,488		2,187,741		2,395,254		2,598,016		2,818,366		3,057,772		3,254,534		3,375,159		3,499,385		3,627,300

**TOWN OF CLARKDALE
WATER/WASTEWATER COST OF SERVICE MODEL**

	Current	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033									
Water Summary																				
Scen: 2023 11 08 -- Scenario I -- Status Quo																				
Water Cost of Service																				
Budget Code																				
P Personnel	\$	539,373	\$	560,948	\$	664,386	\$	684,317	\$	704,847	\$	725,992	\$	747,772	\$	770,205	\$	793,311	\$	817,111
SU Supplies		380,875		352,941		368,681		382,412		396,674		411,489		426,877		442,864		459,472		476,727
SE Services		1,932,675		838,716		845,000		849,901		854,949		860,148		865,504		871,020		876,702		882,554
C Capital		-		-		-		-		-		-		-		-		-		-
Total		2,852,923		1,752,606		1,878,066		1,916,630		1,956,470		1,997,629		2,040,153		2,084,089		2,129,485		2,176,392
Department Code																				
W Utilities -- Water	\$	2,852,923	\$	1,752,606	\$	1,878,066	\$	1,916,630	\$	1,956,470	\$	1,997,629	\$	2,040,153	\$	2,084,089	\$	2,129,485	\$	2,176,392
WW Utilities -- Sewer		-		-		-		-		-		-		-		-		-		-
Total		2,852,923		1,752,606		1,878,066		1,916,630		1,956,470		1,997,629		2,040,153		2,084,089		2,129,485		2,176,392
Total Operating Expenses		2,852,923		1,752,606		1,878,066		1,916,630		1,956,470		1,997,629		2,040,153		2,084,089		2,129,485		2,176,392
Net Revenues for Transfers,Capital Outlays and Debt Service		258,565		435,135		517,188		681,386		861,896		1,060,143		1,214,381		1,291,071		1,369,900		1,450,914
Capital Outlays		30,000		20,000		20,000		20,000		20,000		20,000		20,000		20,000		20,000		20,000
Debt Service																				
Debt Service -- Current		501,183		501,117		501,049		500,981		500,911		500,840		500,768		500,694		500,619		494,491
Debt Service -- Future		-		-		-		130,584		195,877		522,338		652,922		652,922		652,922		783,506
Total Debt Service		501,183		501,117		501,049		631,565		696,788		1,023,178		1,153,690		1,153,616		1,153,541		1,277,997
Net Revenues for Contingencies & Transfers		(272,617)		(85,982)		(3,861)		29,821		145,108		16,965		40,691		117,455		196,359		152,917
Transfers																				
Total Contingencies & Transfers		-		-		-		-		-		-		-		-		-		-
Total Cost of Service		3,384,105		2,273,722		2,399,116		2,568,196		2,673,258		3,040,807		3,213,843		3,257,705		3,303,026		3,474,389
Net Revenues		(272,617)		(85,982)		(3,861)		29,821		145,108		16,965		40,691		117,455		196,359		152,917
Percent of COS		-8.8%		-3.9%		-0.2%		1.1%		5.1%		0.6%		1.3%		3.5%		5.6%		4.2%
Debt Coverage		0.52		0.87		1.03		1.08		1.24		1.04		1.05		1.12		1.19		1.14
(excludes Capital Outlays, G/F Transfers)																				

TOWN OF CLARKDALE WATER/WASTEWATER COST OF SERVICE MODEL											
	Current	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033

Wastewater Summary

Scen: 2023 11 08 -- Scenario I -- Status Quo

1 Wastewater Monthly Rates and Charges

Residential

Monthly Minimum Charge	\$	54.00	\$	56.70	\$	58.97	\$	61.33	\$	65.62	\$	70.21	\$	73.72	\$	75.94	\$	77.45	\$	79.00	\$	80.58
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Non-Residential

Monthly Minimum Charge

All Meters	\$	54.00	\$	56.70	\$	58.97	\$	61.33	\$	65.62	\$	70.21	\$	73.72	\$	75.94	\$	77.45	\$	79.00	\$	80.58
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Volume Rate Per 1,000 Gal - Commercial

5,001 Above	\$	2.25	\$	2.36	\$	2.46	\$	2.56	\$	2.73	\$	2.93	\$	3.07	\$	3.16	\$	3.23	\$	3.29	\$	3.36
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2.1 Residential Monthly Bill --

Total	\$	54.00	\$	56.70	\$	58.97	\$	61.33	\$	65.62	\$	70.21	\$	73.72	\$	75.94	\$	77.45	\$	79.00	\$	80.58
Dollar Inc				2.70		2.27		2.36		4.29		4.59		3.51		2.21		1.52		1.55		1.58
Percent Inc				5.0%		4.0%		4.0%		7.0%		7.0%		5.0%		3.0%		2.0%		2.0%		2.0%

TOWN OF CLARKDALE WATER/WASTEWATER COST OF SERVICE MODEL											
	Current	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033

Wastewater Summary

Scen: 2023 11 08 -- Scenario I -- Status Quo

2.2 Commercial Monthly Bill --

10,000 Gallons	All Meters	\$	65.25	\$	68.51	\$	71.25	\$	74.10	\$	79.29	\$	84.84	\$	89.08	\$	91.76	\$	93.59	\$	95.46	\$	97.37
20,000 Gallons	All Meters	\$	87.75	\$	92.14	\$	95.82	\$	99.66	\$	106.63	\$	114.10	\$	119.80	\$	123.39	\$	125.86	\$	128.38	\$	130.95
50,000 Gallons	All Meters	\$	155.25	\$	163.01	\$	169.53	\$	176.31	\$	188.66	\$	201.86	\$	211.96	\$	218.31	\$	222.68	\$	227.13	\$	231.68

3 Total Accounts

Total Accounts		1,545		1,605		1,665		1,725		1,785		1,845		1,905		1,965		2,025		2,085
New Accounts				60		60		60		60		60		60		60		60		60
Avg. Annual Growth Rate				3.88%		3.74%		3.60%		3.48%		3.36%		3.25%		3.15%		3.05%		2.96%

4 Annual Wastewater Billing Units

WW.1 Residential		89,568,711		93,141,930		96,715,150		100,288,370		103,861,590		107,434,810		111,008,030		114,581,249		118,154,469		121,727,689
WW.2 Non-Residential		5,760,506		5,760,506		5,760,506		5,760,506		5,760,506		5,760,506		5,760,506		5,760,506		5,760,506		5,760,506
WW.3 Other1		-		-		-		-		-		-		-		-		-		-
WW.4 Other1		-		-		-		-		-		-		-		-		-		-
WW.5 Other1		-		-		-		-		-		-		-		-		-		-
Total System		95,329,217		98,902,437		102,475,657		106,048,876		109,622,096		113,195,316		116,768,536		120,341,756		123,914,976		127,488,195

5 Revenues and Expenses -- CASH BASIS

Wastewater Revenues

Wastewater Rate Revenue

WW.1 Residential		990,835		1,081,881		1,168,321		1,275,436		1,413,341		1,551,636		1,669,663		1,767,805		1,859,392		1,953,936
WW.2 Non-Residential		34,561		36,289		37,740		39,732		42,514		45,121		46,991		48,201		49,165		50,149
WW.5 Other1		-		-		-		-		-		-		-		-		-		-
WW.6 Other1		-		-		-		-		-		-		-		-		-		-
WW.5 Other1		-		-		-		-		-		-		-		-		-		-
		1,025,396		1,118,170		1,206,061		1,315,169		1,455,855		1,596,758		1,716,654		1,816,006		1,908,558		2,004,085
Non-Rate Revenues		921,938		121,938		121,938		121,938		121,938		121,938		121,938		121,938		121,938		121,938
Total Revenues		1,947,334		1,240,108		1,327,999		1,437,107		1,577,793		1,718,696		1,838,592		1,937,944		2,030,496		2,126,023

**TOWN OF CLARKDALE
WATER/WASTEWATER COST OF SERVICE MODEL**

	Current	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033										
Wastewater Summary																					
Scen: 2023 11 08 -- Scenario I -- Status Quo																					
Wastewater Cost of Service																					
Budget Code																					
P Personnel	\$	339,517	\$	434,098	\$	451,462	\$	546,005	\$	562,386	\$	579,257	\$	596,635	\$	614,534	\$	632,970	\$	651,959	
SU Supplies		226,350		235,799		245,646		253,886		262,417		271,250		280,396		289,866		299,674		309,831	
SE Services		1,137,197		347,842		358,967		368,330		378,036		388,097		398,528		409,340		420,550		432,171	
C Capital		-		-		-		-		-		-		-		-		-		-	
Total		1,703,064		1,017,739		1,056,075		1,168,222		1,202,839		1,238,604		1,275,558		1,313,740		1,353,193		1,393,961	
Department Code																					
W Utilities -- Water	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-	
WW Utilities -- Sewer		1,703,064		1,017,739		1,056,075		1,168,222		1,202,839		1,238,604		1,275,558		1,313,740		1,353,193		1,393,961	
Total		1,703,064		1,017,739		1,056,075		1,168,222		1,202,839		1,238,604		1,275,558		1,313,740		1,353,193		1,393,961	
Total Operating Expenses												1,703,064	1,017,739	1,056,075	1,168,222	1,202,839	1,238,604	1,275,558	1,313,740	1,353,193	1,393,961
Net Revenues for Transfers,Capital Outlays and Debt Service												244,270	222,369	271,925	268,885	374,954	480,091	563,033	624,203	677,302	732,062
Capital Outlays												30,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Debt Service																					
Debt Service -- Current												218,518	218,449	218,379	218,307	218,232	218,156	218,077	217,996	217,912	217,826
Debt Service -- Future												-	-	32,646	32,646	359,107	359,107	359,107	359,107	359,107	359,107
Total Debt Service												218,518	218,449	251,025	250,953	577,339	577,263	577,184	577,103	577,019	576,933
Net Revenues for Contingencies & Transfers												(4,248)	(16,080)	900	(2,068)	(222,385)	(117,172)	(34,151)	27,101	80,283	135,129
Transfers																					
Total Contingencies & Transfers												-	-	-	-	-	-	-	-	-	-
Total Cost of Service												1,951,582	1,256,188	1,327,100	1,439,175	1,800,178	1,835,867	1,872,742	1,910,843	1,950,213	1,990,894
Net Revenues												(4,248)	(16,080)	900	(2,068)	(222,385)	(117,172)	(34,151)	27,101	80,283	135,129
Percent of COS												-0.2%	-1.3%	0.1%	-0.1%	-14.1%	-6.8%	-1.9%	1.4%	4.0%	6.4%
Debt Coverage												1.12	1.02	1.08	1.07	0.65	0.83	0.98	1.08	1.17	1.27
(excludes Debt, Cap Outlays, G/F Transfers)																					

Town of Clarkdale

2023 Water and Wastewater Rate Study

Council Presentation

November 2023



Agenda

- Background on Rates
- Customers and Volumes
- Current and Forecast Cost of Service
- Proposed Rate Plan
- Summary



The background of the slide is a photograph of industrial machinery, likely a water treatment or pumping system, featuring large pipes, valves, and electric motors. The entire image is covered with a semi-transparent blue overlay. The text 'BACKGROUND ON RATES' is centered in white, bold, uppercase letters.

BACKGROUND ON RATES

21st Century Water & Wastewater Rate Facts



- Average utility has been increasing rates **5-6% per year**, a trend that is expected to continue and may accelerate
- American Water Works Association (AWWA) forecasts that water and wastewater rates across the U.S. will **triple** in the next 15 years
- 30-40% of utilities charge rates that **do not cover their costs**
- Rate adjustments are primarily due to reasons beyond a utility's direct control – inflation, necessary Capital Improvement Plans, wholesale costs, and other indirect expenses

Town of Clarkdale -- Water and Wastewater Rate Background



- Town has not adjusted rates since 2018
- Inflation is driving up utility operating and capital costs
- Utility plans to fund **\$18.4 million** in water and wastewater capital improvements over next five years
- Combination of above factors result in need to implement a long-term rate plan



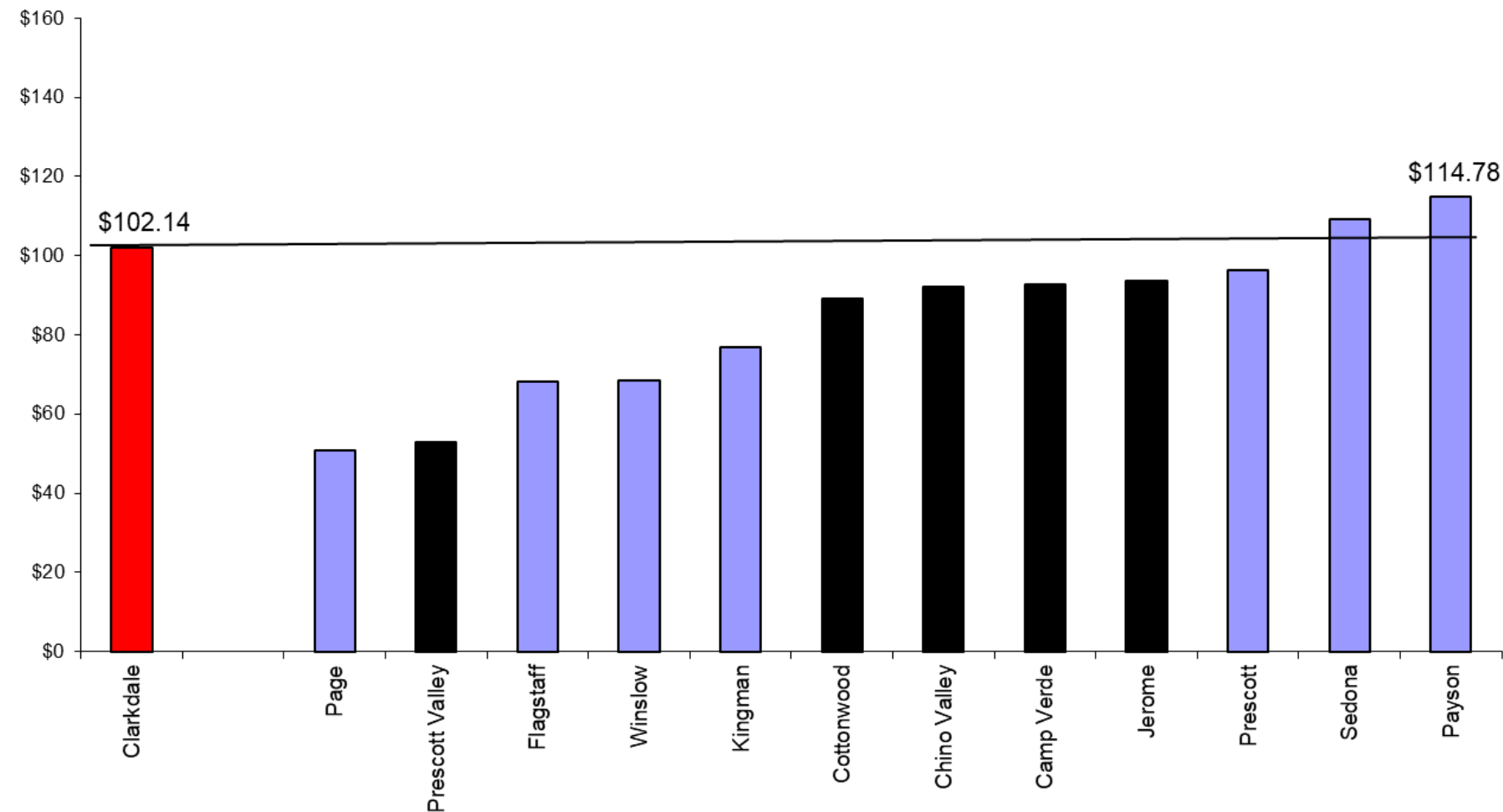
Current Water and Wastewater Rate Structure



WATER Rates (All Classes)		
All Classes		
Monthly Charge		
5/8" & 3/4"	\$	28.30
1"		46.96
2"		150.52
3"		301.04
4"		475.64
6"		951.29
8"		1,502.79
Volume Rate (per 1,000 Gallons)		
1,001	5,000	4.96
5,001	10,000	5.15
10,001	15,000	7.21
15,001	20,000	7.46
20,001	25,000	10.65
25,001	30,000	10.85
30,001	Above	11.00

Wastewater Rates		
<u>Residential</u>		
Monthly Charge	\$	54.00
<u>Non-Residential</u>		
Minimum Charge	\$	54.00
Volume Rate (per 1,000 Gallons)		
5,001	Above	2.25

Current Monthly Residential Charges | 5,000 Gal Water & Wastewater



*Source: WIFA Rate Dashboard

NOTE: cities in black are currently preparing rate studies

The background of the slide is a photograph of industrial machinery, likely a pump or compressor system, with various pipes, valves, and a large motor. The entire image is covered with a semi-transparent blue overlay. The text "CUSTOMERS & VOLUMES" is centered in white, bold, uppercase letters.

CUSTOMERS & VOLUMES

Water and Wastewater Accounts | Test Year 2023-2024

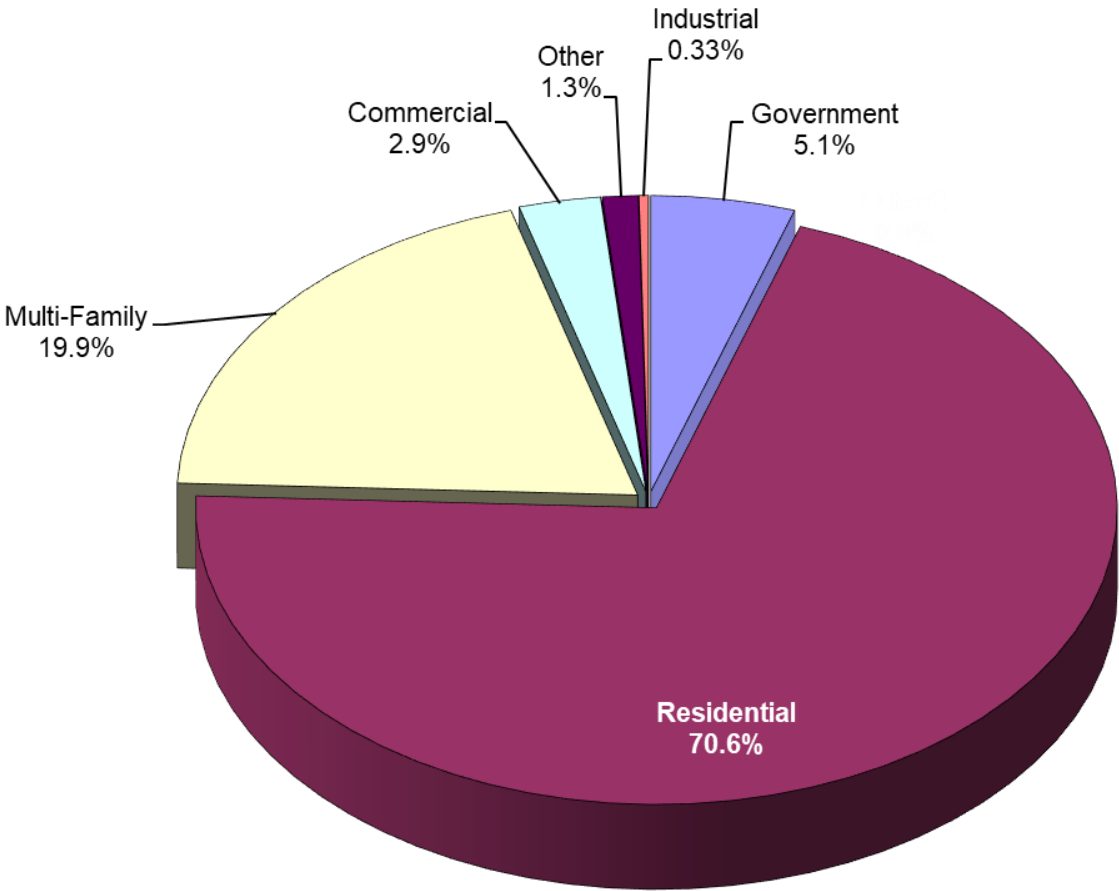


Account growth over period 2024-2033 forecast to be on average 60 new accounts per year.

WATER Accounts	
Residential	1,917
Multi-Family	330
Commercial	33
Government	24
Other	12
Industrial	6
Total	2,322

WASTEWATER Accounts	
Residential	1,504
Non-Residential	<u>41</u>
Total	1,545

Test Year 2023-2024 | Water Consumption by Rate Class

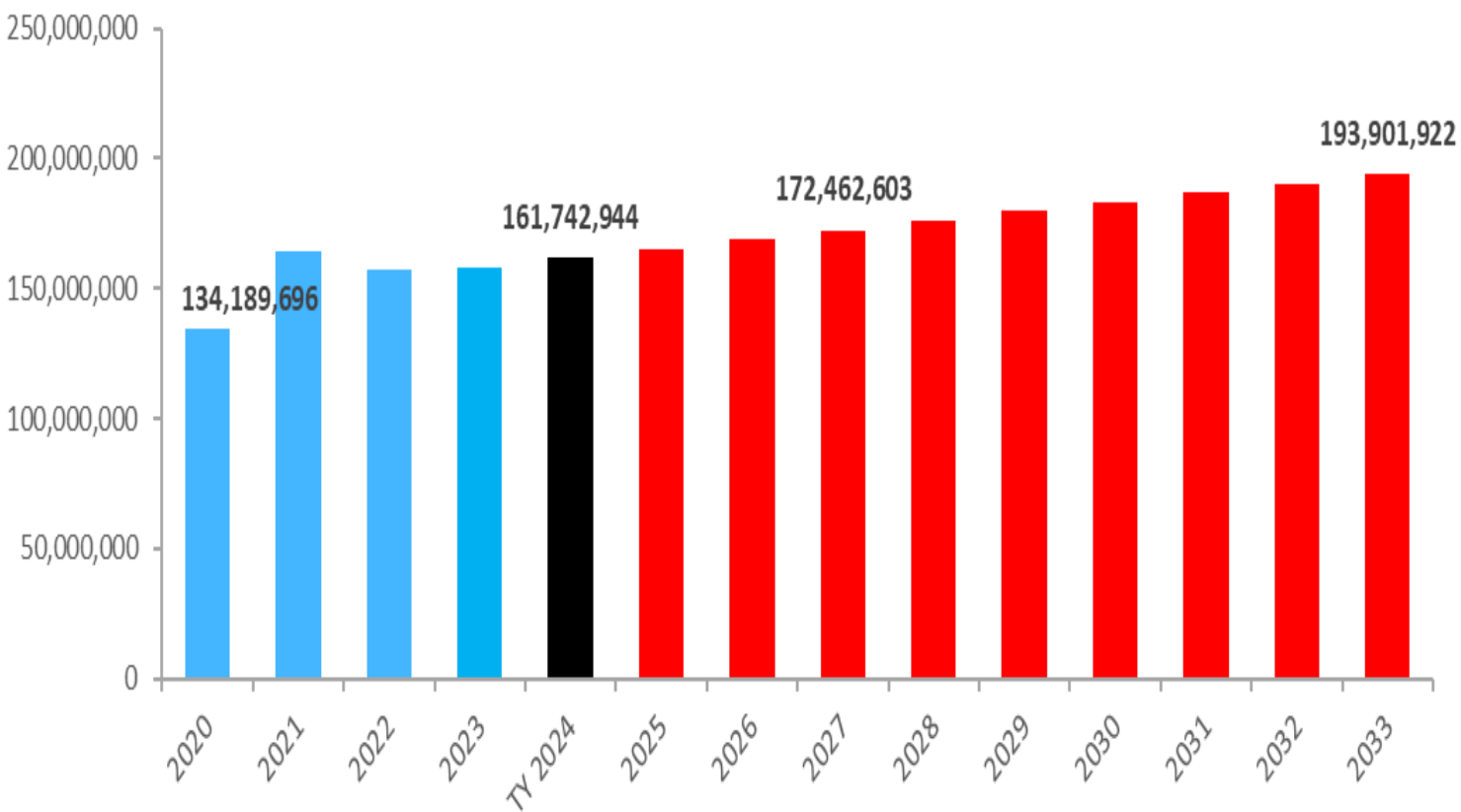


Residential users
comprise the majority
(70.6%) of consumption
within the Town.

Test year water sales =
161,742,944 gallons.

Residential Monthly Usage/Acct = 4,963 gallons

Historical and Forecast Water Consumption (gallons)



**Billed consumption
average annual growth
rate of 2.1%.**

**Water usage varies
considerably with
weather patterns, the
model is based on the
overall trend. Annual
results may vary
significantly.**

A blue-tinted photograph of a water treatment plant. The image shows large industrial pipes, valves, and machinery. In the foreground, there are large circular tanks or components. In the background, more complex piping and structures are visible, along with a window on the right side. The overall scene is industrial and technical.

WATER & WASTEWATER CURRENT AND FORECAST COST OF SERVICE

Cost of Service Forecast

Principal Assumptions



- Most expenses increase 3.0% -- 5.0% per year
- Some expenses increase at higher rate
- 3 additional FTEs are added in the next 5 years:
2 Wastewater and 1 Water; \$81,000 each
- Biggest factor impacting rate plan: **debt required to fund Capital Improvement Plan**
- CIP impacts rate plan in three ways:
 - How much must be funded?
 - When is it funded?
 - How is it financed?



Forecast Water and Wastewater CIP: FY 2024 – FY 2028



WATER PROJECTS

Project Description

Treatment - Arsenic Plant Expansion	\$ 5,500,000
Production - Well 3 Reactivation	1,005,000
89A Reservoir Rehab	225,000
Arsenic Treatment Plant Backwash Tank &	285,000
ATP Vessel Maintenance, Diagnostics, & Re	250,000
Distribution - Mid Zone PRV	375,000
Infrastructure - Water Line Research	50,000
Distribution - Panorama Booster Station Reh	330,000
Distribution - Water Storage Expansion	2,200,000
Haskell Springs Tank Coating	200,000
Haskell Well Pump Emergent Repairs	50,000
Rate Study and IIP (Infrastructure Plan)	150,000
Cellular Meter Program	562,500
Total Water	\$ 11,182,500

WASTEWATER PROJECTS

Project Description

Treatment - WWTP Expansion	\$ 6,050,000
Sludge Drying Beds	110,000
VRIC Property Rehab	150,000
First South Sewer	200,000
Third North Sewer Realignment	610,000
SCADA Upgrades	50,000
Reclaim Feasibility	45,000
Total Wastewater	\$ 7,215,000

Total Water and Wastewater Projects	\$ 18,397,500
--	----------------------

Forecast CIP Funding FY: 2024 – FY 2028

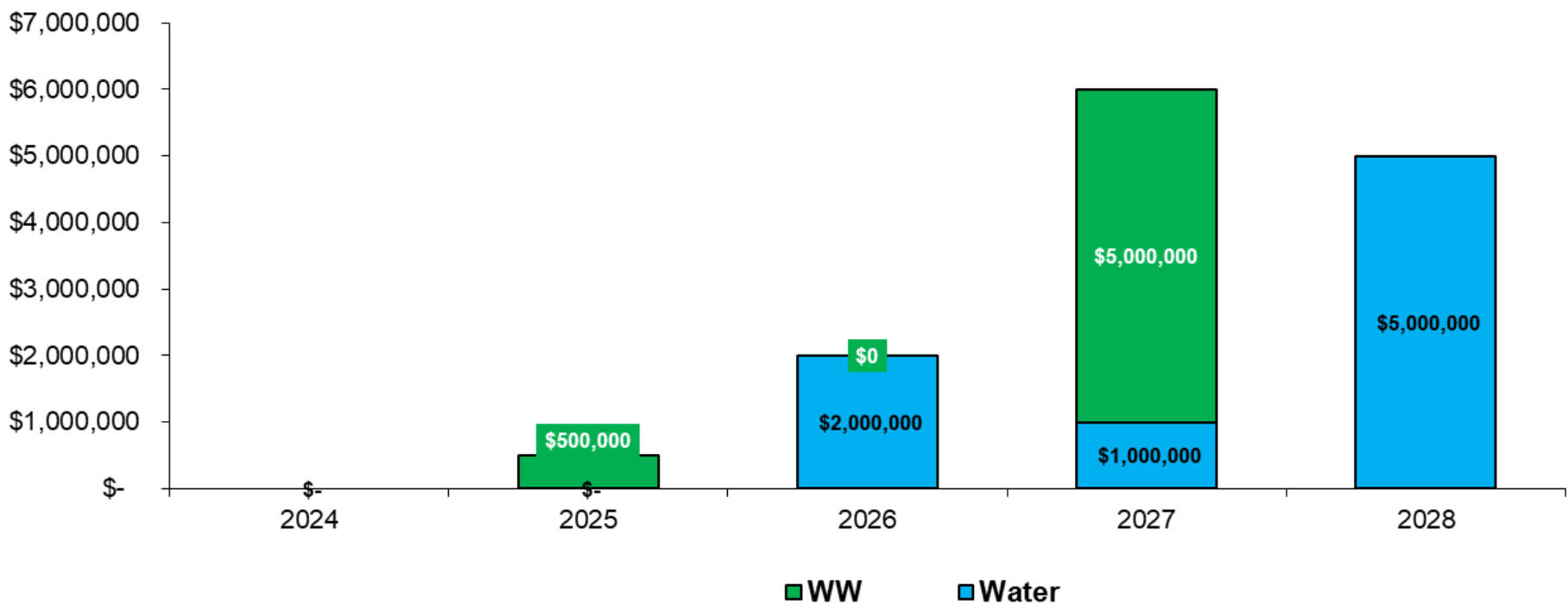


<u>Total Water and Wastewater CIP</u>	=	\$18,397,500	
Water Capacity Fees	=	\$963,645	
Water Resource Development Fee	=	471,750	
Fund Balance Appropriation	=	1,602,921	(FY 2024 Budget)
Water Grant	=	562,500	
Water Long Term Debt	=	8,000,000	
 Sewer Capacity Fees	=	1,440,990	
Capital Transfer In	=	650,000	(FY 2024 Budget)
Sewer Long Term Debt	=	5,500,000	

Forecast Water and Wastewater Debt Issuances FY 2024 – FY 2028



Water = \$8,000,000
WW = 5,500,000
Total = 13,500,000



NOTE: Additional debt
Forecast to be issued after 2028

Term = 25 yrs
Interest = 4%

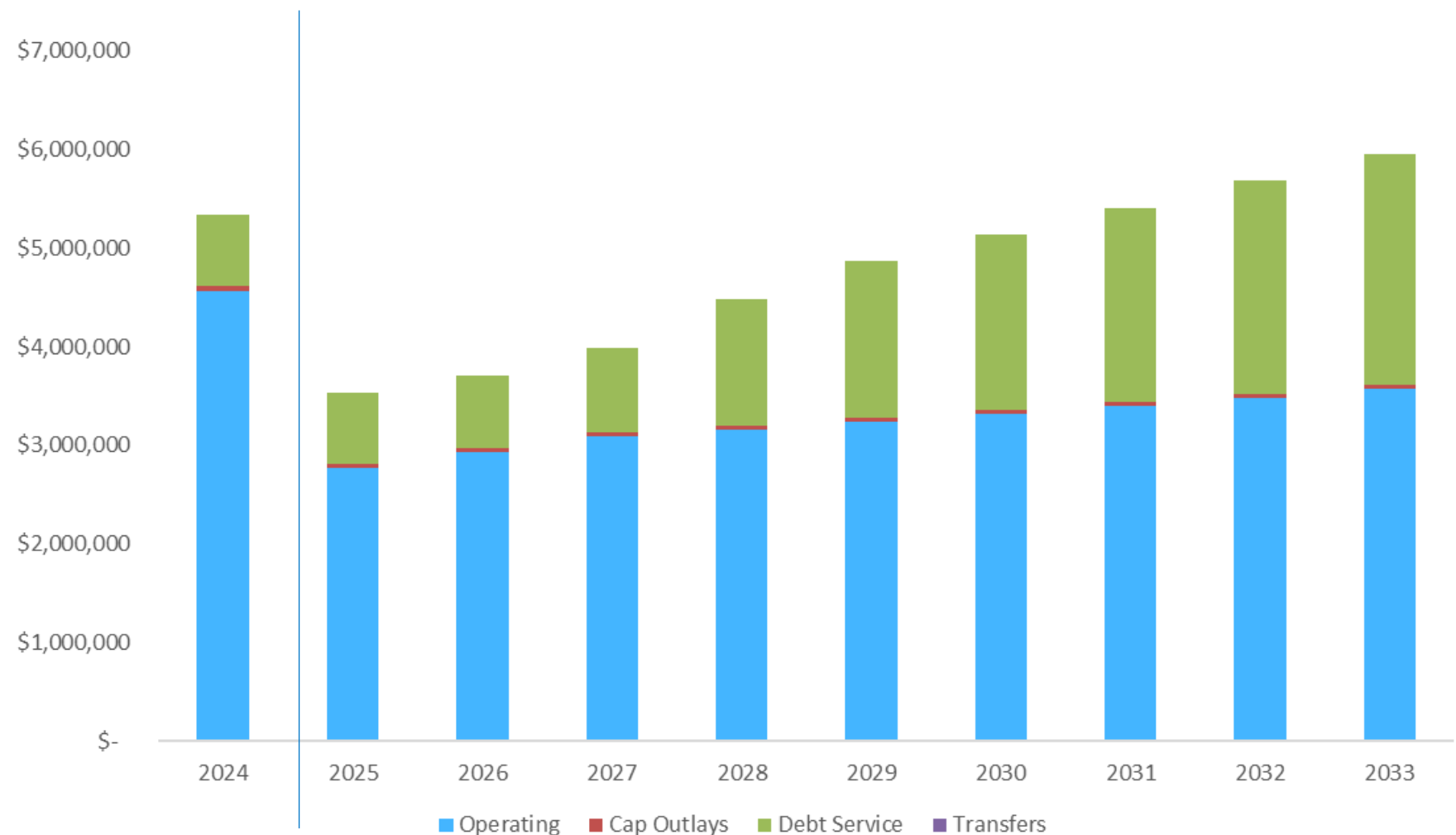
Forecast Revenue Requirement | Water



	Operating Expenses	Capital Outlays	Debt Service	Total Cost of Service	Less Non-Rate Revenues	Net Revenue Requirement
WATER Revenue Requirement						
2024	\$ 2,852,923	\$ 30,000	\$ 501,183	\$ 3,384,105	\$ 1,331,343	\$ 2,052,762
2025	1,752,606	20,000	501,117	2,273,722	191,343	2,082,379
2026	1,878,066	20,000	501,049	2,399,116	191,343	2,207,773
2027	1,916,630	20,000	631,565	2,568,196	191,343	2,376,853
2028	1,956,470	20,000	696,788	2,673,258	191,343	2,481,915
2029	1,997,629	20,000	1,023,178	3,040,807	191,343	2,849,464
2030	2,040,153	20,000	1,218,982	3,279,135	191,343	3,087,792
2031	2,084,089	20,000	1,218,908	3,322,997	191,343	3,131,654
2032	2,129,485	20,000	1,480,002	3,629,487	191,343	3,438,144
2033	2,176,392	20,000	1,473,874	3,670,265	191,343	3,478,922

	Operating Expenses	Capital Outlays	Debt Service	Total Cost of Service	Less Non-Rate Revenues	Net Revenue Requirement
WASTEWATER Revenue Requirement						
2024	\$ 1,703,064	\$ 30,000	\$ 218,518	\$ 1,951,582	\$ 921,938	\$ 1,029,644
2025	1,017,739	20,000	218,449	1,256,188	121,938	1,134,250
2026	1,056,075	20,000	251,025	1,327,100	121,938	1,205,162
2027	1,168,222	20,000	250,953	1,439,175	121,938	1,317,237
2028	1,202,839	20,000	577,339	1,800,178	121,938	1,678,240
2029	1,238,604	20,000	577,263	1,835,867	121,938	1,713,929
2030	1,275,558	20,000	773,061	2,068,619	121,938	1,946,681
2031	1,313,740	20,000	772,979	2,106,720	121,938	1,984,782
2032	1,353,193	20,000	968,773	2,341,966	121,938	2,220,028
2033	1,393,961	20,000	968,687	2,382,647	121,938	2,260,709

Forecast Revenue Requirement | Water and Wastewater



PRELIMINARY & SUBJECT TO CHANGE

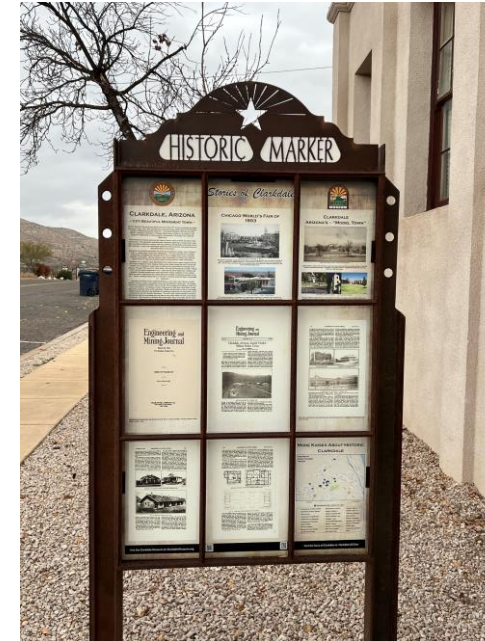
A blue-tinted photograph of a water treatment facility. The image shows large industrial pipes, valves, and machinery, including what appears to be a large pump or motor in the foreground. The background features more complex piping and structural elements of the plant, with a window visible on the right side. The overall scene is industrial and technical.

WATER & WASTEWATER PROPOSED RATE PLANS

Notes on Proposed Rate Plan



- 5-year rate plan with first adjustment in January 2024
- Assumes no change in rate structure
- Uniform percentage adjustments for base and volume charges
- Funds **\$18,397,500** in Capital Improvements FY 2024 - FY 2028



Recommended Water Rate Plan



The rate plan fully funds all aspects of the water utility based on the existing market conditions and assumptions.

Rate Plan will also enable the Town to fully fund its water related capital improvements.

		Current	Effective Feb-24	Effective Jan-25	Effective Jan-26	Effective Jan-27	Effective Jan-28
Water Rates							
Monthly Minimum Charge							
	5/8" & 3/4"	\$ 28.30	\$ 30.85	\$ 33.62	\$ 35.98	\$ 38.50	\$ 41.19
	1"	46.96	51.19	55.79	59.70	63.88	68.35
	1 1/2"	93.93	102.38	111.60	119.41	127.77	136.71
	2"	150.52	164.07	178.83	191.35	204.75	219.08
	3"	301.04	328.13	357.67	382.70	409.49	438.16
	4"	475.64	518.45	565.11	604.67	646.99	692.28
	6"	951.29	1,036.91	1,130.23	1,209.34	1,294.00	1,384.58
	8"	1,502.79	1,638.04	1,785.46	1,910.45	2,044.18	2,187.27
Volume Rate Per 1,000 Gal							
1,001	5,000	4.96	5.41	5.89	6.31	6.75	7.22
5,001	10,000	5.15	5.61	6.12	6.55	7.01	7.50
10,001	15,000	7.21	7.86	8.57	9.17	9.81	10.49
15,001	20,000	7.46	8.13	8.86	9.48	10.15	10.86
20,001	25,000	10.65	11.61	12.65	13.54	14.49	15.50
25,001	30,000	10.85	11.83	12.89	13.79	14.76	15.79
30,001	Above	11.00	11.99	13.07	13.98	14.96	16.01

Recommended Wastewater Rate Plan



The rate plan fully funds all aspects of the wastewater utility based on the existing market conditions and assumptions.

Rate Plan will also enable the Town to fully fund its wastewater related capital improvements.

		Current	Effective Feb-24	Effective Jan-25	Effective Jan-26	Effective Jan-27	Effective Jan-28
Wastewater Rates							
Residential							
Monthly Charge		\$ 54.00	\$ 56.70	\$ 58.97	\$ 61.33	\$ 65.62	\$ 70.21
Non-Residential							
Monthly Minimum Charge		54.00	56.70	58.97	61.33	65.62	70.21
Volume Rate/1,000 Gal							
5,001	Above	2.25	2.36	2.46	2.56	2.73	2.93

Impact on Monthly Bills



		Current	Effective Feb-24	Effective Jan-25	Effective Jan-26	Effective Jan-27	Effective Jan-28
Water/WW Charge -- Scenario I (All CIP)							
Residential - 5/8"							
5,000 Gal	Total	\$ 102.14	\$ 109.17	\$ 116.16	\$ 122.53	\$ 131.10	\$ 140.28
	Increase -- \$		7.03	6.99	6.36	8.58	9.18
	Increase -- %		6.9%	6.4%	5.5%	7.0%	7.0%
10,000 Gal	Total	127.89	137.24	146.76	155.26	166.13	177.76
	Increase -- \$		9.35	9.52	8.50	10.87	11.63
	Increase -- %		7.3%	6.9%	5.8%	7.0%	7.0%
Commercial - 5/8"							
25000 Gal	Total	\$ 299.49	\$ 322.48	\$ 346.31	\$ 367.31	\$ 393.02	\$ 420.53
	Increase -- \$		22.99	23.83	21.00	25.71	27.51
	Increase -- %		7.7%	7.4%	6.1%	7.0%	7.0%
50000 Gal	Total	629.99	680.48	733.57	779.84	834.42	892.83
	Increase -- \$		50.49	53.09	46.26	54.59	58.41
	Increase -- %		8.0%	7.8%	6.3%	7.0%	7.0%

The background of the slide is a photograph of industrial machinery, likely a water treatment or pumping system, featuring large pipes, valves, and electric motors. The entire image is covered with a semi-transparent blue overlay. The word "SUMMARY" is centered in white, bold, sans-serif capital letters.

SUMMARY

How Does Rate Plan Benefit Ratepayers & Town?



- Proposed rate plans enable the utility to fully fund all water and wastewater maintenance, operating and construction costs
- Will provide for the Utility Fund to operate self-sufficient with no need for General Fund subsidies
- Will enable Town to invest in CIP to maintain and improve the system
- Enables the Town to continually provide safe drinking water and effectively treat wastewater



Nest Steps

- Make any requested revisions to presentation
- Council to adopt Notice of Intent – begins ARS 9-501 Statutory Process
 - Public Hearing held 60 days after Notice of Intent
 - Council vote on rate plan after Public Hearing
 - Rates go into effect 30 days after adoption





QUESTIONS & DISCUSSION